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FFV1 Video Coding Format Version 4
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Abstract

This document defines FFV1, a lossless intra-frame video encoding format. FFV1 is designed to efficiently compress video data in a variety of pixel formats. Compared to uncompressed video, FFV1 offers storage compression, frame fixity, and self-description, which makes FFV1 useful as a preservation or intermediate video format.

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1. Introduction

This document describes FFV1, a lossless video encoding format. The design of FFV1 considers the storage of image characteristics, data fixity, and the optimized use of encoding time and storage requirements. FFV1 is designed to support a wide range of lossless video applications such as long-term audiovisual preservation, scientific imaging, screen recording, and other video encoding scenarios that seek to avoid the generational loss of lossy video encodings.

This document defines a version 4 of FFV1. Prior versions of FFV1 are defined within [I-D.ietf-cellar-ffv1].

The latest version of this document is available at <https://raw.githubusercontent.com/FFmpeg/FFV1/master/ffv1.md> (<https://raw.githubusercontent.com/FFmpeg/FFV1/master/ffv1.md>)

This document assumes familiarity with mathematical and coding concepts such as Range coding [range-coding] and YCbCr color spaces [YCbCr].

2. Notation and Conventions

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC2119].

2.1. Definitions

"Container": Format that encapsulates "Frames" (see the section on Frames (#frame)) and (when required) a "Configuration Record" into a bitstream.

"Sample": The smallest addressable representation of a color component or a luma component in a "Frame". Examples of "Sample" are Luma, Blue Chrominance, Red Chrominance, Transparency, Red, Green, and Blue.

"Plane": A discrete component of a static image comprised of "Samples" that represent a specific quantification of "Samples" of that image.

"Pixel": The smallest addressable representation of a color in a "Frame". It is composed of 1 or more "Samples".

"ESC": An ESCape symbol to indicate that the symbol to be stored is

too large for normal storage and that an alternate storage method is used.

"MSB": Most Significant Bit, the bit that can cause the largest change in magnitude of the symbol.

"RCT": Reversible Color Transform, a near linear, exactly reversible integer transform that converts between RGB and YCbCr representations of a "Pixel".

"VLC": Variable Length Code, a code that maps source symbols to a variable number of bits.

"RGB": A reference to the method of storing the value of a "Pixel" by using three numeric values that represent Red, Green, and Blue.

"YCbCr": A reference to the method of storing the value of a "Pixel" by using three numeric values that represent the luma of the "Pixel" (Y) and the chrominance of the "Pixel" (Cb and Cr). YCbCr word is used for historical reasons and currently references any color space relying on 1 luma "Sample" and 2 chrominance "Samples", e.g. YCbCr, YCgCo or ICTcP. The exact meaning of the three numeric values is unspecified.

"TBA": To Be Announced. Used in reference to the development of future iterations of the FFV1 specification.

2.2. Conventions

2.2.1. Pseudo-code

The FFV1 bitstream is described in this document using pseudo-code. Note that the pseudo-code is used for clarity in order to illustrate the structure of FFV1 and not intended to specify any particular implementation. The pseudo-code used is based upon the C programming language [ISO.9899.1990] and uses its "if/else", "while" and "for" functions as well as functions defined within this document.

2.2.2. Arithmetic Operators

Note: the operators and the order of precedence are the same as used in the C programming language [ISO.9899.1990].

"a + b" means a plus b.

"a - b" means a minus b.

"-a" means negation of a.

"a * b" means a multiplied by b.

"a / b" means a divided by b.

"a ^ b" means a raised to the b-th power.

"a & b" means bit-wise "and" of a and b.

"a | b" means bit-wise "or" of a and b.

"a >> b" means arithmetic right shift of two's complement integer representation of a by b binary digits.

"a << b" means arithmetic left shift of two's complement integer representation of a by b binary digits.

2.2.3. Assignment Operators

"a = b" means a is assigned b.

"a++" is equivalent to a is assigned a + 1.

"a--" is equivalent to a is assigned a - 1.

"a += b" is equivalent to a is assigned a + b.

"a -= b" is equivalent to a is assigned a - b.

"a *= b" is equivalent to a is assigned a * b.

2.2.4. Comparison Operators

"a > b" means a is greater than b.

"a >= b" means a is greater than or equal to b.

"a < b" means a is less than b.

"a <= b" means a is less than or equal b.

"a == b" means a is equal to b.

"a != b" means a is not equal to b.

"a && b" means Boolean logical "and" of a and b.

"a || b" means Boolean logical "or" of a and b.

"!a" means Boolean logical "not" of a.

"a ? b : c" if a is true, then b, otherwise c.

2.2.5. Mathematical Functions

floor(a) the largest integer less than or equal to a

ceil(a) the smallest integer greater than or equal to a

sign(a) extracts the sign of a number, i.e. if $a < 0$ then -1, else if $a > 0$ then 1, else 0

abs(a) the absolute value of a, i.e. $\text{abs}(a) = \text{sign}(a) * a$

log2(a) the base-two logarithm of a

min(a,b) the smallest of two values a and b

max(a,b) the largest of two values a and b

median(a,b,c) the numerical middle value in a data set of a, b, and c, i.e. $a+b+c-\min(a,b,c)-\max(a,b,c)$

a_(b) the b-th value of a sequence of a

a~b,c. the 'b,c'-th value of a sequence of a

2.2.6. Order of Operation Precedence

When order of precedence is not indicated explicitly by use of parentheses, operations are evaluated in the following order (from top to bottom, operations of same precedence being evaluated from left to right). This order of operations is based on the order of operations used in Standard C.

```
a++, a--
!a, -a
a ^ b
a * b, a / b, a % b
a + b, a - b
a << b, a >> b
a < b, a <= b, a > b, a >= b
a == b, a != b
a & b
a | b
a && b
a || b
a ? b : c
a = b, a += b, a -= b, a *= b
```

2.2.7. Range

"a...b" means any value starting from a to b, inclusive.

2.2.8. NumBytes

"NumBytes" is a non-negative integer that expresses the size in 8-bit octets of a particular FFV1 "Configuration Record" or "Frame". FFV1 relies on its "Container" to store the "NumBytes" values, see the section on the Mapping FFV1 into Containers ([#mapping-ffv1-into-containers](#)).

