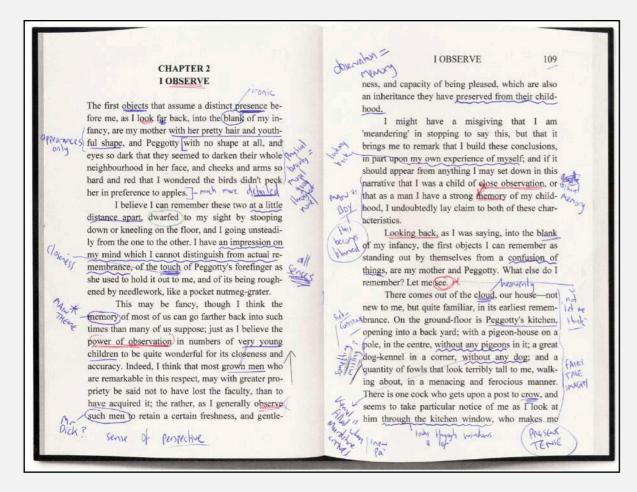
# Visually Exploring Documents: Topic Modeling

### Working with text

- "Text visualization" can mean many things
  - fonts, sizes, colors, kerning, typography in general
- We should consider text visualization from the more general definition of visualization: amplify cognition
  - Help people improve comprehension of a piece of text
  - Understand themes in text corpora
  - Locate/search relevant textual documents

#### Tasks in Text Visualization

- The way we approach text visualization is highly dependent on tasks.
- Close reading: deeply comprehending text, going beyond the words on the page [Jänicke et al. 2015]





## Close Reading Designs

#### Color

#### [Alexander et al. 2014]

This elegant shell occurs very rarely on the coasts of this country; we have observed it sparingly distributed on the sands near Tenby, in Pembrokeshire. Da Costa says, he was informed that it is found near Bangor, among the rocks from Bangor Ferry to Anglesea, in Wales, by which he could only mean that the species is an inhabitant of the Menai, the arm of Beaumaris bay, communicating with the St. George's channel which divides Caernaryonshire from the island of Anglesea. The same writer notes it likewise from Cornwall. Dr. Pultney describes it as a scarce shell, which he had found at Wey mouth. Having Da Costa's specimens of this shell, and also that of his Pectunculus Vetula before us, we should not refrain from observing, that the opinion of Dr. Pultney respecting these shells is incorrect; they are not merely transitions in growth, or varieties of the same kind. the difference between the two is obvious, and fully authorize us to consider them as distinct species. It should be understood in ad vancing this remark, that the shell which Da Costa figures and de scribes, for Pectunculus Vetula is clearly the Linnaean Venus Paphia, a shell well known as a native of the West Indies, and never found to our knowledge in any of the European seas. Da Costa was aware, after his work had been published, that he had erroneously con founded the variety of Fasciatus, Fig. 1, 1, in our Plate, with the West Indian shell; he had conceived the latter to be the same shell in a more perfect condition, and caused it to be engraved accordingly.

This elegant shell occurs very rarely on the coasts of this country; we have observed it sparingly distributed on the sands near Tenby, in Pembrokeshire. Da Costa says, he was informed that it is found near Bangor, among the rocks from Bangor Ferry to Anglesea, in Wales, by which he could only mean that the species is an inhabitant of the Menai, the arm of Beaumaris bay, communicating with the St. George's channel which divides Caernaryonshire from the island of Anglesea. The same writer notes it likewise from Cornwall, Dr. Pultney describes it as a scarce shell, which he had found at Weylmouth. Having Da Costa's specimens of this shell, and also that of his Pectunculus Vetula before us, we should not refrain from observing, that the opinion of Dr. Pultney respecting these shells is incorrect; they are not merely transitions in growth, or varieties of the same kind, the difference between the two is obvious, and fully authorize us to consider them as distinct species. It should be understood in ad vancing this remark, that the shell which Da Costa figures and de scribes, for Pectunculus Vetula is clearly the Linnaean Venus Paphia, a shell well known as a native of the West Indies, and never found to our knowledge in any of the European seas. Da Costa was aware, after his work had been published, that he had erroneously con founded the variety of Fasciatus, Fig. 1, 1, in our Plate, with the West Indian shell; he had conceived the latter to be the same shell in a more perfect condition, and caused it to be engraved accordingly.

#### Size [Walsh et al. 2014]

Once upon a midnight dreary, while I pondered weak and weary,

Over many a quaint and curious volume of forgotten lore,
While I nodded, nearly napping, suddenly there came a

#### tapping,

As of some one gently rapping, rapping at my chamber door.

"'Tis some visitor," I muttered, "tapping at my chamber door -

Only this, and nothing more."

#### **Node-link Diagrams**

#### Night Louise Bogan

The cold remote islands
And the blue estuaries
Where what breathes, breathes
The cestless wind of the inlets
And what drinks, drinks

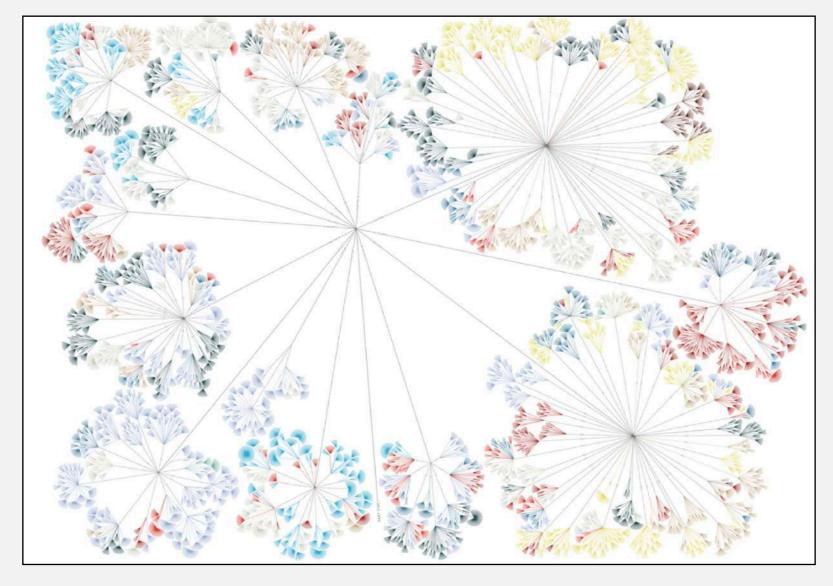
The incoming tide;

Where shell and weed
Wait upon the sall wash of the sea.
And the clear nights of stars
Swing their lights westward
To see behind the land;

[Coles et al. 2014]

### Distant Reading

Understand structure, relationships, themes, connections within a document.



[Posavec 2007]

### Document Exploration

- A "document": sequence of words.
  - A book, a wikipedia article, a paper abstract, a tweet
- Can view as generic high-dimensional data, apply techniques we've discussed so far for visual exploration
- But documents are unique:
  - Really high-dimensional (e.g. dimensionality = size of English vocabulary)
  - Usually sparse
  - Yet, each dimension word is interpretable

#### Document Exploration Tasks

- Suppose you were provided hundreds of articles relevant to your respective research backgrounds.
- What tasks are relevant to you for gaining an understanding - and advancing your research - on the document corpus?

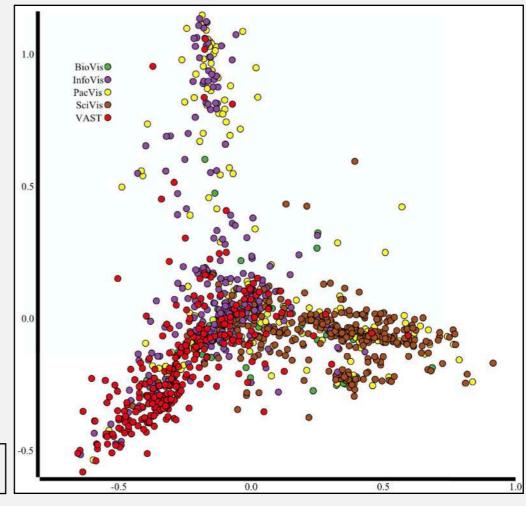
## Exploring Documents: Dimensionality Reduction

- We represent each document as a point in a high-dimensional space.
  - Each dimension is a word

The value of a dimension is the word count: the number of times

the word appeared in the document

[Anderson et al. 2014]



Limitations with this approach?

#### Matrix Factorization

- Dimensionality reduction is ... too reductive. We seek better representations of documents.
- Let's consider matrix factorization.

$$W \in \mathbb{R}^{n \times d} \longrightarrow \|W - \tilde{U}\tilde{V}^T\| \qquad \qquad \tilde{U} \in \mathbb{R}^{n \times k} \quad \tilde{V} \in \mathbb{R}^{d \times k}$$

$$\tilde{U} \in \mathbb{R}^{n \times k} \quad \tilde{V} \in \mathbb{R}^{d \times k}$$

 $\mathbf{w}_i \approx \tilde{\mathbf{u}}_i \tilde{V}^T$  a reconstruction of word counts for document i

 $ilde{\mathbf{u}}_i$  assigns importance to each column of  $ilde{V}$ 

 $\hat{V}$  each column vector: a set of weights, one for each word—

shared across documents

k determines approximation quality

 $k \ll \min(n, d)$  we share limited information across documents for reconstruction

Ideally: columns represent meaningful, latent factors shared across documents

#### Optimistic, but unrealistic, example

We set k to 2

$$\tilde{V} = [\tilde{\mathbf{v}}_1 \, \tilde{\mathbf{v}}_2] \qquad \tilde{\mathbf{v}}_1 \in \mathbb{R}^d \qquad \tilde{\mathbf{v}}_2 \in \mathbb{R}^d$$

$$\tilde{\mathbf{v}}_1 \in \mathbb{R}^d$$

$$\tilde{\mathbf{v}}_2 \in \mathbb{R}^d$$

Then we can represent each document with 2 numbers

$$\tilde{\mathbf{w}}_i = [w_{i1}, w_{i2}]$$

$$\tilde{\mathbf{w}}_i = [1,0]$$
 take on words from  $\tilde{\mathbf{v}}_1$ 

$$\tilde{\mathbf{w}}_i = [0,1]$$
 take on words from  $\tilde{\mathbf{v}}_2$ 

Does this look familiar? What are we doing with documents in this manner?

