

# Learning Solutions to the Schrödinger equation with Neural-Network Quantum States

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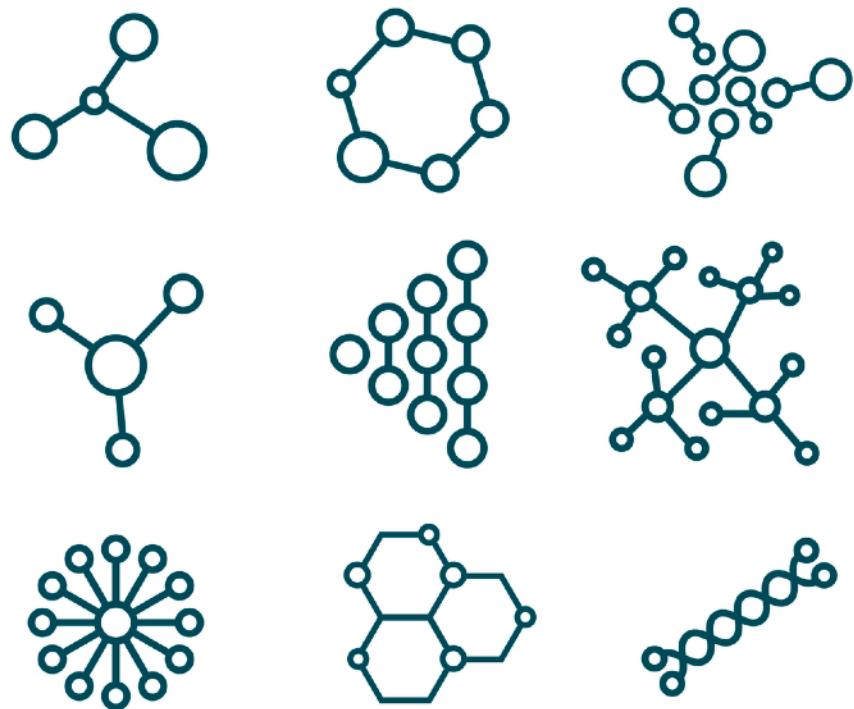
Computational Quantum Science Lab.



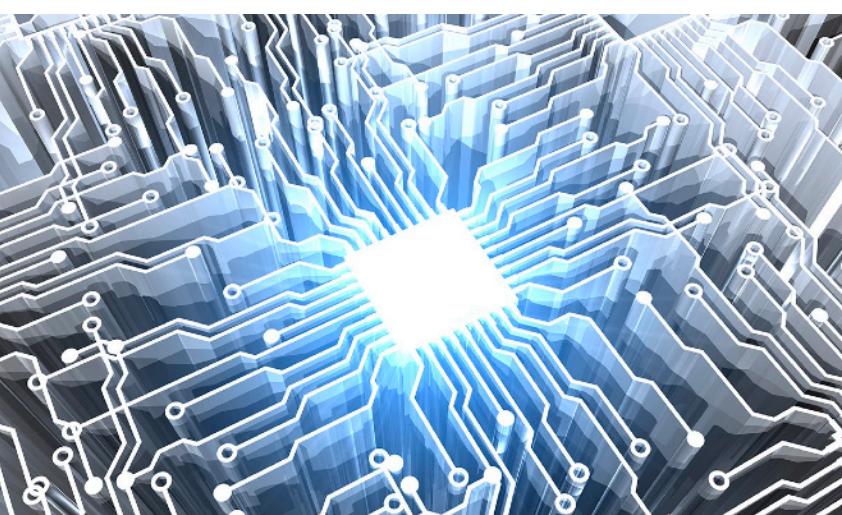
O1.

# The Quantum Many-Body Problem.

# O1.1 - Interacting Quantum Matter



E.g.  
Interacting Particles in  
Chemistry, Material  
Science, Atomic Physics,  
Nuclear Physics...

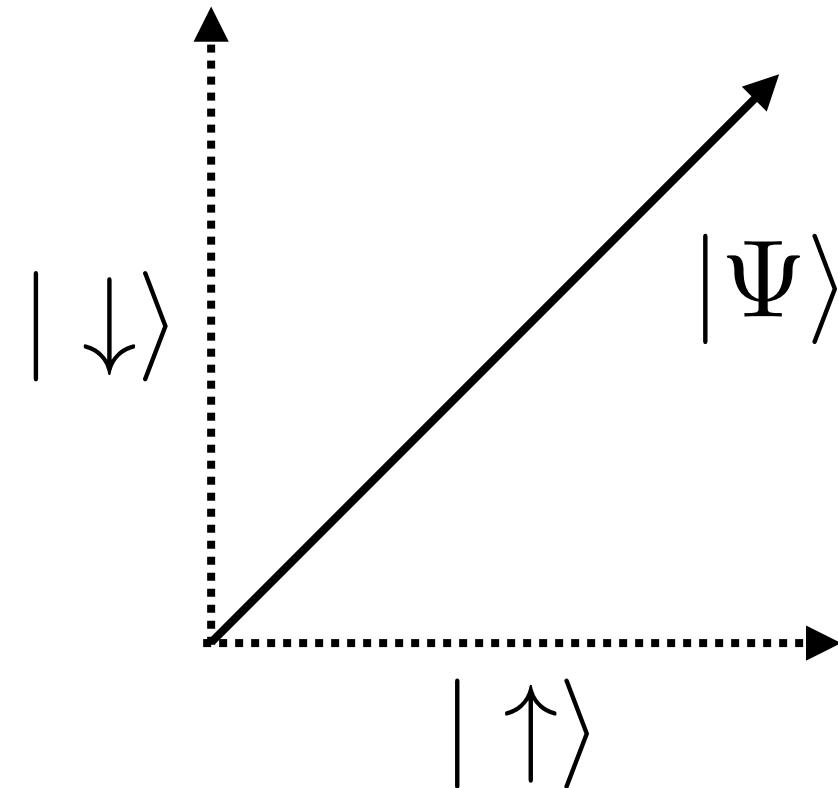


E.g.  
Harnessing  
Entanglement in  
Quantum Computers,  
Quantum Simulators...

# O1.2 - Refresher: Quantum States

The state of a quantum spin is a complex-valued **vector**

$$|\Psi\rangle = c_{\uparrow}|\uparrow\rangle + c_{\downarrow}|\downarrow\rangle$$



## Probability of Observing a Given State

$$P(\uparrow) = |c_{\uparrow}|^2$$

$$P(\downarrow) = |c_{\downarrow}|^2$$

A quantum spin can be found in either up or down state with a given probability

# O1.3 - The Many-Body Wave Function

$$|\Psi\rangle = c_{\uparrow\uparrow\dots\uparrow} |\uparrow\uparrow\dots\uparrow\rangle + c_{\downarrow\uparrow\dots\uparrow} |\downarrow\uparrow\dots\uparrow\rangle + \dots c_{\downarrow\downarrow\dots\downarrow} |\downarrow\downarrow\dots\downarrow\rangle$$



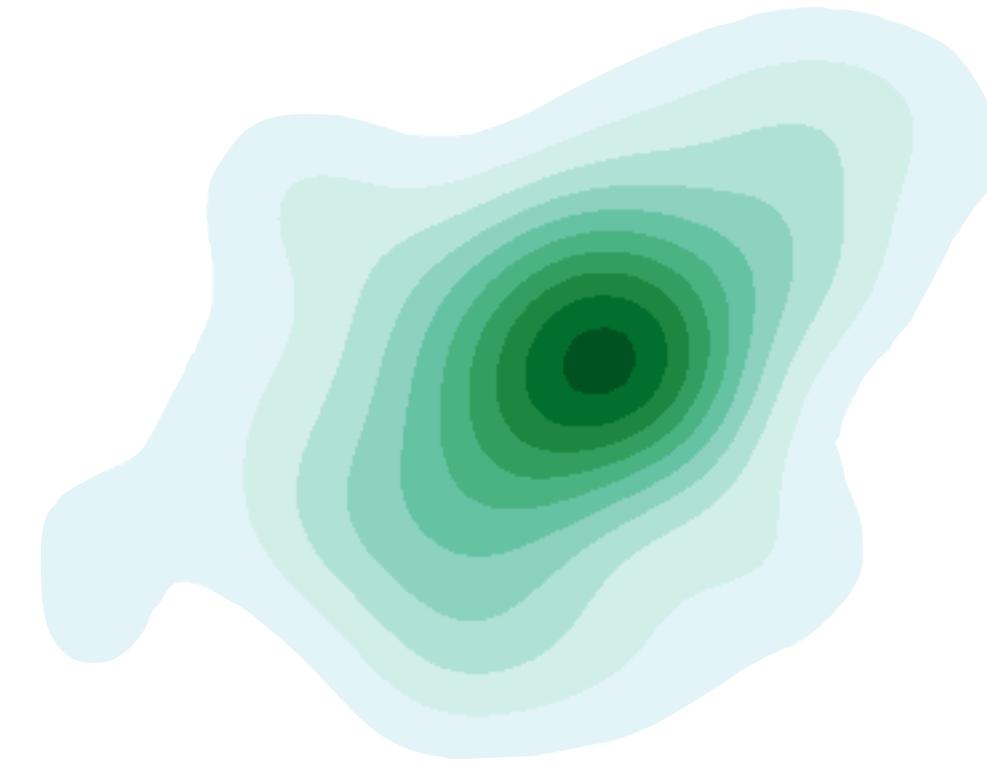
Complex-Valued Coefficients

The Wave Function is a Vector  
in a Huge ( $2^N$ )  
Space

The state of  $N$   
quantum particles  
is a high-dimensional  
“monster”

*“In general the many-electron  
wave-function for a system of many  
electrons is not a legitimate scientific concept”*

W. Kohn, Nobel Lecture



# O1.4 - Time-Independent Schrödinger Equation

$$\mathcal{H} |\Psi_n\rangle = E_n |\Psi_n\rangle$$

Eigenvalue Problem  
for given Hamiltonian

• Hamiltonian

• Eigenstates

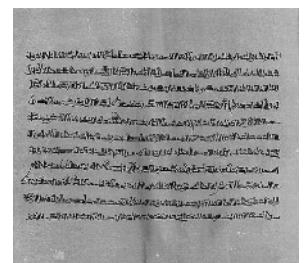
$$\mathcal{H} \doteq \begin{pmatrix} \bullet & & & & \\ & \bullet & & & \\ & & \bullet & & \\ & & & \bullet & \\ & & & & \bullet \\ & \vdots & & \vdots & \\ & & \bullet & & \\ & & & \bullet & \\ & & & & \bullet \end{pmatrix}$$

“Row-Sparse”  
Matrix for  
Physical  
Interactions

# O1.5 - Exact Solutions Limited to Small Systems

[3000 BCE]

**Papyrus**



10 Qubits

[1455]

**Book**

ARTAMENE  
O V  
LE GRAND  
CYRVS

D E D I C  
A MADAME LA DVCHESSE  
DE LONGEVILLE  
P R M DE SCY DERY  
Gouverneur de Notre Dame de la Cede  
TROISIEME PARTIE  
  
A PARIS,  
Chez Armand Corot, Imprimeur & Libraire des  
Mémoires de l'Académie des Sciences  
M. D C. LIV.

15 Qubits

[1973]

**IBM**  
**3340**



23 Qubits

[1993]

**IBM**  
**3390**



35 Qubits

[2002]

**Earth  
Simulator**



46 Qubits

[2019]

**Summit**



54 Qubits

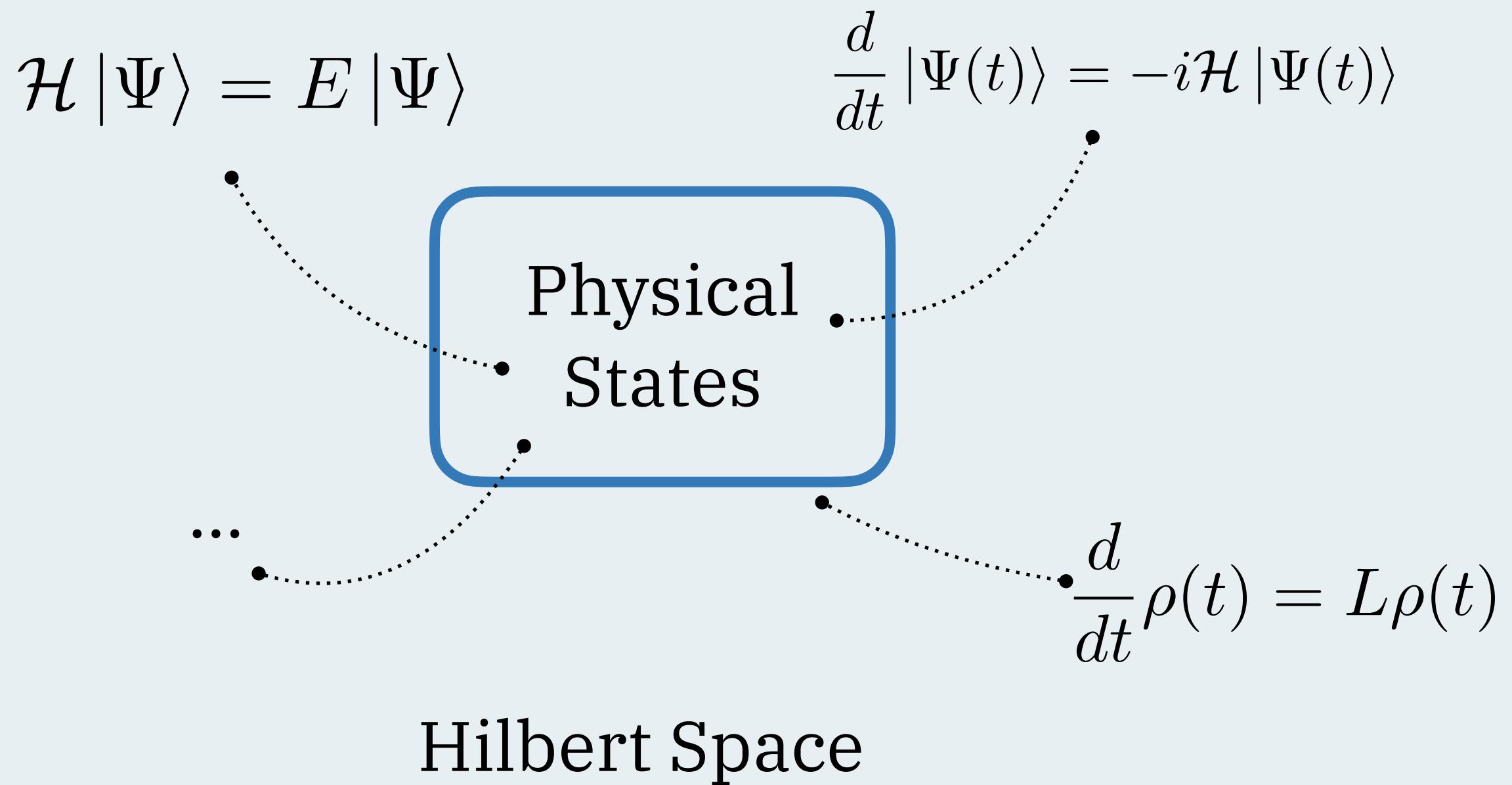
**Time**



O2.

