

# Audio Plugin Development with QtGrpc

## A Journey Into Remote GUIs

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### **Abstract**

The Qt project is a robust C++ framework, prominently recognized for enabling the development of cross-platform applications. Within the realm of the audio industry, Qt maintains a significant presence amongst professional entities. However, when examining the domain of audio-plugins, there's a notable absence of Qt-based user interfaces. This document elucidates the reasons and challenges contributing to this absence. Furthermore, it introduces a structured approach to address these challenges. While users often navigate the market in search of plugins that cater to their requirements, developers face constraints in crafting such plugins. The objective of this research is to bridge this disparity, enhancing the resources available to developers, especially in the creation of graphical user interfaces for audio plugins.

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## Chapter 1: Introduction

Throughout this work, we will discuss plugins and their impact on the usability of an application. Specifically, we will delve into the development of graphical user interfaces (GUIs) for audio plugins, examining their influence on user experiences and their relationship with development experiences. When considering GUIs<sup>1</sup> broadly, it's natural to contemplate their flexibility and stability. The sheer number of operating systems, graphic backends, and platform-specific details is more than any single developer could realistically address.

Investing time in learning and potentially mastering a skill naturally leads to the desire to apply it across various use-cases. Opting for a library that has *withstood the test of time* enhances stability, yet professionals often seek continuity, preferring not to re-acquaint themselves with a topic merely because API<sup>2</sup> of our chosen toolkit doesn't support the targeted platform.

Therefore, Qt, a cross-platform framework for crafting GUIs, comes to mind when contemplating the development of an audio plugin UI<sup>3</sup> intended for widespread platform compatibility. The expertise gained from utilizing the Qt framework is versatile, suitable for crafting mobile, desktop, or even embedded applications without relearning syntax or structure. As one of Qt's mottos aptly states:

Code once, deploy everywhere.

The significance of this subject becomes evident when browsing the forum "kvradio.com", a renowned platform for audio-related discussions.

A brief search of:

"Qt" "Plugin" :site www.kvradio.com

uncovers 57'800 results, with 580 from the span between 10/19/2022 and 10/19/2023. While the weight of such figures may be debated, they undeniably highlight the relevance and potential of Qt as a viable choice for audio plugin development.

## 1.1 Background

### 1.1.1 Plugins: Shared Libraries

When discussing plugins written in a compiled language, we typically refer to them as shared libraries. A shared library, also known as a dynamic library or DSO<sup>4</sup>, is a reusable object that exports a table of symbols (e.g., functions, variables, global data). These libraries are loaded into shared memory once and made accessible to all instances that might utilize them. This approach ensures efficient memory and resource management. Commonly used libraries can greatly benefit from this. However, this efficiency can compromise portability, as these libraries must either be present on the target platform or packaged with the application.

A canonical example is the *standard C library* (libc). Given its presence in nearly every application, the efficiency of shared libraries becomes evident.

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<sup>1</sup>Graphical User Interfaces

<sup>2</sup>Application Programming Interface

<sup>3</sup>User Interface

<sup>4</sup>Dynamic Shared Object

To demonstrate, we'll examine the shared object dependencies of some standard applications using the Linux utility **ldd**:

```
ldd /usr/bin/git
linux-vdso.so.1 (0x00007ffcf7b98000)
libpcre2-8.so.0 => /usr/lib/libpcre2-8.so.0 (0x00007f5c0f286000)
libz.so.1 => /usr/lib/libz.so.1 (0x00007f5c0f26c000)
libc.so.6 => /usr/lib/libc.so.6 (0x00007f5c0ec1e000)

ldd /usr/bin/gcc
linux-vdso.so.1 (0x00007ffef8dfd000)
libc.so.6 => /usr/lib/libc.so.6 (0x00007fcf68af9000)
```

Shared libraries can be further categorized into:

- *Dynamically linked libraries* - The library is linked against the application after the compilation. The kernel then loads the library, if not already in shared memory, automatically upon execution.
- *Dynamically loaded libraries* - The application takes full control by loading libraries manually with tools like [dlopen](#) or [QLibrary](#).