$$ilde{\mathbf{v}}_1 \in \mathbb{R}^d$$
 set of words that describe one cluster

$$\tilde{\mathbf{v}}_2 \in \mathbb{R}^d$$
 set of words that describe other cluster

#### Matrix Factorization: SVD

 Clustering interpretation only meaningful if the reconstruction is good!

$$\|W - \tilde{U}\tilde{V}^T\|_F$$

 We can find the global minimum of this energy via the singular value decomposition (SVD)

$$W = U\Lambda V^T$$
,  $U \in \mathbb{R}^{n \times n}$ ,  $\Lambda \in \mathbb{R}^{n \times d}$ ,  $V \in \mathbb{R}^{d \times d}$   $U^T U = I$ ,  $V^T V = I$ 

Truncate the SVD to the top k singular values

$$\tilde{U} = U_{1:k} \sqrt{\Lambda_{1:k}} \qquad \tilde{V} = V_{1:k} \sqrt{\Lambda_{1:k}}$$

Can write approximation as the following expansion:

$$W \approx \sum_{i=1}^{k} \lambda_i \mathbf{u}_i \mathbf{v}_i^T$$
 best rank-k approximation

## Nonnegative Matrix Factorization

- Limitation: the document and latent factors can be negative - not easily interpretable! Bad for visualization.
- So, then, let's enforce nonnegativity!

$$||W - UV^T||_F^2$$
,  $s.t. U, V \ge 0$ 

- We can then say "latent factors V contribute u amount to the reconstruction"
- Challenge: fixing *U*, energy is convex in *V*. Fixing *V*, energy is convex in *U*. But not convex in both! (due to nonnegativity constraint cannot apply Eckart-Young theorem)

## Algorithm: Multiplicative Updates

$$||W - UV^T||_F^2$$
,  $s.t. U, V \ge 0$ 

Alternate between the following updates:

$$V_{ab} \leftarrow V_{ab} \frac{(U^T W)_{ab}}{(U^T U V^T)_{ab}} \qquad U_{ab} \leftarrow U_{ab} \frac{(W V)_{ab}}{(U V^T V)_{ab}}$$

 Update can be seen as a particular (per-element) step size chosen for gradient descent:

$$E(V) = ||W - UV^T||_F^2 = tr((W - UV^T)^T(W - UV^T))$$
$$= tr(W^TW - 2VU^TW + VU^TUV^T)$$

Take derivative of trace:

$$\frac{\mathbf{d}E}{\mathbf{d}V^T} = 2(U^T U V^T - U^T W)$$

## Algorithm: Multiplicative Updates continued...

$$V_{ab} \leftarrow V_{ab} - \eta_{ab} (U^T U V^T - U^T W)_{ab}$$
 ,  $\eta_{ab} = \frac{V_{ab}}{(U^T U V^T)_{ab}}$ 

$$V_{ab} \leftarrow V_{ab} - \frac{V_{ab}}{(U^T U V^T)_{ab}} (U^T U V^T - U^T W)_{ab} = V_{ab} - V_{ab} + V_{ab} \frac{(U^T W)_{ab}}{(U^T U V^T)_{ab}}$$

- Starting from an initial guess for U and V that are both nonnegative, this scheme ensures:
  - Both will remain nonnegative
  - Energy decreases at each iteration
  - Will arrive at some fixed-point solution (local minimum)

[Lee & Seung 2001]

#### Still, some limitations

- Weights are unbounded:
  - Some latent factors could dominate others.
  - Thus, document weights become hard to interpret.
- At this point, might start introducing regularization terms.
- However, consider the following probabilistic interpretation:

$$w_{ij} \approx \mathbf{u}_i^T \mathbf{v}_j = \sum_{l=1}^k u_{il} v_{jl} \longrightarrow \sum_l \underline{p(z_l | \theta)} \underline{p(w | z_l, \beta)}$$

probability of latent factor, given a document probability of word, given latent factor

#### Latent Dirichlet Allocation

• Next, let's consider all words in a document:

$$p(\theta, \mathbf{w} \mid \alpha, \beta) = \underline{p(\theta \mid \alpha)} \prod_{n} \sum_{z_n} p(z_n \mid \theta) p(w_n \mid z_n, \beta)$$

Probability of latent factors - or topics

• We marginalize out  $\theta$ 

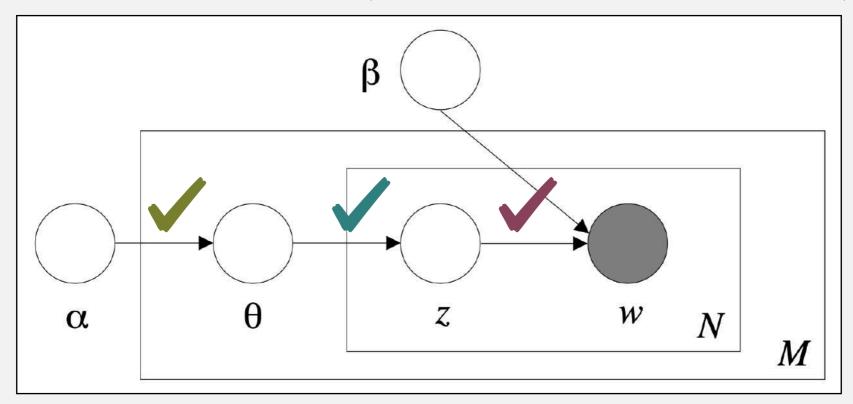
$$p(\mathbf{w} \mid \alpha, \beta) = \int p(\theta \mid \alpha) \left( \prod_{n} \sum_{z_n} p(z_n \mid \theta) p(w_n \mid z_n, \beta) \right) d\theta$$

Last, consider all documents:

$$p(\mathbf{w} \mid \alpha, \beta) = \prod_{d=1}^{M} \int p(\theta_d \mid \alpha) \left( \prod_{n=1}^{N_d} \sum_{z_{dn}} p(z_{dn} \mid \theta_d) p(w_{dn} \mid z_{dn}, \beta) \right) d\theta_d$$

#### Probabilistic Model

$$p(\mathbf{w} \mid \alpha, \beta) = \prod_{d=1}^{M} \int \underline{p(\theta_d \mid \alpha)} \left( \prod_{n=1}^{N_d} \sum_{z_{dn}} \underline{p(z_{dn} \mid \theta_d)} \underline{p(w_{dn} \mid z_{dn}, \beta)} \right) d\theta_d$$

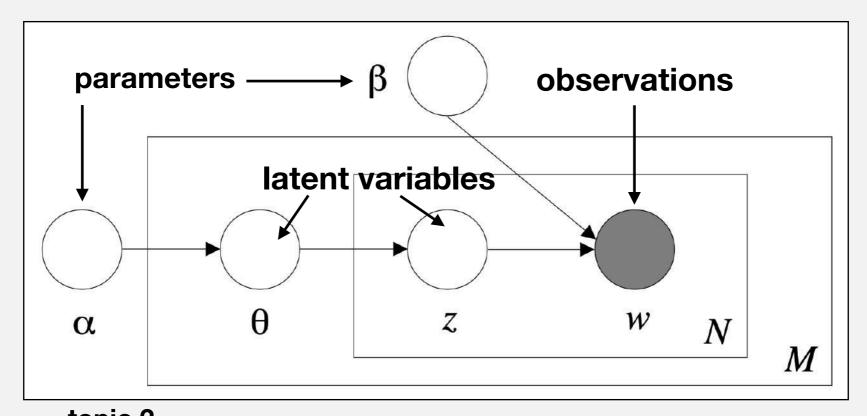


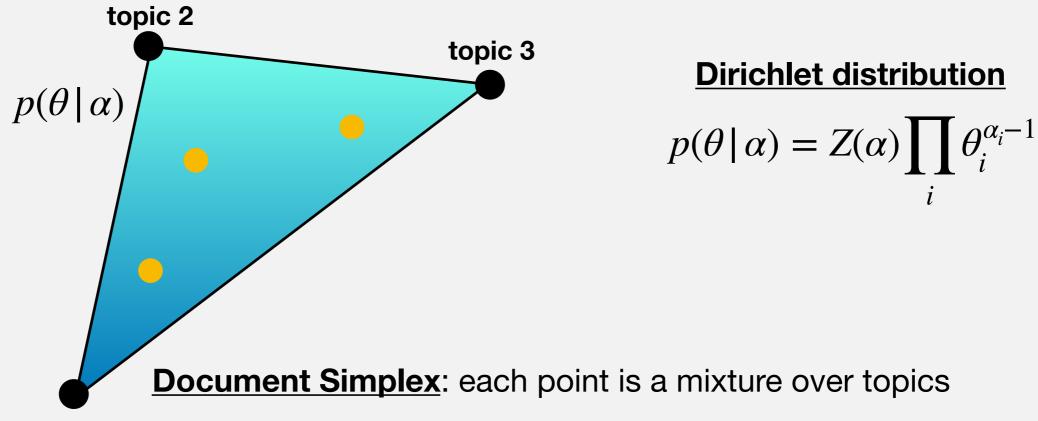
For a given document, draw a mixture of topics

