

COMP 302: In Class Notes

Ryan Ordille

March 14, 2012

1 03 February

Regular Expression Matching

Typical patterns:

- Singleton: matching a specific character
- Alternation: choice between two patterns
- Concatenation: succession of patterns
- Iteration: repeat a certain pattern (indefinite)

Regular expressions;

- 0 and 1 are regular expressions.
- If $a \in \Sigma$ where Σ is an alphabet, then a is a regular expression.
- If r_1 and r_2 are regular expressions, then $r_1 + r_2$ (choice) and $r_1 r_2$ (concatenation) are regular expressions.
- If r is a regular expression, then r^* is a regular expression (repetition).

Examples;

- $a(p^*)l(e+y)$ matches against “apple”, “apply”, “ale”
- $g(1+r)(e+a)y$ matches against “grey”, “gray”, “gey”, “gay” (1 means you can either have something there or not)
- $g(1+o)^*gle$ matches “google”, “ggle”

Our goal is to implement a regex matcher in SML.

Regular expression algorithm:

```

s matches 1
  iff s is empty
s matches a
  iff s = a
s matches r1+r2
  iff either s matches r1
        or s matches r2
s matches r1r2
  iff s = s1s2 and s1 matches r1
        and s2 matches r2
s matches r*
  iff either s is empty
        or s = s1s2 where s1 matches r
        and s2 matches r*

```

Remember that continuations tell us what to do once an initial segment of the input char list has been matched.

In SML, using continuations:

```

datatype regexp = Zero | One | Char of char | Plus of regexp * regexp
                | Times of regexp*regexp | Star of regexp

```

```

fun accept r s =

```

```

(* acc r s cont = bool *)
(* acc: regexp -> char list -> (char list -> bool) -> bool *)
(* ex: a(p*)l(e+y) on [a,p,p,l,e] *)
fun acc (Char c) [] cont = false
  | acc (Char c) (c1::s) cont =
      c = c1 andalso (cont s)
  | acc (Times (r1,r2)) s cont =
      acc r1 s (fn s2 => acc r2 s2 cont)
  | acc (Plus (r1,r2) s cont =
      acc r1 s cont orelse acc r2 s cont
  | acc One s cont = cont s
  | acc (Star r) s cont =
      (* remove (1*) case - s must shrink *)
      (cont s) orelse
      acc r s (fn s' => not (s = s') orelse
                acc (Star r) s' cont)

```

2 6 February

2.1 Exceptions

We use exceptions to quit out from the runtime stack. We have already seen some built-in exceptions - for example, SML will throw a `Div` exception if you try to divide by zero (like `3 div 0`). Exceptions like this are used to abort a program safely whenever invalid input is given.

```
(* define the exception *)
exception Error of String;

fun fact n =
  let fun fact' n =
        if n = 0 then 1
        else n * fact'(n-1)
      in
        if n < 0 then raise Error "Invalid Input"
        else fact' n
      end;

(* non-exhaustive warning *)
fun head (h::_) = h;
(* uncaught exception Match *)
head [];
```

Sometimes we want to handle exceptions:

```
(* runFact: int -> unit *)
fun runFact n =
  let val r = fact n
  in print ("Factorial of " ^ Int.toString n ^ " is " ^ Int.toString r)
  end
  handle Error msg => print ("Error: " ^ msg)
```

To sequentialize expressions, use the `;` operator. `exp1;exp2` first executes `exp1` then executes `exp2`. This is equivalent to `(fn x => exp2) exp1`. This will be expanded upon in the next lecture.

We can pattern match on error codes:

```
(* define the exception *)
exception Error of int;
```

```

fun fact n =
  let fun fact' n =
        if n = 0 then 1
        else n * fact'(n-1)
      in
        if n < 0 then raise Error 00
        else fact' n
      end;

fun runFact n =
  let val r = fact n
  in
    print ("Factorial of " ^ Int.toString n ^ " is " ^ Int.toString r)
  end
  handle Error 00 => print ("invalid input")
         | Error 11 => print ("blah")
         | Error _ => print ("Something else")

```

Exceptions cannot be polymorphic, e.g. it cannot be of type `'a list` but can be of type `int list`.