2.2.9. Bitstream Functions

2.2.9.1. remaining_bits_in_bitstream

"remaining_bits_in_bitstream()" means the count of remaining bits after the pointer in that "Configuration Record" or "Frame". It is computed from the "NumBytes" value multiplied by 8 minus the count of bits of that "Configuration Record" or "Frame" already read by the bitstream parser.

2.2.9.2. remaining_symbols_in_syntax

"remaining_symbols_in_syntax()" is true as long as the RangeCoder has not consumed all the given input bytes.

2.2.9.3. byte_aligned

"byte_aligned()" is true if "remaining_bits_in_bitstream(NumBytes)" is a multiple of 8, otherwise false.

2.2.9.4. get_bits

"get_bits(i)" is the action to read the next "i" bits in the bitstream, from most significant bit to least significant bit, and to return the corresponding value. The pointer is increased by "i".

3. Sample Coding

For each "Slice" (as described in the section on Slices (#slice)) of a "Frame", the "Planes", "Lines", and "Samples" are coded in an order determined by the "Color Space" (see the section on Color Space (#color-spaces)). Each "Sample" is predicted by the median predictor as described in the section of the Median Predictor (#median-predictor) from other "Samples" within the same "Plane" and the difference is stored using the method described in Coding of the Sample Difference (#coding-of-the-sample-difference).

3.1. Border

A border is assumed for each coded "Slice" for the purpose of the median predictor and context according to the following rules:

- * one column of "Samples" to the left of the coded slice is assumed as identical to the "Samples" of the leftmost column of the coded slice shifted down by one row. The value of the topmost "Sample" of the column of "Samples" to the left of the coded slice is assumed to be "0"
- * one column of "Samples" to the right of the coded slice is assumed as identical to the "Samples" of the rightmost column of the coded slice
- * an additional column of "Samples" to the left of the coded slice and two rows of "Samples" above the coded slice are assumed to be "0"

The following table depicts a slice of 9 "Samples" "a,b,c,d,e,f,g,h,i" in a 3x3 arrangement along with its assumed border.

```

+---+---+---+---+---+---+---+---+
| 0 | 0 |   | 0 | 0 | 0 |   | 0 |
+---+---+---+---+---+---+---+---+
| 0 | 0 |   | 0 | 0 | 0 |   | 0 |
+---+---+---+---+---+---+---+---+
|   |   |   |   |   |   |   |   |
+---+---+---+---+---+---+---+---+
| 0 | 0 |   | a | b | c |   | c |
+---+---+---+---+---+---+---+---+
| 0 | a |   | d | e | f |   | f |
+---+---+---+---+---+---+---+---+
| 0 | d |   | g | h | i |   | i |
+---+---+---+---+---+---+---+---+

```

3.2. Samples

Relative to any "Sample" "X", six other relatively positioned "Samples" from the coded "Samples" and presumed border are identified according to the labels used in the following diagram. The labels for these relatively positioned "Samples" are used within the median predictor and context.

```

+---+---+---+---+---+
|   |   | T |   |   |
+---+---+---+---+---+
|   | t1 | t | tr |   |
+---+---+---+---+---+
| L | l | X |   |   |
+---+---+---+---+---+

```

The labels for these relative "Samples" are made of the first letters of the words Top, Left and Right.

3.3. Median Predictor

The prediction for any "Sample" value at position "X" may be computed based upon the relative neighboring values of "l", "t", and "tl" via this equation:

"median(l, t, l + t - tl)".

Note, this prediction template is also used in [ISO.14495-1.1999] and [HuffyUV].

Exception for the median predictor: if "colorspace_type == 0 && bits_per_raw_sample == 16 && (coder_type == 1 || coder_type == 2)", the following median predictor MUST be used:

```
"median(left16s, top16s, left16s + top16s - diag16s)"
```

where:

```
left16s = l  >= 32768 ? ( l  - 65536 ) : l
top16s  = t  >= 32768 ? ( t  - 65536 ) : t
diag16s = tl >= 32768 ? ( tl - 65536 ) : tl
```

Background: a two's complement signed 16-bit signed integer was used for storing "Sample" values in all known implementations of FFV1 bitstream. So in some circumstances, the most significant bit was wrongly interpreted (used as a sign bit instead of the 16th bit of an unsigned integer). Note that when the issue is discovered, the only configuration of all known implementations being impacted is 16-bit YCbCr with no Pixel transformation with Range Coder coder, as other potentially impacted configurations (e.g. 15/16-bit JPEG2000-RCT with Range Coder coder, or 16-bit content with Golomb Rice coder) were implemented nowhere [ISO.15444-1.2016]. In the meanwhile, 16-bit JPEG2000-RCT with Range Coder coder was implemented without this issue in one implementation and validated by one conformance checker. It is expected (to be confirmed) to remove this exception for the median predictor in the next version of the FFV1 bitstream.

3.4. Context

Relative to any "Sample" "X", the Quantized Sample Differences "L-l", "l-tl", "tl-t", "T-t", and "t-tr" are used as context:

```
context = Q_{0}[l - tl] +
          Q_{1}[tl - t] +
          Q_{2}[t - tr] +
          Q_{3}[L - l] +
          Q_{4}[T - t]
```

Figure 1

If "context >= 0" then "context" is used and the difference between the "Sample" and its predicted value is encoded as is, else "-context" is used and the difference between the "Sample" and its predicted value is encoded with a flipped sign.

3.5. Quantization Table Sets

The FFV1 bitstream contains 1 or more Quantization Table Sets. Each Quantization Table Set contains exactly 5 Quantization Tables with each Quantization Table corresponding to 1 of the 5 Quantized Sample Differences. For each Quantization Table, both the number of quantization steps and their distribution are stored in the FFV1

bitstream; each Quantization Table has exactly 256 entries, and the 8 least significant bits of the Quantized Sample Difference are used as index:

$$Q_{\{j\}}[k] = \text{quant_tables}[i][j][k \& 255]$$

Figure 2

In this formula, "i" is the Quantization Table Set index, "j" is the Quantized Table index, "k" the Quantized Sample Difference.