To generate a word, first draw a random topic, given the mixture

Last, sample a word from the topic

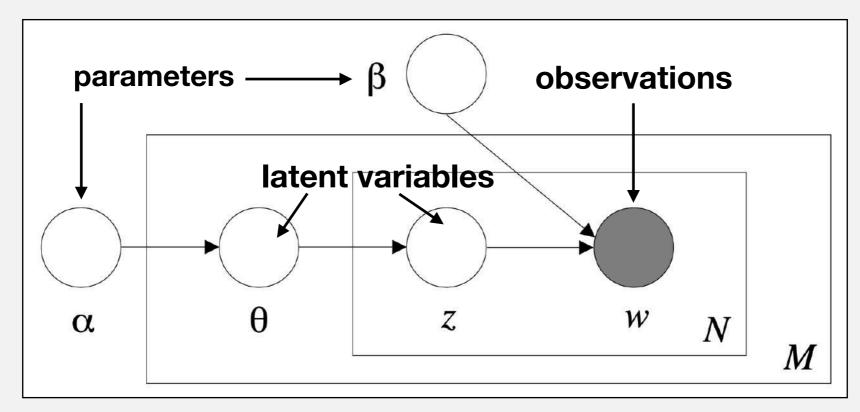
#### Probabilistic Model

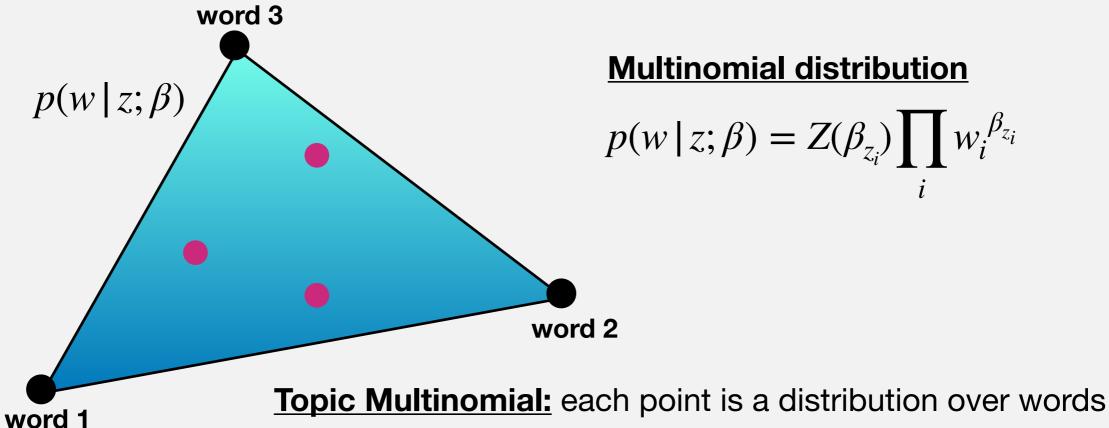




topic 1

#### Probabilistic Model





#### TOPIC 1

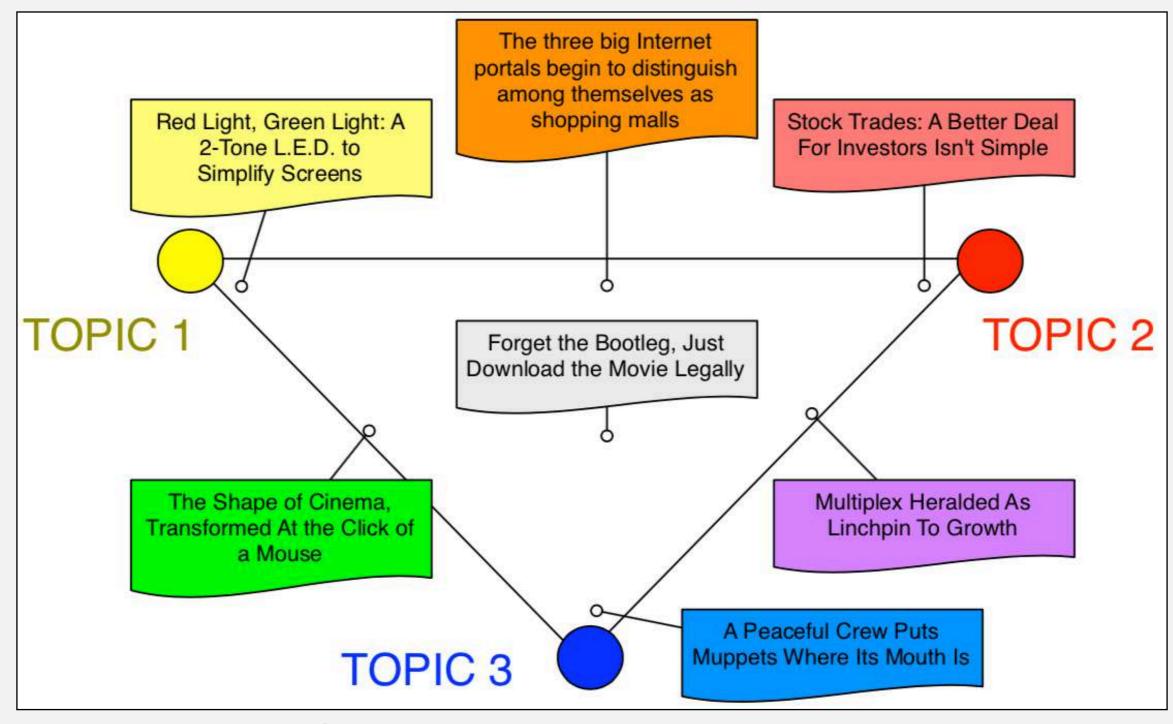
computer, technology, system, service, site, phone, internet, machine

#### TOPIC 2

sell, sale, store, product, business, advertising, market, consumer

#### TOPIC 3

play, film, movie, theater, production, star, director, stage



computer, technology, system, service, site, phone, internet, machine

sell, sale, store, product, business, advertising, market, consumer

play, film, movie, theater, production, star, director, stage

Hollywood studios are preparing to let people download and buy electronic copies of movies over the Internet, much as record labels now sell songs for 99 cents through Apple Computer's iTunes music store and other online services ...

computer, technology, system, service, site, phone, internet, machine

sell, sale, store, product, business, advertising, market, consumer

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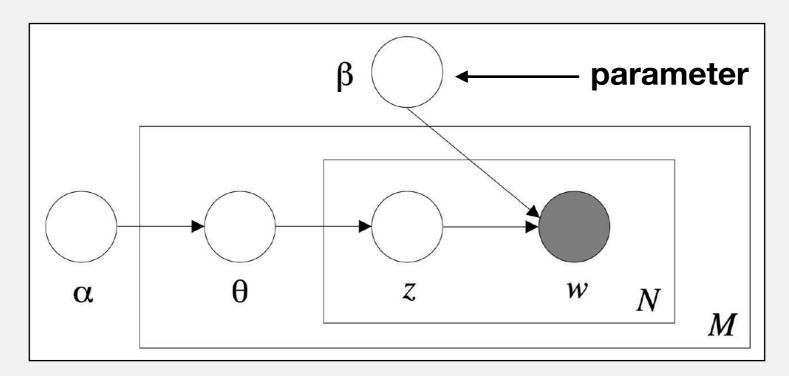
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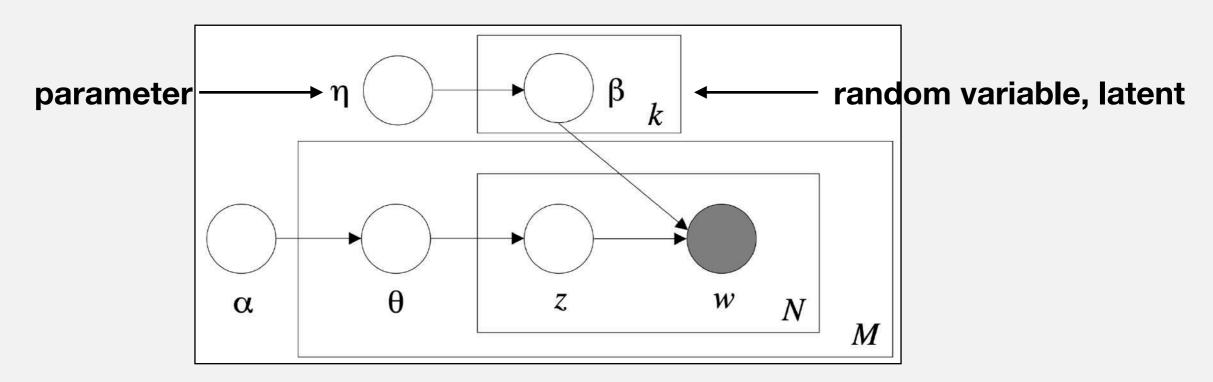
play, film, movie, theater, production, star, director, stage

Holywood studies are preparing to let people download and but electronic copies of movies over the Internet, much as record lates now sell somes for 99 cents through Apple Computer's iTunes music store and other online services ...

## Problem with Sparsity



Documents might be similar, but have no words in common!



#### Inference in LDA

- Need to compute the posterior distribution of hidden variables - intervals, point estimates, etc..
- However, this is intractable: need to evaluate a really complicated integral
- Variational Inference: we approximate the distribution we would like, with one that permits tractable optimization

$$q(\beta, \mathbf{z}, \theta \,|\, \lambda, \phi, \gamma) = \prod_{i=1}^{k} \underline{\mathbf{Dir}(\beta_i \,|\, \lambda_i)} \prod_{d=1}^{M} \underline{\mathbf{Dir}(\theta_d \,|\, \gamma_d)} \prod_{n=1}^{N} \underline{\mathbf{Mult}}(z_n \,|\, \phi_n)$$

Probability distribution for a topic (over words)

Probability distribution for a document (over topics)

### Relating q and p

We maximize the Evidence Lower BOund (ELBO):

$$\log p(\mathbf{w} \mid \alpha, \eta) \ge \mathcal{L}(\mathbf{w}, \lambda, \phi, \gamma) = \mathbb{E}_q[\log p(\mathbf{w}, \underline{\beta, \mathbf{z}, \theta} \mid \underline{\alpha, \eta})] - \mathbb{E}_q[\log q(\underline{\beta, \mathbf{z}, \theta} \mid \underline{\lambda, \phi, \gamma})]$$

- Log-likelihood of document
- Latent variables are shared between distributions
- Differences: Dirichlet and Multinomial parameters
- A consequence of Jensen's inequality: a concave (e.g. log) function of an expectation is lower-bound by the expectation of the concave function

## Main Optimization

$$\begin{split} \mathcal{L} &= \sum_{d} \mathbb{E}_{q}[\log p(\mathbf{w}_{d} | \beta_{d}, \mathbf{z}_{d}, \theta)] + \mathbb{E}_{q}[\log p(\mathbf{z}_{d} | \theta_{d})] + \mathbb{E}_{q}[\log p(\theta_{d} | \alpha)] + \mathbb{E}_{q}[\log p(\beta | \eta)] \\ &- \mathbb{E}_{q}[\log q(\mathbf{z}_{d} | \phi_{d})] - \mathbb{E}_{q}[\log q(\theta_{d} | \gamma_{d})] - \mathbb{E}_{q}[\log q(\beta | \lambda)] \end{split}$$

Can solve for variational parameters via coordinate ascent:

a topic is relevant to a document, if document-conditioned words are topic-relevant

$$\lambda_{kw} = \eta + \sum_{l} n_{dw} \phi_{dwk}$$

a topic is relevant to a word, if documents that contain the word are topic-relevant

#### What does inference give us?

- We have now estimated our variational parameters.
  - One describes a probability distribution for each topic
  - The other describes a probability distribution for each document

#### 

 We can draw random samples from each distribution ... or, if we just want a single realization, we take expectations:

$$\mathbb{E}[\mathbf{Dir}(\beta_i | \lambda_i)] = \frac{\lambda_i}{\sum_{j=1}^n \lambda_{ij}} \qquad \mathbb{E}[\mathbf{Dir}(\theta_d | \gamma_d)] = \frac{\gamma_d}{\sum_{j=1}^k \gamma_{dj}}$$

## Tasks in Visualizing Topic Models

- Comparing documents
- Comparing topics
- Understanding a topic
- Understanding a document, in terms of topics
- Other data:
  - Time? Document Categories?