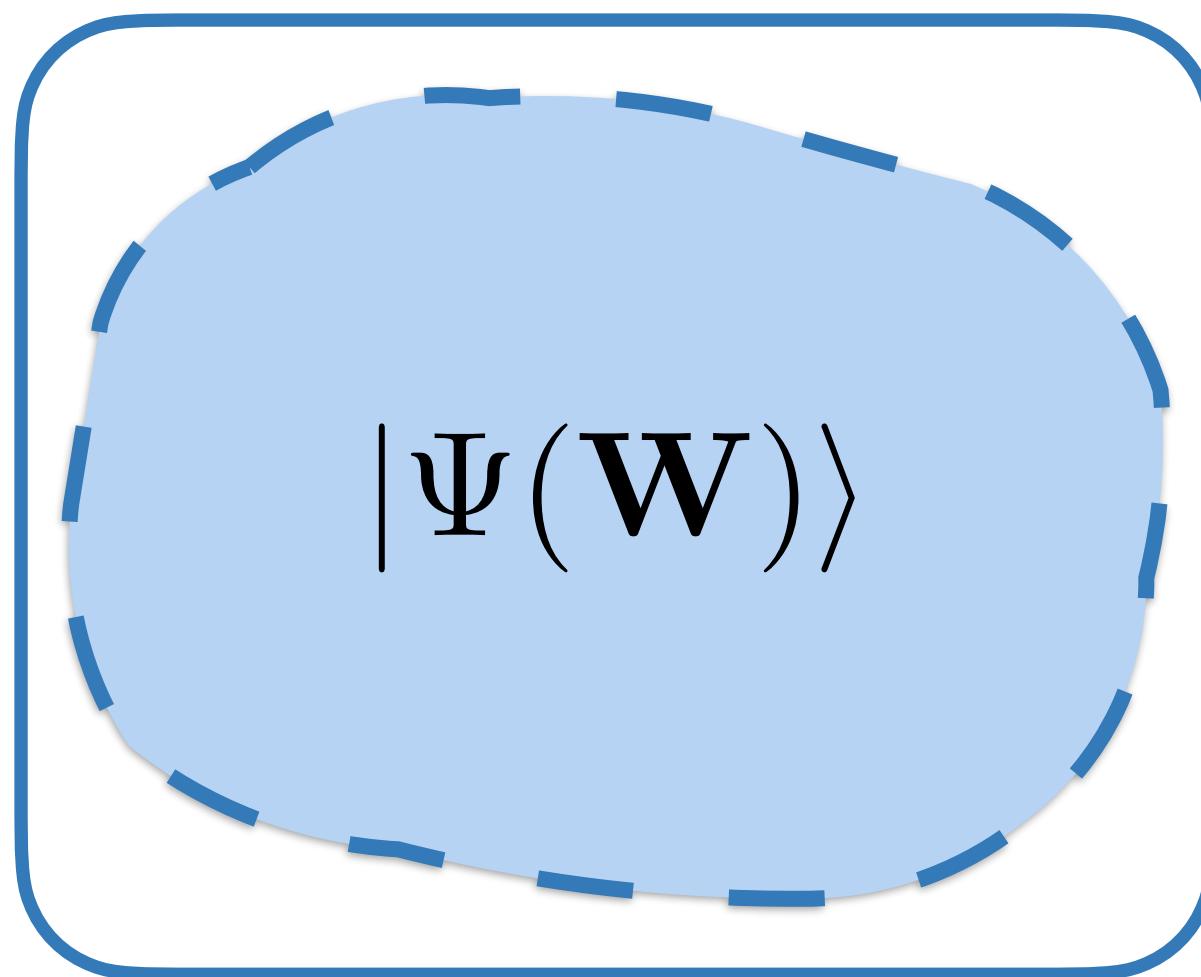
# Variational Representations.

# O2.1 - Corners of the Hilbert space



## O2.2 - Variational Representations

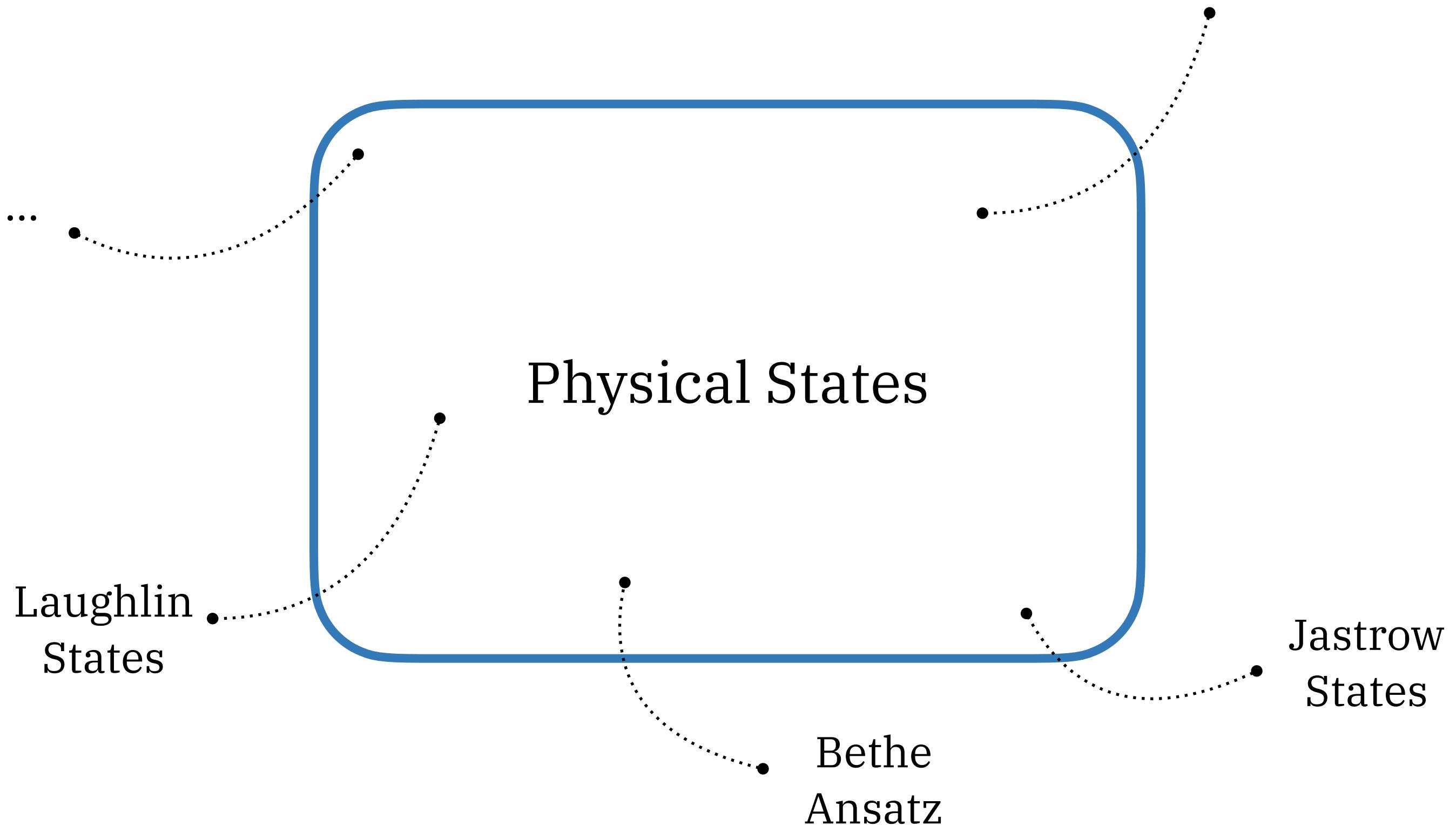
$$|\Psi(W)\rangle = c_{\uparrow\uparrow\dots\uparrow}(W)|\uparrow\uparrow\dots\uparrow\rangle + c_{\downarrow\uparrow\dots\uparrow}(W)|\downarrow\uparrow\dots\uparrow\rangle + \dots c_{\downarrow\downarrow\dots\downarrow}(W)|\downarrow\downarrow\dots\downarrow\rangle$$



$$\langle Z_1 Z_2 \dots Z_n | \Psi(W) \rangle = \Psi(Z_1, Z_2 \dots Z_N; W) = c_{Z_1, Z_2, \dots Z_N}(W)$$

# O2.3 - Physics-Inspired Representations

BCS Wave Function

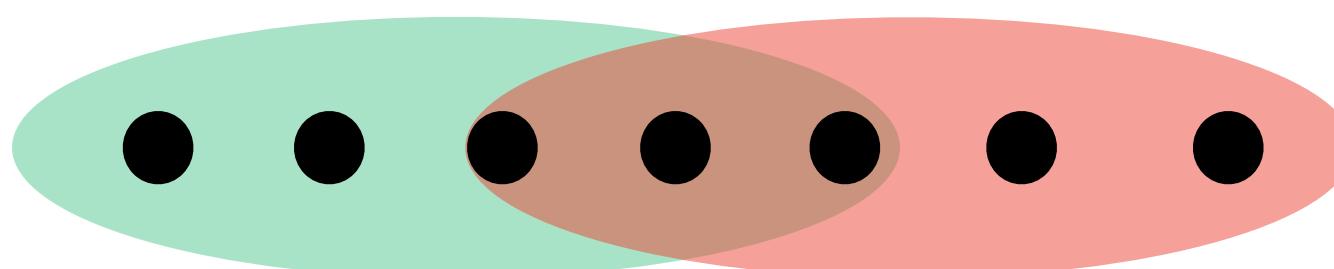


## O2.4 - General Purpose: Matrix Product States

$$\langle Z_1 Z_2 \dots Z_n | \Psi(W) \rangle = \text{Tr} [M(Z_1; W) M(Z_2; W) \dots M(Z_n; W)]$$

Matrices  
DxD

*S. White*  
Phys. Rev. Lett. 69, 2863 (1992)



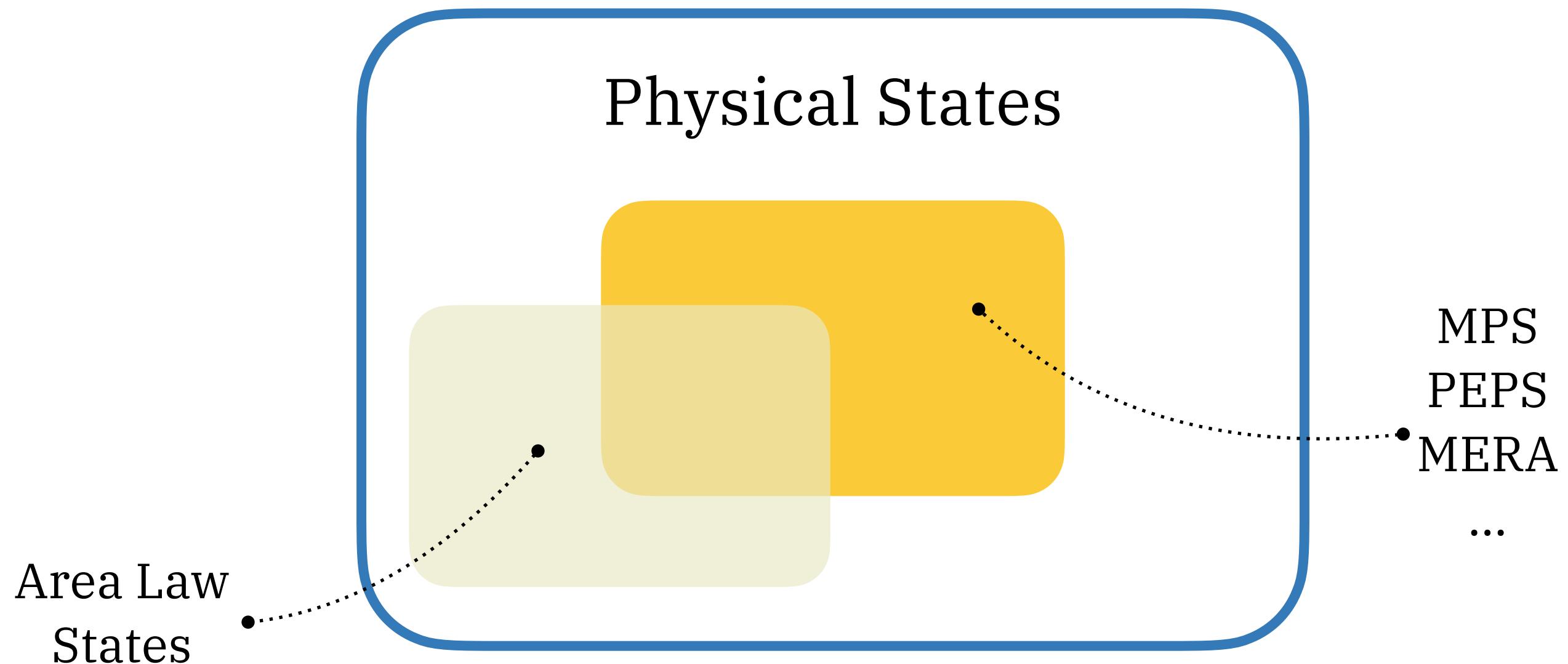
### Simple Algebra

Efficient Compression  
of Wave-Function  
“Polynomial”  
complexity

### Low Entanglement

Many-Body State  
Specified by Small Set  
of Local Quantities

# O2.5 - Tensor Networks Representations



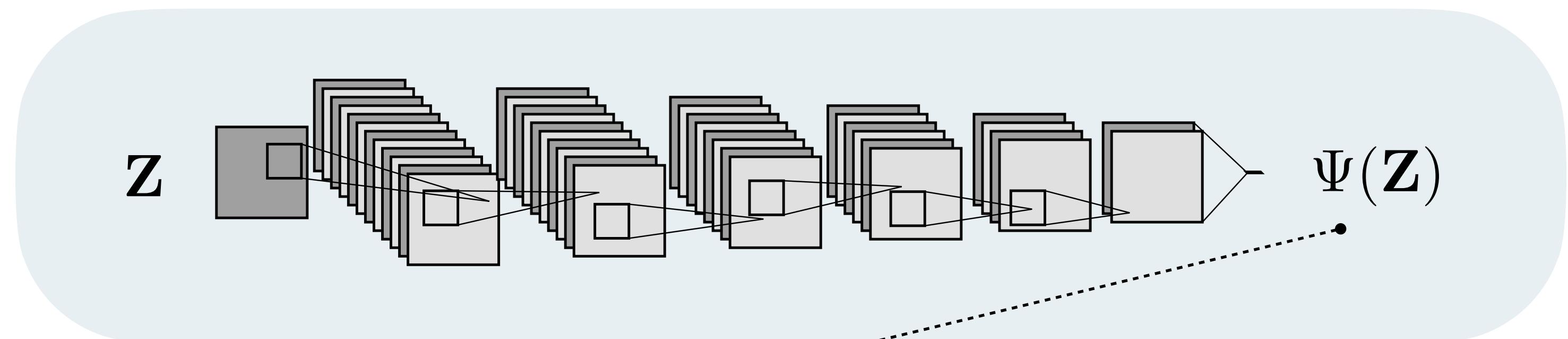
O3.

