

## 二、语法分析

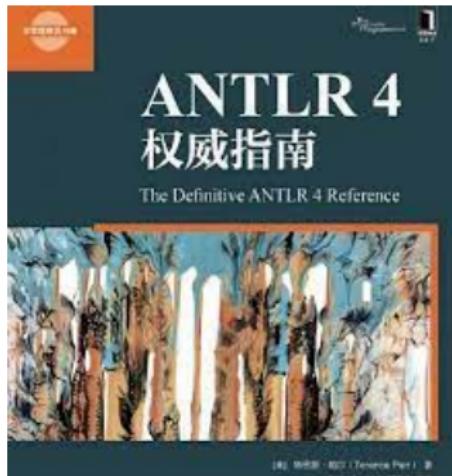
### (8. Adaptive $LL(*)$ 语法分析算法)

魏恒峰

hfwei@nju.edu.cn

2023 年 04 月 07 日





- (1) ANTLR 4 自动将类似 expr 的**左递归**规则重写成非左递归形式
- (2) ANTLR 4 提供优秀的**错误报告**功能和复杂的**错误恢复**机制
- (3) ANTLR 4 使用了一种名为 **Adaptive LL(\*)** 的新技术
- (4) ANTLR 4 几乎能处理**任何文法** (二义性文法✓ 间接左递归✗)

(1995      2011      2014)

## ANTLR: A Predicated- $LL(k)$ Parser Generator

T. J. PARR

*University of Minnesota, AHP CRC, 1100 Washington Ave S Ste 101, Minneapolis, MN 55415, U.S.A.  
(email: parrt@acm.org)*

AND

R. W. QUONG

*School of Electrical Engineering, Purdue University, W. Lafayette, IN 47907, U.S.A.  
(email: quong@ecn.purdue.edu)*

### **$LL(*)$ : The Foundation of the ANTLR Parser Generator**

Terence Parr

University of San Francisco  
parrt@cs.usfca.edu

Kathleen Fisher \*

Tufts University  
kfisher@eecs.tufts.edu

### **Adaptive $LL(*)$ Parsing: The Power of Dynamic Analysis**

Terence Parr

University of San Francisco  
parrt@cs.usfca.edu

Sam Harwell

University of Texas at Austin  
samharwell@utexas.edu

Kathleen Fisher

Tufts University  
kfisher@eecs.tufts.edu

courses-at-nju-by-hfwei/compilers-papers-we-love

ANTLR 4 是如何处理**直接左递归与优先级**的?

## parser-allstar/LRExpr.g4

```
stat : expr ';' EOF;
```

```
expr : expr '*' expr  
      | expr '+' expr  
      | INT  
      | ID  
      ;
```

```
antlr4 LRExpr -Xlog
```

2021-11-25 17:44:23:815 left-recursion LogManager.java:25 expr

```
: ( {} INT<tokenIndex=45>
  | ID<tokenIndex=51>
  )
(
  {precpred(_ctx, 4)}?<p=4> '*'<tokenIndex=27> expr<tokenIndex=29,p=5>
  | {precpred(_ctx, 3)}?<p=3> '+'<tokenIndex=37> expr<tokenIndex=39,p=4>
)*
;
```

stat : expr ';' EOF;

```
expr : expr '*' expr
      | expr '+' expr
      | INT
      | ID
      ;
;
```

```
expr[int _p]
: ( INT
  | ID
)
( {4 >= $_p}? '*' expr[5]
 | {3 >= $_p}? '+' expr[4]
)*
;
```

expr[int \_p]

stat : expr ';' EOF;

```
expr : expr '*' expr
      | expr '+' expr
      | INT
      | ID
;
```

## 对应于一段递归函数 expr(int \_p)

```
expr[int _p]
: ( INT
  | ID
  )
( {4 >= $_p}? '*' expr[5]
 | {3 >= $_p}? '+' expr[4]
)*
;
```