For audio plugins, the latter method is employed to load plugin instances. The interface defined by a plugin standard can be viewed more as a communication layer, resonating more with a request-response paradigm than the conventional utility functions of linked libraries.

Considering the foundational role of shared libraries in every plugin, it's beneficial to delve deeper into their intricacies. Let's explore a basic example:

```
// simplelib.cpp
#include <format>
#include <iostream>
#include <string_view>
#include <source_location>

#ifdef _WIN32
#   define EXPORT __declspec(dllexport)
#else
#   define EXPORT __attribute__((visibility("default")))
#endif

extern "C" EXPORT void lib_hello() {
    constexpr std::string_view LibName = "simplelib";
    std::cout << std::format(
        "{}: called from {}:{}\n", LibName,
        std::source_location::current().file_name(),
        std::source_location::current().line()
    );
}
```

This code defines a minimal shared library. After including the required standard-headers, we define a

compile time directive that is used to signal the visibility of the exported symbols. Windows and Unix based systems differ here. On Windows with MSVC the symbols are *not* exported by default, and require explicit marking with `__declspec(dllexport)`. On Unix based systems we use the visibility attribute. Since by default all symbols are exported on these platforms, rendering this attribute seemingly redundant, it remains advantageous to maintain clarity. This would also allow us to control the visibility in the linking step by simply using the linker flag `-fvisibility=hidden` to hide all symbols.

The function `void lib_hello()` is additionally marked with `extern "C"` to provide C linkage, which makes this function also available to clients loading this library from C-code. The function then simply prints the name and the source location of the current file.

Now let's have a look at the host, which is loading the shared library during its runtime. The implementation is Unix specific but would follow similar logic on Windows as well:

```
// simplehost.cpp
#include <cstdlib>
#include <iostream>
#include <dlfcn.h>

int main()
{
    // Load the shared library.
    void* handle = dlopen("./libsimplelib.so", RTLD_LAZY);
    if (!handle)
        return EXIT_FAILURE;
    // Resolve the exported symbol.
    auto *hello = reinterpret_cast<void (*)>(dlsym(handle, "lib_hello"));
    if (!hello)
        return EXIT_FAILURE;
    // Call the function.
    hello();
    // Unload the shared library.
    dlclose(handle);
    return EXIT_SUCCESS;
}
```

For simplicity reasons the error handling has been kept to a minimum. The code seen above is basically all it takes to *dynamically load libraries*, and is what plugin-hosts are doing to interact with the plugin interface.

To finalize this example, let's write a minimal build script and run our `simplehost` executable.

```
#!/bin/bash
# build_and_run.sh

mkdir -p build
# Compile the shared library. PIC means position independent code and
# is required for shared libraries on Unix systems.
```

```
g++ -shared -o build/libsimplelib.so simplelib.cpp -fPIC -std=c++20 -Wall -Wextra -pedantic

# Compile the host program. The -ldl flag is required to link the
# dynamic loader on Unix systems.
g++ -o build/simplehost simplehost.cpp -ldl -Wall -Wextra -pedantic

# Run the host program. We change to the build directory, because
# the host expects the shared library to be in the same directory.
cd build/ || exit
./simplehost
```

And finally run our script:

```
./build_and_run
simplelib: called from simplelib.cpp:18
```

### 1.1.2 Plugins: Overview

**Plug-ins**, at their essence, serve as dynamic extensions, enhancing the capabilities of a plugin-loading host. One can perceive them as *on-demand* functionalities ready for deployment. Their prevalence is evident across both the software and hardware domains. For instance, they can be specialized filters added to image processing applications like *Adobe Photoshop*, dynamic driver modules integrated into operating systems such as *GNU/Linux* (Vandevoorde, 2006), or system-specific extensions found in frameworks like *Qt*.

