

Title: Response to reviews

Re: “Carbon cycling in mature and regrowth forests globally” by Anderson-Teixeira, Kristina; Herrmann, Valentine; Banbury Morgan , Rebecca ; Bond-Lamberty, Benjamin; Cook-Patton, Susan; Ferson , Abigail; Muller-Landau, Helene; Wang, Maria Article reference: ERL-109898

LETTER TO EDITOR:

The most substantive changes included the following:

- Changed the figure set in accordance with comments from R3. Specifically, we
 - (1) added a schematic figure, somewhat in the style of Odum (1969), to help with conceptual framing of the review
 - (2) added figures synthesizing changes in fluxes through time for each biome;
 - (3) removed maps from age trend diagrams (available in SI) from age trend diagrams, which makes the figures less busy/ easier to read; and
 - (4) moved C cycle diagrams for young forests to SI;
- Improved conceptual framework and “review” nature of the work by adding background information to the introduction, including the schematic figure mentioned above. We also shifted emphasis more towards the ecological questions (trends across biomes and with age), while retaining (with less emphasis) the analysis of database representativeness.

REFeree REPORT(S) & RESPONSES:

Referee: 2

COMMENTS TO THE AUTHOR(S)

The manuscript provides an important contribution to carbon cycle research. It provides an update to a previously published data base of forest carbon stocks and fluxes (ForC) and analyses the dataset across forests biomes and in relation to stand age. This is a valuable dataset for assessing the current state of the carbon cycle and comparing across biomes. The dataset presents carbon cycle modelers with an opportunity to rigorously compare their models with observations. Figures 2-5, 8-11 are excellent summaries of the dataset. Yet I have some concerns and clarifications that must be addressed.

1. It is not clear what the various sources of data are. Perhaps this is because the authors have already described data sources in previous documentation of the dataset. However, I think some summary is needed for readers new to the dataset. I need to know what I am looking at when I see the various numbers in Figures 2-5, 8-11.

In addition to addressing the specific questions below, we have added a link to a spreadsheet recording the datasets that have been incorporated, which is maintained in the open-access GitHub repository: “A record of data sets added to *ForC* is available at https://github.com/forc-db/ForC/blob/master/database_management_records/ForC_data_additions_log.csv.”

- a. For example, is Fluxnet the source of GPP and Reco? The authors state that “ForC amalgamates numerous intermediary data sets” (line 119) and cite Luyssaert et al (2007). Is that the source of GPP and Reco, or have the fluxes been updated to newer Fluxnet data products? Similarly for the soil respiration data. Is this the data from Bond-Lamberty and Thomson (2010) or has it been updated?

We now clarify as follows:

FLUXNET:

Methods: “Third, we manually incorporated records of annual *NEP*, *GPP*, and *R_{eco}* from the FLUXNET2015 dataset (Pastorello *et al* 2020), treating these records as authoritative when they duplicated earlier records (Appendix S1).”

Appendix S1: “For eddy-covariance variables (*NEP*, *GPP*, *R_{eco}*), we retained the record associated with the most recent publication (most often Pastorello *et al* 2020), as these data are commonly re-assessed using new analysis methods.”

SRDB:

Methods: “First, we imported (via R script) the Global Database of Soil Respiration Database (*SRDB* v4, 9488 records; Bond-Lamberty and Thomson 2010), and corrections and improvements to *SRDB* arising from this process were incorporated in *SRDB* v5 (Jian *et al* 2020).”

- b. I need information on how Figures 2-5, 8-11 were prepared. Carbon stocks and fluxes such as NPP, litterfall, etc. are probably plot data. There is a detailed discussion of how the data were filtered to

remove, for example, disturbance effects (lines 140-149). The GPP, Reco, and soil respiration databases seem to me to be different and may not be collocated with the plot data. Was disturbance filtering applied to the GPP, Reco, and soil respiration databases, too?

All records analyzed here have been fully incorporated into the ForC format and treated as described. We believe this should now be clearer where we describe the importation of new datasets: “Since publication of ForC v2.0, we imported three large additional databases [SRDB, GROA, FLUXNET15] into *ForC* via a combination of R scripts and manual edits. ...”

- c. If Luyssaert et al (2007) is a source of data, is there circularity in the comparison with latitudinal trends found in other datasets, for which Luyssaert et al is cited (lines 317-318)?