# Neural-Network Representations.

# O3.1 - Neural Quantum States

Carleo, and Troyer

Science 355, 602 (2017)



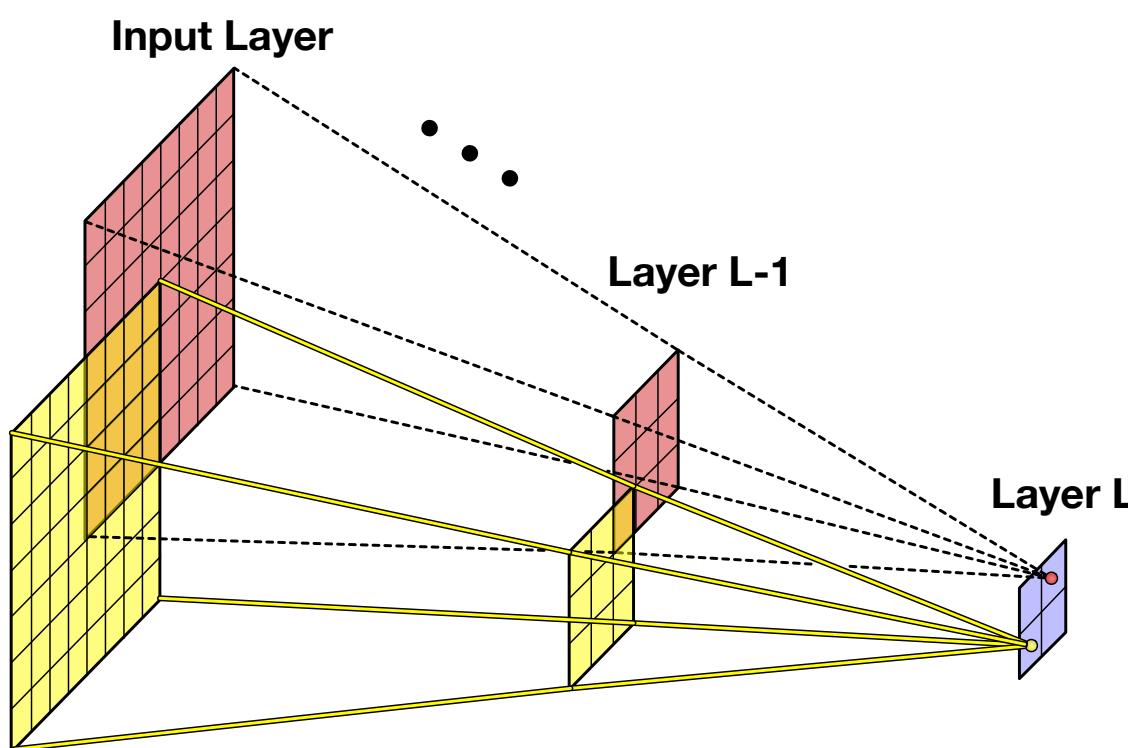
$$\langle Z_1 Z_2 \dots Z_N | \Psi \rangle = g^{(L)} \circ W^{(L)} \dots g^{(2)} \circ W^{(2)} g^{(1)} \circ W^{(1)} \mathbf{Z}$$

# O3.2 - Representation and Entanglement Properties

$$\langle \mathbf{Z} | \Psi \rangle = \sum_{q=0}^{2n} \Phi_q \left( \sum_{p=1}^n \phi_{q,p}(Z_p) \right)$$

Universal Approximation  
Theorems

*Kolmogorov  
and Arnold (1956)*      *Cybenko  
(1989)*

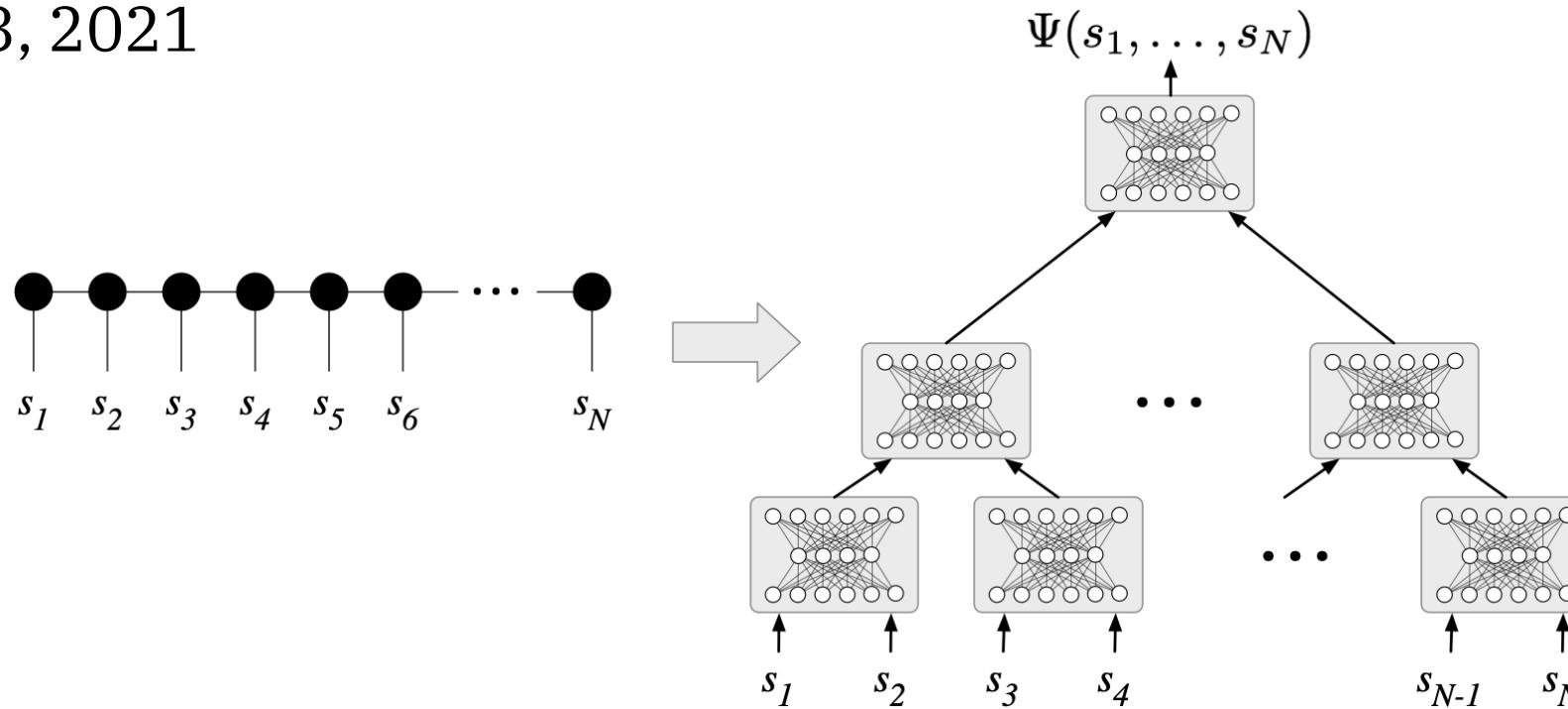


Volume-Law States

*Deng, Li, and Das  
Sarma*      *Levine, Sharir, Cohen,  
and Shashua*  
PRX 7, 021021      .... PRL 122, 065301  
(2017)              (2019)

# O3.3 - Neural-Tensor Contractions

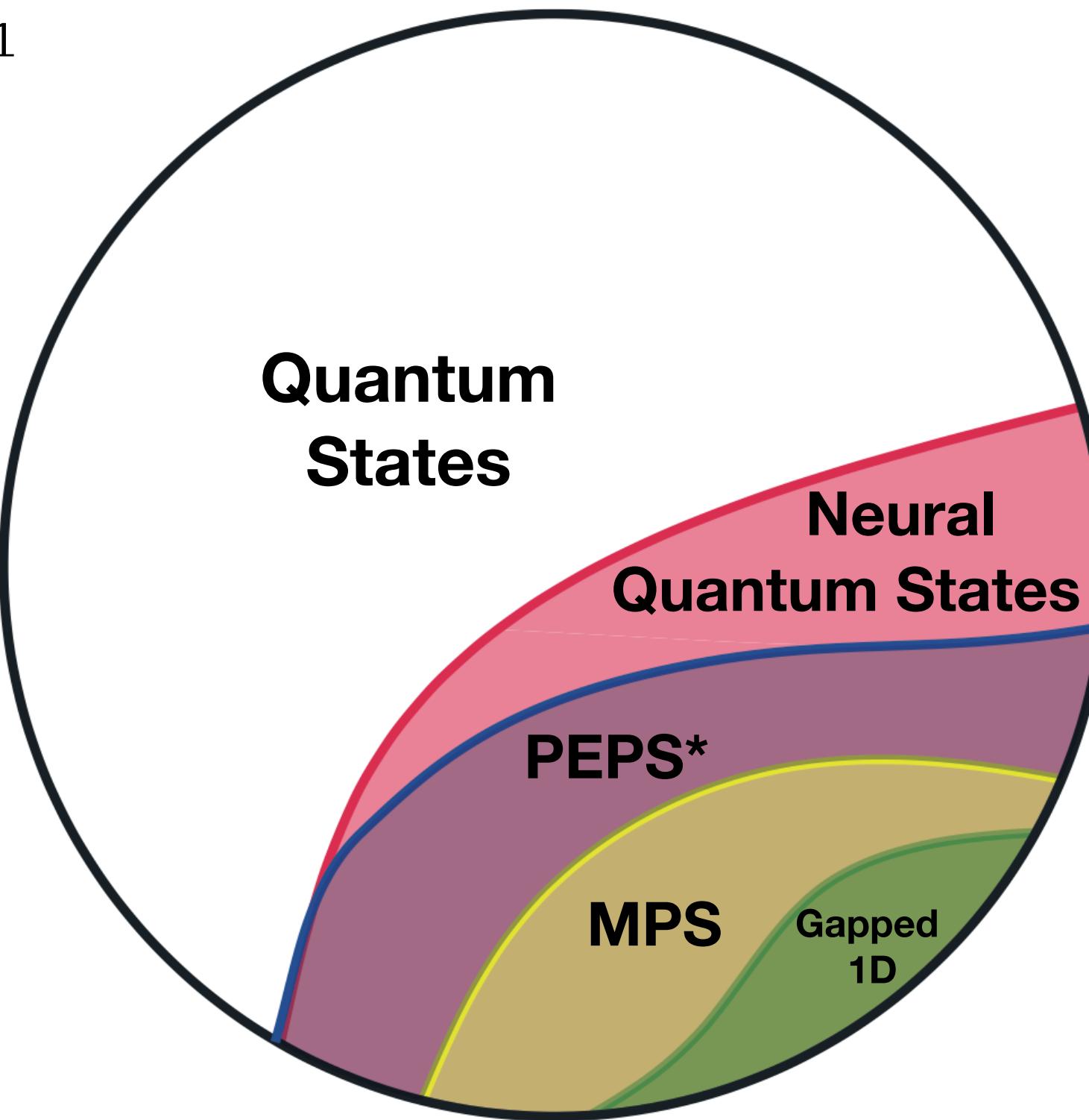
Sharir, Shashua, and Carleo  
arXiv:2103.10293, 2021



**Corollary 1** *For any tensor network quantum state with a contraction scheme of run-time  $k$ , and at most  $b$  bits of precision in computations and parameters, there exists a neural network that approximate it with a maximal error of  $\epsilon$  and of run-time (number of edges)  $O\left(k + \ln^2\left(\frac{kb}{\epsilon}\right) + \ln\left(\frac{1}{\epsilon}\right)\sqrt{\frac{1}{\epsilon}}\right)$ .*

# O3.4 - Representability Diagram

*Sharir, Shashua, and Carleo*  
arXiv:2103.10293, 2021



04.

# Learning the Ground State.

# O4.1 - Variational Formulation

$$E(\mathbf{W}) = \frac{\langle \Psi(\mathbf{W}) | \mathcal{H} | \Psi(\mathbf{W}) \rangle}{\langle \Psi(\mathbf{W}) | \Psi(\mathbf{W}) \rangle} \geq E_0$$

Rayleigh Quotient

Exact Ground-State Energy

Expectation Minimization

$$E(\mathbf{W}) = \frac{\sum_Z |\Psi(Z; W)|^2 E_{\text{loc}}(Z; W)}{\sum_Z |\Psi(Z; W)|^2}$$

*McMillan, Phys. Rev. 138, A442 (1965)*

## O4.2 - Energy Gradients

$$\nabla_k E = 2 (\langle \mathcal{O}_k^* E_{\text{loc}} \rangle - \langle \mathcal{O}_k^* \rangle \langle E_{\text{loc}} \rangle)$$

A diagram consisting of two dotted arrows. The top arrow originates from the term  $\langle \mathcal{O}_k^* E_{\text{loc}} \rangle$  in the first equation and points down to the definition of  $E_{\text{loc}}$ . The bottom arrow originates from the term  $\langle \mathcal{O}_k^* \rangle \langle E_{\text{loc}} \rangle$  in the first equation and points down to the definition of  $\mathcal{O}_k$ .

$$E_{\text{loc}}(Z; W) = \sum_{Z'} \frac{\Psi(Z'; W)}{\Psi(Z; W)} \langle Z | \mathcal{H} | Z' \rangle$$

$$\mathcal{O}_k(Z; W) = \frac{1}{\Psi(Z; W)} \frac{\partial \Psi(Z; W)}{\partial W_k}$$

$$\langle F \rangle = \frac{\sum_Z |\Psi(Z; W)|^2 F(Z)}{\sum_Z |\Psi(Z; W)|^2}$$

# O4.3 - Natural Gradients

Sandro Sorella et al.

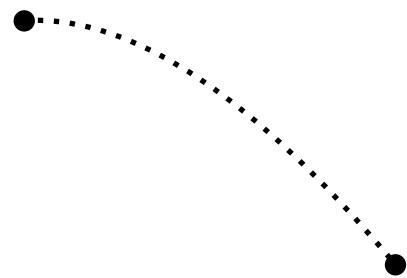
Physical Review Letters  
80, 4558 (1998)

Shun-Ichi Amari

Journal Neural Computation  
10, 251 (1998)

$$\sum_{k'} S_{k,k'} \Delta p_{k'} = -G_k$$

$$S_{k,k'} = \langle \mathcal{O}_k^* \mathcal{O}_{k'} \rangle - \langle \mathcal{O}_k^* \rangle \langle \mathcal{O}_{k'} \rangle$$



Quantum Geometric  
Tensor or Quantum  
Fisher Information

Equivalent to Imaginary-  
Time Evolution  
(Power Method) in  
Variational Manifold

# O4.4 - Variational Learning Algorithm

1. Sample  $Z^{(1)} \dots Z^{(M)}$  from  $P(Z; W) = \frac{|\Psi(Z; W)|^2}{\sum_{Z'} |\Psi(Z'; W)|^2}$
2. Estimate Expectation Values and Gradient  $\langle F \rangle \simeq \frac{1}{M} \sum_i^M F(Z^{(i)})$
3. Estimate Quantum Fisher
4. Update Parameters  $W' = W - \eta G$

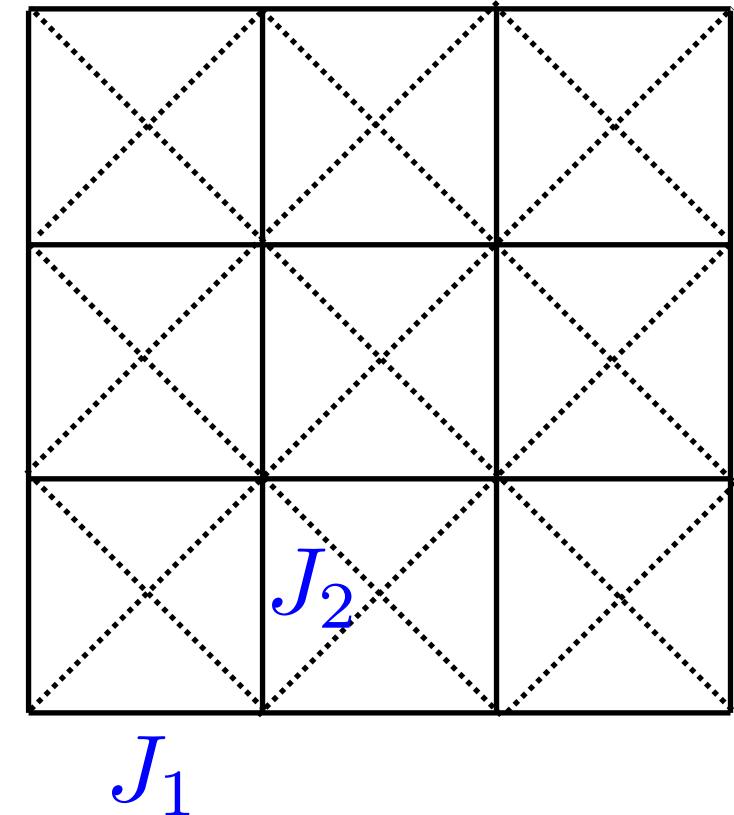
05.