1 + 2 + 3

1 + 2 \* 3

1 \* 2 + 3

## 根本问题:

究竟是在 `expr` 的**当前调用**中匹配下一个运算符,

还是让 `expr` 的**调用者**匹配下一个运算符。

## parser-allstar/LRE ExprParen.g4

```
stat : expr ';' EOF;
expr : expr '*' expr
      | expr '+' expr
      | '(' expr ')'
      | INT
      | ID
      ;
expr[int _p]
: (
  '(' expr[0] ')'
  | INT
  | ID
  )
  ( {5 >= $_p}? '*' expr[6]
  | {4 >= $_p}? '+' expr[5]
  )*
```

## parser-allstar/LREExprUS.g4

```
stat : expr ';' EOF;
```

```
expr : '-' expr  
      | expr '!'  
      | expr '+' expr  
      | ID  
      ;
```

```

expr[int _p]
: (
    ID
    | '-' expr[4]
)
( {3 >= $_p}? '!'
| {2 >= $_p}? '+' expr[3]
)*
;

```

$$-a!! \quad -a + b!$$

```
stat : expr ';' EOF ;
expr : <assoc = right> expr '^' expr
      | expr '+' expr
      | INT
      ;
```

```
expr[int _p]
: ( INT )
( [3] >= $_p)? '^' expr[3]
| [2] >= $_p)? '+' expr[3]
)*
;
```

$$1^2^3 + 4$$

For *left-associative* operators, the right operand gets **one more** precedence level than the operator itself.

## Adaptive $LL(*)$ Parsing: The Power of Dynamic Analysis

Terence Parr

University of San Francisco  
parrt@cs.usfca.edu

Sam Harwell

University of Texas at Austin  
samharwell@utexas.edu

Kathleen Fisher

Tufts University  
kfisher@eecs.tufts.edu

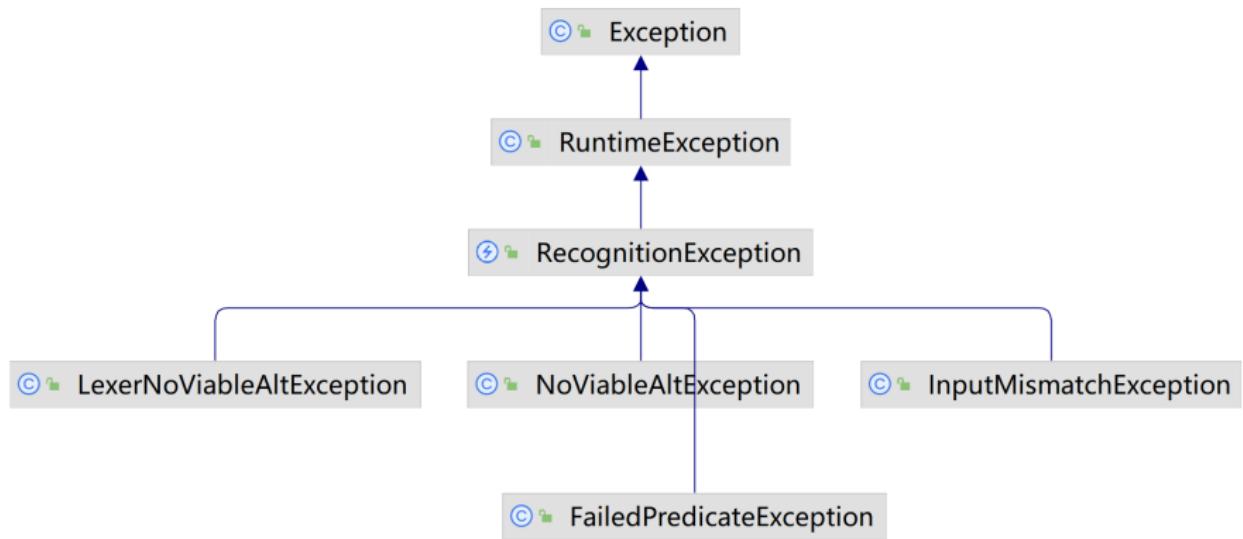
## Appendix C: Left-recursion Elimination

For *right-associative* operators, the right operand gets **the same** precedence level as the current operand.

