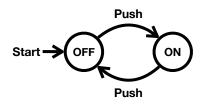
# Lecture 1 – Mathematical Preliminaries COSE215: Theory of Computation

Jihyeok Park



2023 Spring





#### Theorem

The current state is OFF if and only if the button is pushed even times.

• Is it possible to prove it?

Let's learn mathematical background and notation.

#### Contents



#### 1. Mathematical Notations

Notations in Logics Notations in Set Theory

#### 2. Inductive Proofs

Inductions on Integers Structural Inductions Mutual Inductions

#### 3. Notations in Languages

Symbols & Words Words Languages

#### Contents



# Mathematical Notations Notations in Logics Notations in Set Theory

# Inductive Proofs Inductions on Integers Structural Inductions Mutual Inductions

# Notations in Languages Symbols & Words Words Languages

# Notations in Logics



Notation	Description
A, B	arbitrary <b>statements</b> .
P(x)	a <b>predicate</b> that involves a <b>variable</b> $x$ .
$A \wedge B$	the <b>conjunction</b> of $A$ and $B$ . (i.e., $A$ and $B$ ).
$A \lor B$	the <b>disjunction</b> of $A$ and $B$ . (i.e., $A$ or $B$ ).
$\neg A$	the <b>negation</b> of A.

# Notations in Logics



Notation	Description	
$A \Rightarrow B$	the implication of A and B	
	(i.e., if <i>A</i> then <i>B</i> ).	
$A \Leftrightarrow B$	A if and only if (iff) B (i.e., $A \Rightarrow B \land B \Rightarrow A$ ).	
$\forall x \in X. P(x)$	the universal quantifier	
	(i.e, for all $x$ in $X$ , $P(x)$ holds).	
	, , ,	
$\exists x \in X. \ P(x)$	the existential quantifier	
	(i.e., there exists $x$ in $X$ such that $P(x)$ holds).	
	(, there exists x x such that r (x) holds).	

# Notations in Set Theory



- A set is a collection of elements, e.g.,
  - $\mathbb{N} = \{0, 1, 2, \cdots\}$
  - $\{x \in \mathbb{N} \mid x \text{ is even}\} = \{0, 2, 4, 6, 8, 10, 12, \cdots\}$
  - $\{x \in \mathbb{N} \mid x^2\} = \{0, 1, 4, 9, 16, 25, 36, \cdots\}$
- The empty set is denoted by Ø.
- The **cardinality** of a set X is denoted by |X|.
- A subset X of a set Y is denoted by  $X \subseteq Y$ .

$$X \subseteq Y \iff \forall x \in X. \ x \in Y$$

• A **proper subset** X of a set Y is denoted by  $X \subset Y$ .

$$X \subset Y \iff X \subseteq Y \land X \neq Y$$

# Notations in Set Theory



• The union of sets

$$X \cup Y = \{x \mid x \in X \lor x \in Y\}$$
  
$$\bigcup \mathcal{C} = X_1 \cup X_2 \cup \cdots \cup X_n = \{x \mid \exists X \in \mathcal{C}. \ x \in X\}$$

where 
$$C = \{X_1, X_2, \cdots, X_n\}$$
.

• The intersection of sets

$$X \cap Y = \{x \mid x \in X \land x \in Y\}$$
  
 
$$\bigcap \mathcal{C} = X_1 \cap X_2 \cap \dots \cap X_n = \{x \mid \forall X \in \mathcal{C}. \ x \in X\}$$

where 
$$C = \{X_1, X_2, \cdots, X_n\}$$
.

• The difference of sets

$$X \setminus Y = \{x \mid x \in X \land x \notin Y\}$$

# Notations in Set Theory



• The **complement** of a set X is denoted by  $\overline{X}$ .

$$\overline{X} = \{ x \mid x \in U \land x \notin X \}$$

where U is the universal set.

• The **power set** of a set X is denoted by  $2^X$  or  $\mathcal{P}(X)$ .

$$2^X = \mathcal{P}(X) = \{Y \mid Y \subseteq X\}$$

• The Cartesian product of sets X and Y is denoted by  $X \times Y$ .

$$X \times Y = \{(x, y) \mid x \in X \land y \in Y\}$$

#### Contents



# Mathematical Notations Notations in Logics Notations in Set Theory

# 2. Inductive Proofs Inductions on Integers Structural Inductions Mutual Inductions

Notations in Languages
 Symbols & Words
 Words
 Languages

# Inductions on Integers



# Definition (Inductions on Integers)

Let P(n) be a predicate on integers, and if

- (Basis Case) P(k) holds where k is an integer, and
- (Induction Case) for all  $n \ge k$ ,  $P(n) \Rightarrow P(n+1)$ ,

then P(i) holds for all  $i \geq k$ .