# Example Applications.

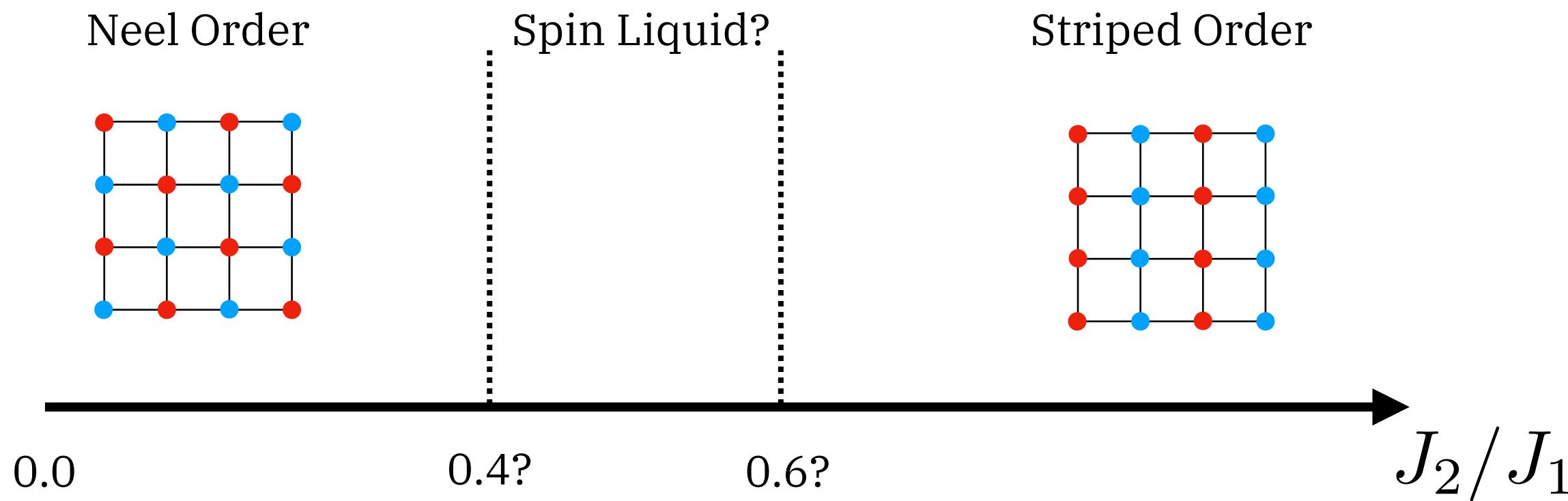
# O5.1 - Frustrated Spins

## J1-J2 Model

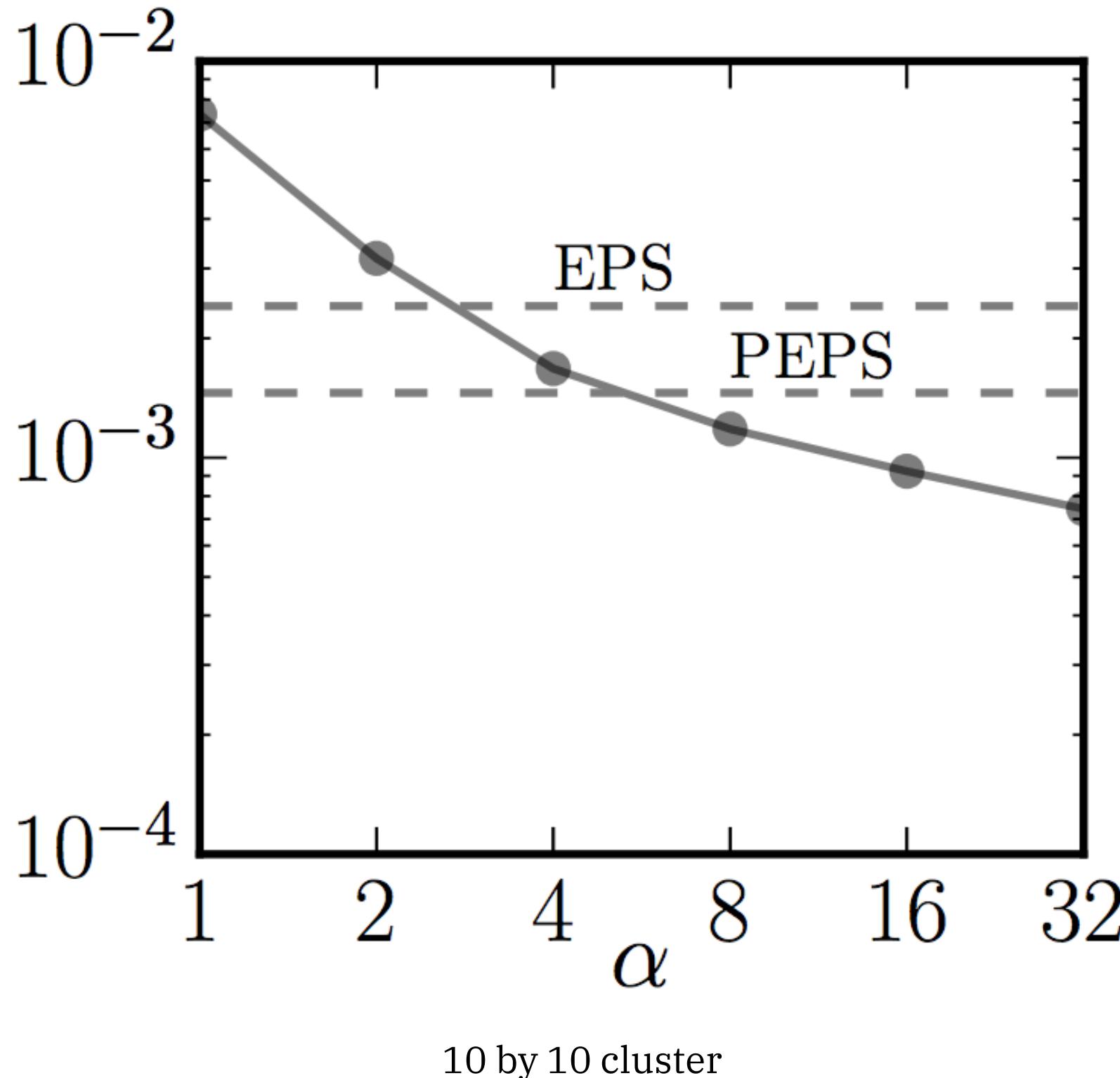
$$\hat{H} = J_1 \sum_{\langle ij \rangle} \hat{\mathbf{S}}_i \cdot \hat{\mathbf{S}}_j + J_2 \sum_{\langle\langle ij \rangle\rangle} \hat{\mathbf{S}}_i \cdot \hat{\mathbf{S}}_j$$



## Phase Diagram



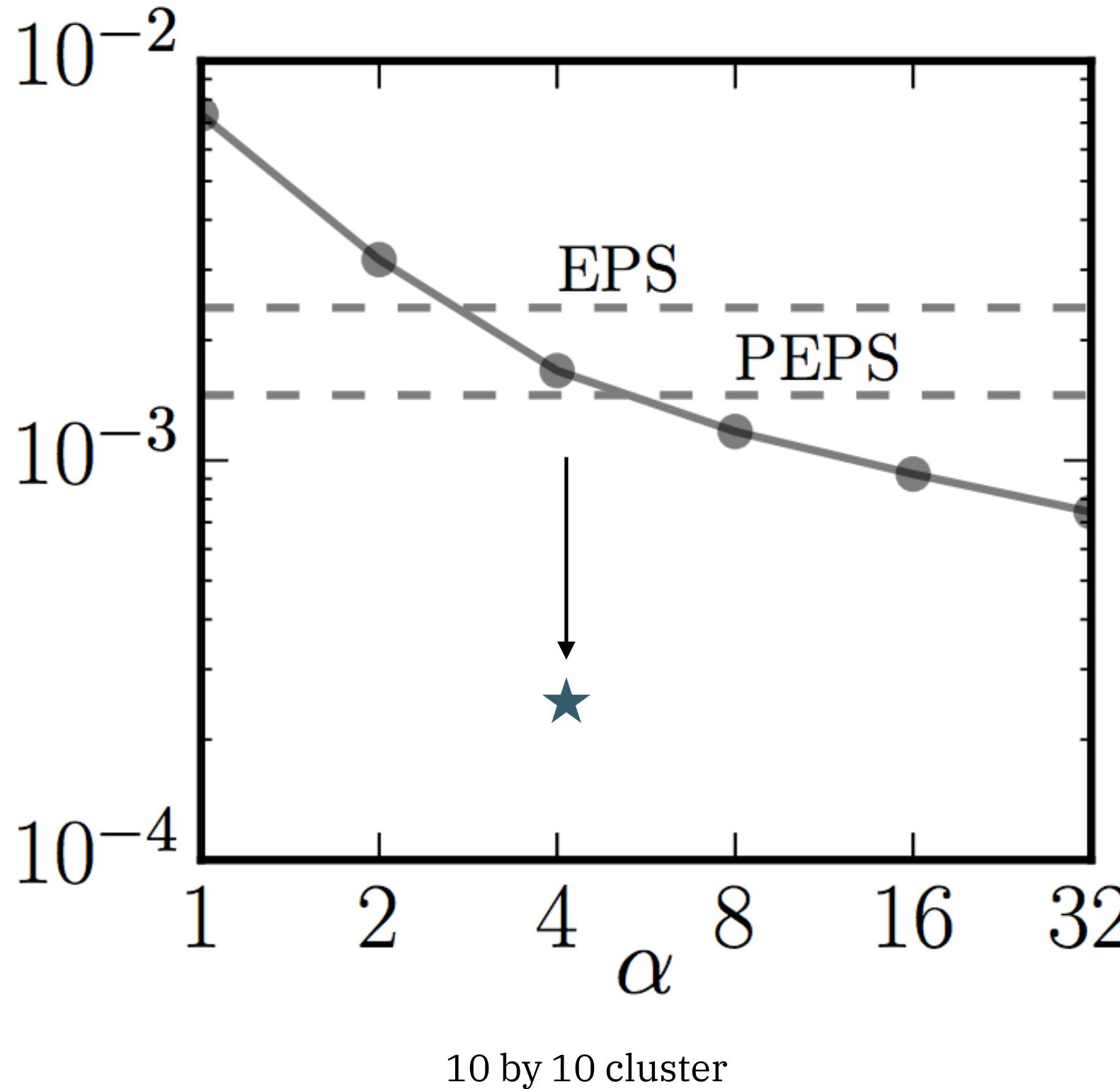
# O5.2 - Heisenberg Limit - Shallow Net



Early (2016) Results With Shallow  
(RBM) Network

*Carleo, and Troyer*  
Science 355, 602 (2017)

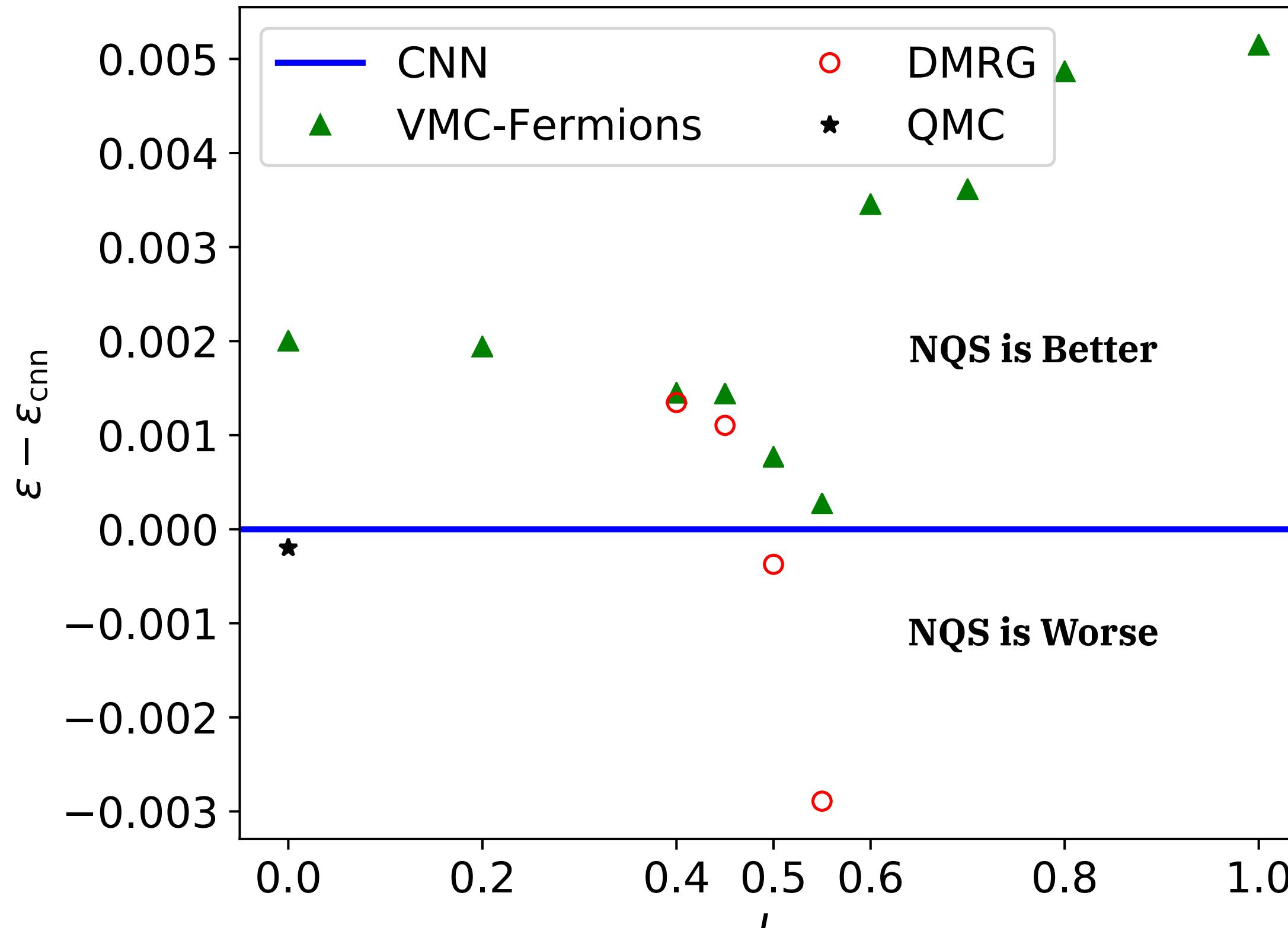
# O5.3 - Heisenberg Limit - Deeper Net



(Mildly) deep CNN further improves

*Choo, Neupert, and Carleo*  
Phys. Rev. B 100, 125124 (2019)

