

# Gradual Typing from a Categorical Perspective

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**Abstract**

TODO

## 1 Introduction

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## 2 Categorical Model

**Definition 1.** Suppose  $\mathcal{C}$  is a category. Then an object  $A$  is a **retract** of an object  $B$  if there are morphisms  $i : A \rightarrow B$  and  $r : B \rightarrow A$  such that the following diagram commutes:

$$\begin{array}{ccc} A & \xrightarrow{i} & B \\ & \searrow & \downarrow r \\ & & A \end{array}$$

**Definition 2.** An **untyped  $\lambda$ -model**,  $(\mathcal{C}, ?, \text{split}, \text{squash})$ , is a cartesian closed category  $\mathcal{C}$  with a distinguished object  $?$  and two morphisms  $\text{squash} : (? \rightarrow ?) \rightarrow ?$  and  $\text{split} : ? \rightarrow (? \rightarrow ?)$  making the object  $? \rightarrow ?$  a retract of  $?$ .

**Theorem 3** (Scott [1980]). An untyped  $\lambda$ -model is a sound and complete model of the untyped  $\lambda$ -calculus.

**Definition 4.** An object  $\mathbb{N}$  of a category  $\mathcal{C}$  with a terminal object  $1$  is a **natural number object (NNO)** if and only if there are morphisms  $z : 1 \rightarrow \mathbb{N}$  and  $s : \mathbb{N} \rightarrow \mathbb{N}$  such that for any other object  $A$  and morphisms  $z' : 1 \rightarrow A$  and  $s' : A \rightarrow A$  there is a unique morphism  $u : \mathbb{N} \rightarrow A$  making the following diagram commute:

$$\begin{array}{ccccc} 1 & \xrightarrow{z} & \mathbb{N} & \xrightarrow{s} & \mathbb{N} \\ & \searrow z' & \downarrow u & & \downarrow u \\ & & A & \xrightarrow{s'} & A \end{array}$$

**Definition 5.** A *gradual  $\lambda$ -model*,  $(\mathcal{T}, \mathcal{C}, ?, \top, \text{split}, \text{squash}, \text{box}, \text{unbox})$ , where  $\mathcal{T}$  and  $\mathcal{C}$  are cartesian closed categories with NNOS,  $(\mathcal{C}, ?, \text{split}, \text{squash})$  is an untyped  $\lambda$ -model,  $\top : \mathcal{T} \longrightarrow \mathcal{C}$  is a cartesian closed embedding – a full and faithful cartesian closed functor that is injective on objects and preserves the NNO – and for every object,  $A$ , of  $\mathcal{T}$  there are morphisms  $\text{box}_A : TA \longrightarrow ?$  and  $\text{unbox}_A : ? \longrightarrow TA$  making  $TA$  a retract of  $?$ .

### 3 Grady

### References

Dana Scott. Relating theories of the lambda-calculus. In *To H.B. Curry: Essays on Combinatory Logic, Lambda-Calculus and Formalism* (eds. Hindley and Seldin), pages 403–450. Academic Press, 1980.

## A The Complete Spec of Grady

<i>term</i> var, $x, z$		
<i>index</i> , $k$		
$t$	::=	term
	$x$	variable
	triv	unit
	squash	injection of the retract
	split	surjection of the retract
	box $t$	generalize to the untyped universe
	unbox <sub><math>T</math></sub>	specialize the untyped universe to a specific type
	$\lambda x : A. t$	$\lambda$ -abstraction
	$t_1 t_2$	function application
	$(t_1, t_2)$	pair constructor
	fst $t$	first projection
	snd $t$	second projection
	succ $t$	successor function
	0	zero
	$(t)$	S
$h$	::=	head-normal forms
	triv	
	split	
	squash	
	box $t$	
	unbox <sub><math>T</math></sub>	
	$\lambda x : A. t$	

	$(t_1, t_2)$
	$\text{fst } t$
	$\text{snd } t$
	$\text{succ } t$
	$0$

$T$	$::=$	terminating types
	$1$	unit type
	$\mathbb{N}$	natural number type
	$T_1 \rightarrow T_2$	function type
	$T_1 \times T_2$	cartesian product type
	$(T)$	S

