

# AI against COVID-19: Screening X-ray images for COVID-19

## Part II - A lighter model for Covid-19 Detection

**Jack (Jianxiang) Xu**  
University of Waterloo  
projectbyjx@gmail.com

**Michael (Hongjian) Zhou**  
University of Waterloo  
michael.chou1998@outlook.com

### 1 Abstract

2 The main focus in this project would be further investigating and optimizing our hypothesis on pre-augmentation  
3 strategy to produce an improved model. In the prior round, we utilized three channels of the Resnet34 with basic  
4 morphological operators, which improved the model performance significantly from 80% to 99%. In this project, we  
5 will try to further evaluate the effect of different pre-augmentation on covid-19 detection particularly.

### 6 1 Introduction

7 Since 2016 when ResNet[1] was firstly introduced to ease deep learning for image recognition, it quickly gained  
8 dramatic popularity and explicit successful evidence in different real life application[2]. Unsurprisingly, ResNet50 was  
9 also introduced to help solve the challenges with COVID-19 detection from chest X-ray images [3].

### 10 2 Methodology

11 In this challenge, we were thinking in a different direction: if we can pre-augment the dataset such that it would be  
12 much easier for a selected model to learn the detection. In the first round, we realized that the chest scan is a grayscale  
13 image, which does not fully utilize the full capability of the ResNet model, and it takes quite a bit time to train and  
14 incapable to achieve extremely high score.

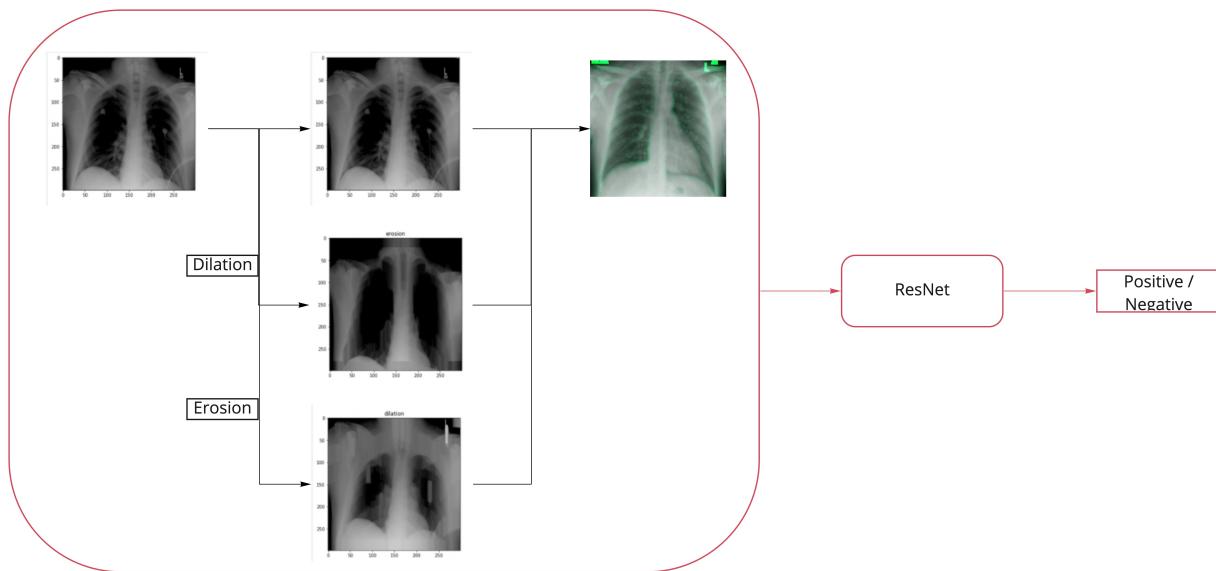


Figure 2-1: Our Proposed Model

15 So, what if we replace two channels with some basic homography operation: one to attenuate noises and enhance the  
 16 chest contour, and the other to amplify small features. Hence, we proposed a new augmentation method to simply  
 17 pre-process the given chest images with a dilated and eroded images stabbed in two different channels as shown in  
 18 Figure 2-1 above. The performance of the resultant model was proved to be promising explicitly.

## 19 **2.1 Dataset**

20 The model is trained based on the open source dataset from [4]. The dataset is initially pre-processed with channel  
 21 augmentation to improve the feature difference cross channels as shown in Figure Appendix -4.

## 22 **3 Results**

### 23 **3.1 ResNet Variance**

24 In order to reduce the complexity of the model, the number of repeated layers were reduced in ResNet model to generate  
 25 different models. As the complexity reduces, we may find some trending results as discussed in the following sections.  
 26 As shown in Table 3-1 below, we may find the model tends to learn slower and less stable comparing to a more complex  
 27 ones, as the early stopping can still capture later improvements in a longer epochs for a much simpler models.