We don’t see this as circular; we are reaffirming earlier results with an expanded dataset. To make it clear that we are not claiming to provide an independent test, we have edited this text as follows: “For mature forests, this is consistent with a large body of previous work demonstrating that C fluxes generally decline with latitude (or increase with temperature) on a global scale (e.g., Luyssaert *et al* 2007, Gillman *et al* 2015, Li and Xiao 2019, Banbury Morgan *et al* n.d.). The consistency with which this occurs across numerous fluxes is not surprising, particularly given commonality in the data analyzed or used for calibration, but has never been simultaneously assessed across such a large number of variables (but see Banbury Morgan *et al* n.d. for nine autotrophic fluxes).”

2. Figures 2-5, 8-11 are excellent summaries of the dataset. Yet there is much unexplained in the figure and the reported data values. No guidance is given on how to use the data, and especially how to resolve discrepancies in the data.
 - a. For example, Figure 2 lists foliage and woody aboveground biomass in tropical broadleaf forests. These do not sum to aboveground biomass ($3.53 + 125.42 = 128.95$ Mg C/ha vs 146.69). Nor do coarse root biomass and fine root biomass sum to root biomass ($23.15 + 9.29 = 32.44$ vs. 21.86). Total biomass (147.96) is not the sum of aboveground (146.69) and root (21.86).

We acknowledge and discuss lack of closure in various places throughout the results and discussion. To ensure that this is clear from the figures, we have added the following statement to the captions of Figures 3-6 (formerly 2-5): “Note that variables differ in geographical representation, resulting in potential imbalances (Figs. S5-S30). Probability that estimates reflect true biome means scales with the number of distinct geographical areas represented.” We have also added a similar statement to the captions of Figures S1-S4 (formerly 8-11). We also make a related statement in the captions of new figures 9-12: “Note that there remain substantial uncertainties as to the functional form of age trends and discrepancies in closure among related variables.”

- b. Figure 4 for temperate conifer forests has large discrepancies in carbon stocks: e.g., 315.61 Mg C/ha for woody aboveground biomass, but only 167.46 for aboveground biomass and 226.58 for total biomass. The authors have a detailed discussion about lack of closure for root biomass, but do not discuss aboveground biomass. Presumably, this is because the data fall within the closure criteria, but what are we to make of the inconsistent data?

This is partially addressed by the response above, and covered in the results and discussion. In addition, to help explain the fact that lack of closure is particularly pronounced in temperate conifer forests, we have included errorbars in on the new schematic figure (Fig. 1) indicating that temperate forests have particularly high variation in C stocks.

I understand that the data comes from many different sources and so may not always be compatible and that they have large standard deviations, but how are we to interpret and use the various data entries? For example, what has higher confidence: the component variables or the aggregate variables? What is the best estimate for aboveground biomass or root biomass? The authors take great pride in that component variables sum to within one standard deviation of the aggregate variables in all but one instance (lines 225-226, 301-302, 309-310). This is somewhat remarkable! But users of the dataset need guidance on how to interpret and use the data.

We have addressed this by adding the following statement to the captions of Figures 3-6 (formerly 2-5): “Probability that estimates reflect true biome means scales with the number of distinct geographical areas represented.”

3. There is a general sloppiness to the manuscript that makes me think the authors did not proofread the manuscript carefully.
- a. Line 58: The authors say GPP is estimated to be >69 Gt C/year, and cite Badgley et al. (2019). This statement is factually correct, but is quite misleading. Badgley et al. “estimate global annual terrestrial photosynthesis to be 147 Pg C/year (95% credible interval 131-163 Pg C/year)”. The value of 69 Gt C is less than one-half that reported by Badgley. What are the authors trying to say? Such a large discrepancy does not instill confidence in the other numbers reported in the manuscript.

The 147 refers to *total* annual terrestrial photosynthesis, including non-forests. Table S4 breaks this down by biome. The >69 Gt C/yr figure was obtained by summing the forest biomes, not including savannas.

- b. Line 81: “rare exceptions that span regions or continents” is repeated twice.

Fixed.

- c. Figure 1: Explain the gray scale for “forest cover”. It seems like this refers to gradations of the various biomes, but the figure caption does not provide an explanation.

We have added an explanation.

- d. Line 162: Clarify what is meant by “the minimum diameter breast height (DBH) threshold for tree census was <10cm”?

We have reworded this sentence for improved clarity: “Throughout ForC, for all measurements drawing from tree census data (*e.g.*, biomass, productivity), trees were censused down to a minimum diameter breast height (DBH) threshold of 10 cm or less.”