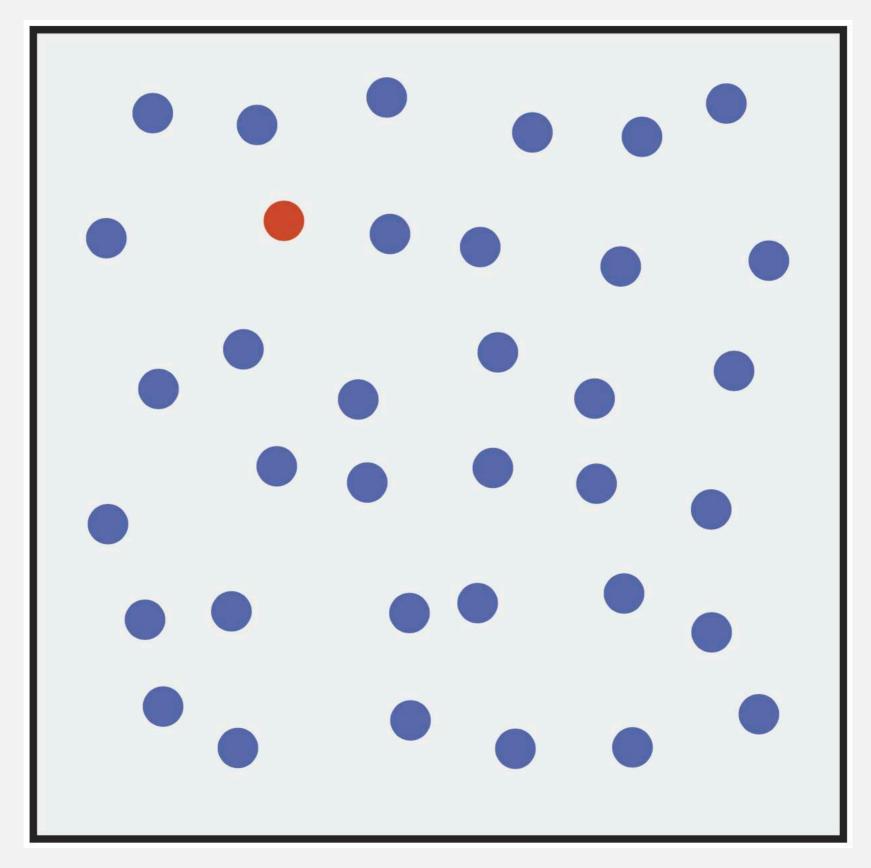
Tasks determine how we prioritize visual encodings and interactions!

## Visualizing a topic?

- Easy? choose its highest-probability words.
- Visualizing multiple topics: some decisions need to be made...

Topic 02 graphics virtual simulation interaction pis visualization surface visual human-machine physical haptic touch imagine realistic interactive force tracking Topic 07 recognition speech sign musical music signed signing sound speaker computer-based automatic auditory emotional processing channel synthesis communication Topic 22 language text tagging linguistic natural categorization machine relation processing message meaning nlp corpora extraction sentence translation word training Topic 23 mining discovery dataset massive machine network scientific detection statistical pattern novel knowledge complex field developing time source Topic 28 network security privacy response service communication distributed emergency policy collaborative justice wireless criminal released internet private fire sharing Topic 01 parallel database query relational management processing http performance estimate optimizer spatio-temporal implementation answer operation hardware Topic 04 model reduction performance dimensionality existing space statistical measure optimization selection approach based novel popular method machine Topic 13 reasoning planning complex decision theory causal intelligence uncertainty computational domain real-world probabilistic knowledge graphical Topic 19 undergraduate graduate course education program educational computing engineering university curriculum interdisciplinary project school women underrepresented Topic 00 creative creativity computational media scratch children interactive reading designer content artist study technology animation collaboration Topic 05 user create help potential available goal process people generate ability current solution set building example enable cost knowledge difficult complex Topic 11 intelligence cognitive agent people human behavior intelligent strategy interaction individual learn environment ability understanding machine Topic 12 visual computational neuroscience brain cortex stimuli memory response activity understanding mechanism neuronal natural neural movement Topic 09 biology computational biological network sequencing high-throughput sequence interaction protein gene proposed bioinformatics evolutionary

#### Preattentive Processing

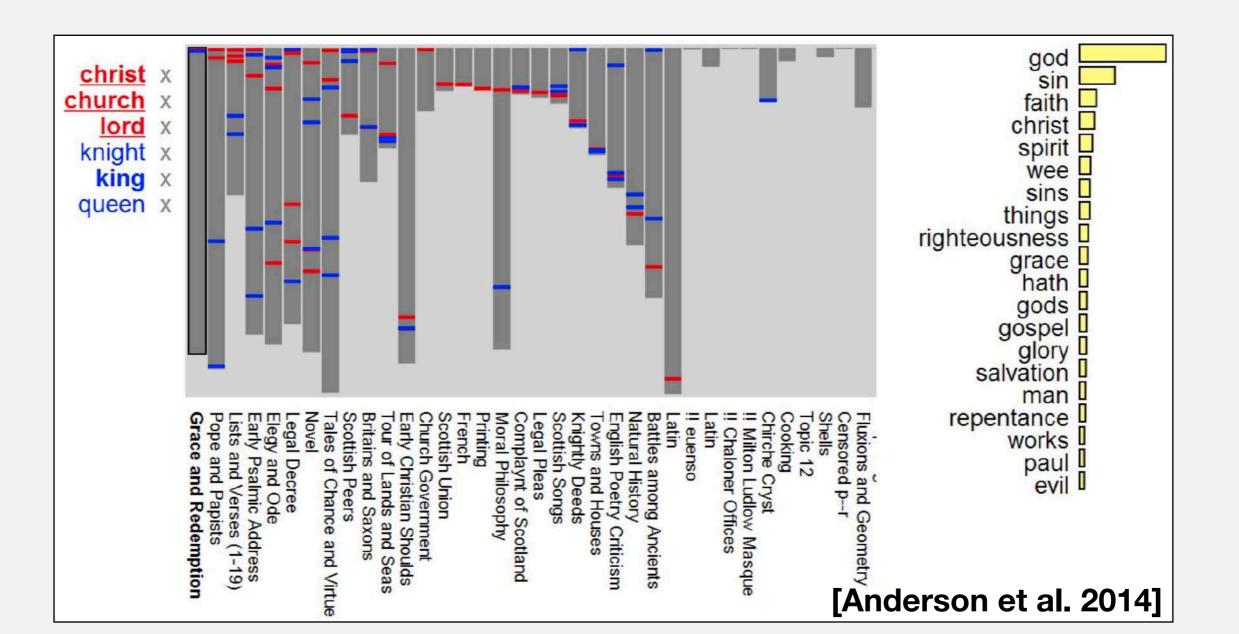


## Implications for Text Visualization

- Showing a list/scatterplot of text, without consideration of visual channels, can result in <u>serial processing</u> *slow*!
- If possible, use other visual channels to style text.
- Topic modeling gives us additional information which we may use to visually style text data - so we should use it.

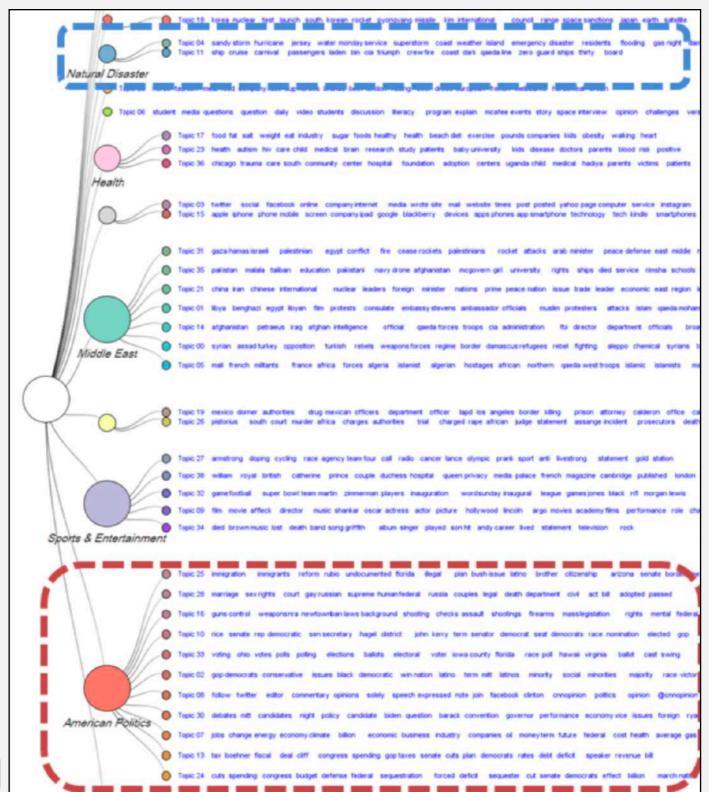
### Topic Vis, Pt. 2

An alternative:



### Topic Vis, Pt. 3

- Lots of topics?
- Hierarchies!