P(n) is called **induction hypothesis**.

# Inductions on Integers



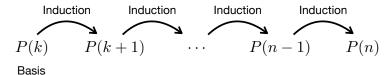
# Definition (Inductions on Integers)

Let P(n) be a predicate on integers, and if

- (Basis Case) P(k) holds where k is an integer, and
- (Induction Case) for all  $n \ge k$ ,  $P(n) \Rightarrow P(n+1)$ ,

then P(i) holds for all  $i \geq k$ .

P(n) is called **induction hypothesis**.





# Example

Prove that 
$$\forall n \geq 0$$
.  $\sum_{i=0}^{n} i = \frac{n(n+1)}{2}$ .



## Example

Prove that 
$$\forall n \geq 0$$
.  $\sum_{i=0}^{n} i = \frac{n(n+1)}{2}$ .

- (Basis Case): 0 = 0(0+1)/2
- (Induction Case): Assume that it holds for n (I.H.). Then,

$$\sum_{i=0}^{n+1} i = (n+1) + \sum_{i=0}^{n} i$$

$$= (n+1) + \frac{n(n+1)}{2} \qquad (\because I.H.)$$

$$= \frac{(n+1)(n+2)}{2} \qquad \Box$$



# Example

Prove that 
$$\forall n \geq 0$$
.  $\sum_{i=0}^{n} i^2 = \frac{n(n+1)(2n+1)}{6}$ .



## Example

Prove that 
$$\forall n \geq 0$$
.  $\sum_{i=0}^{n} i^2 = \frac{n(n+1)(2n+1)}{6}$ .

- (Basis Case):  $0^2 = 0(0+1)(2*0+1)/6$
- (Induction Case): Assume that it holds for n (I.H.). Then,

$$\sum_{i=0}^{n+1} i^2 = (n+1)^2 + \sum_{i=0}^{n} i^2$$

$$= (n+1)^2 + \frac{n(n+1)(2n+1)}{6} \qquad (\because I.H.)$$

$$= \frac{(n+1)(n+2)(2(n+1)+1)}{6} \quad \Box$$

#### Structural Inductions – Inductive Definitions

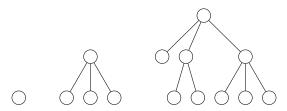


In CS, we often define somethings as **inductively-defined sets**. For example, we can define **trees** as follows:

# Example (Inductive Definition of Trees)

A tree is defined as follows:

- (Basis Case) A single node N is a tree.
- (Induction Case) If  $T_1, \dots, T_n$  are trees, then a graph defined with a new node N and edges from N to  $T_1, \dots, T_n$  is a tree as well.



#### Structural Inductions – Inductive Definitions



Another example is a set of arithmetic expressions:

# Example (Inductive Definition of Arithmetic Expressions)

An arithmetic expression is defined as follows:

- (Basis Case) A number or a variable is an arithmetic expression.
- (Induction Case) If E and F are arithmetic expressions, then so are E+F, E\*F, and (E).

42	x	x + y
42 * x	(x)	(x * y) * z
(2 + x) * y	x * (x * y)	((((x))))

#### Structural Inductions



#### Definition (Structural Inductions)

Let P(x) be a predicate on a inductively-defined set X, and if

- (Basis Case)  $P(b_1), \dots, P(b_k)$  hold for all basis cases  $b_1, \dots, b_k$ .
- (Induction Case) for all  $x \in X$ ,

$$P(x_1) \wedge \cdots \wedge P(x_n) \Rightarrow P(x)$$

where  $x_1, \dots, x_n$  are the sub-structures of x.

then P(x) holds for all  $x \in X$ .

 $P(x_1), \dots, P(x_n)$  are called **induction hypotheses**.



#### Definition (Structural Inductions)

Let P(x) be a predicate on a **inductively-defined set** X, and if

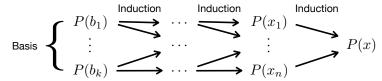
- (Basis Case)  $P(b_1), \dots, P(b_k)$  hold for all basis cases  $b_1, \dots, b_k$ .
- (Induction Case) for all  $x \in X$ ,

$$P(x_1) \wedge \cdots \wedge P(x_n) \Rightarrow P(x)$$

where  $x_1, \dots, x_n$  are the sub-structures of x.

then P(x) holds for all  $x \in X$ .

 $P(x_1), \dots, P(x_n)$  are called induction hypotheses.