Exceptions are usually pretty powerful in managing runtime stacks, but usually continuations are more powerful.

```

(* first arg: list of coins, second: what we want to get change for *)
change [50,25,10,5,2,1] 43;
(* result:  [25,10,5,2,1] *)

```

```

exception Change
(* change: int list -> int -> int list *)

```

```

fun change _ 0 = []
  | change [] amt = raise Change
  | change (coin::coins) amt =
    if coin > amt then change coins amt
    (*ignore this coin, look at other available coins*)
    else cont change (coin::coins) (amt - coin)
    (*could raise Change exception in following recursive steps *)
    handle Change => change coins amt

```

There are some situations where we cannot give change at all, but `change` does not handle these situations. Below, we handle this situation - `change` might not be able to do anything but raise `Change`, so this must be caught.

```
fun change_top coins amt =
  let val r = change coins amt
  in print ("Change:" ^ ListToString r)
  end
  handle Change => print "Sorry, can't give change."

change [5,2] 8
=>* if 5>8 then ... else (5::change[5,2] 3 handle Change change [2] 8)

change [2] 3
=>* 2::(change [2] 1 handle Change change [] 1)

change [2] 1 => change [] 1 => raise Change
(* goes to handle Change change [] 1 *)
(* then goes to handle Change change [2] 8, which succeeds *)
```

3 8 February

3.1 References (State)

Recall the binding/scope rules from the beginning of the class:

```
let
  val pi = 3.14
  val area = fn r => pi * r * r
val a2 = area 2.0 (*a2 = 12.56 *)
val pi = 6.0
in
  area (2.0) (* a2 = 12.56 *)
end;
```

So far, we have only seen bindings like the one above. For bindings, remember we have a variable name bound to some value. Today we will look at references, which are a form of mutable storage. References allow us to do imperative programming.

Commands:

- Initialize a cell in memory

`val r : int ref = ref 0` where `r` is the name of the cell and 0 is the content of the cell

`val s : int ref = ref 0` where `s` and `r` do not point to the same cell in memory

- Read what is stored in a cell

`val x = !r` will read from location `r` the value 0

`r : int ref` and `!r : int`

- Write some value into a cell (i.e update the content)

`r := 5 + 3` where `r : int ref` and `5+3 : int`

Previous content of cell `r` is erased when we store 8.

Evaluating `r:=3` returns `unit` and as an effect updates the content of the cell with 3.

`val x = !s + !r` binds `x` to 3.

`val t = r` essentially makes two names for the same cell in memory. Calling `val y = !t` binds `y` to the value of `r`. This is called *aliasing*.

We can rewrite our beginning function:

```
let
  val pi = ref 3.14
  val area = fn r => !pi * r * r
  val a2 = area 2.0 (* a2 = 12.56 *)
  val _ = (pi := 6.0)
in
  area (2.0) (* 24.00*)
end
```

Now we can program mutable data structures like Linked Lists:

```
datatype 'a rlist = Empty | RCons of 'a * ('a rlist) ref;
val l1 = ref (RCons(4, ref Empty));
```

For `l1`, we now have a value 4 with a reference to some place in memory with an `Empty` list.

```
val l2 = ref (RCons(5,l1));
```

For `l2` we have a value 5 with a reference to `l1` defined above.

```
l1 := !l2;
```

The above will remove the value of `l1`, change it to 5 (`l2`'s value) and create a reference back to this element. Here, we've created a circular list.

```
type 'a reflist = ('a rlist) ref;
(* rapp: 'a reflist * 'a reflist -> unit *)
```

```
(* returns unit as all we're doing is updating space in memory *)
fun rapp (r1 as ref Empty, r2) = r1 := !r2
  | rapp (ref (RCons (x,t)), r2) = rapp t r2
```

Now we can check this with some examples:

```
val rlist1 = ref (RCons(1,ref Empty))
val rlist2 = ref (RCons(2,ref Empty))
rapp rlist1 rlist2
```

4 10 February

4.1 References and the environment diagram

4.1.1 References for modelling closures and objects

```
local
  val counter = ref 0
in
  (* tick: unit -> unit *)
  fun tick () = counter := !counter + 1
  (* reset: unit -> unit *)
  fun reset () = counter := 0
  (* read: unit -> int *)
  fun read () = !counter
end
```