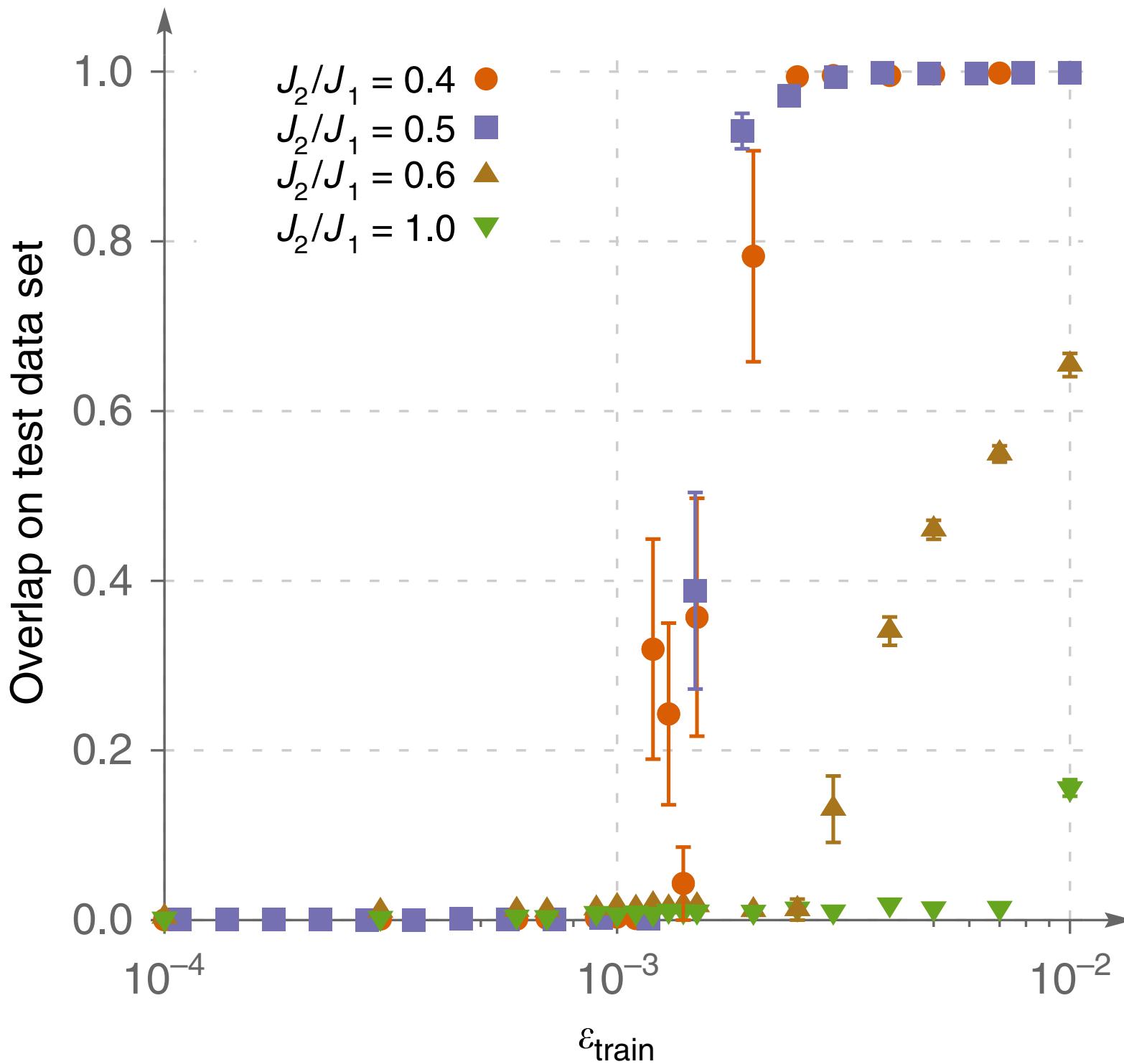
# O5.4 - Frustrated Case: Accuracy Diagram



10 by 10 cluster

*Choo, Neupert, and Carleo*  
Phys. Rev. B 100, 125124 (2019)

# O5.5 - Origin of the Challenge?



Frustrated  
Phases  
Have Large  
Sample  
Complexity

*Westerhout, Astrakhantsev, Tikhonov,  
Katsnelson, Bagrov*  
Nature Comm. 11, 1593 (2020)

## O5.6 - Continuous Improvements...

TABLE II. Comparison of ground-state energy for the  $10 \times 10$  lattice at  $J_2 = 0.5$  among different wave functions. The wave functions in bold font use neural networks. In Ref. [18],  $p$ -th order Lanczos steps are applied to the VMC wave function.

Energy per site	Wave function	Reference
-0.494757(12)	<b>Neural quantum state</b>	<a href="#">65</a>
-0.49516(1)	<b>CNN</b>	<a href="#">60</a>
-0.49521(1)	VMC( $p=0$ )	<a href="#">18</a>
-0.495530	DMRG	<a href="#">22</a>
-0.49575(3)	<b>RBM-fermionic w.f.</b>	<a href="#">63</a>
-0.497549(2)	VMC( $p=2$ )	<a href="#">18</a>
-0.497629(1)	<b>RBM+PP</b>	present study

*Nomura, and Imada*  
arXiv:2005.14142 (2020)

# O5.7 - Fermions: Back to the Spin Problem

Map Fermions to  
Spins

Choo, Mezzacapo, and Carleo  
*Nature Comm.* 11, 2368 (2020)

Jordan-Wigner  
Mapping

Pro: Simple Mapping

Con: N-Body, non-local Spin  
Operators

Bravyi-Kitaev  
Mapping

Pro: log(N)-Body, quasi-local  
Spin Operators

Con: More Involved Mapping

# O5.8 - Jordan-Wigner Mapping

$$c_j \rightarrow \left( \prod_{i=0}^{j-1} \sigma_i^z \right) \sigma_j^-$$
$$c_j^\dagger \rightarrow \left( \prod_{i=0}^{j-1} \sigma_i^z \right) \sigma_j^+$$

Jordan Wigner “strings” take into account exchange symmetry

$$H_q = \sum_{j=1}^r h_j \boldsymbol{\sigma}_j$$

Spin Hamiltonian is a sum of product of Pauli matrices

# O5.9 - Bravyi-Kitaev Mapping

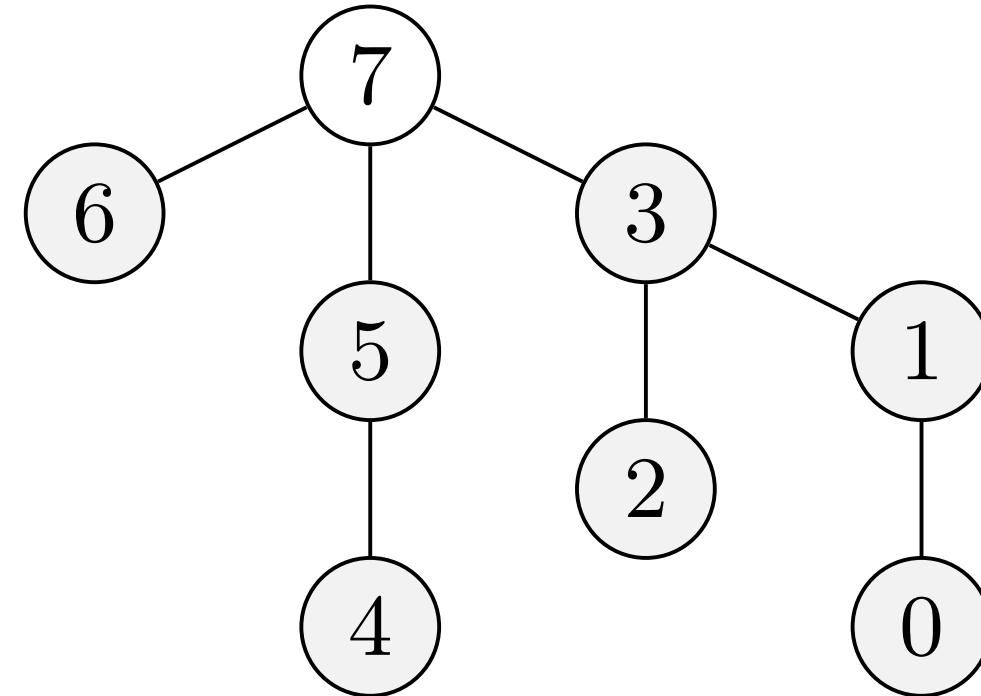
$$c_j \rightarrow \frac{1}{2} (A_j \sigma_j^x B_j + i A_j \sigma_j^x B_j)$$

$$c_j^\dagger \rightarrow \frac{1}{2} (A_j \sigma_j^x B_j - i A_j \sigma_j^x B_j)$$

$$A_j = (\prod_{k \in U(j)} \sigma_k^x)$$

$$B_j = (\prod_{k \in P(j)} \sigma_k^z)$$

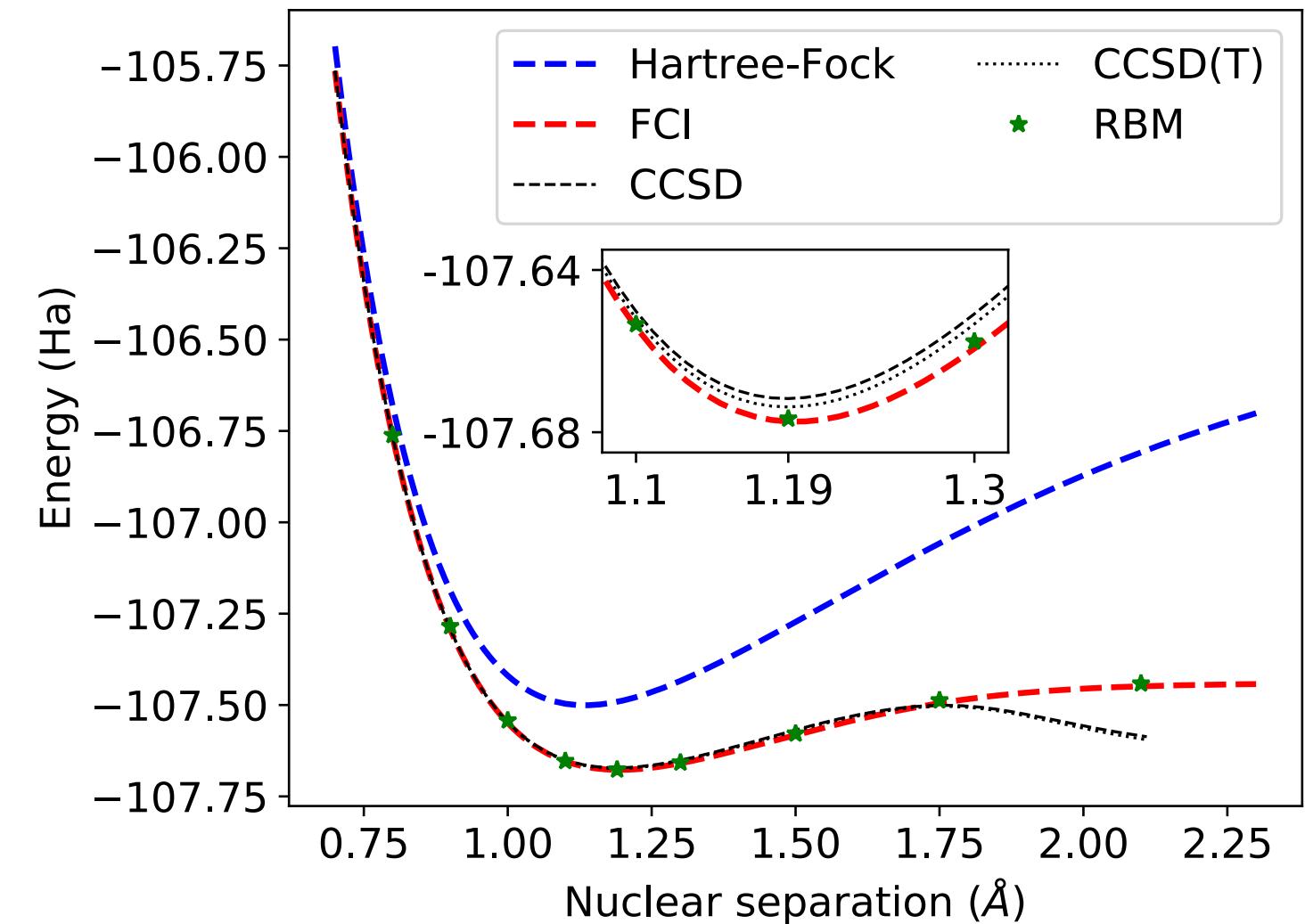
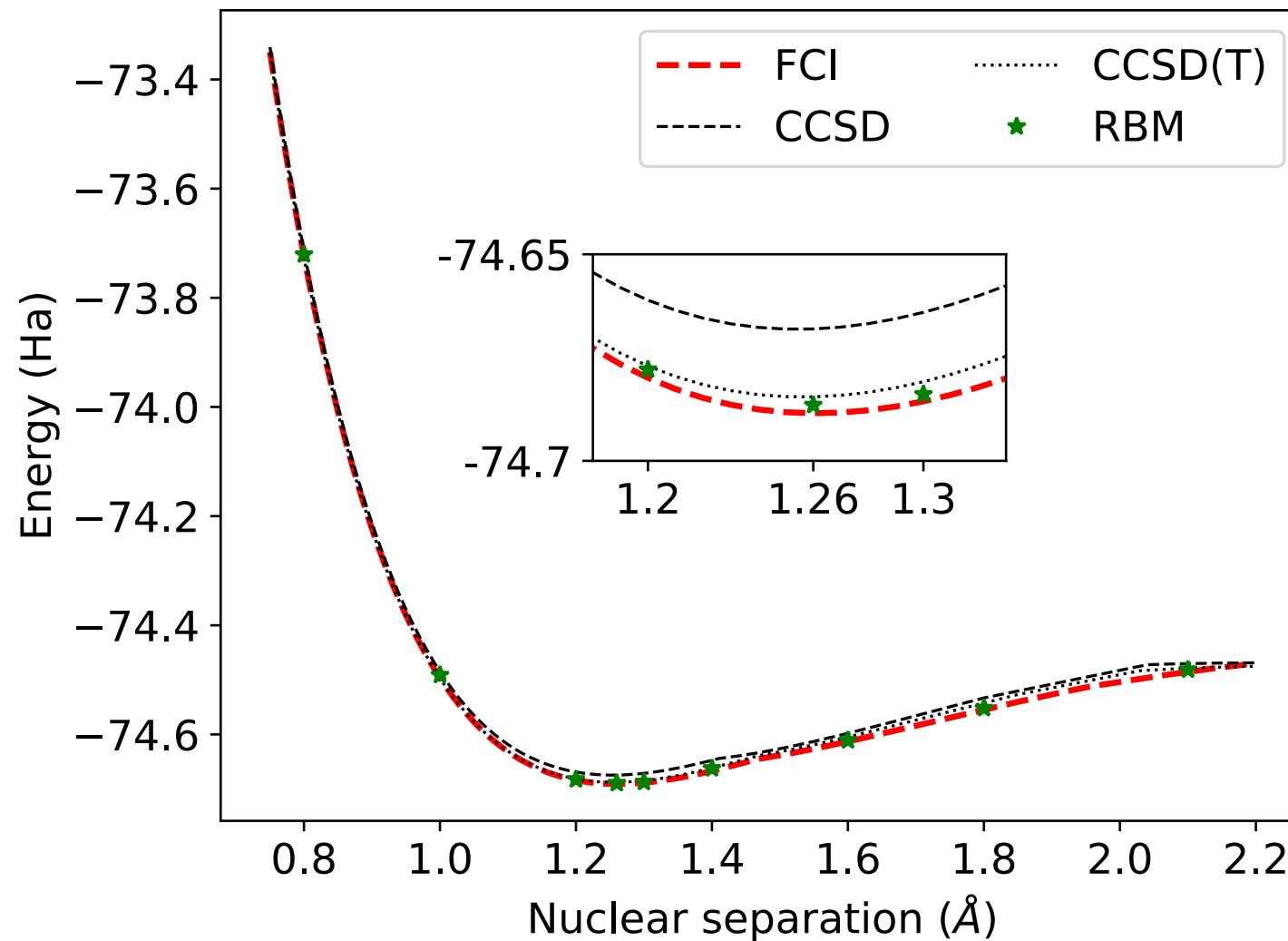
“Quasi-Local”  
Operators