- e. Table 1: (i) The definition of GPP refers to NEE, but this is never defined. The preceding entry defines NEP not NEE. (ii) The description of the biome labels is inaccurate. The table uses “TrB” but the note refers to “Tr”. The table uses “BoN”, but the note refers to “B”.

We have fixed both of these.

- f. Line 210: I am confused by the statement that of the 39762 records in ForC v3.0, 11923 were included in this study. Previously (lines 135-149), the authors described creating “ForC-simplified” with 17349 records. Clarify the difference between ForC-simplified and ForC v3.0.

It appears that reference to ForC-simplified (essentially an automatically generated rearrangement of ForC that is purged of duplicates and organized for easy analysis) makes the process more confusing, and isn’t necessary, so we eliminated mention of it.

- g. Line 212: The authors refer to ForC, where in the previous sentence they referred to ForC v3.0, and previously used ForC-simplified. Clarify what database is used in the analyses.

As in the response above, we have eliminated unnecessary/confusing mention of ForC-simplified. The version used is ForC v3.0 (to be released with DOI upon acceptance of this manuscript). We do not feel it is necessary to state the version number every time ForC is mentioned.

4. This is not a review article in the traditional sense that a review is a critical assessment of recent papers in the field of carbon cycle research or identifies future research priorities. Instead, the paper documents a database and shows the utility of the database for carbon cycle research. My comments on the review aspect of the manuscript are:
- a. Yes, there is a need for this database; but
 - b. No, this is not a critical and authoritative review of the carbon cycle; and
 - c. The authors reference many other publications and datasets, but they do not critically evaluate their own dataset or other datasets.

We’d define this as a “quantitative review”—*i.e.*, one that quantitatively synthesizes previous studies. However, we agree that the previous version did little to critically review the corresponding literature. To improve in this area, we have expanded and reorganized the introduction into four sub-sections (“Forests in the global C cycle: current and future”, “Evolution of forest C cycle research”, “Biome differences”, and “Age trends and their variation across biomes”). The latter three sections are mostly or entirely new relative to the previous version, and briefly review the development and current status of the science on each topic. We have also added a schematic figure (Fig. 1) to summarize current understanding of each topic and help with conceptual framing of the review. We also shifted emphasis more towards the ecological questions (trends across biomes and with age), while retaining (with less emphasis) the analysis of database representativeness.

Referee: 3

COMMENTS TO THE AUTHOR(S)

This paper uses a database recently created and updated by the author team – For C – to understand how forest carbon stocks and pools varies across broad biome classifications (e.g. boreal, temperate, and tropical) and stand age. The find that the rate of C cycling is faster in warmer climates, and that many C fluxes and pools increase with stand age (at least up to 100 years of age).

There were several things I really liked about this paper. First, I applaud the author’s ambition in creating (and maintaining) this database, which has already been used in multiple high-profile papers. It is a novel idea to curate all the C fluxes and pools together in one virtual location, and the carbon cycle budgets illustrated in Figures 2-5, and 8-11, will likely function as useful “reality checks” against which both empirical and modeling results can be assessed. I also appreciated the focus on understanding how carbon cycles varies with stand age, as this is an important unknown that limits understanding of the usefulness of reforestation as a natural climate solution (among other unknowns). Overall I found the manuscript to be clearly written.

Thank you.

However, I also found that many aspects of the paper gave me considerable pause.

First, the paper covers an awful lot of ground. It strikes me that each of the three research questions (bottom of page 4) could easily motivate an entire paper on their own. By attempting to address all three in one (relatively short) manuscript, it is not possible to discuss in any detail the mechanisms and processes that determine the results. There are no process-oriented hypotheses or frameworks against which the results are evaluated.

We agree that this covers a lot of ground, which corresponds with our vision of producing a comprehensive synthesis of broad trends. We envision that smaller questions can (and hopefully will) be broken off for more detailed analysis in the future.

At the same time, we agreed that the manuscript could be improved with more of a conceptual framework. To improve in this area, we have expanded and reorganized the introduction into four sub-sections (“Forests in the global C cycle: current and future”, “Evolution of forest C cycle research”, “Biome differences”, and “Age trends and their variation across biomes”). The latter three sections are mostly or entirely new relative to the previous version, and briefly review the development and current status of the science on each topic. We have also added a schematic figure (Fig. 1) to summarize current understanding of each topic and help with conceptual framing of the review. We also shifted emphasis more towards the ecological questions (trends across biomes and with age), while retaining (with less emphasis) the analysis of database representativeness.