We can use this to create a counter program:

```
fun newCounter () =
  let
    val counter = ref 0
    fun tick () = counter := !counter + 1
    fun reset () = counter := 0
    fun read () = !counter
  in
    {tick = tick; reset = reset; read = read}
  end

val c1 = newCounter ();
val c2 = newCounter ();
```

```
#tick c1 (); (* increments c1's counter *)
#tick c2 ();
#tick c1 ();
#read c1 (); (* returns 2 *)
#read c2 (); (* returns 1 *)
```

In essence, we've created an object - every time we create a new counter, we create an instance of the object. We can now program in the object-oriented paradigm using ML (although the syntax isn't quite as built for OOP).

4.1.2 The Environment Diagram

`let val x = 5+3 in x+7 end`: will replace `x` by 8 then compute `8+7`.

So far, evaluation is driven by substitution. We substitute the value of `x` into the body. Unfortunately, the substitution model fails when we have references because substitutions cannot capture global effects.

We have three different kinds of bindings we'd like to track using the environment diagram. A binding is an association between a variable and a value.

1. `val x = 3+2` creates a "box" with the variable name `x` and its value 5.
2. `val x = ref (8+2)` creates a box with the variable name and a location pointing to another box with the value 10.
3. `val f = fn x => x + 3` creates a box with the function name and a location pointing to a box with the input and the function body, where this box points back to the original box.
`val f = let val y = 8+2 in fn y => y + x end` adds another box (an extra step) with the local body.

5 13 February

5.1 Lazy Evaluation

So far, we've had an *eager evaluation* strategy. For example, `let x = e1 in e2 end` will evaluate `e1` to some value `v1` and bind `x` to the value `v1`, then evaluate `e2`. This is also known as a *call-by-value* strategy. Why should we evaluate `e1` if we never use it at all.

This is especially relevant with "harder" computations.


```
let val y = horribleComp(522)
in 3*2 end
```

With the call-by-value strategy, we always compute `horribleComp(322)`, even if we never use it.

We also have the *call-by-name* strategy. In the original example, it will bind `x` to the expression `e1`, then evaluate `e2`. However, if we use `x` multiple times in `e2`, we are evaluating `e1` multiple times.

There's a “best of both worlds” strategy we can also use – *call-by-need*. With this strategy in the original example, it will bind `x` to the expression `e1`, then evaluate `e2`, but memorize the result of evaluating `e1`.

Lazy evaluation is not only useful for saving computation time, but it also useful for evaluating infinite data structures. A stream of numbers online or interactive input/output from users would not be possible to deal with without infinite data structures.

Remember that continuations delay computation within functions. We can wrap functions around things we wish to delay.

```
datatype 'a susp = Susp of (unit -> 'a)
```

```
(* takes in a continuation and wraps it in a suspension to delay computation *)
(* delay: (unit -> 'a) -> 'a susp *)
fun delay c = Susp c
(* forces computation of inner function *)
fun force (Susp c) = c ()
```

Now we can use lazy evaluation with the `horribleComp` example.

```
(* original *)
let val x = horribleCom(522)
in x+x end
```

```
(* call-by-name model *)
let val x = Susp(fun () => horribleComp(522))
in force x + force x end
```

```
(* call-by-need*)
val memo = ref None
val x = Susp (fun () => case memo of None =>
    let val y = horribleComp(522) in memo := (*MISSED*) end
  | Some y => y
```

```

(* infinite stream of 'a *)
datatype 'a stream' = Cons of 'a * 'a stream
withtype 'a stream = ('a stream') susp
(* stream' shows the first element, hides the rest, while stream hides all *)

(* create an infinite stream of, say, 1's *)
fun ones () = Susp (fun () => Cons (1, ones ()))
val o = ones() (* returns a Susp of a function *)

(* take: int -> 'a stream -> 'a list
   take': int -> 'a stream' -> 'a list
   *)
fun take 0 s = []
  | take n s = take' n (force s)
and take' 0 s' = []
  | take' n (Cons(x,s)) = x::(take (n-1) s)

val l = take 5 (ones ()) (*returns [1,1,1,1,1]*)

(* numsFrom: int -> int stream *)
fun numsFrom n = Susp(fn () => Cons(n, numsFrom (n-1)))

take 5 (numsFrom 0); (* returns [0,1,2,3,4] *)

We can compute a stream of Fibonacci numbers:

val fibStream =
  let
    fun fib a b = Cons(a, Susp(fn () => fib b, (a+b)))
  in
    Susp(fn () => fib 0 1)
  end

take 4 fibStream; (* [0,1,1,2] *)