3.6. Quantization Table Set Indexes

For each "Plane" of each slice, a Quantization Table Set is selected from an index:

- * For Y "Plane", "quant_table_set_index[0]" index is used
- * For Cb and Cr "Planes", "quant_table_set_index[1]" index is used
- * For extra "Plane", "quant_table_set_index[(version <= 3 || chroma_planes) ? 2 : 1]" index is used

Background: in first implementations of FFV1 bitstream, the index for Cb and Cr "Planes" was stored even if it is not used (chroma_planes set to 0), this index is kept for version <= 3 in order to keep compatibility with FFV1 bitstreams in the wild.

3.7. Color spaces

FFV1 supports several color spaces. The count of allowed coded planes and the meaning of the extra "Plane" are determined by the selected color space.

The FFV1 bitstream interleaves data in an order determined by the color space. In YCbCr for each "Plane", each "Line" is coded from top to bottom and for each "Line", each "Sample" is coded from left to right. In JPEG2000-RCT for each "Line" from top to bottom, each "Plane" is coded and for each "Plane", each "Sample" is encoded from left to right.

3.7.1. YCbCr

This color space allows 1 to 4 "Planes".

The Cb and Cr "Planes" are optional, but if used then MUST be used together. Omitting the Cb and Cr "Planes" codes the frames in grayscale without color data.

An optional transparency "Plane" can be used to code transparency data.

An FFV1 "Frame" using YCbCr MUST use one of the following arrangements:

- * Y
- * Y, Transparency
- * Y, Cb, Cr
- * Y, Cb, Cr, Transparency

The Y "Plane" MUST be coded first. If the Cb and Cr "Planes" are used then they MUST be coded after the Y "Plane". If a transparency "Plane" is used, then it MUST be coded last.

3.7.2. RGB

This color space allows 3 or 4 "Planes".

An optional transparency "Plane" can be used to code transparency data.

JPEG2000-RCT is a Reversible Color Transform that codes RGB (red, green, blue) "Planes" losslessly in a modified YCbCr color space [ISO.15444-1.2016]. Reversible Pixel transformations between YCbCr and RGB use the following formulae.

```
Cb=b-g
Cr=r-g
Y=g+(Cb+Cr)>>2
g=Y-(Cb+Cr)>>2
r=Cr+g
b=Cb+g
```

Figure 3

Exception for the JPEG2000-RCT conversion: if `bits_per_raw_sample` is between 9 and 15 inclusive and `extra_plane` is 0, the following formulae for reversible conversions between YCbCr and RGB MUST be used instead of the ones above:

```

Cb=g-b
Cr=r-b
Y=b+(Cb+Cr)>>2
b=Y-(Cb+Cr)>>2
r=Cr+b
g=Cb+b

```

Figure 4

Background: At the time of this writing, in all known implementations of FFV1 bitstream, when `bits_per_raw_sample` was between 9 and 15 inclusive and `extra_plane` is 0, GBR "Planes" were used as BGR "Planes" during both encoding and decoding. In the meanwhile, 16-bit JPEG2000-RCT was implemented without this issue in one implementation and validated by one conformance checker. Methods to address this exception for the transform are under consideration for the next version of the FFV1 bitstream.

When FFV1 uses the JPEG2000-RCT, the horizontal "Lines" are interleaved to improve caching efficiency since it is most likely that the JPEG2000-RCT will immediately be converted to RGB during decoding. The interleaved coding order is also Y, then Cb, then Cr, and then if used transparency.

As an example, a "Frame" that is two "Pixels" wide and two "Pixels" high, could be comprised of the following structure:

```

+-----+-----+
| Pixel(1,1)          | Pixel(2,1)          |
| Y(1,1) Cb(1,1) Cr(1,1) | Y(2,1) Cb(2,1) Cr(2,1) |
+-----+-----+
| Pixel(1,2)          | Pixel(2,2)          |
| Y(1,2) Cb(1,2) Cr(1,2) | Y(2,2) Cb(2,2) Cr(2,2) |
+-----+-----+

```

In JPEG2000-RCT, the coding order would be left to right and then top to bottom, with values interleaved by "Lines" and stored in this order:

```

Y(1,1) Y(2,1) Cb(1,1) Cb(2,1) Cr(1,1) Cr(2,1) Y(1,2) Y(2,2) Cb(1,2)
Cb(2,2) Cr(1,2) Cr(2,2)

```

3.8. Coding of the Sample Difference

Instead of coding the $n+1$ bits of the Sample Difference with Huffman or Range coding (or $n+2$ bits, in the case of JPEG2000-RCT), only the n (or $n+1$, in the case of JPEG2000-RCT) least significant bits are used, since this is sufficient to recover the original "Sample". In the equation below, the term "bits" represents `bits_per_raw_sample+1` for JPEG2000-RCT or `bits_per_raw_sample` otherwise:

```
coder_input =
    [(sample_difference + 2^(bits-1)) & (2^bits - 1)] - 2^(bits-1)
```

Figure 5

3.8.1. Range Coding Mode

Early experimental versions of FFV1 used the CABAC Arithmetic coder from H.264 as defined in [ISO.14496-10.2014] but due to the uncertain patent/royalty situation, as well as its slightly worse performance, CABAC was replaced by a Range coder based on an algorithm defined by G. Nigel and N. Martin in 1979 [range-coding].

3.8.1.1. Range Binary Values

To encode binary digits efficiently a Range coder is used. " $C_{i\sim}$ " is the i -th Context. " $B_{i\sim}$ " is the i -th byte of the bytestream. " $b_{i\sim}$ " is the i -th Range coded binary value, " $S_{0,i\sim}$ " is the i -th initial state. The length of the bytestream encoding n binary symbols is " $j_{\sim n\sim}$ " bytes.

```
r_{i} = floor( ( R_{i} * S_{i,C_{i}} ) / 2^8 )
```

Figure 6

```
S_{i+1,C_{i}} = zero_state_{S_{i,C_{i}}} XOR
    l_i = L_i XOR
    t_i = R_i - r_i <==
    b_i = 0 <==>
    L_i < R_i - r_i

S_{i+1,C_{i}} = one_state_{S_{i,C_{i}}} XOR
    l_i = L_i - R_i + r_i XOR
    t_i = r_i <==
    b_i = 1 <==>
    L_i >= R_i - r_i
```