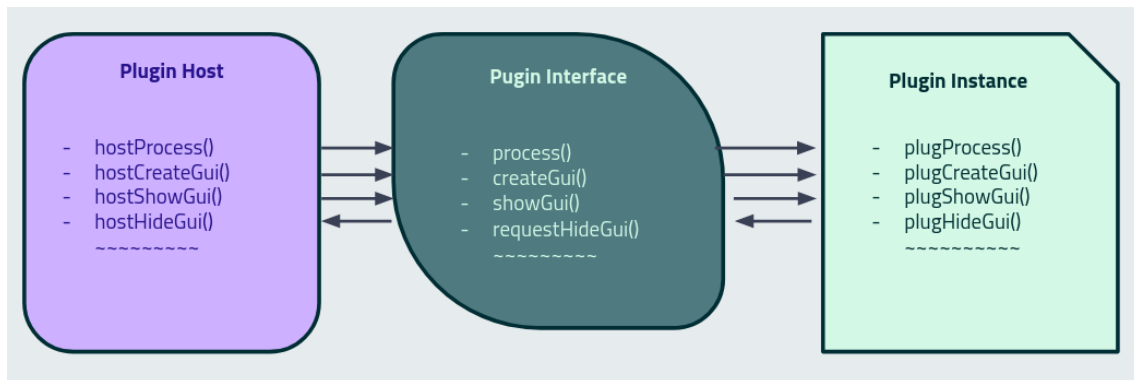


Figure 1: basic plugin architecture

Figure 1 offers a visual representation of this mechanism. On the left, we have the host, which is equipped with the capability to accommodate the plugin interface. Centrally located is the plugin interface itself, serving as the communication bridge between the host and the plugin. The right side showcases the actual implementation of the plugin. Once the shared object is loaded successfully by the host, it actively *requests* the necessary functionalities from the plugin as and when required. This interaction entails invoking specific functions and subsequently taking actions based on the results. While plugins can, on occasion, prompt functions from the host, their primary role is to respond to the host's requests. The foundation of this interaction is the meticulously designed plugin interface that facilitates this bilateral communication.

A concrete example of such an interface can be gleaned from the **CLever Audio Plugin** (CLAP) format. This standard dictates the communication protocols between a **Digital Audio Workstation** (DAW) and its various plugins, be it synthesizers, audio effects, or other elements. A segment from the C-API reads:

```
// Call start processing before processing.
// [audio-thread & active_state & !processing_state]
bool(CLAP_ABI *start_processing)(const struct clap_plugin *plugin);
```

To unpack this, the function outlines the calling convention for a function pointer named `start_processing`. This pointer returns a `bool` and receives a constant pointer to the struct `clap_plugin` as an argument. Embedded within this is the preprocessor macro `CLAP_ABI`, defined as:

```
#if !defined(CLAP_ABI)
#   if defined _WIN32 || defined __CYGWIN__
#       define CLAP_ABI __cdecl
#   else
#       define CLAP_ABI
#   endif
#endif
```

For Windows and similar platforms, the attribute `__cdecl` is adopted, ensuring adherence to the C-style function calling convention. On other platforms, this macro is unassigned. This implementation detail only becomes pertinent for developers intent on designing their own plugin interface.

Throughout our discussions, we'll frequently reference the terms *ABI* and *API*. To ensure clarity, let's demystify these terms. The **API**, an acronym for **Application Programming Interface**, serves as a blueprint that dictates how different software components should interact. Essentially, it acts as an agreement, ensuring that software pieces work harmoniously together. If we think of software as a puzzle, the API helps ensure that the pieces fit together.

On the flip side, we have the **ABI** or **Application Binary Interface**, which pertains to the actual executable binary. While the API sets the communication norms, the ABI ensures they're executed accurately.

As software undergoes iterative development—evolving from version 1.1 to 1.2, and then to 1.3, and so forth—maintaining a consistent interface becomes paramount. Ideally, software designed using version 1.3 of an interface should seamlessly operate with version 1.1. This seamless integration without necessitating recompilation or additional adjustments is known as **Binary Compatibility**. It ensures that different versions of software libraries can coexist without conflict. Notably, while binary compatibility emphasizes the consistent presence of binary components, it doesn't imply rigidity in the API.