```

6 15 February

6.1 Lazy programming continued

Recall from last class:

```

datatype 'a susp = Susp of (unit -> 'a)
datatype 'a stream' = Cons of 'a * 'a stream
withtype 'a stream = ('a stream') susp

```

Last class we saw how to create infinite streams of real numbers, natural numbers, etc..

```

(* shd: 'a stream -> 'a *)
fun shd (Susp s) = shd' (s ())
(* shd': 'a stream' -> 'a *)
and shd' (Cons (h,s)) = h

```

The first line is equivalent to `fun shd s = shd' (force s).`

```

(* ltail: 'a stream -> 'a stream *)
fun ltail s = ltail'
(* ltail': 'a stream' -> 'a stream *)
and ltail' (Cons (h,s)) = s

```

```

(* smap: ('a -> 'b) -> 'a stream -> 'b stream *)
(* mapStr: 'a stream -> 'b stream *)
(* mapStr': 'a stream' -> 'b stream *)
fun smap f s =
  let fun mapStr s = mapStr' (force s)
      and mapStr' (Cons (x, xs)) = Cons(f x, Susp (fn () => mapStr xs))
  in mapStr s
  end

```

```

(* addStreams: int stream * int stream -> int stream *)
fun addStreams (s1, s2) = addStreams' (force s1, force s2)
(* addStreams': int stream' * int stream' -> int stream *)
and addStreams' (Cons (x,xs), Cons (y,ys)) = Susp(fn () => Cons(x+y, addStreams (xs,ys)))

```

```

(* zipStreams: 'a stream * 'a stream -> 'a stream *)
fun zipStreams (s1, s2) = zipStream' (force s1, s2)
(* zipStreams': 'a stream' * ['a stream] -> 'a stream *)
and zipStreams' (Cons (x,xs), s2) =
  Susp(fn () => Cons (x, zipStreams (s2, xs)))

```

We don't need to force both streams for the `zipStreams` function to save work.

```

(* filter: ('a->bool)*'a stream -> 'a stream *)
fun filter (p, s) = filter' (p, force s)
(* filter': ('a -> bool) * 'a stream' -> 'a stream *)
and filter' (p, (Cons(x,xs))) =

```

```

    if p x then
Susp(fn () => Cons (x, filter (p,xs)))
    else
        filter (p, xs)

```

7 27 February

7.1 Midterm Review

Midterm exam – Wednesday, 29 February in Leacock 26.

Crib sheet allowed – one page, back and front.

Three questions – proofs, programs, covering all material up until the break.

No continuation, lazy evaluation, exceptions, or environment diagram questions.

7.1.1 Example 1: Proofs

```

fun sum [] = 0
  | sum (h::t) = h + sum t

```

```

fun sum_tl [] acc = acc
  | sum_tl (h::t) acc = sum_tl t (h + acc)

```

For the above code, we wish to prove that $\text{sum } l = \text{sum_tl } l \ 0$. We can do this using structural induction on l .

The base case is trivial. We'll start with the step case where $l = h::t$. Our induction hypothesis states that $\text{sum } t = \text{sum_tl } t \ 0$. We'll need to show that $\text{sum } (h::t) = \text{sum_tl } (h::t) \ 0$.

```

sum (h::t)
=> h + sum t

```

```

sum_tl (h::t) 0
=> sum_tl t (h+0)
=> sum_tl t h

```

This attempt will not work, since we want to use the IH. We'll need to generalize the theorem:

Lemma: For all lists t and for all accumulators acc , $\text{sum } t + acc = \text{sum_tl } t \ acc$ is true. We'll also need to prove this using structural induction on t .