Figure 7

$$S_{\{i+1,k\}} = S_{\{i,k\}} \leq C_i \neq k$$

Figure 8

$R_{\{i+1\}} = 2^8 * t_{\{i\}}$	XOR
$L_{\{i+1\}} = 2^8 * l_{\{i\}} + B_{\{j_{\{i\}}\}}$	XOR
$j_{\{i+1\}} = j_{\{i\}} + 1$	\leq
$t_{\{i\}} < 2^8$	
$R_{\{i+1\}} = t_{\{i\}}$	XOR
$L_{\{i+1\}} = l_{\{i\}}$	XOR
$j_{\{i+1\}} = j_{\{i\}}$	\leq
$t_{\{i\}} \geq 2^8$	

Figure 9

$$R_{\{0\}} = 65280$$

Figure 10

$$L_{\{0\}} = 2^8 * B_{\{0\}} + B_{\{1\}}$$

Figure 11

$$j_{\{0\}} = 2$$

Figure 12

3.8.1.1.1. Termination

The range coder can be used in 3 modes.

- * In "Open mode" when decoding, every symbol the reader attempts to read is available. In this mode arbitrary data can have been appended without affecting the range coder output. This mode is not used in FFV1.
- * In "Closed mode" the length in bytes of the bytestream is provided to the range decoder. Bytes beyond the length are read as 0 by the range decoder. This is generally 1 byte shorter than the open mode.
- * In "Sentinel mode" the exact length in bytes is not known and thus the range decoder MAY read into the data that follows the range coded bytestream by one byte. In "Sentinel mode", the end of the range coded bytestream is a binary symbol with state 129, which value SHALL be discarded. After reading this symbol, the range decoder will have read one byte beyond the end of the range coded

Figure 14

3.8.1.5. default_state_transition

```

    0,  0,  0,  0,  0,  0,  0,  0, 20, 21, 22, 23, 24, 25, 26, 27,
    28, 29, 30, 31, 32, 33, 34, 35, 36, 37, 37, 38, 39, 40, 41, 42,
    43, 44, 45, 46, 47, 48, 49, 50, 51, 52, 53, 54, 55, 56, 56, 57,
    58, 59, 60, 61, 62, 63, 64, 65, 66, 67, 68, 69, 70, 71, 72, 73,
    74, 75, 75, 76, 77, 78, 79, 80, 81, 82, 83, 84, 85, 86, 87, 88,
    89, 90, 91, 92, 93, 94, 94, 95, 96, 97, 98, 99,100,101,102,103,
    104,105,106,107,108,109,110,111,112,113,114,114,115,116,117,118,
    119,120,121,122,123,124,125,126,127,128,129,130,131,132,133,133,
    134,135,136,137,138,139,140,141,142,143,144,145,146,147,148,149,
    150,151,152,152,153,154,155,156,157,158,159,160,161,162,163,164,
    165,166,167,168,169,170,171,171,172,173,174,175,176,177,178,179,
    180,181,182,183,184,185,186,187,188,189,190,190,191,192,194,194,
    195,196,197,198,199,200,201,202,202,204,205,206,207,208,209,209,
    210,211,212,213,215,215,216,217,218,219,220,220,222,223,224,225,
    226,227,227,229,229,230,231,232,234,234,235,236,237,238,239,240,
    241,242,243,244,245,246,247,248,248,  0,  0,  0,  0,  0,  0,  0,

```

3.8.1.6. Alternative State Transition Table

The alternative state transition table has been built using iterative minimization of frame sizes and generally performs better than the default. To use it, the `coder_type` (see the section on `coder_type` (`#codertype`)) MUST be set to 2 and the difference to the default MUST be stored in the "Parameters", see the section on Parameters (`#parameters`). The reference implementation of FFV1 in FFmpeg uses this table by default at the time of this writing when Range coding is used.

3.8.2.1.1. Prefix

bits	value
1	0
01	1
...	...
0000 0000 0001	11
0000 0000 0000	ESC

Table 1

3.8.2.1.2. Suffix

non ESC	the k least significant bits MSB first
ESC	the value - 11, in MSB first order, ESC may only be used if the value cannot be coded as non ESC

Table 2

4.1.1. version

"version" specifies the version of the FFV1 bitstream.

Each version is incompatible with other versions: decoders SHOULD reject a file due to an unknown version.

Decoders SHOULD reject a file with version ≤ 1 && ConfigurationRecordIsPresent == 1.

Decoders SHOULD reject a file with version ≥ 3 && ConfigurationRecordIsPresent == 0.

value	version
0	FFV1 version 0
1	FFV1 version 1
2	reserved*
3	FFV1 version 3
4	FFV1 version 4
Other	reserved for future use

Table 5

* Version 2 was never enabled in the encoder thus version 2 files SHOULD NOT exist, and this document does not describe them to keep the text simpler.

4.1.2. micro_version

"micro_version" specifies the micro-version of the FFV1 bitstream.

After a version is considered stable (a micro-version value is assigned to be the first stable variant of a specific version), each new micro-version after this first stable variant is compatible with the previous micro-version: decoders SHOULD NOT reject a file due to an unknown micro-version equal or above the micro-version considered as stable.

Meaning of micro_version for version 3:

value	micro_version
0...3	reserved*
4	first stable variant
Other	reserved for future use

Table 6

* development versions may be incompatible with the stable variants.

Meaning of micro_version for version 4 (note: at the time of writing of this specification, version 4 is not considered stable so the first stable version value is to be announced in the future):

value	micro_version
0...TBA	reserved*
TBA	first stable variant
Other	reserved for future use

Table 7

* development versions which may be incompatible with the stable variants.

4.1.3. coder_type

"coder_type" specifies the coder used.

value	coder used
0	Golomb Rice
1	Range Coder with default state transition table
2	Range Coder with custom state transition table
Other	reserved for future use

Table 8

4.1.4. state_transition_delta

"state_transition_delta" specifies the Range coder custom state transition table.

If state_transition_delta is not present in the FFV1 bitstream, all Range coder custom state transition table elements are assumed to be 0.