Table 3-1: ResNet Model Reduced Variance

Model	ResNet 34	ResNet 18	ResNet 10	ResNet 10 (Ablation)
<b>Layer 1</b>	3	1	1	0
<b>Layer 2</b>	4	2	1	1
<b>Layer 3</b>	6	3	1	1
<b>Layer 4</b>	3	2	1	1
<b>Total Parameters</b>	<b>21.3M</b>	<b>12.3M</b>	<b>4.9M</b>	<b>4.9M</b>
<b>Epochs</b>	58	96	193	195
<b>Training Accuracy</b>	99.13%	99.52%	99.32%	99.5%
<b>Validation Accuracy</b>	99%	99.25%	99.5%	99.5%

### 28 **3.2 Evaluation Over External Dataset**

29 In order to further evaluate the generalization performance of the pre-trained models, we evaluate the model over a  
 30 completely different dataset from [5], with more categories in terms of non-covid scans as discussed in [6].

Table 3-2: ResNet Model Reduced Variance

Model	ResNet 34	ResNet 18	ResNet 10	ResNet 10 (Ablation)
<b>Epochs</b>	58	96	193	195
<b>Training Accuracy</b>	99.13%	99.52%	99.32%	99.5%
<b>Validation Accuracy</b>	99%	99.25%	99.5%	99.5%
<b>Covid (3616) Accuracy</b>	47.70%	51.41%	53.13%	51.91%
<b>Lung Opacity (6012) Accuracy</b>	51.98%	45.54%	46.84%	48.44%
<b>Normal (10192) Accuracy</b>	54.89%	49.30%	52.01%	50.69%
<b>Viral Pneumonia (1345) Accuracy</b>	55.32%	51.60%	57.17%	47.96%

31 Interestingly, the performance is not great as shown in Table 3-2 above, since the input images are in  $299 \times 299$  instead  
 32 of a high resolution images over  $1000 \times 1000$ . The pre-processing kernels of dilation and erosion may over-exaggerate  
 33 the features and noises, as shown in Figure 3-2 below. As a result, the performance is not as good. In the later  
 34 investigation (as later discussed in Section 3.3), we will be exploring the options, such as two-stage resizing to ensure  
 35 the same rate of dilation and erosion on a similar dpi of the image.

### 36 **3.3 Reduced ResNet with the latest dataset**

37 The models above were initially trained with a balanced dataset with augmentation on all 2158 positive and 2158  
 38 negative samples. The latest dataset contains more positive images scans, so that we are able to train the model with up

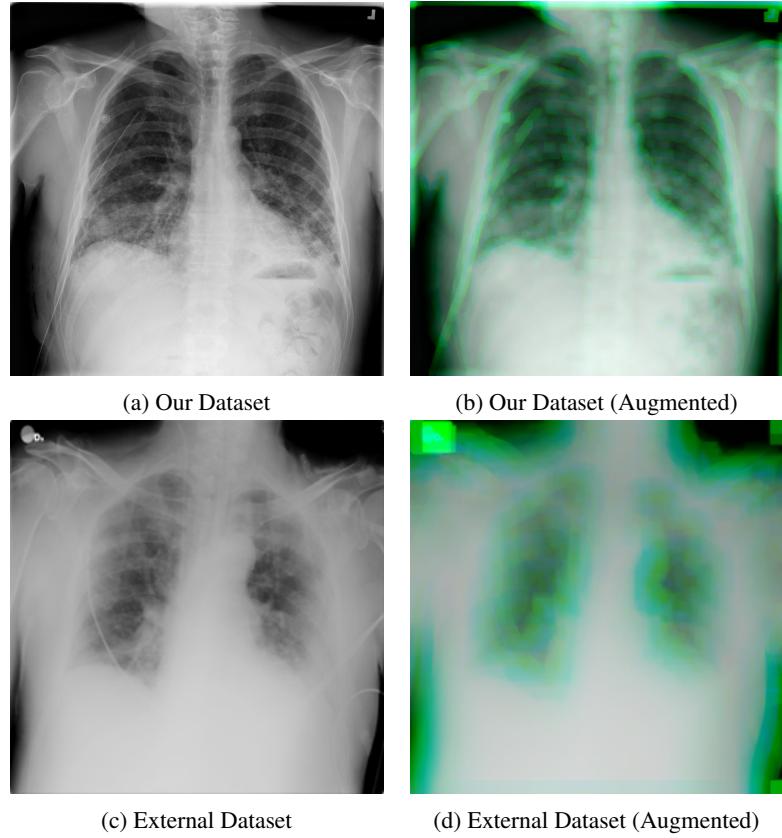


Figure 3-2: Dataset Difference

39 to 13992 images for each positive and negative samples. The model is evaluate on the same 400 balanced dataset as  
 40 before for early stopping as shown in Figure 3-3a. To note, in this training, we apply the basic homography operations  
 41 post the image resizing, reducing the inconsistency due to the original image resolution.