Compute signs using Fenwick trees  
instead of linear products

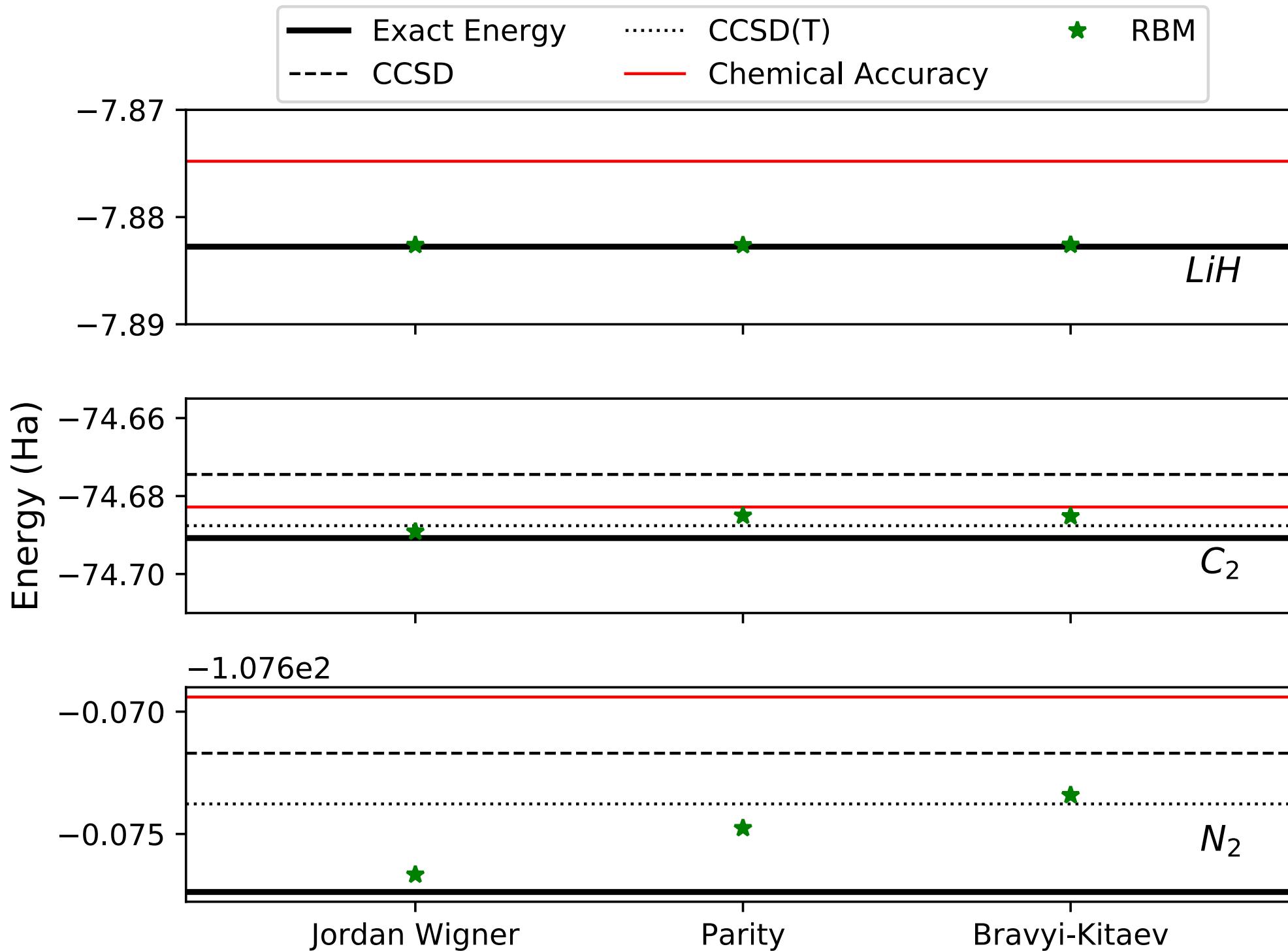
Strings have  $\log(N)$  length instead of  $N$

# O5.1O - Dissociation Curves for $\text{C}_2$ and $\text{N}_2$



STO-3G Basis Set  
Single-Layer Network

# O5.11 - Different Mappings



Ansatz is almost  
insensitive to the  
locality of the  
mapping

06.

# Computationally Tractable States.

# O6.1 - Definition and Properties

**Definition 1** An  $n$ -qubit state  $|\psi\rangle$  is called ‘computationally tractable’ (CT) if the following conditions hold:

- (a) it is possible to sample in  $\text{poly}(n)$  time with classical means from the probability distribution  $\text{Prob}(x) = |\langle x|\psi\rangle|^2$  on the set of  $n$ -bit strings  $x$ , and
- (b) upon input of any bit string  $x$ , the coefficient  $\langle x|\psi\rangle$  can be computed in  $\text{poly}(n)$  time on a classical computer.

**Theorem 3** Let  $|\psi\rangle$  and  $|\varphi\rangle$  be CT  $n$ -qubit states and let  $A$  be an efficiently computable sparse (not necessarily unitary)  $n$ -qubit operation with  $\|A\| \leq 1$ . Then there exists an efficient classical algorithm to approximate  $\langle \varphi | A | \psi \rangle$  with polynomial accuracy.

**Corollary 1** Let  $|\psi\rangle$  be an  $n$ -qubit CT state and let  $O$  be a  $d$ -local observable with  $d = O(\log n)$  and  $\|O\| \leq 1$ . Then there exists an efficient classical algorithm to estimate  $\langle \psi | O | \psi \rangle$  with polynomial accuracy.

*Van Den Nest*  
arXiv:0911.1624 (2009)

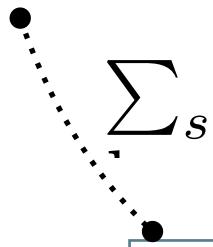
## O6.2 - Examples

Matrix Product States  
Are Computationally  
Tractable

Jastrow, Backflow,  
PEPS etc states are not  
computationally  
tractable

Generic neural deep  
quantum states are  
not computationally  
Tractable

# O6.3 - Autoregressive Quantum States

$$\Psi(s_1, \dots, s_N) = \prod_{i=1}^N \psi_i(s_i | s_{i-1}, \dots, s_1)$$

$$\sum_{s'} |\psi_i(s' | s_{i-1}, \dots, s_1)|^2 = 1$$

*Sharir, Levine, Wies, Carleo, and Shashua*  
Phys. Rev. Lett. 124, 020503 (2020)

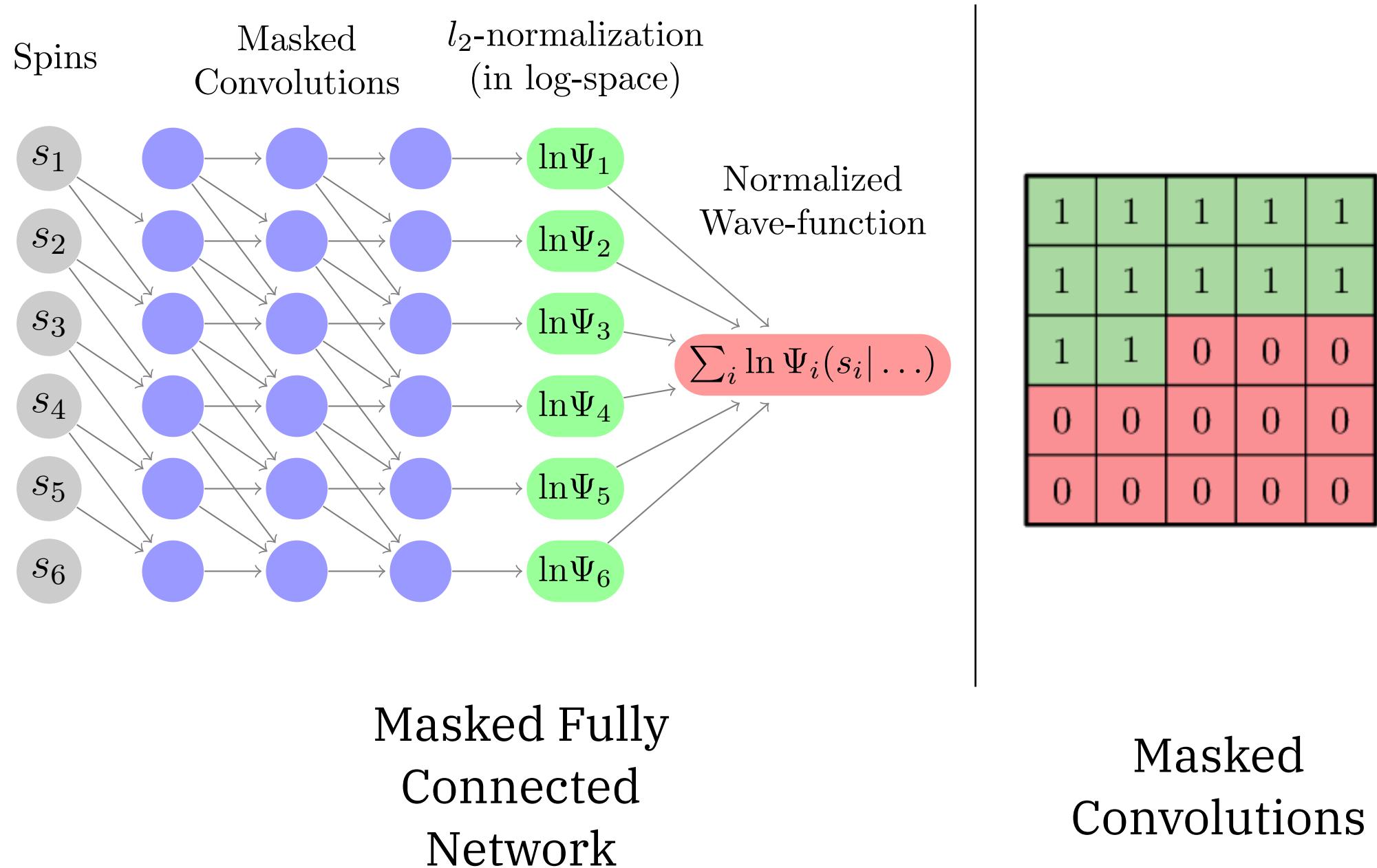
Normalized  
“Conditionals”

These Are Computationally Tractable

(a) Exact Sampling

(b) Computing Normalized  
Amplitudes is Efficient

# O6.4 - Using Masked Deep Networks



PixelCNN

*Van den Oord et al.*  
arXiv:1606.05328 (2016)

*Salimans et al.*  
arXiv:1701.05517 (2017)

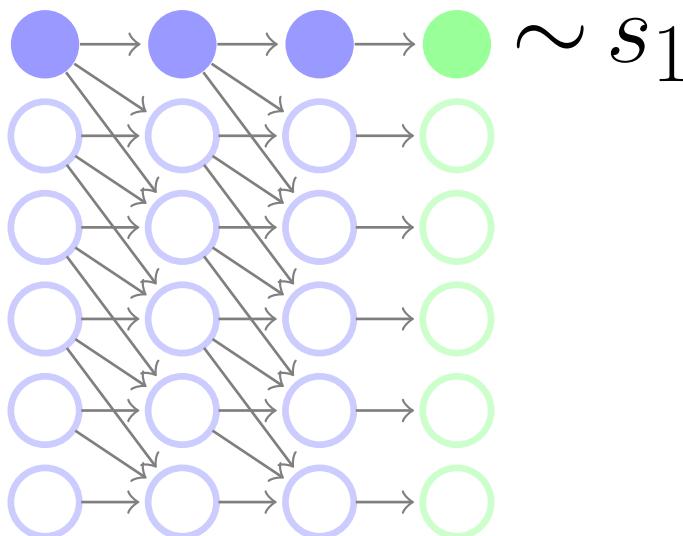
*Ramachandran et al.*  
arXiv:1704.06001 (2017)

Masked  
Convolutions

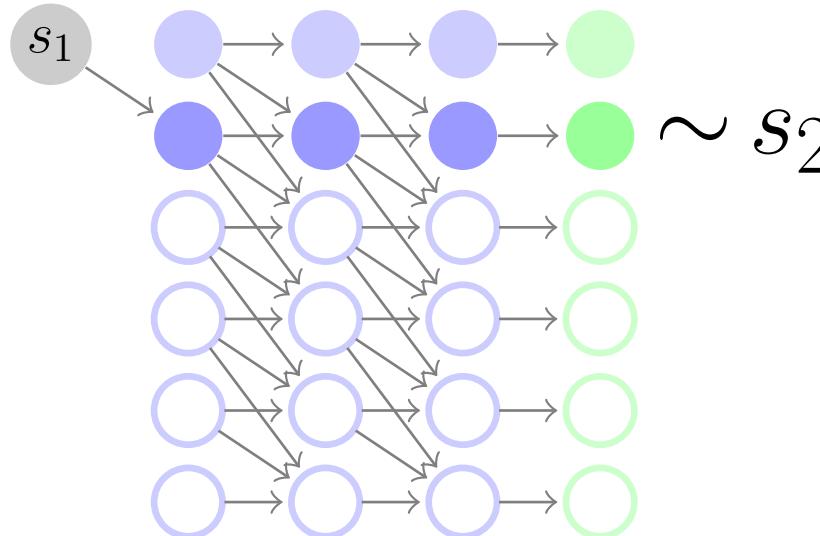
Masked Fully  
Connected  
Network

# O6.5 - Exact Sampling

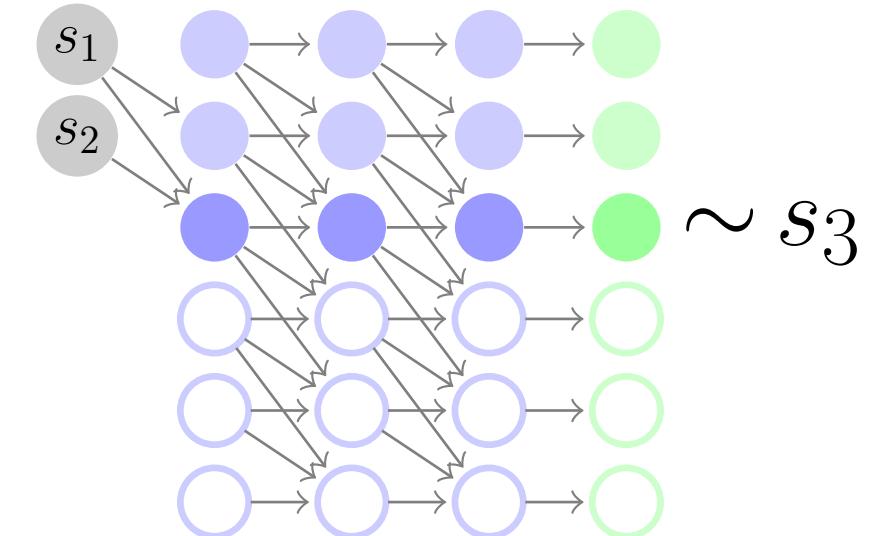
Step 1:



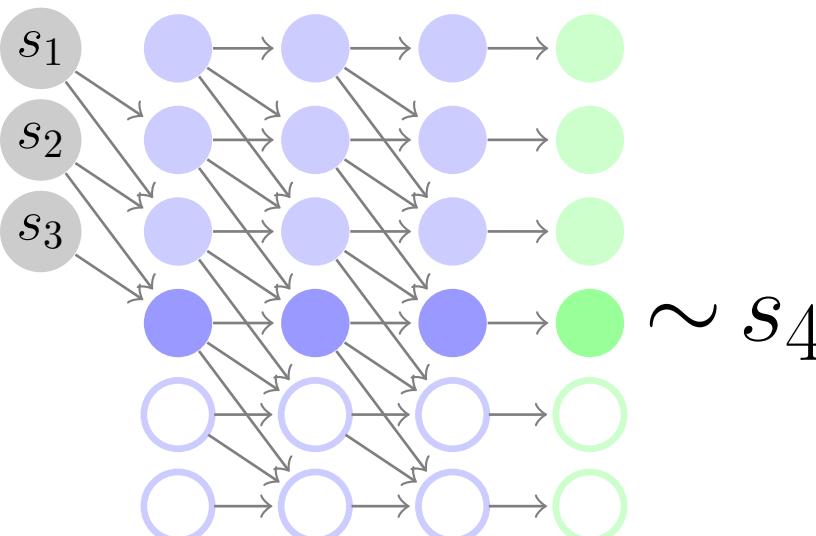
Step 2:



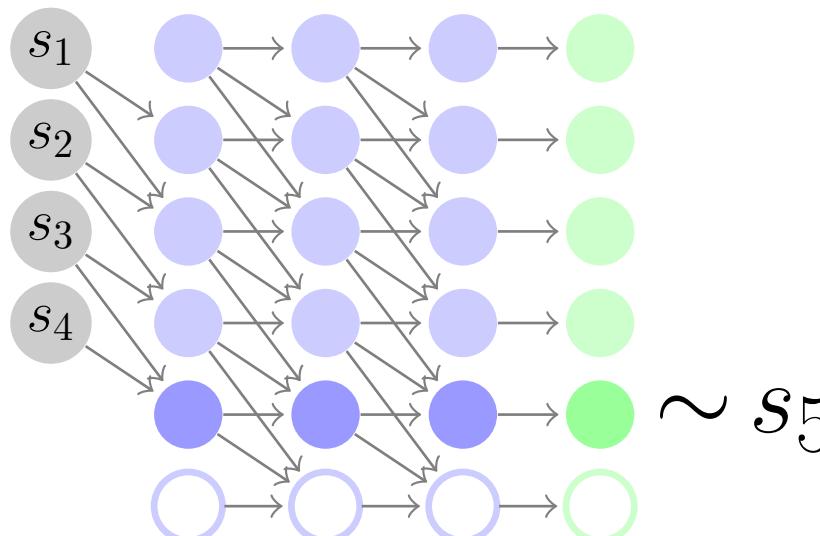
Step 3:



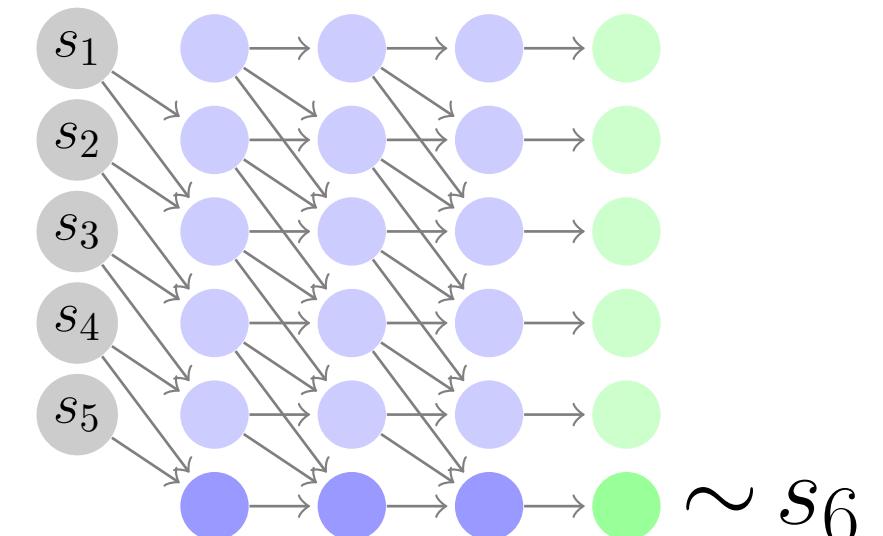
Step 4:



Step 5:

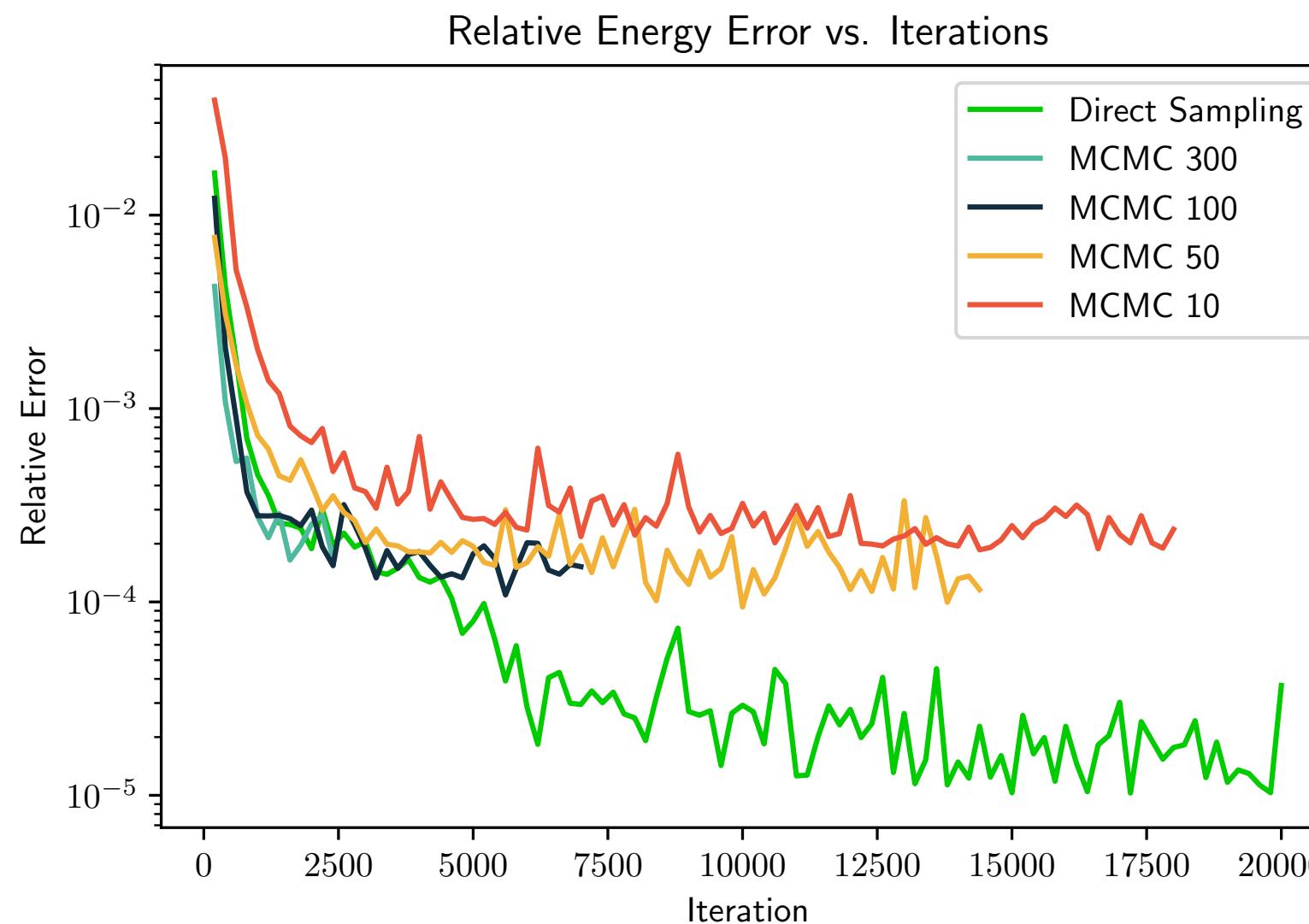


Step 6:



# O6.6 - Removing the Sampling Bottleneck Pays Off

*Sharir, Levine, Wies, Carleo, and Shashua*  
Phys. Rev. Lett. 124, 020503 (2020)



21x21  
Transverse-Field  
Ising in 2d

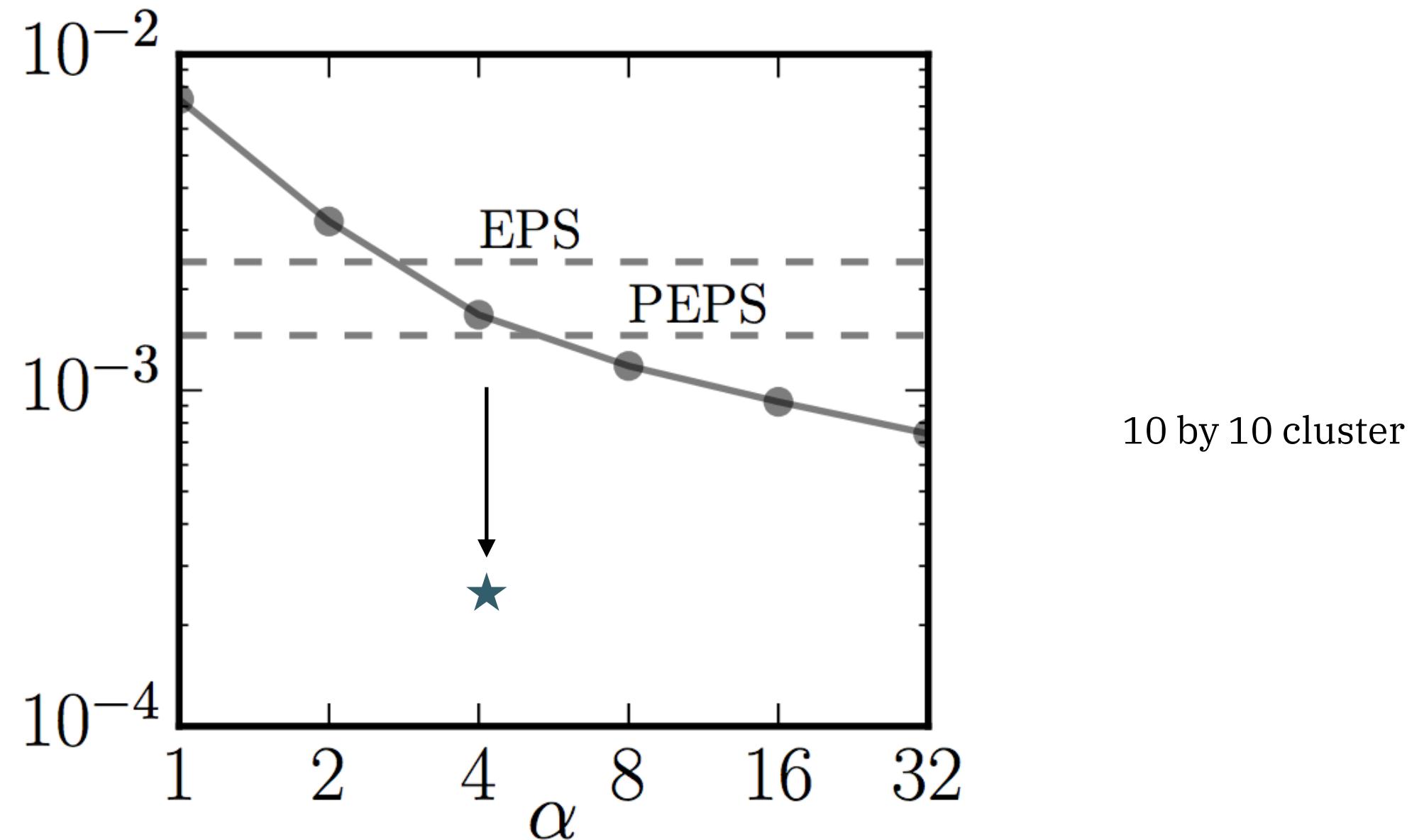
Depth 20   About  
1 Million  
Parameters

# O6.7 - Accuracy on Spins Only: Heisenberg Model

*Carleo, and Troyer*  
Science 355, 602 (2017)

*Choo, Neupert,  
and Carleo*  
Phys. Rev. B  
100, 125124  
(2019)

*Sharir, Levine, Wies,  
Carleo, and Shashua*  
Phys. Rev. Lett. 124,  
020503 (2020)



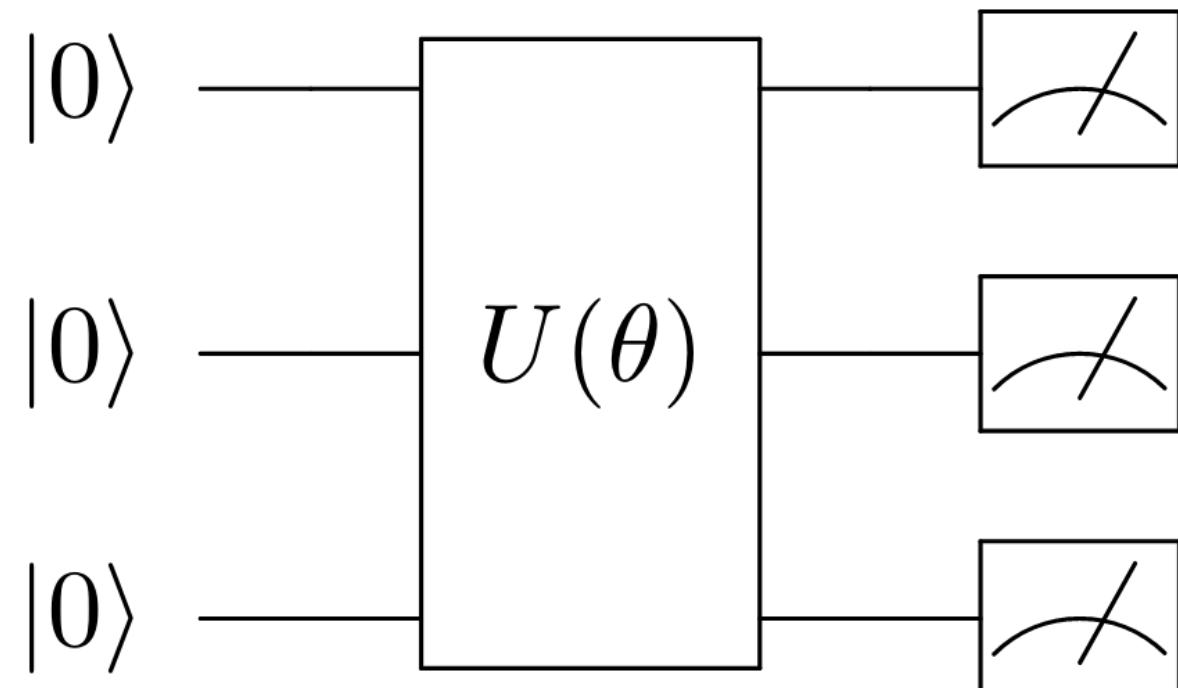
Significantly Higher Accuracy Than  
Shallow Networks

$\sim 4 \times 10^{-5}$

07.