4.1.5. colorspace_type

"colorspace_type" specifies the color space encoded, the pixel transformation used by the encoder, the extra plane content, as well as interleave method.

value	color space encoded	pixel transformation	extra plane content	interleave method
0	YCbCr	None	Transparency	"Plane" then "Line"
1	RGB	JPEG2000-RCT	Transparency	"Line" then "Plane"
Other	reserved for future use	reserved for future use	reserved for future use	reserved for future use

Table 9

Restrictions:

If "colorspace_type" is 1, then "chroma_planes" MUST be 1, "log2_h_chroma_subsample" MUST be 0, and "log2_v_chroma_subsample" MUST be 0.

4.1.6. chroma_planes

"chroma_planes" indicates if chroma (color) "Planes" are present.

value	presence
0	chroma "Planes" are not present
1	chroma "Planes" are present

Table 10

4.1.7. bits_per_raw_sample

"bits_per_raw_sample" indicates the number of bits for each "Sample". Inferred to be 8 if not present.

value	bits for each sample
0	reserved*
Other	the actual bits for each "Sample"

Table 11

* Encoders MUST NOT store bits_per_raw_sample = 0 Decoders SHOULD accept and interpret bits_per_raw_sample = 0 as 8.

4.1.8. log2_h_chroma_subsample

"log2_h_chroma_subsample" indicates the subsample factor, stored in powers to which the number 2 must be raised, between luma and chroma width ("chroma_width = 2^{-log2_h_chroma_subsample} * luma_width").

4.1.9. log2_v_chroma_subsample

"log2_v_chroma_subsample" indicates the subsample factor, stored in powers to which the number 2 must be raised, between luma and chroma height ($\text{"chroma_height"} = 2^{-\text{log2_v_chroma_subsample}} * \text{luma_height}$).

4.1.10. extra_plane

"extra_plane" indicates if an extra "Plane" is present.

value	presence
0	extra "Plane" is not present
1	extra "Plane" is present

Table 12

4.1.11. num_h_slices

"num_h_slices" indicates the number of horizontal elements of the slice raster.

Inferred to be 1 if not present.

4.1.12. num_v_slices

"num_v_slices" indicates the number of vertical elements of the slice raster.

Inferred to be 1 if not present.

4.1.13. quant_table_set_count

"quant_table_set_count" indicates the number of Quantization Table Sets.

Inferred to be 1 if not present.

MUST NOT be 0.

4.1.14. states_coded

"states_coded" indicates if the respective Quantization Table Set has the initial states coded.

Inferred to be 0 if not present.

value	initial states
0	initial states are not present and are assumed to be all 128
1	initial states are present

Table 13

4.1.15. initial_state_delta

"initial_state_delta[i][j][k]" indicates the initial Range coder state, it is encoded using "k" as context index and

```
pred = j ? initial_states[ i ][ j - 1 ][ k ]
```

Figure 15

```
initial_state[ i ][ j ][ k ] =  
( pred + initial_state_delta[ i ][ j ][ k ] ) & 255
```

Figure 16

4.1.16. ec

"ec" indicates the error detection/correction type.

value	error detection/correction type
0	32-bit CRC on the global header
1	32-bit CRC per slice and the global header
Other	reserved for future use

Table 14

4.1.17. intra

"intra" indicates the relationship between the instances of "Frame".

Inferred to be 0 if not present.

4.2.3. Mapping FFV1 into Containers

This "Configuration Record" can be placed in any file format supporting "Configuration Records", fitting as much as possible with how the file format uses to store "Configuration Records". The "Configuration Record" storage place and "NumBytes" are currently defined and supported by this version of this specification for the following formats:

4.2.3.1. AVI File Format

The "Configuration Record" extends the stream format chunk ("AVI ", "hdlr", "strl", "strf") with the ConfigurationRecord bitstream.

See [[AVI](#)] for more information about chunks.

"NumBytes" is defined as the size, in bytes, of the strf chunk indicated in the chunk header minus the size of the stream format structure.

4.2.3.2. ISO Base Media File Format

The "Configuration Record" extends the sample description box ("moov", "trak", "mdia", "minf", "stbl", "stsd") with a "glbl" box that contains the ConfigurationRecord bitstream. See [[ISO.14496-12.2015](#)] for more information about boxes.

"NumBytes" is defined as the size, in bytes, of the "glbl" box indicated in the box header minus the size of the box header.

4.2.3.3. NUT File Format

The codec_specific_data element (in "stream_header" packet) contains the ConfigurationRecord bitstream. See [[NUT](#)] for more information about elements.

"NumBytes" is defined as the size, in bytes, of the codec_specific_data element as indicated in the "length" field of codec_specific_data

4.2.3.4. Matroska File Format

FFV1 SHOULD use "V_FFV1" as the Matroska "Codec ID". For FFV1 versions 2 or less, the Matroska "CodecPrivate" Element SHOULD NOT be used. For FFV1 versions 3 or greater, the Matroska "CodecPrivate" Element MUST contain the FFV1 "Configuration Record" structure and no other data. See [[Matroska](#)] for more information about elements.