Base case: where $t = []$:

```
sum [] + acc
=> 0 + acc
=> acc
```

```
sum_tl [] acc
=> acc
```

Both sides are equal, so our base case checks out.

Step case: where $t = h::t'$:

IH: for all acc' , $sum\ t' + acc' = sum_tl\ t'\ acc'$

```
sum (h::t') + acc'
=> (h + sum t') + acc' [by program]
=>* sum t' + (h + acc') [by associativity and commutativity]
```

```
sum_tl (h::t') acc'
=> sum_tl t' (h + acc') [by program]
```

By the induction hypothesis using $(h + acc)$ for acc' , we know these are equal.

Now that we've proved the lemma, we need to prove the main theorem.

By the lemma, using 1 for t and 0 for acc :

```
sum 1 + 0
=> sum 1
```

7.1.2 Example 2: Rewriting library functions

We have a library function:

```
tabulate f n returns [f0, f1, ..., fn]
```

We want to write this in a tail-recursive manner.

```
fun tabulate f 0 acc = (f 0)::acc
  | tabulate f n acc = tabulate f (n-1) ((f n)::acc)
```

Another example:

```
foldr f b [x1, ..., xn] returns f (x1, ..., f (xn, b))
```

Now, we want to write a list append function using `foldr`.

```
fun append l1 f2 =
  foldr (fn (x,r) => x::r) l2 l1
```

We can also write a filter function:

```
fun filter p l =
  foldr (fn (x,r) => if (p x) then x::r else r) [] l

(* all: ('a -> bool) -> 'a list -> bool *)
fun all p [] = true
  | all p (h::t) =
    p h andalso all p t
```

8 02 March - Post-Midterm

8.1 Midterm review

8.1.1 Question 1

Dot product of two vectors $ab = \sum_{i=1}^n a_i \times b_i$

Use `pair_foldr = f(xn, yn, f(xn-1, yn-1, ..., f(x1, y1, init)))...`.

```
(* pair_foldr ('a * 'b * 'c -> 'c) -> 'c -> ('a list * 'b list) -> 'c *)
fun prod_vect v w =
  pair_foldr (fn (a,b,c) => a*b + c)
    0 (v, w)
```

8.1.2 Question 2

Matrices question:

```
[ [ 1,3,-5],
  [2, 0, 4]
]
```

```
fun emptyMatrix B =
  all (fn l => l = []) B
```

```
(* multiply a vector times a matrix *)
fun sm (v, B) =
  if emptyMatrix B then []
```

```

else let
  val c = map (fn (x:xs) => x) B
  val B' = map (fn (x:xs) => xs) B
in
  prod_vect (v,c)::sm(v,B')
end

```

8.1.3 Question 3

Proofs question: similar structure to past proofs

8.1.4 Question 4

References question:

```

(* mon_ref: 'a -> (unit -> int) * (unit -> 'a) * ('a -> unit) *)
fun mon_ref a =
let
  val r = ref a
  val c = ref 0
in
  (
    (fn () => !c),
    (fn () => (c := !c + 1; !r)),
    (fn a => (c := !c + 1; r := a))
  )
end

```

9 05 March – Post-Midterm Material

9.1 Introduction to Language Design

Homework 4 will be handed out on Friday, 09 March, to be due two weeks from then.

“A good designer must rely on experience, on precise, logical thinking, and on pedantic exactness. No magic will do.” – N. Worth

Goal: a precise foundation for answering questions such as:

- How will a program execute?

- What is the meaning of a program?
- What are legal expressions?
e.g. `fun foo x x = x+2` and `foo 3 5` returns 7 instead of 5
- What concept of a variable do we have?
- Where is a variable bound?
- When is an expression well-typed?
- Does every expression have a unique type?
- What exactly is an expression?
Code -> Parser (syntax checker) -> Type Checker (static semantics) -> Interpreter (operational semantics)

In this class, we'll go through the different stages of running an ML program – parsing, type checking, and interpreting. To ensure a language will produce “correct” programs, we have to ensure that each of these stages produce correct results.

9.2 Nano ML

We'll start with a small subset of ML.