$A$	$::=$	type
	$1$	unit type
	$\mathbb{N}$	natural number type
	$?$	untyped universe
	$A_1 \rightarrow A_2$	function type
	$T_1 \times T_2$	cartesian product type
	$(A)$	S

$\Gamma$	$::=$	typing context
	$\cdot$	empty context
	$\Gamma, x : A$	cons

$vd$	$::=$	
	$\vdash$	
	$\nVdash$	

$\boxed{\Gamma vdt : A}$      $t$  has type  $A$  in context  $\Gamma$

$$\frac{x : A \in \Gamma}{\Gamma \vdash x : A} \quad \text{VAR}$$

$$\frac{\Gamma \vdash t : T}{\Gamma \vdash \text{box } t : ?} \quad \text{BOX}$$

$$\overline{\Gamma \vdash \text{unbox}_T : ? \rightarrow T} \quad \text{UNBOX}$$

$$\overline{\Gamma \vdash \text{squash} : (? \rightarrow ?) \rightarrow ?} \quad \text{INJ}$$

$$\overline{\Gamma \vdash \text{split} : ? \rightarrow (? \rightarrow ?)} \quad \text{SURJ}$$

$$\overline{\Gamma \vdash \text{triv} : 1} \quad \text{UNIT}$$

$$\overline{\Gamma \vdash 0 : \mathbb{N}} \quad \text{ZERO}$$

$$\begin{array}{c}
\frac{\Gamma \vdash t : \mathbb{N}}{\Gamma \vdash \text{succ } t : \mathbb{N}} \quad \text{SUCC} \\
\\
\frac{\Gamma \vdash t_1 : T_1 \quad \Gamma \vdash t_2 : T_2}{\Gamma \vdash (t_1, t_2) : T_1 \times T_2} \quad \text{PAIR} \\
\\
\frac{\Gamma \vdash t : T_1 \times T_2}{\Gamma \vdash \text{fst } t : T_1} \quad \text{FST} \\
\\
\frac{\Gamma \vdash t : T_1 \times T_2}{\Gamma \vdash \text{snd } t : T_2} \quad \text{SND} \\
\\
\frac{\Gamma, x : A_1 \vdash t : A_2}{\Gamma \vdash \lambda x : A_1. t : A_1 \rightarrow A_2} \quad \text{LAM} \\
\\
\frac{\Gamma \vdash t_1 : A_1 \rightarrow A_2 \quad \Gamma \vdash t_2 : A_1}{\Gamma \vdash t_1 t_2 : A_2} \quad \text{APP} \\
\\
\boxed{\Gamma \vdash t_1 \rightsquigarrow t_2 : A} \quad t_1 \text{ reduces to } t_2 \text{ with type } A \text{ in context } \Gamma \\
\\
\frac{\Gamma \vdash t : T}{\Gamma \vdash \text{unbox}_T (\text{box } t) \rightsquigarrow t : T} \quad \text{RD\_RETRACT} \\
\\
\frac{\Gamma \not\vdash t : T}{\Gamma \vdash \text{unbox}_T (\text{box } t) \rightsquigarrow \text{wrong} : \text{TypeError}} \quad \text{RD\_TWRONG} \\
\\
\frac{h \neq \text{box } t}{\Gamma \vdash \text{unbox}_T h \rightsquigarrow \text{wrong} : \text{TypeError}} \quad \text{RD\_HWRONG} \\
\\
\frac{\Gamma \vdash t \rightsquigarrow t' : ?}{\Gamma \vdash \text{unbox}_T t \rightsquigarrow \text{unbox}_T t' : T} \quad \text{RD\_UNBOX} \\
\\
\frac{\Gamma \vdash t : ? \rightarrow ?}{\Gamma \vdash \text{split } (\text{squash } t) \rightsquigarrow t : ? \rightarrow ?} \quad \text{RD\_RETRACTU} \\
\\
\frac{\Gamma \vdash t \rightsquigarrow t' : ?}{\Gamma \vdash \text{split } t \rightsquigarrow \text{split } t' : ? \rightarrow ?} \quad \text{RD\_SPLIT} \\
\\
\frac{\Gamma \vdash t \rightsquigarrow t' : ? \rightarrow ?}{\Gamma \vdash \text{squash } t \rightsquigarrow \text{squash } t' : ?} \quad \text{RD\_SPUASH} \\
\\
\frac{\Gamma \vdash t : A_1 \rightarrow A_2 \quad x \notin \text{FV}(t)}{\Gamma \vdash \lambda x : A_1. t x \rightsquigarrow t : A_1 \rightarrow A_2} \quad \text{RD\_ETA} \\
\\
\frac{\Gamma, x : A_1 \vdash t_2 : A_2 \quad \Gamma \vdash t_1 : A_1}{\Gamma \vdash (\lambda x : A_1. t_2) t_1 \rightsquigarrow [t_1/x] t_2 : A_2} \quad \text{RD\_BETA} \\
\\
\frac{\Gamma \vdash t_1 : T_1 \quad \Gamma \vdash t_2 : T_2}{\Gamma \vdash \text{fst } (t_1, t_2) \rightsquigarrow t_1 : T_1} \quad \text{RD\_PROJ1} \\
\\
\frac{\Gamma \vdash t_1 : T_1 \quad \Gamma \vdash t_2 : T_2}{\Gamma \vdash \text{snd } (t_1, t_2) \rightsquigarrow t_2 : T_2} \quad \text{RD\_PROJ2}
\end{array}$$