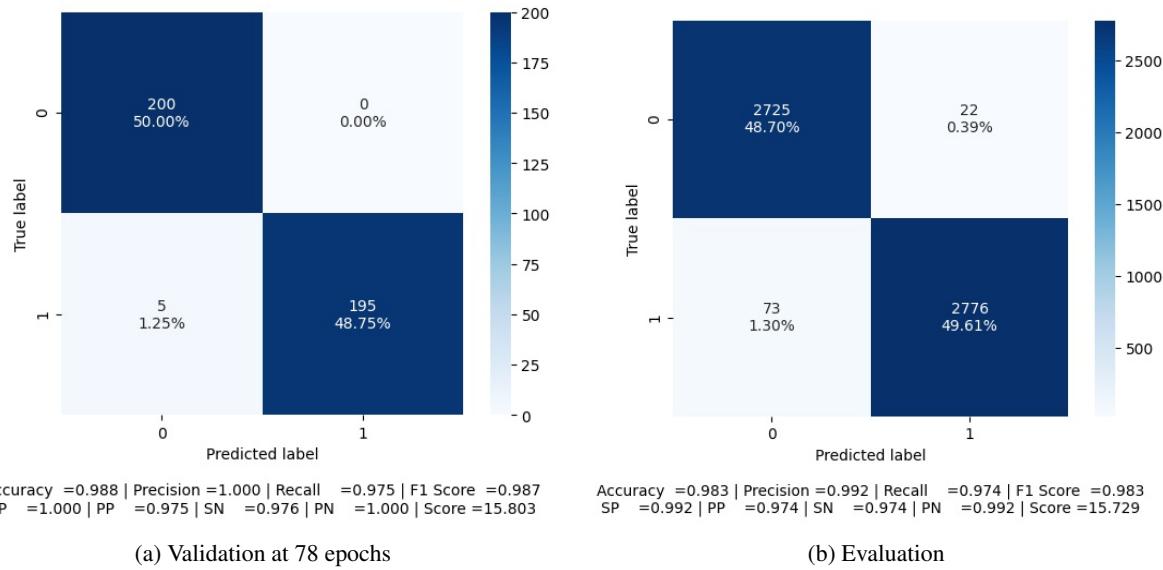


Figure 3-3: Confusion Matrices

- 42 To further investigate the performance of the model, the training dataset was split into 80% training and 20% evaluation.  
 43 The result for the selected ResNet 10 was trained and evaluated as shown in Table 3-3 below, with confusion matrix in  
 44 Figure 3-3b above.

Table 3-3: Reduced ResNet Model Trained On Larger Dataset

Model	ResNet 10
Parameters	4.9M
Input Size	(3 × 320 × 320)
Epochs	78
Training Accuracy	98.63%
80% Validation Accuracy	98.75%
Covid (3616) Accuracy	47.87%
Lung Opacity (6012) Accuracy	51.65%
Normal (10192) Accuracy	54.36%
Viral Pneumonia (1345) Accuracy	49.67%
20% Evaluation Accuracy	98.30%

- 45 It appears the model is not performing well on the external data sources even with the post homography operators.  
 46 However, the model works well with the dataset provided.

## 4 Discussion

- 48 Throughout our investigation, we found our pre-processing techniques work great with even reduced ResNet models,  
 49 which maintains the accuracy with four times smaller model comparing to the ResNet 34 initially implemented in the  
 50 first round. However, the pre-trained model did not perform well on the external dataset found from Kaggle, and further  
 51 investigations are needed. In short, we indeed find a significant performance boost by simple erosion and dilation  
 52 homography operations prior to the grayscale dataset, to fully utilize the capability of ResNet with cross-channel  
 53 correlation.