Beyond this, there's the concept of **Source Compatibility**. This pertains to preserving the integrity of the API. Achieving this form of compatibility demands meticulous planning and entails upholding a uniform API, precluding drastic alterations like function renaming or behavioral modifications.

### 1.1.3 Audio Plugins: Structure and Realtime

In exploring the realm of audio plugins, two primary components emerge in their structure: a realtime audio section and a controlling section.



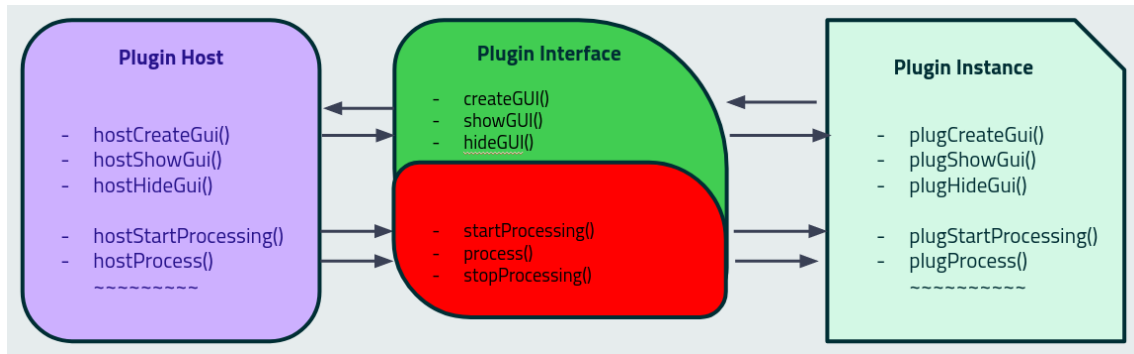


Figure 2: basic audio plugin architecture

Figure 2 illustrates a structure closely resembling Figure 1. The plugin interface now features two distinct colors to aid differentiation. The green section pertains to the host's interaction with the plugin through a low-priority main thread. This primarily handles tasks such as GUI setup and other control-related functions. "Low priority" implies that it's permissible to conduct non-deterministic operations which may momentarily halt progress.

The red segment signifies that the API is being invoked by a high-priority realtime thread. These functions are called frequently and demand minimal latency to promptly respond to the host. They process all incoming events from the host, including parameter changes and other vital events essential for audio processing. When processing audio, the plugin integrates all necessary alterations and executes the specified function, as demonstrated by a gain plugin; A typical gain modifies the output volume and possesses a single parameter: the desired gain in decibels to amplify or diminish the output audio.

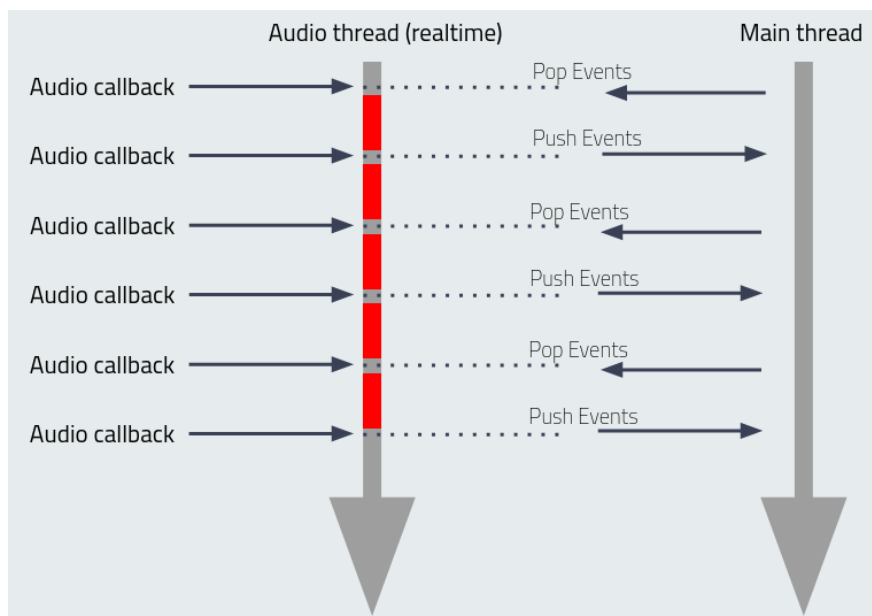


Figure 3: realtime overview(Doumler, 2023)