# ANTLR 4 是如何进行**错误报告与恢复**的?



## 共四类词法、语法错误



NoViableAltException

InputMismatchException

Class.g4

## LexerNoViableAltException

Class.g4 start rule: prog

Input ror/Class-error-LexerNoViableAltException.txt

```
1 class # { int i; }
```

line 1:6 token recognition error at: '#'  
line 1:8 missing ID at '{'

Parse tree Hierarchy Profiler

```
graph TD; prog --> classDef; classDef --> CLASS; classDef --> ID["ID:<missing ID>"]; classDef --> LBRACE["LBRACE:{"]; classDef --> member1["member:1"]; member1 --> INTTYPE["INTTYPE:int"]; member1 --> IDi["ID:i"]; member1 --> SEMI["SEMI;"]
```

遇到未知字符，出现词法错误

## InputMismatchException

Class.g4 start rule: prog

Input ar/error/Class-error-InputMismatchException

```
1 class T ; { int i; }
```

line 1:8 extraneous input ';' expecting '{'

Parse tree Hierarchy Profiler

```
graph TD; prog --> classDef; classDef --> CLASS; classDef --> IDT[ID:T]; classDef --> SEMI1[SEMI;]; classDef --> LBRACE[LBRACE:{]; LBRACE --> INTTYPE[int]; LBRACE --> IDI[i]; LBRACE --> SEMI2[SEMI;];
```

输入流中的当前词法单元与当前规则所期望的词法单元不匹配

## NoViableAltException

Class.g4 start rule: prog

Input File ar/error/Class-error-NoViableAltException.txt

```
1 class T { int ; }
```

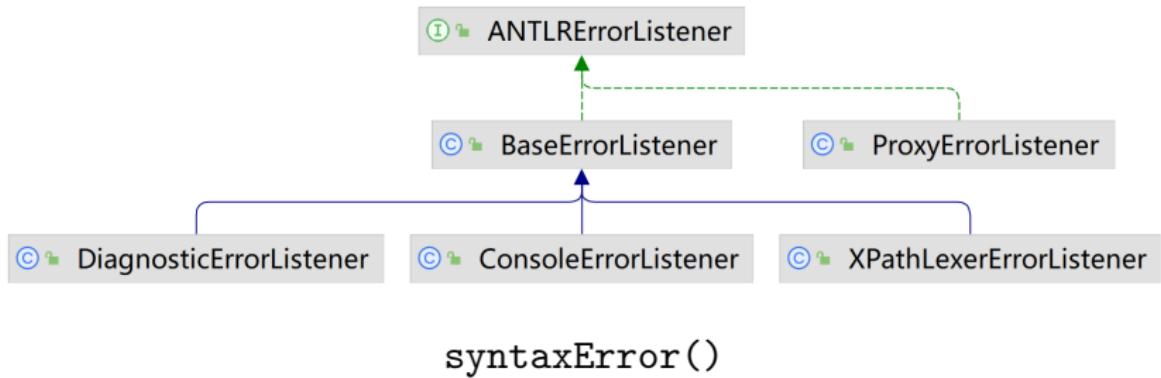
line 1:14 no viable alternative at input 'int';

Parse tree Hierarchy Profiler

```
graph TD; prog --> classDef; classDef --> CLASS; classDef --> IDT["ID:T"]; classDef --> LBRACE["LBRACE:{"]; classDef --> member1["member:1"]; member1 --> INTTYPE["INTTYPE:int"]; member1 --> SEMI["SEMI;"];
```