Definition: the set of expressions is inductively defined as follows:

1. A number is an expression.
2. The boolean `true` and `false` are expressions.
3. If `e1` and `e2` are expressions, then `e1 op e2` is an expression, where `op` $\in \{ +, -, *, =, < \}$.
4. If `e0`, `e1` and `e2` are expressions, then `if e0 then e1 else e2` is an expression.

A more compact way of defining expressions is the BNF grammar (Backus-Naur-Form):

```
Operator op := + | - | * | = | < | orelse
Expression e := n | true | false | e1 op e2 | if e0 then e1 else e2
Value v := n | true | false
```

Examples of syntactically illegal expressions:

- `true false`
- `+3`
- `5-`

This does not type check, however – for example, `true + 3` is syntactically legal but ill-typed.

“An expression `e` evaluates to a value `v`” is equivalent to a *judgement* “ $e \Downarrow v$ ”

An expression `if e0 then e1 else e2` evaluates to some value `v` if:

1. `e0` evaluates to `true` and
2. `e1` evaluates to `v`.

This is equivalent to:

$$\frac{\text{premise1} \dots \text{premise2}}{\text{conclusion}} \quad == \quad \frac{e0 \text{ evaluates to true} \quad e1 \text{ evaluates to } v}{\text{if } e0 \text{ then } e1 \text{ else } e2 \text{ evaluates to } v}$$

10 07 March

10.1 Language Design and Nano ML continued

Today we want to add variables and `let` expressions to our BNF grammar.

Expression `e` := `n` | `true` | `false` |
`... | x | let x = e in e' end`

`x` here represents a class of variables `x,y,z,...`. For example:

```
let z = if true then 2
      else 43
in z + 123
end;
```

The following is not well-formed:

```
let z = 302
in -z end;
let x = 3 in x
let x = 3 x+2 end
(* etc *)
```

When is a variable bound? When is a variable free?

Free variables: variables that are not bound. $FV(e)$ is the set of free variable names.

- $FV(n) = \{\}$ where `n` is a number.

- $FV(x) = \{x\}$
- $FV(e1 \text{ op } e2) = FV(e1) \cup FV(e2)$
- $FV(\text{let } x = e1 \text{ in } e2 \text{ end}) = FV(e1) \cup FV(e2) \setminus \{x\}$
 $\text{let } x=5 \text{ in let } y=x+2 \text{ in } y+x \text{ end end}$
 $\text{let } x=x+2 \text{ in } x+3 \text{ end}$

Bound variable names don't matter – $\text{let } y=x+2 \text{ in } y+3 \text{ end}$.

10.2 Substitution:

$$\frac{e \text{ evalsto } v0 \quad [v / x] e' \text{ evalsto } v}{\text{let } x=e \text{ in } e' \text{ end evalsto } v}$$

To evaluate $\text{let } x=e \text{ in } e' \text{ end}$:

1. Evaluate e to $v0$.
2. Substitute $v0$ for x in e' .
3. Evaluate $[v0/x]e'$ to v .

Substitution: $[e/x]e' = e''$ – in e' , replace every free occurrence of x with e .

Examples:

- $[e/x] n = n$
- $[e/x] x = e$
- $[e/x] y = y$
- $[e/x] (e1 \text{ op } e2) = [e/x] e1 \text{ op } [e/x] e2$
- $[e/x] (\text{let } y=e1 \text{ in } e2 \text{ end}) =$
 $\text{let } y = [e/x] e1 \text{ in } [e/x] e2 \text{ end}$
 if $y \notin FV(e)$

A problem: free variables in e may be bound y (captured) if we don't guarantee that the free variables of e and the bound variable y don't clash or overlap.

Renaming is a special case of substitution – $[y1/y] e = e'$

Our substitution has to be capture-avoiding.