Year	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033	2034	2035	2036	2037	2038	2039	2040	2041	2042	2043	2044	2045	2046	2047	2048	2049	2050	2051	2052	2053	2054	2055	2056	2057	2058	2059	2060	2061	2062	2063	2064	2065	2066	2067	2068	2069	2070	2071	2072	2073	2074	2075	2076	2077	2078	2079	2080	2081	2082	2083	2084	2085	2086	2087	2088	2089	2090	2091	2092	2093	2094	2095	2096	2097	2098	2099	2100																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																		
Population (millions)	5.3	5.4	5.5	5.6	5.7	5.8	5.9	6.0	6.1	6.2	6.3	6.4	6.5	6.6	6.7	6.8	6.9	7.0	7.1	7.2	7.3	7.4	7.5	7.6	7.7	7.8	7.9	8.0	8.1	8.2	8.3	8.4	8.5	8.6	8.7	8.8	8.9	9.0	9.1	9.2	9.3	9.4	9.5	9.6	9.7	9.8	9.9	10.0	10.1	10.2	10.3	10.4	10.5	10.6	10.7	10.8	10.9	11.0	11.1	11.2	11.3	11.4	11.5	11.6	11.7	11.8	11.9	12.0	12.1	12.2	12.3	12.4	12.5	12.6	12.7	12.8	12.9	13.0	13.1	13.2	13.3	13.4	13.5	13.6	13.7	13.8	13.9	14.0	14.1	14.2	14.3	14.4	14.5	14.6	14.7	14.8	14.9	15.0	15.1	15.2	15.3	15.4	15.5	15.6	15.7	15.8	15.9	16.0	16.1	16.2	16.3	16.4	16.5	16.6	16.7	16.8	16.9	17.0	17.1	17.2	17.3	17.4	17.5	17.6	17.7	17.8	17.9	18.0	18.1	18.2	18.3	18.4	18.5	18.6	18.7	18.8	18.9	19.0	19.1	19.2	19.3	19.4	19.5	19.6	19.7	19.8	19.9	20.0	20.1	20.2	20.3	20.4	20.5	20.6	20.7	20.8	20.9	21.0	21.1	21.2	21.3	21.4	21.5	21.6	21.7	21.8	21.9	22.0	22.1	22.2	22.3	22.4	22.5	22.6	22.7	22.8	22.9	23.0	23.1	23.2	23.3	23.4	23.5	23.6	23.7	23.8	23.9	24.0	24.1	24.2	24.3	24.4	24.5	24.6	24.7	24.8	24.9	25.0	25.1	25.2	25.3	25.4	25.5	25.6	25.7	25.8	25.9	26.0	26.1	26.2	26.3	26.4	26.5	26.6	26.7	26.8	26.9	27.0	27.1	27.2	27.3	27.4	27.5	27.6	27.7	27.8	27.9	28.0	28.1	28.2	28.3	28.4	28.5	28.6	28.7	28.8	28.9	29.0	29.1	29.2	29.3	29.4	29.5	29.6	29.7	29.8	29.9	30.0	30.1	30.2	30.3	30.4	30.5	30.6	30.7	30.8	30.9	31.0	31.1	31.2	31.3	31.4	31.5	31.6	31.7	31.8	31.9	32.0	32.1	32.2	32.3	32.4	32.5	32.6	32.7	32.8	32.9	33.0	33.1	33.2	33.3	33.4	33.5	33.6	33.7	33.8	33.9	34.0	34.1	34.2	34.3	34.4	34.5	34.6	34.7	34.8	34.9	35.0	35.1	35.2	35.3	35.4	35.5	35.6	35.7	35.8	35.9	36.0	36.1	36.2	36.3	36.4	36.5	36.6	36.7	36.8	36.9	37.0	37.1	37.2	37.3	37.4	37.5	37.6	37.7	37.8	37.9	38.0	38.1	38.2	38.3	38.4	38.5	38.6	38.7	38.8	38.9	39.0	39.1	39.2	39.3	39.4	39.5	39.6	39.7	39.8	39.9	40.0	40.1	40.2	40.3	40.4	40.5	40.6	40.7	40.8	40.9	41.0	41.1	41.2	41.3	41.4	41.5	41.6	41.7	41.8	41.9	42.0	42.1	42.2	42.3	42.4	42.5	42.6	42.7	42.8	42.9	43.0	43.1	43.2	43.3	43.4	43.5	43.6	43.7	43.8	43.9	44.0	44.1	44.2	44.3	44.4	44.5	44.6	44.7	44.8	44.9	45.0	45.1	45.2	45.3	45.4	45.5	45.6	45.7	45.8	45.9	46.0	46.1	46.2	46.3	46.4	46.5	46.6	46.7	46.8	46.9	47.0	47.1	47.2	47.3	47.4	47.5	47.6	47.7	47.8	47.9	48.0	48.1	48.2	48.3	48.4	48.5	48.6	48.7	48.8	48.9	49.0	49.1	49.2	49.3	49.4	49.5	49.6	49.7	49.8	49.9	50.0	50.1	50.2	50.3	50.4	50.5	50.6	50.7	50.8	50.9	51.0	51.1	51.2	51.3	51.4	51.5	51.6	51.7	51.8	51.9	52.0	52.1	52.2	52.3	52.4	52.5	52.6	52.7	52.8	52.9	53.0	53.1	53.2	53.3	53.4	53.5	53.6	53.7	53.8	53.9	54.0	54.1	54.2	54.3	54.4	54.5	54.6	54.7	54.8	54.9	55.0	55.1	55.2	55.3	55.4	55.5	55.6	55.7	55.8	55.9	56.0	56.1	56.2	56.3	56.4	56.5	56.6	56.7	56.8	56.9	57.0	57.1	57.2	57.3	57.4	57.5	57.6	57.7	57.8	57.9	58.0	58.1	58.2	58.3	58.4	58.5	58.6	58.7	58.8	58.9	59.0	59.1	59.2	59.3	59.4	59.5	59.6	59.7	59.8	59.9	60.0	60.1	60.2	60.3	60.4	60.5	60.6	60.7	60.8	60.9	61.0	61.1	61.2	61.3	61.4	61.5	61.6	61.7	61.8	61.9	62.0	62.1	62.2	62.3	62.4	62.5	62.6	62.7	62.8	62.9	63.0	63.1	63.2	63.3	63.4	63.5	63.6	63.7	63.8	63.9	64.0	64.1	64.2	64.3	64.4	64.5	64.6	64.7	64.8	64.9	65.0	65.1	