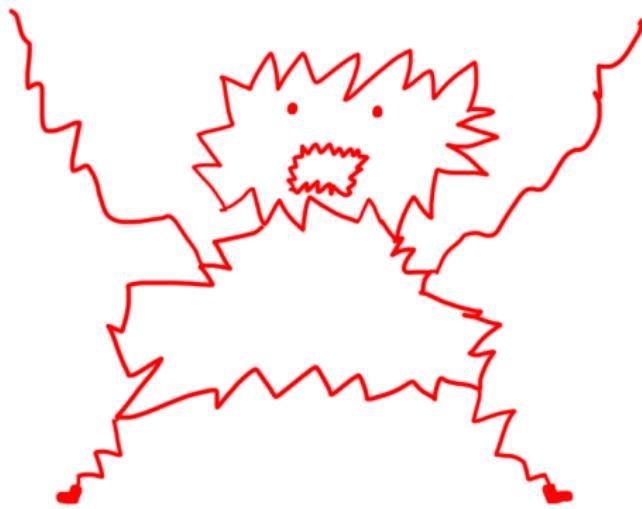
剩余输入不符合当前规则的任何一个备选分支

## 自定义错误报告消息





报错、**恢复**、继续分析



**恐慌/应急 (Panic) 模式:** 假装成功、调整状态、继续进行

## 四项基本原则:

- (1) 特殊情况, 特殊处理
- (2) 一般情况, 统一处理
- (3) 统一处理, 精细控制
- (4) 自定义错误处理策略

## (1) 特殊情况, 特殊处理

如果下一个词法单元符合预期,  
则采用“**单词法符号移除** (single-token deletion)”  
或“**单词法符号补全** (single-token insertion)”策略

## 单词法符号移除

Class.g4 start rule: prog

Input File antlr\parser\allstar\error\Class-DeleteToken.txt

```
1 class 9 T { int i; }
```

line 1:6 extraneous input '9' expecting ID

Parse tree Hierarchy Profiler

```
graph TD; prog --> classDef; classDef --> CLASS; classDef --> INT[INT:9]; classDef --> IDT[ID:T]; classDef --> LBRACE[LBRACE:{]; classDef --> member1["member:1"]; member1 --> INTTYPE[int]; member1 --> IDI[i]; member1 --> SEMI[SEMI:;]
```

## 单词法符号补全

Class.g4 start rule: prog

Input File antlr\parser\allstar\error\Class-DeleteToken.txt

```
1 class 9 T { int i; }
```

line 1:6 extraneous input '9' expecting ID

Parse tree Hierarchy Profiler

```
graph TD; prog --> classDef; classDef --> CLASS; classDef --> INT9[INT:9]; classDef --> IDT[ID:T]; classDef --> LBRACE; classDef --> member1["member:1"]; member1 --> INTTYPE[int]; member1 --> IDi[i]; member1 --> SEMI[SEMI:;]
```

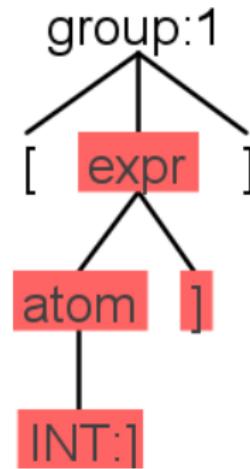
## (2) 一般情况, 统一处理

采用“**同步-返回 (sync-and-return)**”策略,  
使用“重新同步集合 (resynchronization set)”从**当前规则**中恢复

$\text{FOLLOWING}(\{\text{expr}, \text{atom}\}) = \{^, ]\}$

$\text{FOLLOWING}(\{\text{expr}\}) = []$

```
9 group : '[' expr ']'  
10   | '(' expr ')'  
11   ;  
12  
13 expr : atom '^' INT ;  
14  
15 atom : ID  
16   | INT  
17   ;
```



Group.g4

[]

注意 FOLLOW (静态) 集合与 FOLLOWING (动态) 集合的区别

### (3) 统一处理，精细控制

如何从子规则中优雅地恢复出来？

Class.g4 (`member+`)