We can also add functions to our BNF grammar:

Expression $e := \dots \mid \text{fn } x \Rightarrow e$

Substitution for (nameless) functions: (MISSED)

Evaluating functions: $(\text{fn } x \Rightarrow e)$

Functions are themselves values – we can extend our values definition:

Values $v := n \mid \text{true} \mid \text{false} \mid \text{fn } x \Rightarrow e$

 $(\text{fn } x \Rightarrow e) \text{ evalsto } (\text{fn } x \Rightarrow e)$

$$\frac{e1 \text{ evalsto } (\text{fn } x \Rightarrow e) \quad e2 \text{ evalsto } v2 \quad [v2/x] e \text{ evalsto } v}{e1 \ e2 \text{ evalsto } v}$$

11 09 March

1. Evaluating expressions (recursion)
2. Turing theory into code
3. Modules

11.1 Evaluation

Expression $e := \dots \mid \text{fn } x \Rightarrow \mid e1 \ e2$
 $\mid \text{rec } f \Rightarrow e$

Values $v := \dots \mid \text{fn } x \Rightarrow e$

Evaluation rules:

 $(\text{fn } x \Rightarrow e) \text{ evalsto } (\text{fn } x \Rightarrow e)$

$$\frac{e1 \text{ evalsto } (\text{fn } x \Rightarrow e) \quad e2 \text{ evalsto } v2 \quad [v2/x] e \text{ evalsto } v}{e1 \ e2 \text{ evalsto } v}$$

In SML, you might write:

```

fun f (x) = if x=0 then 0
            else x + f (x-1)

(* equivalent to in Nano ML *)
rec f => fn x => if x=0 then 0
              else x + f (x-1)

f 3
=> if 3=0 then 0
    else 3 + f (3-1)
(* instead *)
( rec f => fn x => if x=0 then 0
  else x + f (x-1) ) 3

(rec f => fn x => if x=0 then 0
  else x + (rec f => fn x ....)) 3
=> if 3=0 then 0
    else 3 + (rec f => fn x ...) (3-1)
=> ...

[e'/x] (fn y => e) = fn y => [e'/x] e
provided y is not in FV(e')

[e'/x] (rec f => e) = rec f => [e'/x] e
where f is not in FV(e)

```

11.2 Modules

ML: Core language and the Module Language

Modules: two parts – signature and structure

Signature: interface of a structure

Structure: program consisting of declarations

When does a structure implement a signature?

:> makes the implementation of the structure opaque.

A structure can provide more components, but it cannot have fewer.

Structures may provide more general types (e.g. using 'a instead of int).

Structures may also implement concrete datatypes (e.g. in Queues, lists, etc.), but the signature keeps the type abstract. This is important for information hiding.

The order of declarations does not matter.

12 12 March

12.1 Types

Many ill-typed expressions will get “stuck”. For example:

```
if 0 then 3 else 3+2
```

```
0+2
```

```
(fn x => x+1) true
```

Our evaluator will accept these expressions, but typing should rule out these ill-formed expressions. These should lead to run-time errors. Typing will ensure that we never evaluate these expressions. There will be fewer run-time errors as a consequence.

Typing approximates what happens during run-time. Typing allows us to detect errors early and gives us precise error messages. As a consequence, programmers can spend more time developing and less time testing their programs.

When we type-check an expression, we prove the absence of certain program behaviors.

Safety: If a program is well-typed, then every intermediate state during evaluation is defined and well-typed.

Types classify expressions according to their value. If we know what values there are in a language, we know what types there are.

Recall Values $v := n \mid \text{true} \mid \text{false}$. So, for now:

Types $T := \text{int} \mid \text{bool}$

The shorthand $e : T$ can be read as “expression e has type T ”.

Axioms:

- $n : \text{int}$
- $\text{false} : \text{bool}$

- `true : bool`

For if-expression `if e then e1 else e2`:

- `e : bool`
- `e1 : T`
- `e2 : T`

We need to check that `e1 : T` and `e2 : T`.

```
e1 : int  e2 : int
-----
e1 + e2 : int
```

```
e1 : T    e2 : T
-----
e1=e2 : bool
```

We can add tuples to our expressions: **Expressions** `e := ... | (e1, e2) | fst e | snd e`.

We can then add tuples to possible values:

Values `v := n | false | true | (v1, v2)`

Types `T := int | bool | T1 x T2`

```
e1 : T1      e2 : T2
-----
(e1, e2) : T1 x T2
```

```
e  T1 x T2      (ditto)
-----
fst e : T1      snd e : T2
```

Now for let-expressions:

```
let x=5 in x+3 end : int
as 5:int
and (assuming x : int) x+3 : int
```

Note that we need to reason about the type of variables.

