# Scalable K-means++ Problem Set

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#### 1 Conceptual Questions

1) In the presentation, we calculated the distances for clustering using the Euclidean distance algorithm. It is also possible to calculate distances, using the Minkowski (p-norm) distance algorithm (you can learn about the formula here https://xlinux.nist.gov/dads/HTML/manhattanDistance.html). When could the Manhattan distance be useful?

(Hint: Page 1 - Abstract: https://bib.dbvis.de/uploadedFiles/155.pdf)

- 2) Give an example, different than one discussed in the presentation, of what k-means clustering can be useful for, in real-world situations.
- 3) With k-means algorithms, we utilize averaging. Similar algorithms exist, such as the k-medians algorithm (utilizing medians). Give one situation where this algorithm could be a better approach.
- 4) Why would oversampling, in the Scalable k-means++ algorithm, improve initialization?

# 2 Proof Question

1) As discussed in the presentation Theorem 1 is as follows:

If an  $\alpha$  approximation algorithm is used in Step 8, then Algorithm k-means// obtains a solution that is an  $O(\alpha)$ -approximation to k-means.

To prove this Bahmani proves that the expected cost of adding new centers at each iteration, where  $\phi X(C)$  is the cost of the current centers in C,  $\phi X(C \cup C')$  is the cost of adding new centers, C', to C,  $\phi^*$  is a constant and  $\alpha$  is approximately  $e^{\frac{-l}{2k}}$ , in Theorem 2:

# Theorem 2

$$\mathbb{E}[\phi X(C \cup C')] \le 8\phi^* + \frac{1+\alpha}{2}\phi X(C)$$

To prove Theorem 1, Bahmani proposes the following Corollary which would prove that there is a constant factor approximation to k-means after  $O(\log \psi)$  rounds if we prove that the cost of clustering at the i<sup>th</sup> iteration is:

# Corollary 3

$$\mathbb{E}[\phi^{(i)}] \le (\frac{1+\alpha}{2})^{(i)}\psi + \frac{16}{1-\alpha}\phi^*$$

Prove Corollary 3 using induction which therefore proves the approximation guarantee of Theorem 1. (Hint use Theorem 2 in your inductive step)