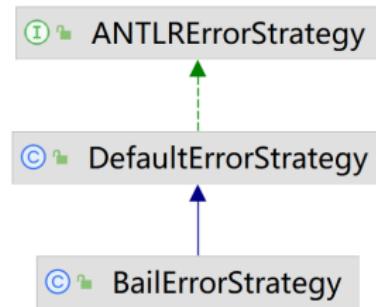
Class-Subrule-Start.txt (“单词法符号移除”）

Class-Subrule-Loop.txt (“另一次 `member` 迭代”）

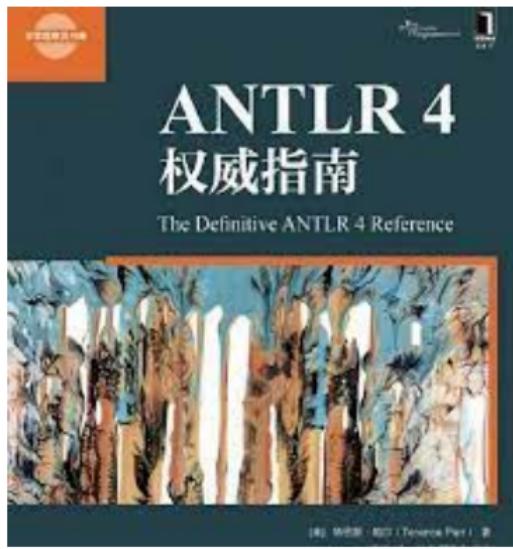
Class-Subrule-End.txt (“退出当前 `classDef` 规则”）

## (4) 自定义错误处理策略

比如, (已知语法正确) 关闭默认错误处理功能, 提高运行效率



比如, (出错代价太大) 在遇到第一个语法错误时, 就停止分析



## 第 9 章：错误报告与恢复



## Adaptive $LL(*)$ Parsing: The Power of Dynamic Analysis

Terence Parr

University of San Francisco  
parrt@cs.usfca.edu

Sam Harwell

University of Texas at Austin  
samharwell@utexas.edu

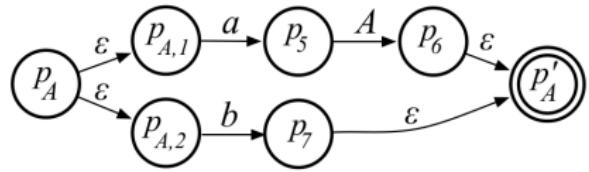
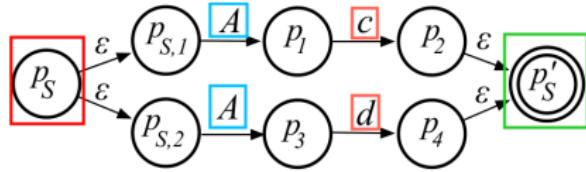
Kathleen Fisher

Tufts University  
kfisher@eecs.tufts.edu

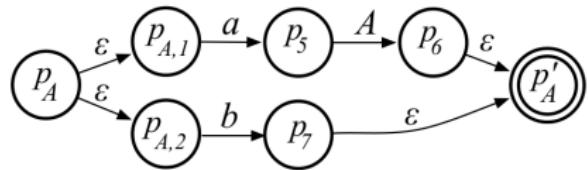
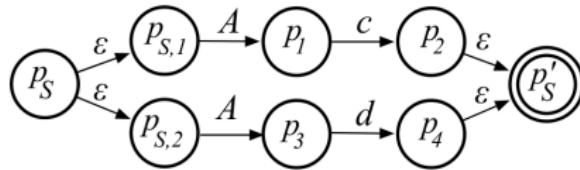
$$P = \{S \rightarrow Ac \mid Ad, \quad A \rightarrow aA \mid b\}$$

不是  $LL(1)$  文法，也不是  $LL(k)$  文法 ( $\forall k \geq 1$ )