$$\begin{array}{c}
\frac{\Gamma \vdash t : T_1 \times T_2}{\Gamma \vdash (\mathbf{fst} \, t, \mathbf{snd} \, t) \rightsquigarrow t : T_1 \times T_2} \quad \text{RD\_ETAP} \\
\\
\frac{\Gamma, x : A_1 \vdash t \rightsquigarrow t' : A_2}{\Gamma \vdash \lambda x : A_1. t \rightsquigarrow \lambda x : A_1. t' : A_1 \rightarrow A_2} \quad \text{RD\_LAM} \\
\\
\frac{\Gamma \vdash t_1 \rightsquigarrow t'_1 : A_1 \rightarrow A_2 \quad \Gamma \vdash t_2 : A_1}{\Gamma \vdash t_1 \, t_2 \rightsquigarrow t'_1 \, t_2 : A_2} \quad \text{RD\_APP1} \\
\\
\frac{\Gamma \vdash t_1 : A_1 \rightarrow A_2 \quad \Gamma \vdash t_2 \rightsquigarrow t'_2 : A_1}{\Gamma \vdash t_1 \, t_2 \rightsquigarrow t_1 \, t'_2 : A_2} \quad \text{RD\_APP2} \\
\\
\frac{\Gamma \vdash t \rightsquigarrow t' : T_1 \times T_2}{\Gamma \vdash \mathbf{fst} \, t \rightsquigarrow \mathbf{fst} \, t' : T_1} \quad \text{RD\_FST} \\
\\
\frac{\Gamma \vdash t \rightsquigarrow t' : T_1 \times T_2}{\Gamma \vdash \mathbf{snd} \, t \rightsquigarrow \mathbf{snd} \, t' : T_2} \quad \text{RD\_SND} \\
\\
\frac{\Gamma \vdash t_1 \rightsquigarrow t'_1 : T_1 \quad \Gamma \vdash t_2 : T_2}{\Gamma \vdash (t_1, t_2) \rightsquigarrow (t'_1, t_2) : T_1 \times T_2} \quad \text{RD\_PAIR1} \\
\\
\frac{\Gamma \vdash t_1 : T_1 \quad \Gamma \vdash t_2 \rightsquigarrow t'_2 : T_2}{\Gamma \vdash (t_1, t_2) \rightsquigarrow (t_1, t'_2) : T_1 \times T_2} \quad \text{RD\_PAIR2}
\end{array}$$