A challenge in audio programming stems from the rapidity with which the audio callback needs a response. The standard structure unfolds as:

1. The host routinely invokes a process function, forwarding the audio input buffer as an argument, along with any events related to the audio samples (such as a user adjusting a dial within the host).
2. The plugin must swiftly act on this buffer and relay the modified audio buffer back to the host. Given that a GUI is often integrated, synchronization with the audio engine's events is vital. Once all audio samples and events are processed, these changes are communicated back to the GUI.

Failure to meet the callback's deadline, perhaps due to extended previous processing, can lead to audio disruptions and glitches. Such inconsistencies are detrimental to professional audio and must be diligently avoided. Hence, a known saying is:

Don't miss your Deadline!

$$\frac{AudioBufferSize}{SamplingFrequency} = CallbackFrequency$$

$$\frac{512}{48000Hz} = 10.67ms$$

Figure 4: realtime callback frequency

Figure 4 presents the formula for determining the minimum frequency at which the processing function must supply audio samples to prevent glitches and drop-outs. For 512 individual sampling points, at a sample rate of 48,000 samples per second, the callback frequency stands at **10.67ms**. Audio's block-size generally fluctuates between 128 - 2048 samples, with sampling rates ranging from 48,000 - 192,000 Hz. Consequently, our callback frequency must operate within approximately **2.9ms - 46.4ms** for optimal functionality.

This, however, merely scratches the surface. The intricacy lies in devising algorithms and data structures that align with these specifications. Comparing the constraints of a realtime thread with a standard thread bereft of such requirements elucidates this: #TODO:

# Problems to Real-time

	Real-time	Non-real-time
CPU work	✓	✓
Context switches	✓ (avoid)	✓
Memory access	✓ (non-paged)	✓
System calls	✗	✓
Allocations	✗	✓
Deallocations	✗	✓
Exceptions	✗	✓
Priority Inversion	✗	✓

Figure 5: realtime limitations([Fabian Renn Giles, 2020](#))

*Figure 5* compares realtime to non-realtime requirements. In short we can't use anything that has non-deterministic behavior. We want to know exactly how long a specific instruction takes to create an overall structure that provides a deterministic behavior. *System calls* or calls into the operating system kernel, are one of such non-deterministic behaviors. They also include allocations and deallocations. None of those mechanisms can be used when we deal with realtime requirements. This results in careful design decisions that have to be taken when designing such systems. We have to foresee many aspects of the architecture and use pre-allocated containers and structures to prevent a non-deterministic behavior. For example to communicate with the main thread of the application we use non-blocking and wait free data structures as FIFO's<sup>5</sup> or ring-buffers to complement this.

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<sup>5</sup>First In First Out