$$P = \{S \rightarrow Ac \mid Ad, \quad A \rightarrow aA \mid b\}$$



ATN: Augmented Transition Network



**Incrementally and dynamically** build up a **lookahead DFA** that **map lookahead phrases to predicated productions**.

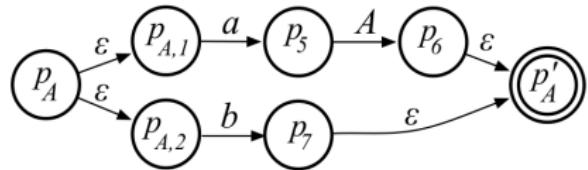
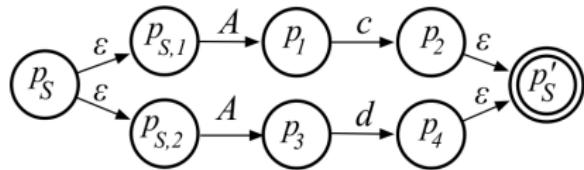
$D_0$   $(\mathbf{p}_{\mathbf{S},1}, \mathbf{1}, []), (p_A, 1, p_1), (p_{A,1}, 1, p_1), (p_{A,2}, 1, p_1)$   
 $(\mathbf{p}_{\mathbf{S},2}, \mathbf{2}, []), (p_A, 2, p_3), (p_{A,1}, 2, p_3), (p_{A,2}, 2, p_3)$

$b$   
 $D'$   $(\mathbf{p}_7, \mathbf{1}, p_1), (p'_A, 1, p_1), (p_1, 1, [])$   
 $(\mathbf{p}_7, \mathbf{2}, p_3), (p'_A, 2, p_3), (p_3, 2, [])$

$c$   $f_1$   $(\mathbf{p}_2, \mathbf{1}, []), (p'_S, 1, [])$     $d$   $f_2$   $(\mathbf{p}_4, \mathbf{2}, []), (p'_S, 2, [])$

Upon  $bc$  and then  $bd$

$$P = \{S \rightarrow Ac \mid Ad, \quad A \rightarrow aA \mid b\}$$



- ▶ Launch subparsers at a decision point, one per alternative productions.
- ▶ These subparsers run in pseudo-parallel to explore all possible paths.
- ▶ Subparsers die off as their paths fail to match the remaining input.
- ▶ Ambiguity: Multiple subparsers coalesce together or reach EOF.
- ▶ Resolution: The first production associated with a surviving subparser.

$$P = \{S \rightarrow Ac \mid Ad, \quad A \rightarrow aA \mid b\}$$



$D_0$

$(\mathbf{p}_{S,1}, \mathbf{1}, []), (p_A, 1, p_1), (p_{A,1}, 1, p_1), (p_{A,2}, 1, p_1)$
$(\mathbf{p}_{S,2}, \mathbf{2}, []), (p_A, 2, p_3), (p_{A,1}, 2, p_3), (p_{A,2}, 2, p_3)$

b

$D'$

$(\mathbf{p}_7, \mathbf{1}, \mathbf{p}_1), (p'_A, 1, p_1), (p_1, 1, [])$
$(\mathbf{p}_7, \mathbf{2}, \mathbf{p}_3), (p'_A, 2, p_3), (p_3, 2, [])$

c

d

$f_1$   $(\mathbf{p}_2, \mathbf{1}, []), (p'_S, 1, [])$      $(\mathbf{p}_4, \mathbf{2}, []), (p'_S, 2, [])$   $f_2$

Upon bc and then bd

**Move** on terminals and **Closure** over  $\epsilon$  and non-terminals

# Adaptive $LL(*)$ Parsing: The Power of Dynamic Analysis

Terence Parr

University of San Francisco  
parrt@cs.usfca.edu

Sam Harwell

University of Texas at Austin  
samharwell@utexas.edu

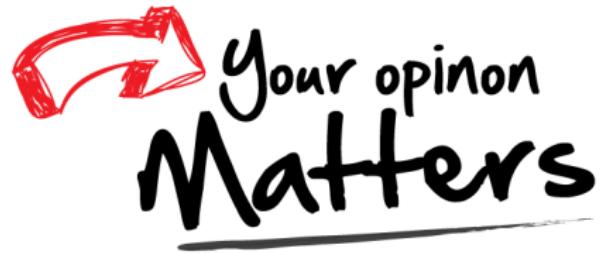
Kathleen Fisher

Tufts University  
kfisher@eecs.tufts.edu

paper @ compilers-papers-we-love



# Thank You!



Office 926

hfwei@nju.edu.cn