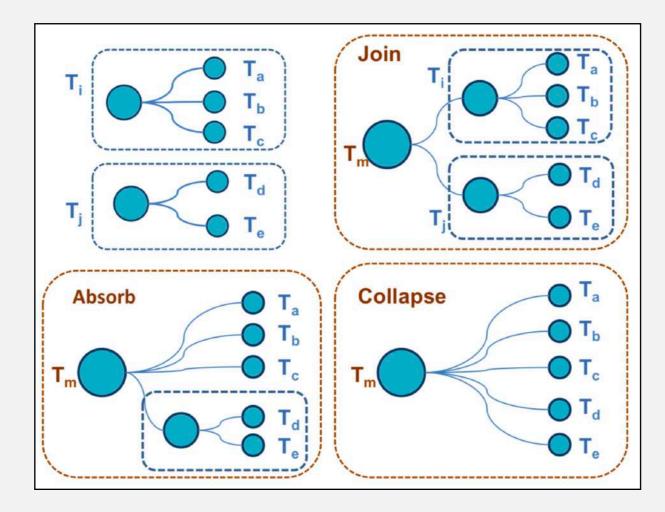


# Building the Hierarchy

 Start from a set of topics, treat each as leaf nodes in a tree, repeat:

Consider the following types of operations for a pair of

subtrees:



## Building the Hierarchy

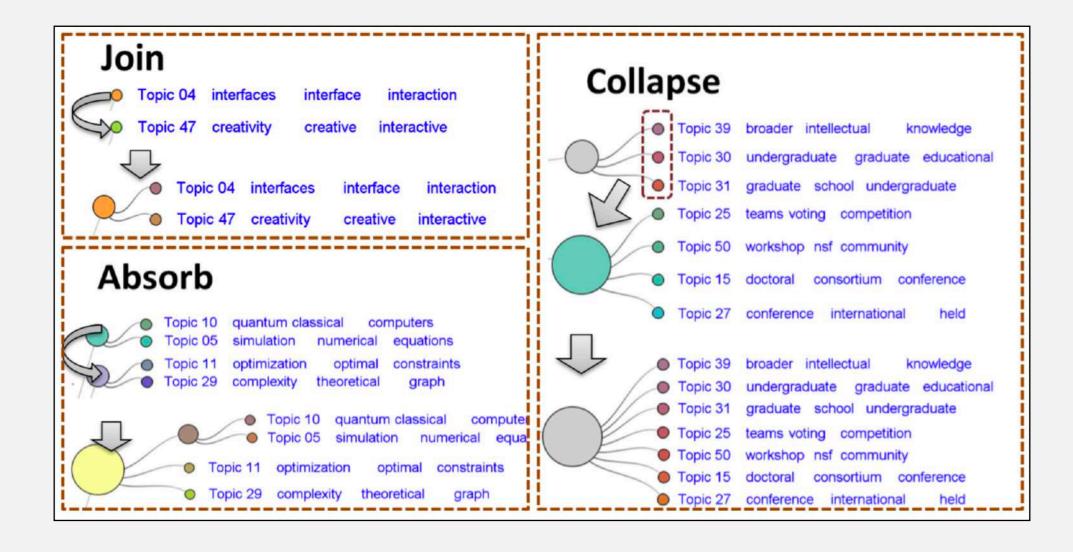
 Find the operation that gives a pair of subtrees the "lowest cost". Cost? Distance between topics?

$$d_H(t_i, t_j) = \sum_{v=1}^{N} (\sqrt{t_{i,v}} - \sqrt{t_{j,v}})^2$$

- Non-leaf nodes? Average their distributions...
- Sidebar: any potential issues with this distance?
- Algorithm continued: merge the two nodes with lowest cost, repeat until we reach the root!

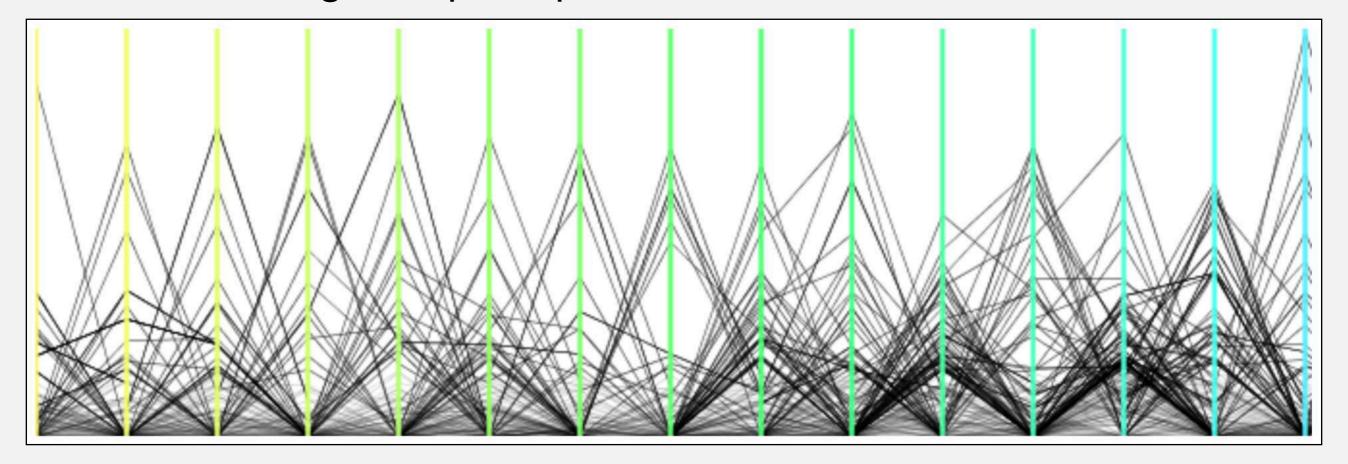
### Hierarchy: Good?

 Might be imperfect, so allow the user to adjust by exposing these operations:



### Visualizing a document?

- Easy? Show topic assignments.
- Visualizing multiple topics? Parallel coordinates!



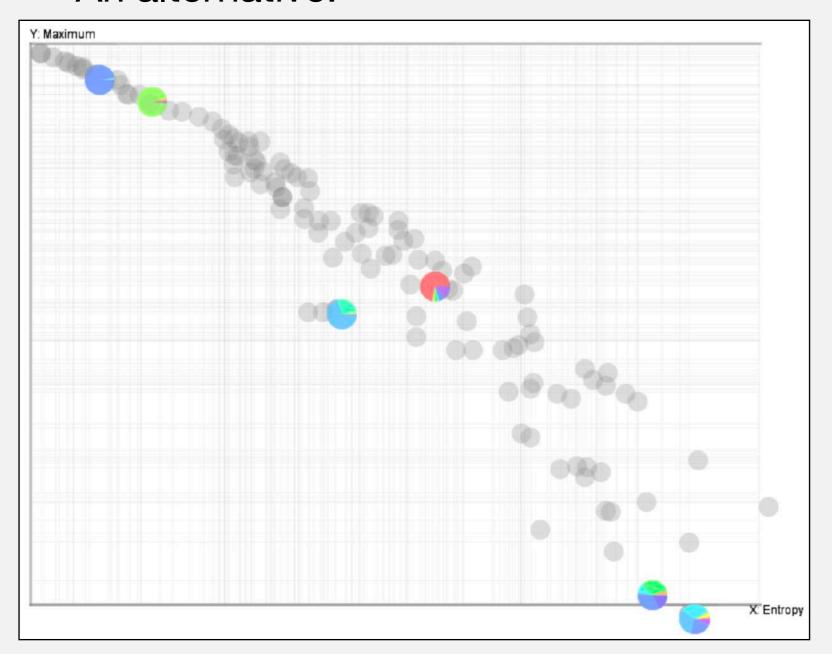
### Document Vis, Pt. 2

- Poly-lines can quickly become a source of clutter!
- An alternative:



### Document Vis, Pt. 3

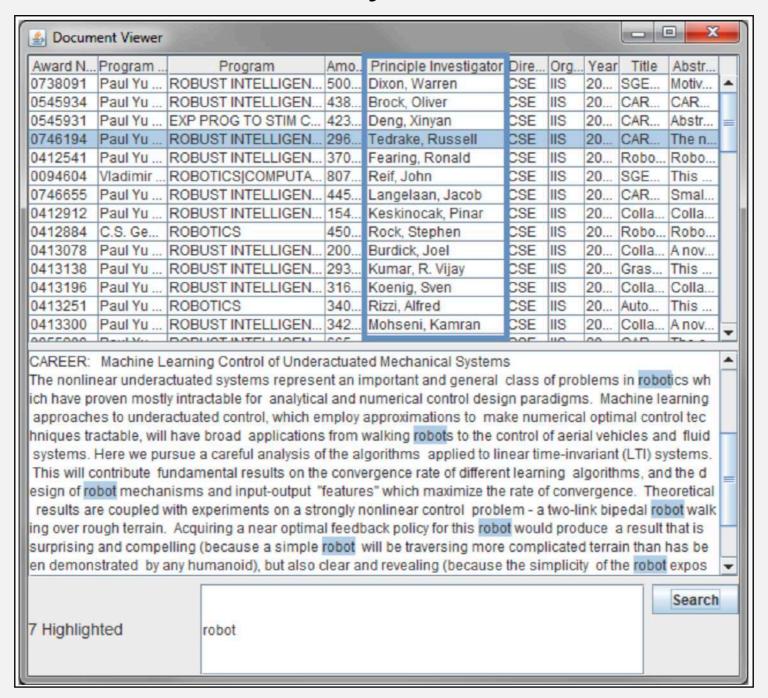
- Order matters!
- An alternative:



[Dou et al. 2011]