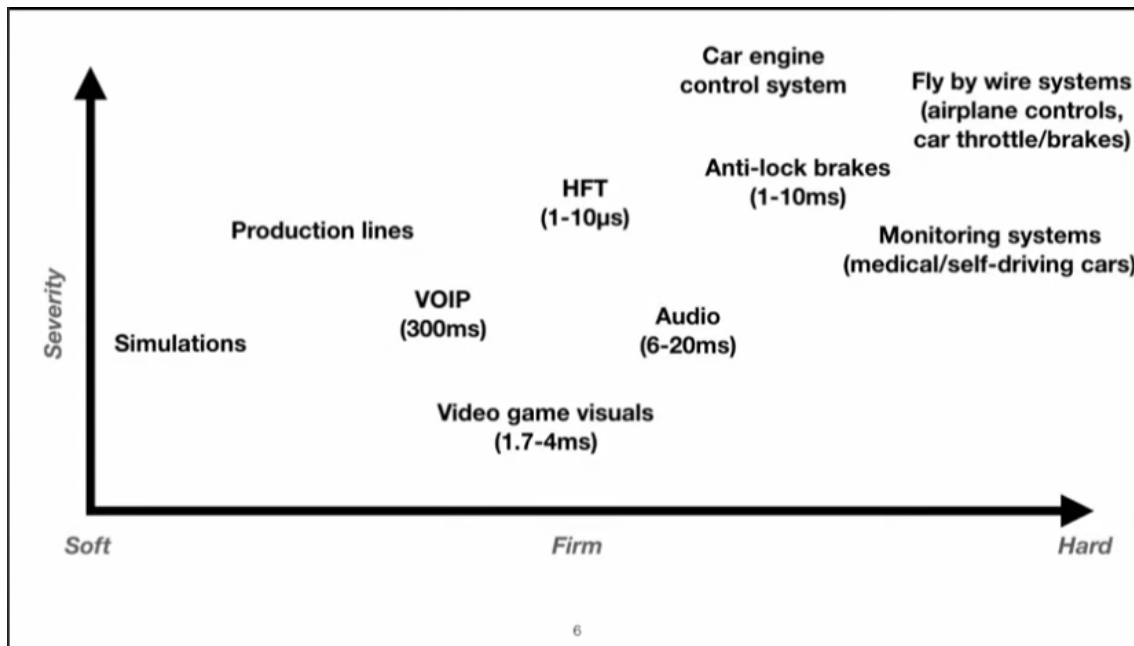


Figure 6: realtime ranking(Fabian Renn Giles, 2020)

Figure 6 classifies various realtime systems by their severity (Y-axis) and the impact on overall design (X-axis). Realtime can be partitioned into three categories: Soft-Realtime, Firm-Realtime, and Hard-Realtime. High-severity lapses might have catastrophic consequences. For instance, in medical monitoring systems or car braking systems, missing a deadline can be fatal, leading to a literal **deadline**. Audio sits in the middle, where a missed deadline compromises professional utility but doesn't pose dire threats. Video game rendering bears even lesser severity, as occasional frame drops don't render the product ineffectual and are relatively commonplace.

#### 1.1.4 Audio Plugins: Standards and Hosts

Over time, various audio plugin standards have evolved, but only a select few remain significant today. The table below provides an overview of some of the most well-recognized standards:

Standard	Extended Name	Developer	File Extension	Supported OS	Initial Release	Licensing
CLAP	Clever Audio Plugin	Bitwig & U-he	.clap	Windows, MacOS & Linux	2022	MIT
VST/VST3	Virtual Studio Technology	Steinberg	.dll, .vst, .vst3	Windows, MacOS & Linux	2017	GPLv3, Steinberg Dual License (Email)
AAX	Avid Audio Extension	Pro Tools (Avid)	.aax	Windows & MacOS	2011	Approved Partner (Email)
AU	Audio Units	Apple macOS & iOS	.AU	MacOS	"Cheetah" 2001	Custom License Agreement

Certain standards cater specifically to particular platforms or programs. For instance, Apple's **AU** is seamlessly integrated with their core audio SDK<sup>6</sup> (Apple, 2023). Similarly, Avid's **AAX** is designed exclusively for plugin compatibility with the Pro Tools DAW<sup>7</sup>. On the other hand, standards like the **VST3** SDK are both platform and program independent, and it's currently among the most popular plugin standards. Additionally, the newly introduced **CLAP** is also gaining traction.