$\Gamma \vdash e : T$ reads “Given assumption Γ , expression e has type T ”. I’m going to replace Γ with G in any verbatim blocks.

Context `G := | G1 x T`

$$\frac{G \vdash e : \text{bool} \quad G \vdash e1 : T \quad G \vdash e2 : T}{G \vdash \text{if } e \text{ then } e1 \text{ else } e2 : T}$$

$$\frac{G(x) = T}{G \vdash x : t}$$

$$\frac{G \vdash e1 : T1 \quad G1 \ x: T1 \vdash e2 : T \quad |}{G \vdash \text{let } x=e1 \text{ in } e2 \text{ end} : T \quad |} \quad \text{\textit{\textbf{}} } x \text{ is new}$$

Each assumption is unique implies that each variable has a unique type! We'll come back to let expressions with assumptions later.

$$\begin{array}{c} \dots \\ \hline x:\text{int} \vdash x+2:\text{int} \quad x:\text{int} \vdash \dots \\ \hline \vdash 5 : \text{int} \quad x : \text{int} \vdash \text{let } y \dots \text{ end} : \text{int} \\ \hline \text{let } x=5 \text{ in let } y=x+2 \text{ in } x+y \text{ end end} : \text{int} \end{array}$$

Axioms:

$$\frac{}{G \vdash n:\text{int}} \quad \frac{}{G \vdash \text{false}:\text{bool}} \quad \frac{}{G \vdash \text{true}:\text{bool}}$$

We can infer types:

$$\frac{}{G \vdash e : T}$$

$$\frac{}{+} \quad \frac{}{+} \quad \frac{}{-}$$

Typing rules lend themselves to be interpreted as type-inference rules. They will infer a unique type.

13 14 March

13.1 Typing rules continued

When is an expression well-typed?

$e := n \mid \text{true} \mid \text{false} \mid e1 \text{ op } e1$

```

    | if e then e1 else e1 | (e1, e2)
    | x | let x=e in e' end
T := int | bool | T1 x T2
e : T = "expression e has type T"
G |- e : T = typing assumption about variables (e.g. Given assumptions in T, expression
e has type T)

```

```

G|-e:T'          GxT'|-e':T
-----
G |- let x=e in e' end : T

```

```

          -----
          x:int|-x:int  x:int|-3:int
-----
|-5:int          x:int|-x+3:int
-----
|- let x=5 in x+3 end

```

For every expression, we can infer a type. Every expression has a unique type.

13.2 Extensions

Today we will look at extensions – functions, applications, recursion, and references.

```

T := ... | T1 -> T2
V := ... | fn x => e

```

```

assume x:int, verify that if x=0 else x+2 has type int
-----
fn x => if x=0 then 4 else x+2 : int

(* this rule cannot be interpreted as inferring a type *)
G1xT1|-e:T2
-----
G|-fn x => e : T1 -> T2

(* solution: annotate x with its type *)
fn x : T1 => e

```



```

fn x => if fst x=0 then snd x
      else 5
      : int x int -> int

```

Without type annotations, `fn x => x` has infinitely many types. With type annotations, we can infer a unique type.

What is the most general type of an expression? 'a “principle type”

Extremely important rule:

```

G|-e1:T1->T2  G|-e2:T1
-----
G |- e1 e2 : T2

```

New reference type:

```

T := ... | T1 ref | unit

```

```

e := ... | !e | e := e' | ref e

```

```

G|-e : T ref
-----
G |- !e : T

```

```

G|-e:T
-----
G |- ref e : ref T

```

```

G|-e:T ref  G|-e':T
-----
G |- e := e' : unit

```

```

G1 f:T |- e : T
-----
G |- ref f => e : T

```

```

(1)          (2)          sum: int->int, x:int |- sum(x-1)+x : int
-----
sum int->int, x:int |- if x=0 then 0 else sum(x-1) + x : int
-----
sum int->int |- fn x => if x=0 then 0 else sum(x-1) + x : int->int

```

rec sum => fn x => if x=0 then 0 else sum (x-1) + x : int -> int