#### Example

Prove that for all tree T, the number of nodes in T is equal to the number of edges in T plus one.



#### Example

Prove that for all tree T, the number of nodes in T is equal to the number of edges in T plus one.

**Proof)** Let N(T) be the number of node and E(T) be the number of edges in T. Let's prove  $\forall T$ . N(T) = E(T) + 1.

- (Basis Case): N(T) = 1 and E(T) = 0.
- (Induction Case): Assume that it holds for  $T_1, \dots, T_n$  (I.H.). Then,

$$N(T) = 1 + \sum_{i=1}^{n} N(T_i)$$
  
=  $1 + \sum_{i=1}^{n} (E(T_i) + 1)$  (:: I.H.)  
=  $1 + n + \sum_{i=1}^{n} E(T_i)$   
=  $1 + E(T)$   $\square$ 



# Example

Prove that for all arithmetic expression E, the number of left parentheses in E is equal to the number of right parentheses in E.



#### Example

Prove that for all arithmetic expression E, the number of left parentheses in E is equal to the number of right parentheses in E.

**Proof)** Let L(T) be the number of left parentheses and R(T) be the number of right parentheses in E. Let's prove  $\forall E$ . L(E) = R(E).

- (Basis Case): L(E) = R(E) = 0 for numbers and variables.
- (Induction Case): Assume that it holds for E and F (I.H.). Then,

$$L(E+F) = L(E) + L(F) = R(E) + R(F) \qquad (\because I.H.)$$

$$= R(E+F) \qquad \Box$$

$$L(E*F) = L(E) + L(F) = R(E) + R(F) \qquad (\because I.H.)$$

$$= R(E*F) \qquad \Box$$

$$L((E)) = L(E) + 1 = R(E) + 1 \qquad (\because I.H.)$$

$$= R((E)) \qquad \Box$$

#### Mutual Inductions



## Definition (Mutual Inductions)

Let P(x) and Q(x) are predicates on integers, and if

- (Basis Case) P(k) and Q(k) hold where k is an integer, and
- (Induction Case) for all  $n \in k$ ,

$$P(n) \wedge Q(n) \Rightarrow P(n+1) \wedge Q(n+1)$$

then P(i) and Q(i) hold for all  $i \geq k$ .

P(n) and Q(n) are called **induction hypotheses**.

#### Mutual Inductions



#### Definition (Mutual Inductions)

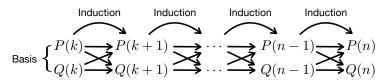
Let P(x) and Q(x) are predicates on integers, and if

- (Basis Case) P(k) and Q(k) hold where k is an integer, and
- (Induction Case) for all  $n \in k$ ,

$$P(n) \wedge Q(n) \Rightarrow P(n+1) \wedge Q(n+1)$$

then P(i) and Q(i) hold for all  $i \geq k$ .

P(n) and Q(n) are called induction hypotheses.





#### Theorem

The current state is OFF if and only if the button is pushed even times.



#### Theorem

The current state is OFF if and only if the button is pushed **even** times, and the current state is ON if and only if the button is pushed **odd** times.



#### Theorem

The current state is OFF if and only if the button is pushed even times, and the current state is ON if and only if the button is pushed odd times.

**Proof)** Let S(i) be the current state after i times of pushing. Let's prove

$$\forall i. \ S(i) = \mathsf{OFF} \iff i \equiv 0 \ (\mathsf{mod} \ 2) \tag{P}$$

$$\forall i. \ S(i) = \mathsf{ON} \iff i \equiv 1 \ (\mathsf{mod} \ 2) \tag{Q}$$

- (Basis Case):  $S(0) = \mathsf{OFF} \wedge 0 \equiv 0 \pmod{2}$ 
  - $(P, \Rightarrow)$ :  $0 \equiv 0 \pmod{2} \implies S(0) = OFF \Rightarrow 0 \equiv 0 \pmod{2}$
  - $(P, \Leftarrow)$ :  $S(0) = \mathsf{OFF} \implies S(0) = \mathsf{OFF} \Leftarrow 0 \equiv 0 \pmod{2}$
  - $(Q, \Rightarrow)$ :  $\neg (S(0) = ON) \implies S(0) = ON \Rightarrow 0 \equiv 1 \pmod{2}$
  - $(Q, \Leftarrow)$ :  $\neg (0 \equiv 1 \pmod{2})$   $\Longrightarrow$   $S(0) = ON \Leftarrow 0 \equiv 1 \pmod{2}$