# Quantum Variational Representations.

# O7.1 - General Setup



Parameterized  
Quantum  
Circuit

$$L(\theta) \\ \nabla_{\theta} L(\theta)$$

Stochastic  
Estimate of Loss  
Function and  
Gradients

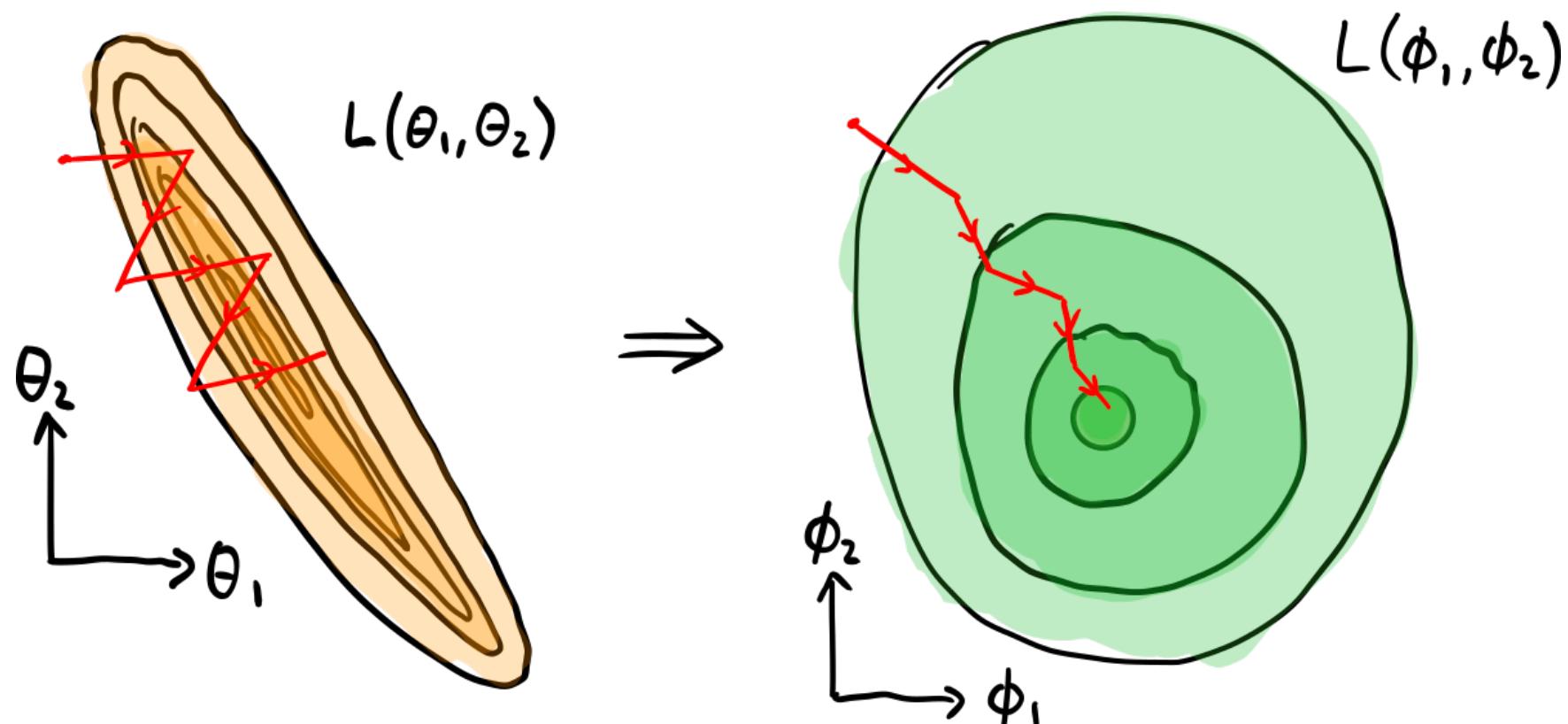
Iterative  
Minimization

$$\theta^{(k+1)} = \theta^k - \eta \nabla_{\theta} L(\theta)$$

## O7.2 - Quantum Natural Gradient

$$\theta^{(k+1)} = \theta^k - \eta g^{-1}(\theta^k) \nabla_{\theta} L(\theta)$$

*Shun-Ichi Amari*  
Neural Computation 10, 251 (1998)



*Stokes, Izaac, Killoran, and Carleo*  
Quantum 4, 269 (2020)

$$g_{ij}(\theta) = \text{Re} \left[ \left\langle \frac{\partial \Psi}{\partial \theta_i} \middle| \frac{\partial \Psi}{\partial \theta_j} \right\rangle - \left\langle \frac{\partial \Psi}{\partial \theta_i} \middle| \Psi \right\rangle \left\langle \Psi \middle| \frac{\partial \Psi}{\partial \theta_j} \right\rangle \right]$$

# O7.3 - Strong Interplay With Classical Methods

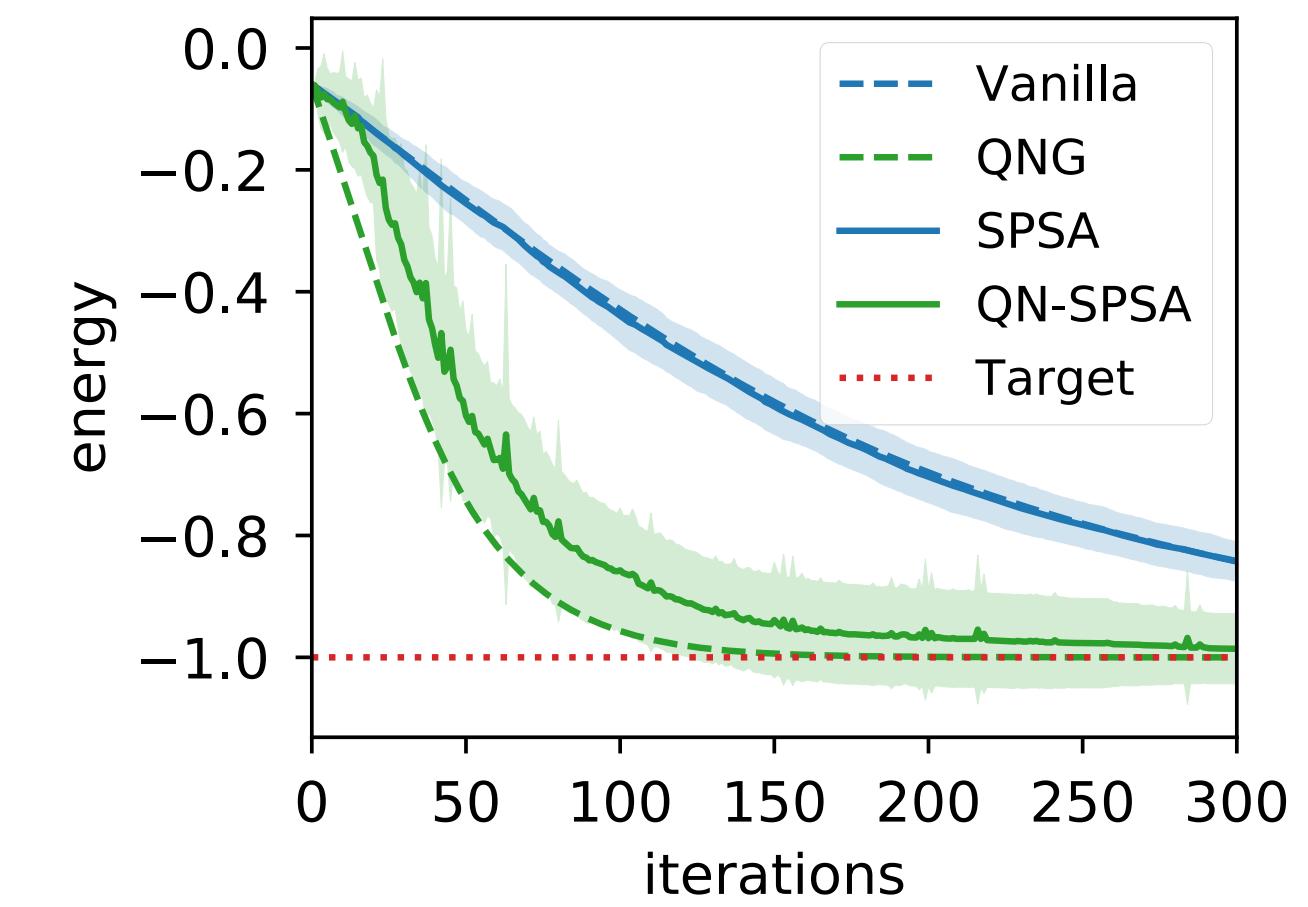
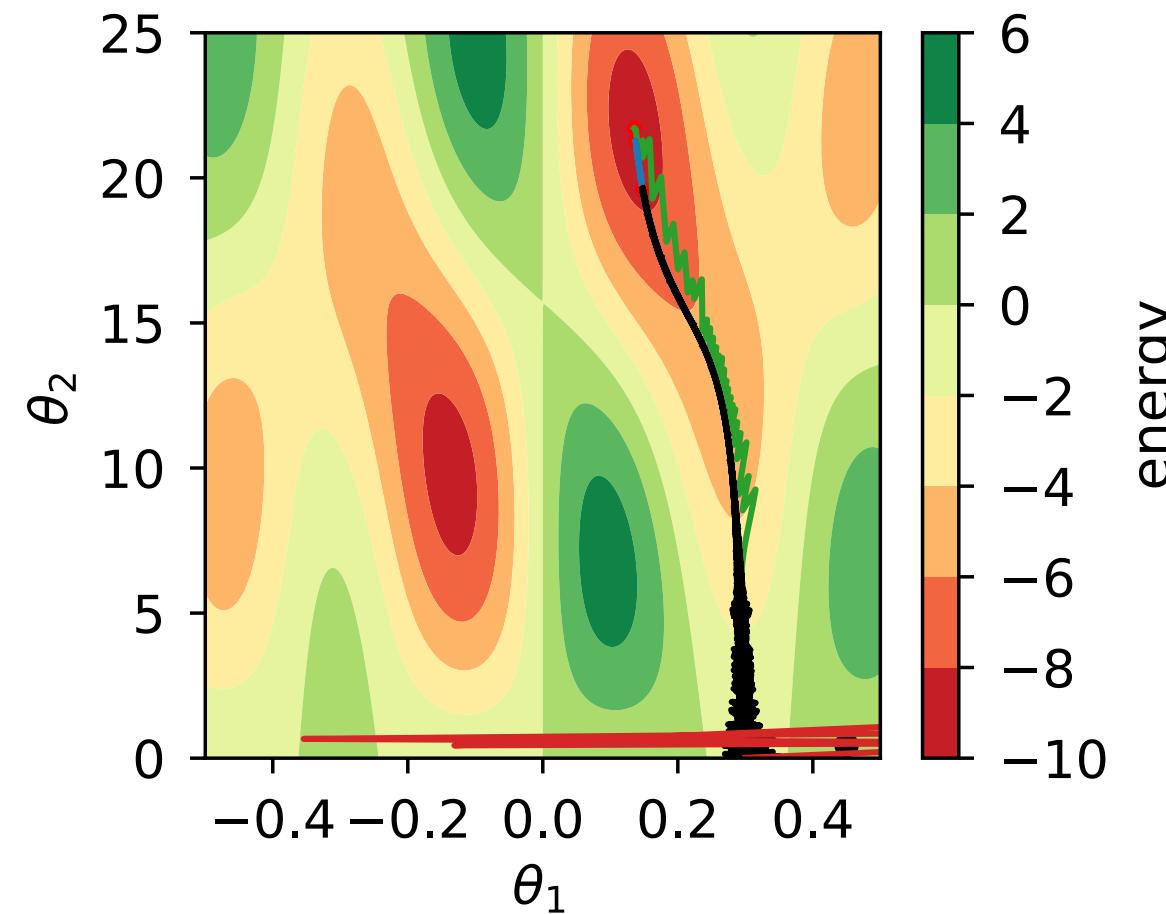
Problem	Classical Stochastic	Quantum
Variational Minimization	Variational Monte Carlo <i>[McMillan, 1965]</i>	Variational Quantum Eigensolver <i>[Peruzzo et al, 2014]</i>
Variational Imaginary Time Evolution	Stochastic Reconfiguration <i>[Sorella, 1998]</i>	<i>[McArdle et al, 2019]</i>
Variational Real Time Evolution	Time-Dependent Variational Monte Carlo <i>[Carleo et al, 2012]</i>	TDVA <i>[Lee and Benjamin, 2017]</i>
Machine Learning	Natural Gradient Descent <i>[Amari, 1998]</i>	Quantum Natural Gradient Descent <i>[Stokes et al, 2020]</i>

# O7.4 - Fast Quantum Natural Gradient: QN-SPSA

$$g_{ij}(\theta) = -\frac{1}{2} \frac{\partial}{\partial \theta_i} \frac{\partial}{\partial \theta_j} |\langle \psi_{\theta'} | \psi_{\theta} \rangle|^2 \Big|_{\theta'=\theta}$$

Gacon, Zoufal, Carleo, and Woerner  
arXiv:2103.09232, (2021)

- Natural SPSA
- SPSA
- SPSA manually calibrated
- SPSA auto-calibrated



07.

# Outlook.

Classical  
Variational  
Simulation



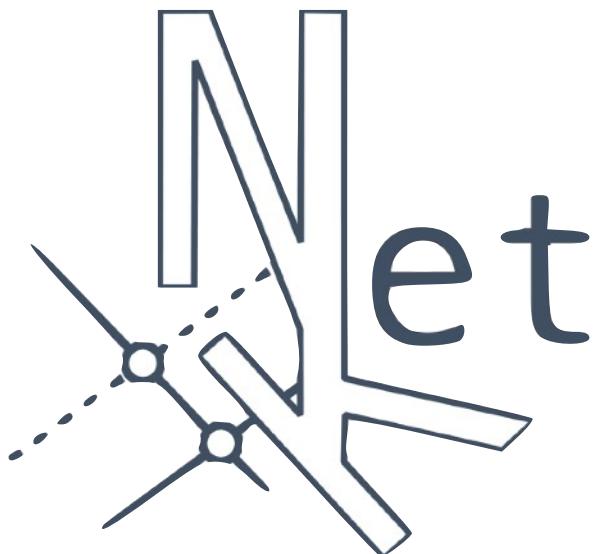
Quantum  
Variational  
Simulation

Highly  
Entangled State  
  
General Guiding  
Principle for  
Networks?

Potentially More  
Expressive  
  
Noise

Exact Sampling  
[Autoregressive]  
  
Efficiently  
Enforce  
Symmetries

“Arbitrary”  
Unitaries  
  
Shallow Circuits



# The NetKet Project

[www.netket.org](http://www.netket.org)

## NetKet: A Machine Learning Toolkit for Many-Body Quantum Systems

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```
import netket as nk

# 1D Lattice
g = nk.graph.Hypercube(length=20, n_dim=1, pbc=True)

# Hilbert space of spins on the graph
hi = nk.hilbert.Spin(s=0.5, graph=g)

# Ising spin hamiltonian
ha = nk.operator.Ising(h=1.0, hilbert=hi)

# RBM Spin Machine
ma = nk.machine.RbmSpin(alpha=1, hilbert=hi)
ma.init_random_parameters(seed=1234, sigma=0.01)

# Metropolis Local Sampling
sa = nk.sampler.MetropolisLocal(machine=ma)
```



**Numba**

SoftwareX 10, 100311 (2019)



Computational Quantum Science Lab.

*Sharir, Shashua, and Carleo*  
arXiv:2103.10293, 2021

EPFL



*Choo, Mezzacapo, and Carleo*  
Nat. Comm. 11, 2368 (2020)

*Choo, Mezzacapo, and Carleo*  
Nat. Comm. 11, 2368 (2020)

*Stokes, Moreno, Pnevmatikakis, and Carleo*  
Phys. Rev. B 102, 205122 (2020)



*Gacon, Zoufal, Carleo, and Woerner*  
arXiv:2103.09232, (2021)

ETH zürich