54 **References**

- 55 [1] K. He, X. Zhang, S. Ren, and J. Sun, “Deep residual learning for image recognition,” in *2016 IEEE Conference on*  
56 *Computer Vision and Pattern Recognition (CVPR)*, 2016, pp. 770–778.
- 57 [2] F. He, T. Liu, and D. Tao, “Why resnet works? residuals generalize,” *IEEE Transactions on Neural Networks and*  
58 *Learning Systems*, vol. 31, no. 12, pp. 5349–5362, 2020.
- 59 [3] S. Karakanis and G. Leontidis, “Lightweight deep learning models for detecting covid-19 from chest  
60 x-ray images,” *Computers in Biology and Medicine*, vol. 130, p. 104181, 2021. [Online]. Available:  
61 <https://www.sciencedirect.com/science/article/pii/S0010482520305126>
- 62 [4] “Covidx cxr-2 dataset.” [Online]. Available: <https://www.kaggle.com/andyczao/covidx-cxr2>
- 63 [5] “Covid-19 radiography database.” [Online]. Available: <https://www.kaggle.com/tawsifurrahman/covid19-radiography-database>
- 64 [6] M. E. H. Chowdhury, T. Rahman, A. Khandakar, R. Mazhar, M. A. Kadir, Z. B. Mahbub, K. R. Islam, M. S. Khan,  
65 A. Iqbal, N. A. Emadi, M. B. I. Reaz, and M. T. Islam, “Can ai help in screening viral and covid-19 pneumonia?”  
66 *IEEE Access*, vol. 8, pp. 132 665–132 676, 2020.

68 **Glossary**

69 **Resnet34** Resnet34 is a 34 layer convolutional neural network

70 **Acronyms**

71 **CNN** Convolutional Neural Network

72 **ResNet** Residual Neural Network

73 **MLP** Multi-Layer Perceptron

# Appendix

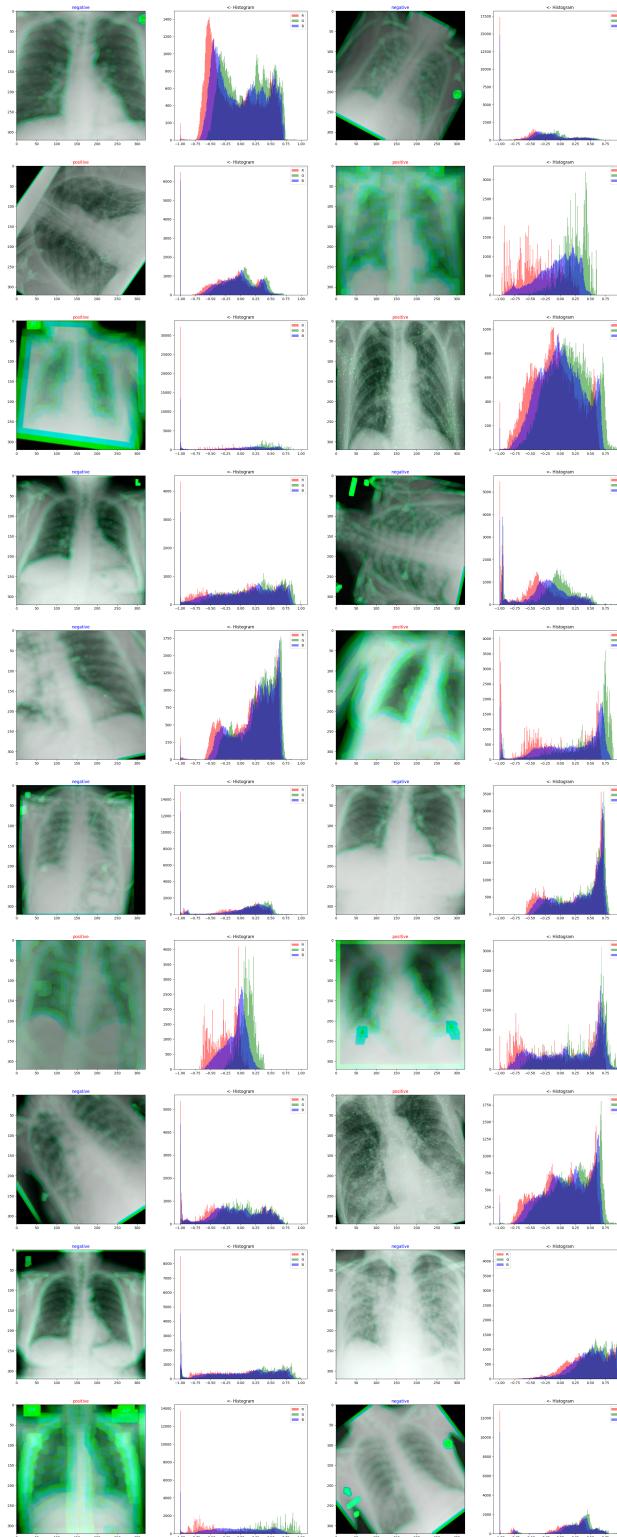


Figure Appendix -4: Pre-processed Training Samples