65.2	65.3	65.4	65.5	65.6	65.7	65.8	65.9	66.0	66.1	66.2	66.3	66.4	66.5	66.6	66.7	66.8	66.9	67.0	67.1	67.2	67.3	67.4	67.5	67.6	67.7	67.8	67.9	68.0	68.1	68.2	68.3	68.4	68.5	68.6	68.7	68.8	68.9	69.0	69.1	69.2	69.3	69.4	69.5	69.6	69.7	69.8	69.9	70.0	70.1	70.2	70.3	70.4	70.5	70.6	70.7	70.8	70.9	71.0	71.1	71.2	71.3	71.4	71.5	71.6	71.7	71.8	71.9	72.0	72.1	72.2	72.3	72.4	72.5	72.6	72.7	72.8	72.9	73.0	73.1	73.2	73.3	73.4	73.5	73.6	73.7	73.8	73.9	74.0	74.1	74.2	74.3	74.4	74.5	74.6	74.7	74.8	74.9	75.0	75.1	75.2	75.3	75.4	75.5	75.6	75.7	75.8	75.9	76.0	76.1	76.2	76.3	76.4	76.5	76.6	76.7	76.8	76.9	77.0	77.1	77.2	77.3	77.4	77.5	77.6	77.7	77.8	77.9	78.0	78.1	78.2	78.3	78.4	78.5	78.6	78.7	78.8	78.9	79.0	79.1	79.2	79.3	79.4	79.5	79.6	79.7	79.8	79.9	80.0	80.1	80.2	80.3	80.4	80.5	80.6	80.7	80.8	80.9	81.0	81.1	81.2	81.3	81.4	81.5	81.6	81.7	81.8	81.9	82.0	82.1	82.2	82.3	82.4	82.5	82.6	82.7	82.8	82.9	83.0	83.1	83.2	83.3	83.4	83.5	83.6	83.7	83.8	83.9	84.0	84.1	84.2	84.3	84.4	84.5	84.6	84.7	84.8	84.9	85.0	85.1	85.2	85.3	85.4	85.5	85.6	85.7	85.8	85.9	86.0	86.1	86.2	86.3	86.4	86.5	86.6	86.7	86.8	86.9	87.0	87.1	87.2	87.3	87.4	87.5	87.6	87.7	87.8	87.9	88.0	88.1	88.2	88.3	88.4	88.5	88.6	88.7	88.8	88.9	89.0	89.1	89.2	89.3	89.4	89.5	89.6	89.7	89.8	89.9	90.0	90.1	90.2	90.3	90.4	90.5	90.6	90.7	90.8	90.9	91.0	91.1	91.2	91.3	91.4	91.5	91.6	91.7	91.8	91.9	92.0	92.1	92.2	92.3	92.4	92.5	92.6	92.7	92.8	92.9	93.0	93.1	93.2	93.3	93.4	93.5	93.6	93.7	93.8	93.9	94.0	94.1	94.2	94.3	94.4	94.5	94.6	94.7	94.8	94.9	95.0	95.1	95.2	95.3	95.4	95.5	95.6	95.7	95.8	95.9	96.0	96.1	96.2	96.3	96.4	96.5	96.6	96.7	96.8	96.9	97.0	97.1	97.2	97.3	97.4	97.5	97.6	97.7	97.8	97.9	98.0	98.1	98.2	98.3	98.4	98.5	98.6	98.7	98.8	98.9	99.0	99.1	99.2	99.3	99.4	99.5	99.6	99.7	99.8	99.9	100.0	100.1	100.2	100.3	100.4	100.5	100.6	100.7	100.8	100.9	101.0	101.1	101.2	101.3	101.4	101.5	101.6	101.7	101.8	101.9	102.0	102.1	102.2	102.3	102.4	102.5	102.6	102.7	102.8	102.9	103.0	103.1	103.2	103.3	103.4	103.5	103.6	103.7	103.8	103.9	104.0	104.1	104.2	104.3	104.4	104.5	104.6	104.7	104.8	104.9	105.0	105.1	105.2	105.3	105.4	105.5	105.6	105.7	105.8	105.9	106.0	106.1	106.2	106.3	106.4	106.5	106.6	106.7	106.8	106.9	107.0	107.1	107.2	107.3	107.4	107.5	107.6	107.7	107.8	107.9	108.0	108.1	108.2	108.3	108.4	108.5	108.6	108.7	108.8	108.9	109.0	109.1	109.2	109.3	109.4	109.5	109.6	109.7	109.8	109.9	110.0	110.1	110.2	110.3	110.4	110.5	110.6	110.7	110.8	110.9	111.0	111.1	111.2	111.3	111.4	111.5	111.6	111.7	111.8	111.9	112.0	112.1	112.2	112.3	112.4	112.5	112.6	112.7	112.8	112.9	113.0	113.1	113.2	113.3	113.4	113.5	113.6	113.7	113.8	113.9	114.0	114.1	114.2	114.3	114.4	114.5	114.6	114.7	114.8	114.9	115.0	115.1	115.2	115.3	115.4	115.5	115.6	115.7	115.8	115.9	116.0	116.1	116.2	116.3	116.4	116.5	116.6	116.7	116.8	116.9	117.0	117.1	117.2	117.3	117.4	117.5	117.6	117.7	117.8	117.9	118.0	118.1	118.2	118.3	118.4	118.5	118.6	118.7	118.8	118.9	119.0	119.1	119.2	119.3	119.4	119.5	119.6	119.7	119.8	119.9	120.0	120.1	120.2	120.3	120.4	120.5	120.6	120.7	120.8	120.9	121.0	121.1	121.2	121.3	121.4	121.5	121.6	121.7	121.8	121.9	122.0	122.1	122.2	122.3	122.4	122.5	122.6	122.7	122.8	122.9	123.0	123.1	123.2	123.3	123.4	123.5	123.6	123.7	123.8	123.9	124.0	124.1	124.2	124.3	124.4	124.5	124.6	124.7	124.8	124.9	125.0	125.1	125.2	125.3	125.4	125.5	125.6	125.7	125.8	125.9	126.0	126.1	126.2	126.3	126.4	126.5	126.6	126.7	126.8	126.9	127.0	127.1	127.2	127.3	127.4	127.5	127.6	127.7	127.8	127.9	128.0	128.1	128.2	128.3	128.4	128.5