### Text Vis?

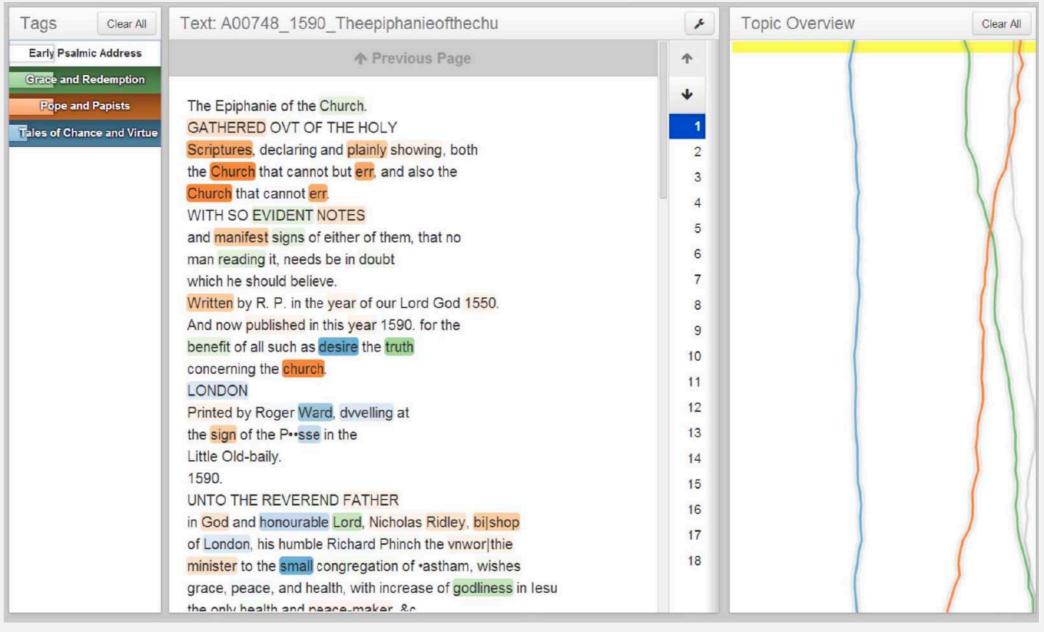
Show the document directly:



[Dou et al. 2011]

### Text Vis, Pt. 2

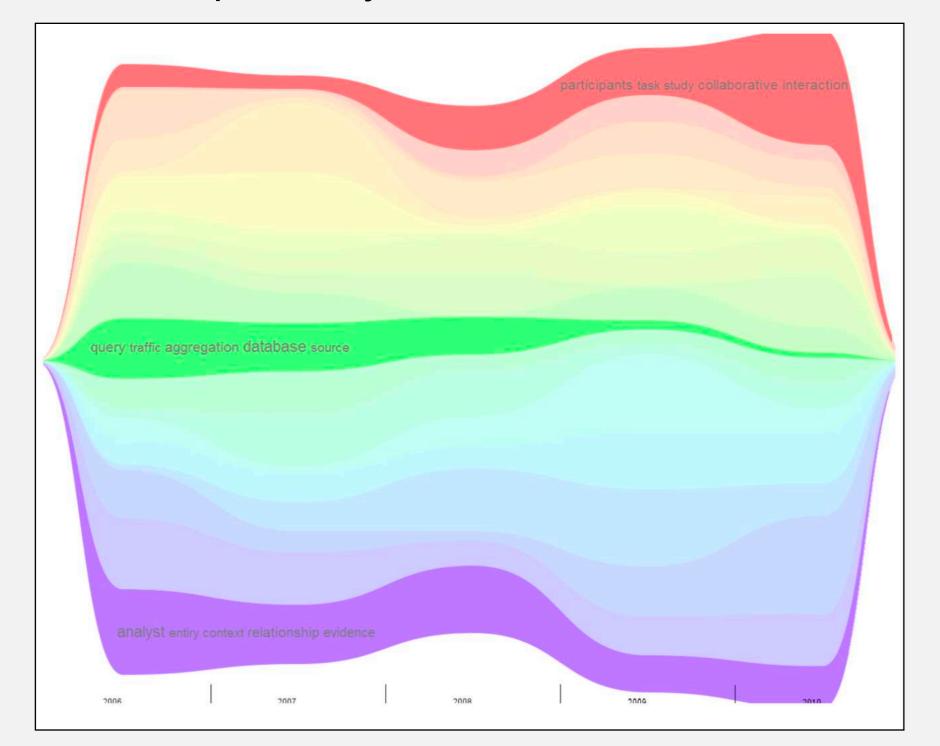
Use topic model to aid in showing text!



[Anderson et al. 2014]

# Handling Time

How do topics vary over time?



[Dou et al. 2011]

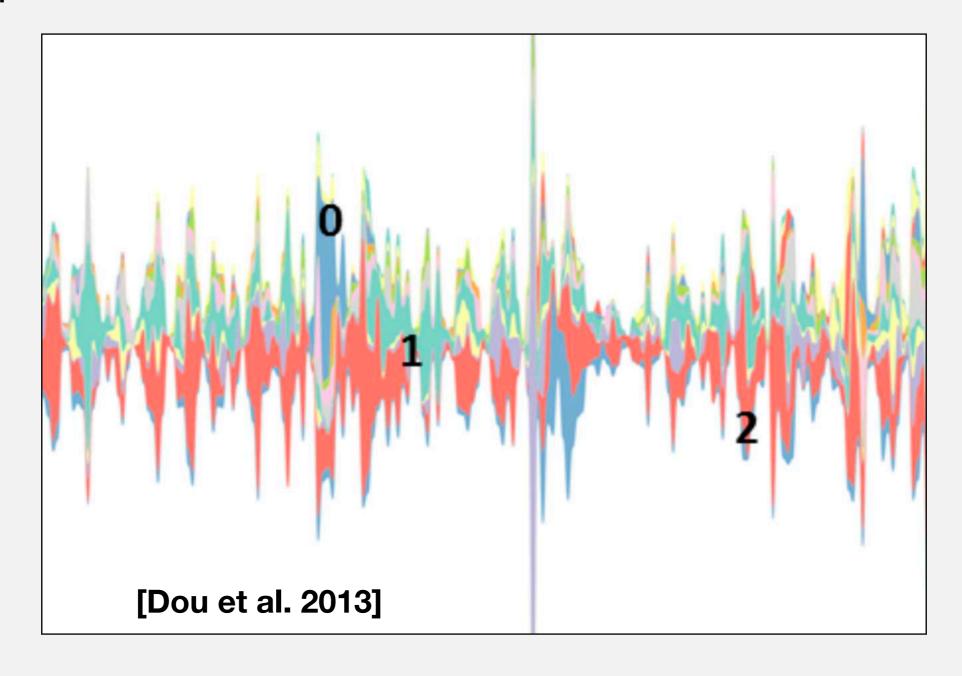
#### What is this time series?

- Need to derive a time-dependent measure of topic relevance.
- Method:
  - Fix a time interval unit
  - Take a temporal sliding window over all time steps, where the window length is this interval.
  - For a given temporal window, gather all documents:

$$\tau_D(i) = \sum_{d \in D} d_i$$

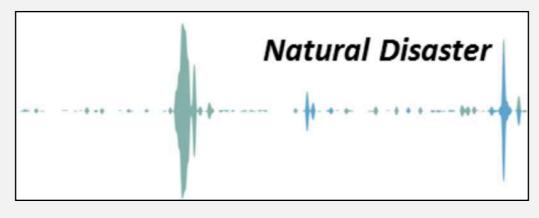
# Hierarchical Temporal Evolution

Top-level nodes:



# Hierarchical Temporal Evolution

Interactive! Click on a node, expand to its children:







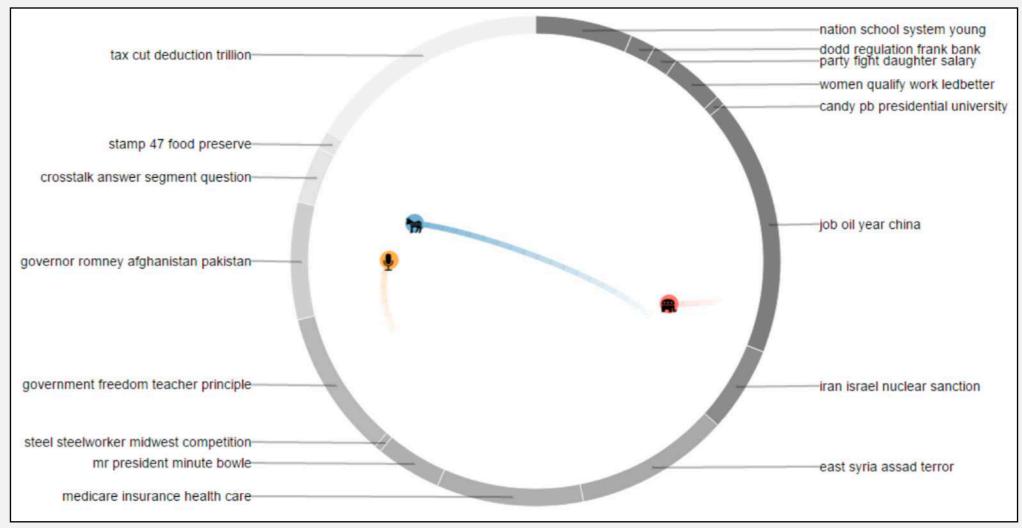
### Topic Modeling for Exploring Conversations

- Conversation data
  - Set of speakers
  - Each speaker delivers an <u>utterance</u>: a set of statements, at a particular time.
  - Treat each utterance as a document: topic modeling!