Most commonly, these plugins are hosted within **Digital Audio Workstations (DAWs)**. These software applications facilitate tasks such as music production, podcast recording, and creating custom game sound designs. Their applicability spans a broad spectrum, and numerous DAW manufacturers exist:

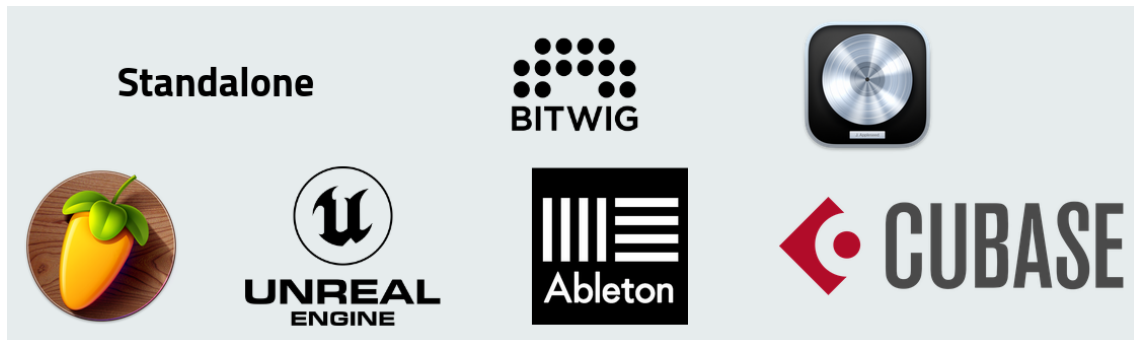


Figure 7: plugin hosts

However, DAWs are not the only plugin hosts. Often, plugin developers include a *standalone* version that operates independently of other software. A recent noteworthy development in this domain is the game industry's move towards these plugins, exemplified by Unreal Engine's forthcoming support for the **CLAP** standard, as unveiled at *Unreal Fest 2022* (Engine, 2023).

## 1.2 Problem Statement

A primary challenge in integrating Qt user interfaces within restricted audio plugin environments hinges on reentrancy. A program or function is considered re-entrant if it can safely support concurrent invocations, meaning it can be "re-entered" within the same process. Such behavior is vital as audio plugins often instantiate multiple times from the loaded shared libraries. To illustrate this challenge, consider the following example:

```
// reentrancy.cpp
#include <iostream>

void nonReentrantFunction() {
    std::cout << "Non-Reentrant: ";
    for (static int i = 0; i < 3; ++i)
        std::cout << i << " ";
    std::cout << std::endl;
}
```

---

<sup>6</sup>Software Development Kit

<sup>7</sup>Digital Audio Workstation

```

void reentrantFunction() {
    std::cout << "Reentrant: ";
    for (int i = 0; i < 3; ++i)
        std::cout << i << " ";
    std::cout << std::endl;
}

int main() {
    std::cout << "First call:" << std::endl;
    nonReentrantFunction();
    reentrantFunction();

    std::cout << "\nSecond call:" << std::endl;
    nonReentrantFunction();
    reentrantFunction();

    return 0;
}

```

In C++, static objects and variables adhere to the [static storage duration](#):

The storage for the object is allocated when the program starts and deallocated when it concludes. Only a single instance of the object exists.

The crux here is the singular instance of the object per application process. This necessitates caution when working with static types where reentrancy is essential. When the above program is executed, the outcome is:

```

# Compile and run the program
g++ -o build/reentrancy reentrancy.cpp -Wall -Wextra -pedantic-errors \
;./build/reentrancy

First call:
Non-Reentrant: 0 1 2
Reentrant: 0 1 2

Second call:
Non-Reentrant:
Reentrant: 0 1 2

```

While the initial invocation for both functions is successful, re-entering the function during the second call yields no output for the non-reentrant function. This outcome stems from the use of the static specifier in the for loop counter, causing the variable to not meet the `i < 3` condition during the second entry. This example serves to emphasize the interplay between *static storage duration* and function reentrancy.

While static objects facilitate global accessibility and can foster improved application design, challenges arise. For instance, the QApplication variants (e.g., QCoreApplication, QGuiApplication), housing the Qt

event loop initiated via `QCoreApplication::exec()` , are static:

```
// qtbase/src/corelib/kernel/qcoreapplication.h
static QCoreApplication *instance() noexcept { return self; }
```

This implies that only one `QApplication` can exist per process. Challenges arise if a plugin-loading-host, like [QTractor](#) does, employs a `QApplication` object or when multiple plugin instances load within a single process.