For example, concerning expectations about how various C cycles and pools vary with stand age? There is a fairly extensive literature on this topic ... Odum’s classic paper on the topic (“The Strategy of Ecosystem Development, 1969) has been cited thousands of times. But this literature is not referenced or cited in the current manuscript. Odum’s hypothesis suggests that NEP (arguably the most important flux, at least from a climate mitigation perspective) should increase initially with stand age and then eventually decrease as forests continue to mature. However, this framework/literature is not referenced, and the way the results are presented make it difficult to understand whether those expectations were borne out in the data. On that note, I didn’t understand why the authors chose to show flux trends explicitly as a function of age up to 100 years (Figure 6), but then group all the forests >100 years old into a single “bar”. Why not plot the mature forests explicitly on the flux versus age axis? This would allow a clearer assessment of whether flux trends with age are really linear. It would also help the reader understand better one of the most striking results from this manuscript: that NEP of mature forests is indistinguishable across biomes. The authors describe this as unsurprising, but I think it is a bit unexpected, especially given the results of some of the more synthetic work from FLUXNET (e.g. Luyssaert et al. 2007). The authors explain this result in a couple of

sentences (Page 25, lines 22-26), speculating that the result is driver by “moderate disturbances” or “disequilibrium of R_{soil} relative to C inputs). A deeper dive into the results, combined with some mechanistic grounding, might reveal to what extent this result represents a climate-age interaction that is predicted from the existing theory.

We greatly appreciated the suggestion to engage with Odum’s framework. We now cite and discuss Odum (1969) in the introduction, and designed the age trends portion of the schematic figure to correspond to Odum’s classic Fig. 1 (updated with more details and current terminology).

Regarding the question of showing flux trends as a function of age beyond 100 years, this unfortunately doesn’t make sense within the context of the database because tropical forests can rarely be aged beyond 100 years (if that). This is because tropical trees rarely form annual rings, which are used to age (older) extratropical forests. It would not make sense to treat tropical and extra-tropical forests differently.

Regarding the NEP result for mature forests, we agree that a better conceptual framework (now implemented) should help set the stage for explaining this result. We have modified the discussion paragraph on this to cite the schematic figure and also to note that this result does agree with Luyssaert *et al* (2007) (although that study did not standardize for age). The paragraph now reads as follows:

“The notable exception to the pattern of fluxes decreasing from tropical to boreal regions is *NEP*, which showed no significant differences across biomes (Fig. 6f). Unlike the other C flux variables, *NEP* does not characterize the rate at which C cycles through the ecosystem, but is the balance between C sequestration (*GPP*) and respiratory losses (R_{eco}) and represents net CO₂ sequestration (or release) by the ecosystem (Fig. 1). *NEP* tends to be relatively small in mature forest stands (discussed further below), which accumulate carbon slowly relative to younger stands, if at all (Luyssaert *et al* 2008, Amiro *et al* 2010, Besnard *et al* 2018). It is therefore unsurprising that there are no pronounced differences across biomes (in agreement with Luyssaert *et al* 2007), suggesting that variation in *NEP* of mature forests is controlled less by climate and more by other factors including moderate disturbances (Curtis and Gough 2018) or disequilibrium of R_{soil} relative to C inputs (e.g., in peatlands where anoxic conditions inhibit decomposition; Wilson *et al* 2016).”

Finally, I wondered about the interacting effects of changing climate (rising CO₂, warming temperatures) and stand age, especially in determining trends in the pools. Mature forests will have experienced a much wider range of climate conditions than younger stands. How does this complicate the comparison of live biomass across forest of different age?

This is an excellent question, and one that is of great interest to the lead author (e.g., Anderson-Teixeira *et al* 2013); however, we think it is unlikely to have much influence at this relatively coarse scale of analysis, especially given that much of the data in ForC was collected in the 20th century. Sorting this out would require carefully designed studies, and probably would not be possible using ForC data at this point.

Second, I had some questions about the representativeness of the dataset. While I appreciate that the

authors choose to use distinct geographic areas as the unit of analysis (avoiding some issues of pseudo-replication from many observations from a single site), I still wondered about the extent to which the distinct geographic areas were representative of the climate space within each biome. For example, if mean annual climate versus presentation for all boreal, temperate and tropical locations are shown in a scatterplot (for example, using reanalysis data), and then mean annual temperature and precipitation of the observations are shown on top, how much of the “climate space” is covered by the dataset?