# ConToVi: Multi-Party Conversation Exploration

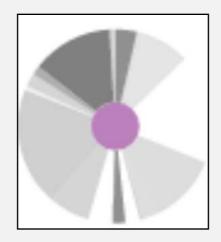
- Objective: show the progression of a conversation, in the context of topic membership
- Necessitates different views:

[El-Assady et al. 2016]



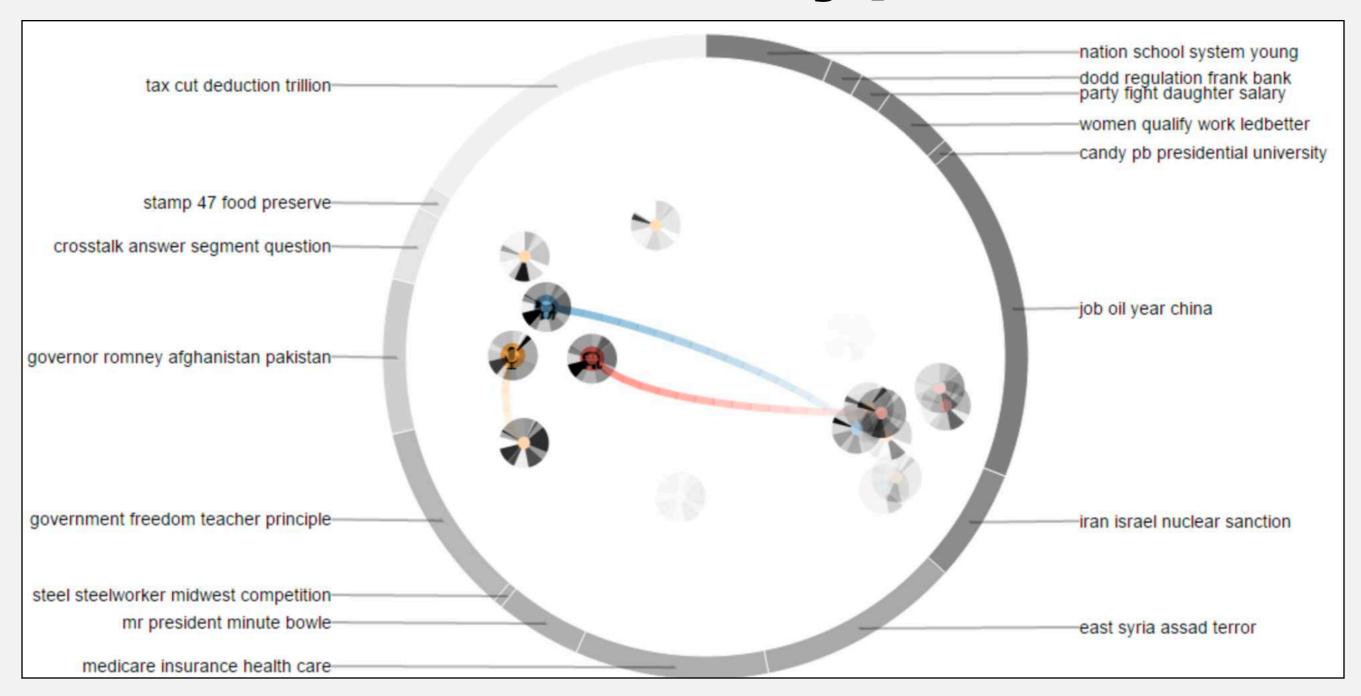
## Topic Glyph

Explicitly visually encode topic membership:



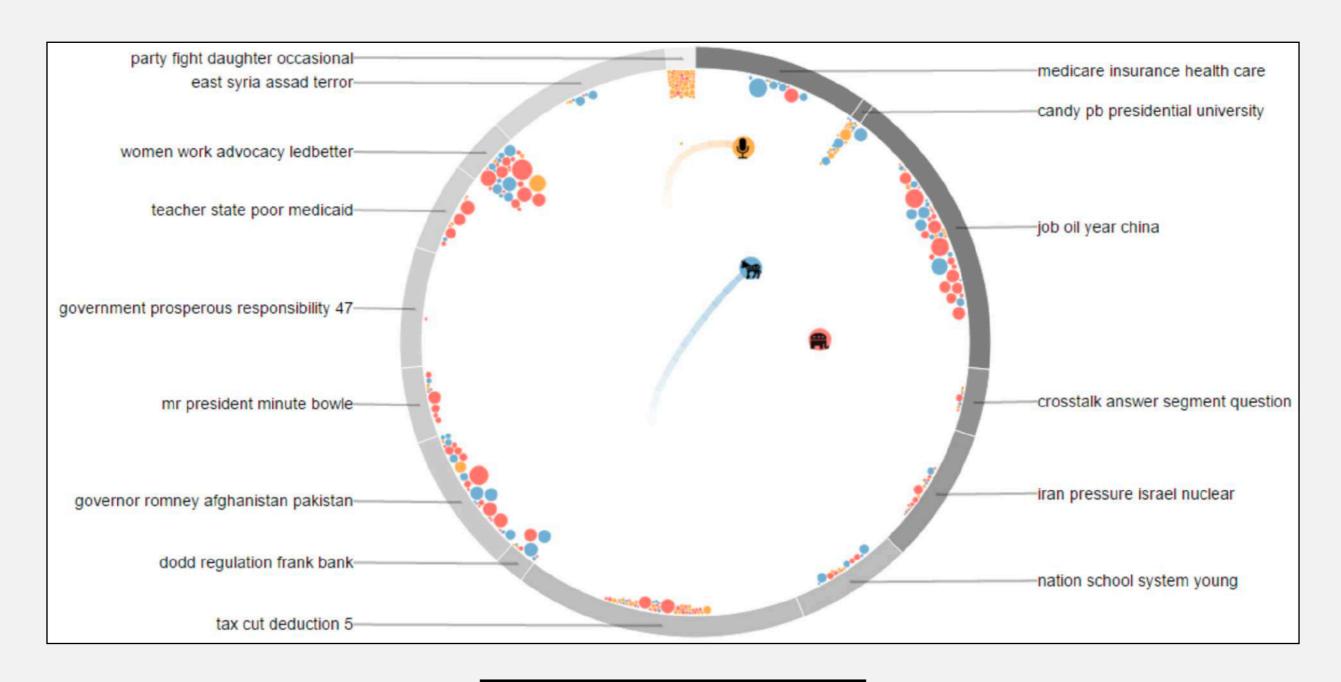
- Arc angles: map to the global view (previous slide)
- Brightness: document-topic weight
- Other visual encodings?