Inferred to be 0 if not present.

4.5.2. slice_y

"slice_y" indicates the y position on the slice raster formed by num_v_slices.

Inferred to be 0 if not present.

4.5.3. slice_width

"slice_width" indicates the width on the slice raster formed by num_h_slices.

Inferred to be 1 if not present.

4.5.4. slice_height

"slice_height" indicates the height on the slice raster formed by num_v_slices.

Inferred to be 1 if not present.

4.5.5. quant_table_set_index_count

"quant_table_set_index_count" is defined as "1 + ((chroma_planes || version <= 3) ? 1 : 0) + (extra_plane ? 1 : 0)".

4.5.6. quant_table_set_index

"quant_table_set_index" indicates the Quantization Table Set index to select the Quantization Table Set and the initial states for the slice.

Inferred to be 0 if not present.

4.5.7. picture_structure

"picture_structure" specifies the temporal and spatial relationship of each "Line" of the "Frame".

Inferred to be 0 if not present.

value	picture structure used
0	unknown
1	top field first
2	bottom field first
3	progressive
Other	reserved for future use

Table 17

4.5.8. sar_num

"sar_num" specifies the "Sample" aspect ratio numerator.

Inferred to be 0 if not present.

A value of 0 means that aspect ratio is unknown.

Encoders MUST write 0 if "Sample" aspect ratio is unknown.

If "sar_den" is 0, decoders SHOULD ignore the encoded value and consider that "sar_num" is 0.

4.5.9. sar_den

"sar_den" specifies the "Sample" aspect ratio denominator.

Inferred to be 0 if not present.

A value of 0 means that aspect ratio is unknown.

Encoders MUST write 0 if "Sample" aspect ratio is unknown.

If "sar_num" is 0, decoders SHOULD ignore the encoded value and consider that "sar_den" is 0.

4.5.10. reset_contexts

"reset_contexts" indicates if slice contexts must be reset.

Inferred to be 0 if not present.

For each "Frame" with keyframe value of 0, each slice MUST have the same value of "slice_x, slice_y, slice_width, slice_height" as a slice in the previous "Frame", except if "reset_contexts" is 1.

6. Security Considerations

Like any other codec, (such as [RFC6716]), FFV1 should not be used with insecure ciphers or cipher-modes that are vulnerable to known plaintext attacks. Some of the header bits as well as the padding are easily predictable.

Implementations of the FFV1 codec need to take appropriate security considerations into account, as outlined in [RFC4732]. It is extremely important for the decoder to be robust against malicious payloads. Malicious payloads must not cause the decoder to overrun its allocated memory or to take an excessive amount of resources to decode. The same applies to the encoder, even though problems in encoders are typically rarer. Malicious video streams must not cause the encoder to misbehave because this would allow an attacker to attack transcoding gateways. A frequent security problem in image and video codecs is also to not check for integer overflows in "Pixel" count computations, that is to allocate width * height without considering that the multiplication result may have overflowed the arithmetic types range. The range coder could, if implemented naively, read one byte over the end. The implementation must ensure that no read outside allocated and initialized memory occurs.

The reference implementation [REFIMPL] contains no known buffer overflow or cases where a specially crafted packet or video segment could cause a significant increase in CPU load.

The reference implementation [REFIMPL] was validated in the following conditions:

- * Sending the decoder valid packets generated by the reference encoder and verifying that the decoder's output matches the encoder's input.
- * Sending the decoder packets generated by the reference encoder and then subjected to random corruption.
- * Sending the decoder random packets that are not FFV1.

In all of the conditions above, the decoder and encoder was run inside the [VALGRIND] memory debugger as well as clangs address sanitizer [Address-Sanitizer], which track reads and writes to

invalid memory regions as well as the use of uninitialized memory. There were no errors reported on any of the tested conditions.

7. Media Type Definition

This registration is done using the template defined in [\[RFC6838\]](#) and following [\[RFC4855\]](#).

Type name: video

Subtype name: FFV1

Required parameters: None.

Optional parameters:

This parameter is used to signal the capabilities of a receiver implementation. This parameter MUST NOT be used for any other purpose.

version: The version of the FFV1 encoding as defined by the section on version (`#version`).

micro_version: The micro_version of the FFV1 encoding as defined by the section on micro_version (`#micro-version`).

coder_type: The coder_type of the FFV1 encoding as defined by the section on coder_type (`#coder-type`).

colorspace_type: The colorspace_type of the FFV1 encoding as defined by the section on colorspace_type (`#colorspace-type`).

bits_per_raw_sample: The bits_per_raw_sample of the FFV1 encoding as defined by the section on bits_per_raw_sample (`#bits-per-raw-sample`).

max-slices: The value of max-slices is an integer indicating the maximum count of slices with a frames of the FFV1 encoding.

Encoding considerations:

This media type is defined for encapsulation in several audiovisual container formats and contains binary data; see the section on "Mapping FFV1 into Containers" (`#mapping-ffv1-into-containers`). This media type is framed binary data [Section 4.8 of \[RFC6838\]](#).

Security considerations:

See the "Security Considerations" section (#security-considerations) of this document.

Interoperability considerations: None.

Published specification:

[I-D.ietf-cellar-ffv1] and RFC XXXX.

[RFC Editor: Upon publication as an RFC, please replace "XXXX" with the number assigned to this document and remove this note.]

Applications which use this media type:

Any application that requires the transport of lossless video can use this media type. Some examples are, but not limited to screen recording, scientific imaging, and digital video preservation.

Fragment identifier considerations: N/A.

Additional information: None.

Person & email address to contact for further information: Michael Niedermayer michael@niedermayer.cc (mailto:michael@niedermayer.cc)

Intended usage: COMMON

Restrictions on usage: None.

Author: Dave Rice dave@dericed.com (mailto:dave@dericed.com)

Change controller: IETF cellar working group delegated from the IESG.

8. IANA Considerations

The IANA is requested to register the following values:

- * Media type registration as described in Media Type Definition (#media-type-definition).

9. Appendixes

9.1. Decoder implementation suggestions

9.1.1. Multi-threading Support and Independence of Slices

The FFV1 bitstream is parsable in two ways: in sequential order as described in this document or with the pre-analysis of the footer of each slice. Each slice footer contains a `slice_size` field so the boundary of each slice is computable without having to parse the slice content. That allows multi-threading as well as independence of slice content (a bitstream error in a slice header or slice content has no impact on the decoding of the other slices).

After having checked keyframe field, a decoder SHOULD parse `slice_size` fields, from `slice_size` of the last slice at the end of the "Frame" up to `slice_size` of the first slice at the beginning of the "Frame", before parsing slices, in order to have slices boundaries. A decoder MAY fallback on sequential order e.g. in case of a corrupted "Frame" (frame size unknown, `slice_size` of slices not coherent...) or if there is no possibility of seeking into the stream.

10. Changelog

See <https://github.com/FFmpeg/FFV1/commits/master>
(<https://github.com/FFmpeg/FFV1/commits/master>)

11. Normative References

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