Furthermore, event loop's blocking nature means that `QCoreApplication::exec()` only returns post-execution. Yet, the plugin standards in discussion necessitate return capabilities.

Although there are various workarounds, like compiling Qt under a separate namespace and initiating the event loop in an isolated thread, these often fall short. Such methods either introduce undue complexity, as seen with namespace compilation for their users, or induce instability, as with separate thread initiation.

### 1.3 Objectives

This study endeavors to pave the way for innovative techniques that allow seamless integration of graphical user interfaces developed with the Qt framework into an audio plugin standard. Central to this goal is enabling the initiation of these GUIs directly from a host, while ensuring efficient and responsive communication between the two entities (host <-> GUI). The overall user experience is pivotal; hence, the development process of these Qt GUIs should not only feel native but also be intuitive. This implies that events triggered by the host should effortlessly weave into Qt's event system. Additionally, these events should be designed to offer signal & slot mechanisms, ensuring they are readily available for utilization within various UI components, streamlining development and promoting a more organic interaction between components.

### 1.4 Scope and Limitations

The cornerstone of this investigation is the **CLAP** plugin standard. Recognized for its innovative capabilities and being at the forefront of current technologies, it stands as the most promising contender for such integration tasks. The primary ambition of this work revolves around bridging the gap between the two distinct realms of audio plugins and Qt GUIs. However, it's imperative to note that this research doesn't aim to deliver a universal, cross-platform solution. The focus remains steadfast on the Linux platform, ensuring compatibility and support for both X11 and Wayland protocols. By confining the research to this scope, the study seeks to delve deeper into the intricacies and nuances of the integration process, ensuring a robust and effective methodology.

## **Chapter 2: The Qt Framework**

- Provide a comprehensive introduction to the Qt framework, its history, and its key features.
- Discuss the advantages of using Qt for software development.

### **2.2 Qt Modules and Architecture, QtGRPC**

- Explore the various modules and components of the Qt framework.
- Explain the architecture and design principles of Qt.

### **2.3 Qt APIs and Tools**

- Discuss the different APIs and tools provided by Qt for application development.
- Highlight relevant APIs that will be utilized in the integration process.



## **Chapter 3: The Clap Audio Plugin Format**

### **3.1 Introduction to Clap**

- Explain the purpose and significance of the Clap audio plugin format.
- Discuss its role in the audio processing industry.

### **3.2 Clap Plugin Structure**

- Describe the structure and organization of Clap audio plugins.
- Explain the required components and their functionalities.
- Events, Realtime

### **3.3 Clap API and Specifications**

- Explore the Clap API and its usage in developing audio plugins.
- Discuss the specifications and guidelines for creating Clap-compatible plugins.

## **Chapter 3.5: Realtime, Events, Data Structures, Communications**

## **Chapter 4: Integrating Qt with Clap**

### **4.1 Design Considerations**

- Discuss the design principles and considerations for integrating Qt with Clap.
- Address any challenges or conflicts that may arise during the integration process.

### **4.2 Architecture and Implementation**

- clap-remote
- Propose an integration architecture that leverages the strengths of both Qt and Clap.
- Detail the implementation steps and techniques involved in the integration.

## **Chapter 5: Experimental Results and Evaluation**

### **5.1 Test Setup and Methodology**

- Explain the experimental setup used for evaluating the integrated solution.
- Describe the methodology employed to measure the performance and effectiveness.

### **5.2 Results and Analysis**

- Mention all problems: GLib event loop / no auto attach on linux
- Present the empirical results obtained from the experiments.
- Analyze and interpret the results in relation to the integration objectives.

## **Chapter 6: Discussion and Conclusion**

### **6.1 Summary of Findings**

- Summarize the key findings and outcomes of the research.

### **6.2 Discussion of Results**

- Discuss the implications and significance of the results obtained.
- Compare the integrated solution with existing approaches and discuss its advantages.

### **6.3 Contributions and Future Work**

- Highlight the contributions of the thesis to the field of audio processing and software development.
- Identify potential areas for future research and improvement in the integration process.

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