## View 2: Glyphs



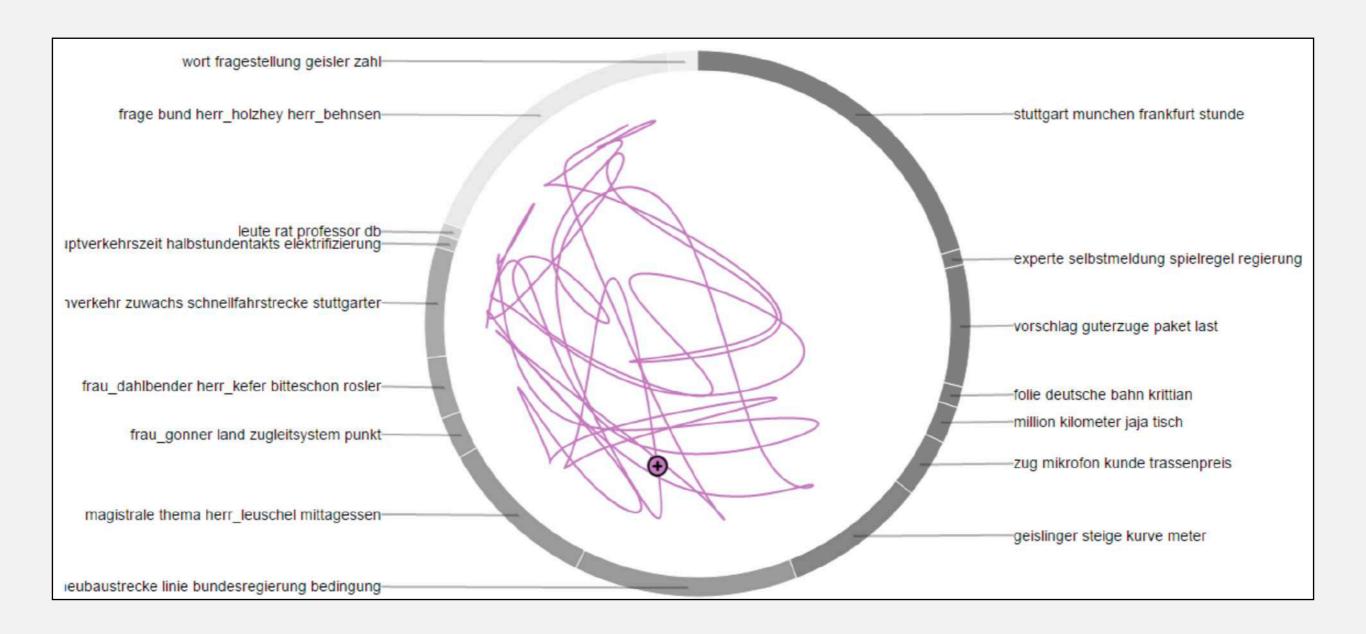
**Animation with Context!** 

#### View 3: "Sedimentation"



**Animation with Context!** 

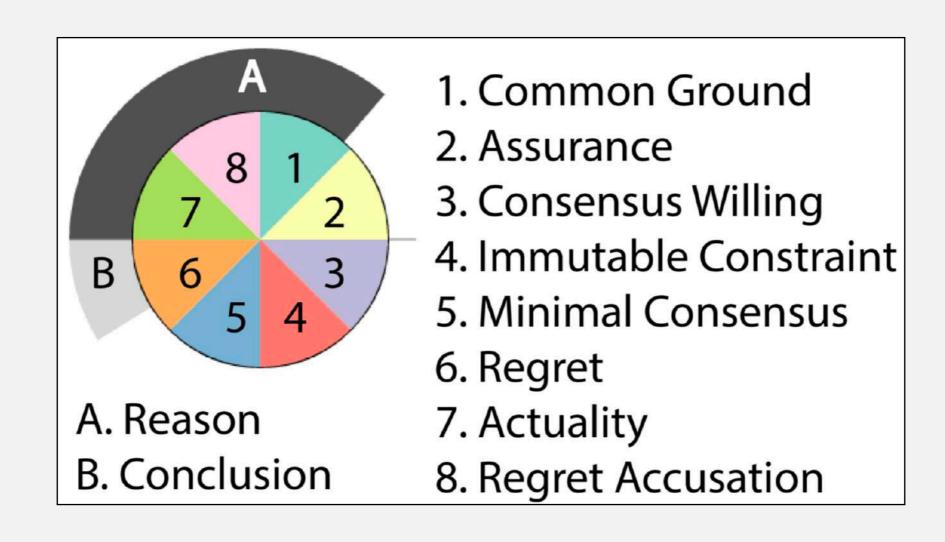
### View 4: Speaker Paths



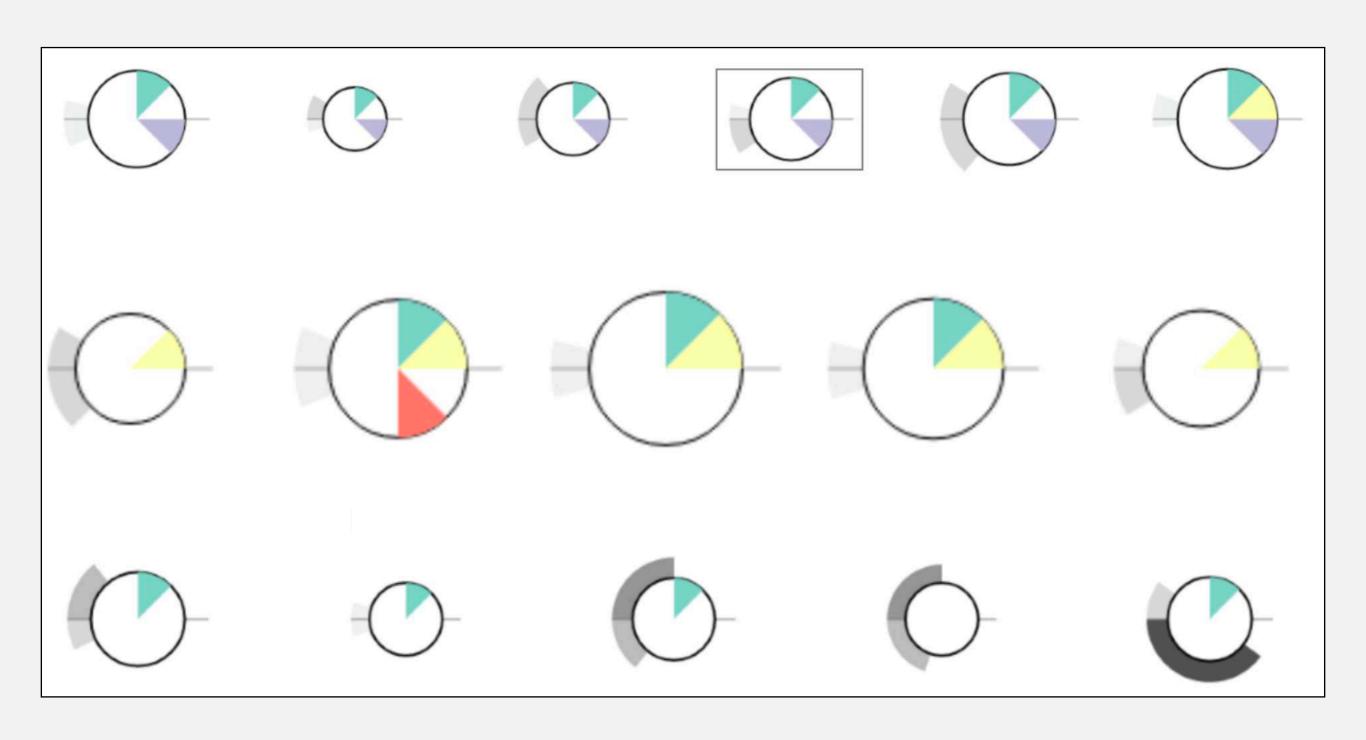
**Potential Issues? Improvements?** 

### **Argumentation Patterns**

 Speaker patterns are extracted, used as additional semantics to understand utterances:



# Comparing Stances



### Detail-on-Demand



#### Dr. Heiner Geißler

[Vielen\_Dank .]

[Also die Sache haben wir übrigens gestern Abend miteinander beredet nicht und es war klar nicht\_wahr hier Gegenstand einer Schlichtung kann nicht sein BAST mit allen Ergänzungen Zusatz Mitteilungen und Verordnungen die von 2002 bis 2010 dann entwickelt worden sind weil das ja rein zufällig ist nicht wahr .]

[Wenn das in der Öffentlichkeit steht dann hat ja jeder die Möglichkeit punktuell situativ irgendwelche Informationen herauszuholen und stellt die dann dar als ob das der aktuelle Stand wäre .]

[Es ist so ein dickes Buch .]



Reason

Conclusion

Common Ground

Assurance

**Consensus Willing** 

Immutable Constraint

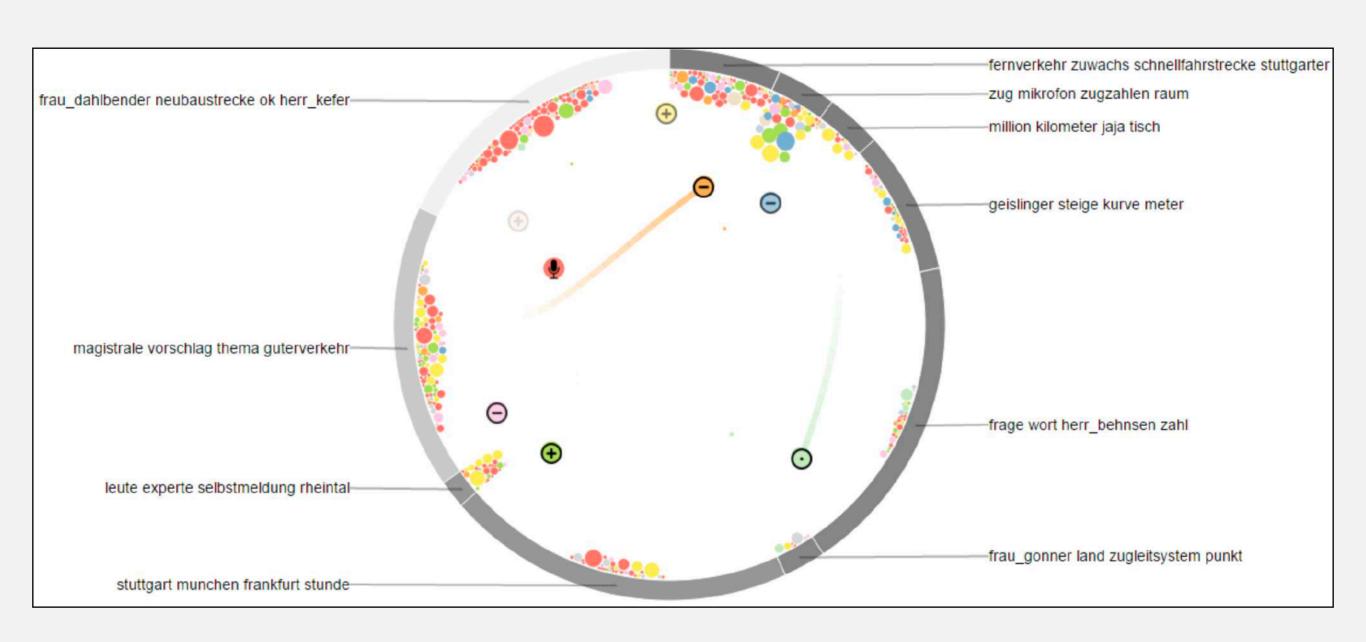
Minimal Consensus

Regret

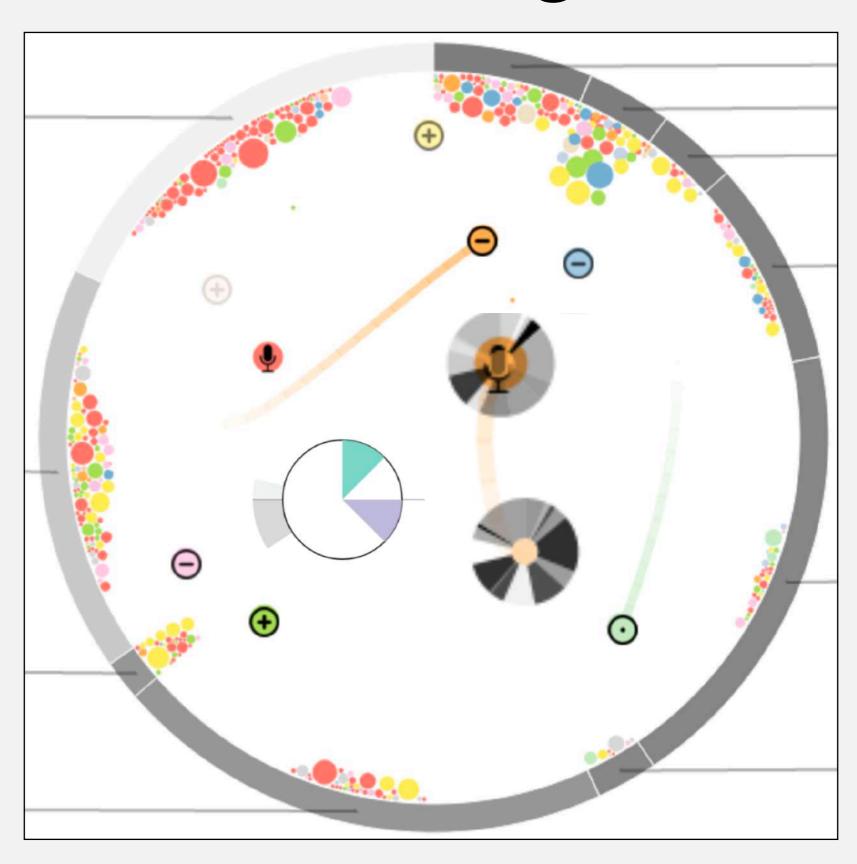
Actuality

Regret Accusation

## Putting it together



### Sidebar: Encoding Overload?



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- Equally important to designing visual encodings is the ability for us (humans) to decode the visualization.
- Decode: going from visual channel back to data
- Be careful about prioritizing visual encodings:
  - Most important aspects of data should get mapped to channels, or combination of channels, that a human can easily decode (e.g. channel effectiveness)