• (Induction Case): Assume that it holds for n (I.H.):

$$S(n) = \mathsf{OFF} \iff n \equiv 0 \pmod{2}$$
  $(P - I.H.)$   
 $S(n) = \mathsf{ON} \iff n \equiv 1 \pmod{2}$   $(Q - I.H.)$ 

• (*P*, ⇔):

$$S(n+1) = \mathsf{OFF} \iff S(n) = \mathsf{ON}$$
  
 $\iff n \equiv 1 \pmod{2} \quad (\because Q - I.H.)$   
 $\iff n+1 \equiv 0 \pmod{2}$ 

• (Q, ⇔):

$$S(n+1) = ON \iff S(n) = OFF$$
  
 $\iff n \equiv 0 \pmod{2} \pmod{2}$   
 $\iff n+1 \equiv 1 \pmod{2}$ 

#### Contents



Mathematical Notations
 Notations in Logics
 Notations in Set Theory

Inductive Proofs
 Inductions on Integers
 Structural Inductions
 Mutual Inductions

Notations in Languages
 Symbols & Words
 Words
 Languages

# Symbols & Words



- We first define a finite and non-empty set of symbols.
- A word  $w \in \Sigma^*$  is a sequence of symbols.
  - $\Sigma = \{0, 1\}$  binary symbols.

$$\epsilon,0,1,00,01,10010,\dots \in \Sigma^*$$

•  $\Sigma = \{a, b, \cdots, z\}$  – lowercase letters.

$$\epsilon$$
, a, b, abc, hello, cs, students,  $\cdots \in \Sigma^*$ 

•  $\Sigma = \{a \mid a \text{ is an Unicode character}\}$  – Unicode characters.

$$\epsilon$$
, 안녕하세요, こんにちは,  $\bigstar lacktriangle lackt$ 

# Words



Notation	Description
$\epsilon$	the empty word.
$w_1w_2$	the <b>concatenation</b> of $w_1$ and $w_2$ .
	$(w_1 \text{ is a prefix of } w_1w_2 \text{ and } w_2 \text{ is a suffix of } w_1w_2)$
$w^R$	the <b>reverse</b> of w.
w	the <b>length</b> of w.
$\Sigma^k$	the set of all words of length $k$ .
Σ*	the set of all words (the Kleene star).
	(i.e., $\Sigma^* = \Sigma^0 \cup \Sigma^1 \cup \dots = \bigcup_{k=0} \Sigma^k$ )
$\Sigma^+$	the set of all words except $\epsilon$ (the Kleene plus).
	(i.e., $\Sigma^+ = \Sigma^1 \cup \Sigma^2 \cup \cdots = \bigcup_{k=1} \Sigma^k$ )

#### Languages



A language  $L \subseteq \Sigma^*$  is a set of words. When  $\Sigma = \{0, 1\}$ , we can define the following languages:

•  $L = \{\epsilon, 0, 1\}$  – the empty word, zero, and one.

•  $L = \{\epsilon, 0, 1, 00, 01, 10, 11, 000, \dots\}$  – all binary words.

•  $L = \{0^n 1^n \mid n \ge 0\}$  – equal number of consecutive zeros and ones.

•  $L = \{10, 11, 101, 111, 1011, \dots\} - ???$ 

# Languages – Operations



• The union, intersection, and difference of languages:

$$L_1 \cup L_2$$
  $L_1 \cap L_2$   $L_1 \setminus L_2$ 

• The **reverse** of a language:

$$L^R = \{ w^R \mid w \in L \}$$

• The complement of a language:

$$\overline{L} = \Sigma^* \setminus L$$

The concatenation of languages:

$$L_1L_2 = \{w_1w_2 \mid w_1 \in L_1 \land w_2 \in L_2\}$$

# Languages – Operations



• The **power** of a language:

$$L^{0} = \{\epsilon\}$$
  

$$L^{n} = L^{n-1}L \qquad (n \ge 1)$$

• The Kleene star of a language:

$$L^* = L^0 \cup L^1 \cup L^2 \cup \dots = \bigcup_{n \ge 0} L^n$$

The Kleene plus of a language:

$$L^+ = L^1 \cup L^2 \cup L^3 \cup \dots = \bigcup_{n \ge 1} L^n$$

# Summary



#### 1. Mathematical Notations

Notations in Logics Notations in Set Theory

#### 2. Inductive Proofs

Inductions on Integers Structural Inductions Mutual Inductions

#### 3. Notations in Languages

Symbols & Words Words Languages

#### Next Lecture



Basic Introduction of Scala

Jihyeok Park
jihyeok\_park@korea.ac.kr
https://plrg.korea.ac.kr