Issue #57

Third, I found the presentation of the results made it difficult to see clearly the major differences in C fluxes and pools across biomes and age classes. The illustrated C budgets (the majority of the figures, 8 in total) are visually very appealing, but the reader has to do a lot of flipping back and forth to see how any particular flux or pool varies across biomes and age class. Figure 6 is more synthetic, but each panel is very small and the differences from one group to the next are hard to see. It is also difficult to compare results for young forests (as scatterplots) with the box plots for the mature forests. My advice is to move some of the budgets to SI, and include in the main manuscript more figures that clearly illustrate the most interesting trends with biome, and to allow an expansion of the results in Figure 6 (for example, by first showing scatterplots of all forests, young and old, as a function of stand age), and then perhaps another that is a box plot comparison of mature versus old forests in each biome.

- *move young forest C budgets to SI?*
- *remove maps from age trend figures?*

Regarding the suggestion of showing age of old forests as a scatterplot, this is not possible give unknown ages of most mature tropical forests, as explained above.

Regarding the proposed figure rearrangement, ... *issue #58*

A few other comments:

Page 6, first paragraph: I wondered about the extent to which filtering the data for “managed” affected the results. In the Eastern US, for example, its difficult to find any forests on public land that aren’t managed to some extent (for example, through periodic selective harvests), and many of them regenerated from “planted” stands back in the 1930s. I would be curious to know if including “managed” forests substantially altered results.

The binary age classification (two ages, less than 100 years or greater than 100 years) was difficult to accept, as forests that are in the 80-100 year age range are often considered to be mature (at least in the temperate zone). I realize that even with a dataset as rich as For C, data availability will limit stratification into too many bins. Nonetheless, at least for some measurements, I wonder if it’s possible to consider a greater number of age classes (for example, young, maturing, and mature).

No changes here; just respond. ...

We prefer not to separate forests of known age into categories, but rather to represent age as a continuous variable. ...

The consistency check (e.g. do component fluxes/pools sum to within one standard deviation of the aggregate flux or pool) seems like it is destined to provide a favorable assessment of the degree of closure so

long as the data within each grouping represent a wide range of natural climatic and soil variability. As long as the aggregate variable has a large standard deviation, the results are likely guaranteed to be “consistent.” It seems this metric would benefit from some simulations (perhaps with artificially generated data) to understand exactly how poor closure needs to be at the site-level to generate an inconsistent results when aggregated across sites.

(No! Too much work!)

I'm inclined to place less—not more—emphasis on representativeness: Issue #60

Referee: 4

COMMENTS TO THE AUTHOR(S) General: This paper presents the findings of a rather comprehensive modeling analysis of C fluxes and stocks in the world's major forest biomes. An important strength of this work is its reliance on a fairly large empirical data set to calculate a comprehensive suite of fluxes and stocks to close (or come close) C budgets in these systems. Another unique component of this work is the contrasts of young vs mature forests in each biome. An important contribution of this work is its highlighting of gaps in data (e.g., deadwood) and non-random distribution of empirical data (though this, of course is well-known) and how these factors influence C accounting efforts. Overall, the paper is well written with beautiful figures and the analyses and data sets are sound. I think this work makes an important and timely contribution to fields of C cycle science, forest ecology, and ecosystem ecology and is likely to be of interest to many ERL readers. I have no fundamental concerns with this manuscript. However, in addition to the detailed comments/suggestions below, I think it would greatly benefit the paper if the authors could include some discussion of how the forest ecosystems they characterize here compare to the forest ecosystems that actually exist. The data here represent generally interior forest ecosystems, which of course are incredibly important. But, work over the last 5 years or so highlights the extent to which forest fragmentation influences a large proportion of the world's forests (Haddad et al., 2015). Fragmentation and the creation of edges has been shown to have important implications for C stocks and fluxes with regional and global implications (e.g., (Chaplin-Kramer et al., 2015; Remy et al., 2016; Reinmann & Hutya, 2017; Smith et al., 2019; Ordway & Asner, 2020; Reinmann et al., 2020: FULL REFS BELOW). Logging and other forms of management also influence a large proportion of the world's forests. I am not suggesting this be included in modeling efforts here, but in placing this work in the broader context of C stocks and fluxes of the world's forests I think it would do the scientific community a great service to more explicitly recognize what is being modeled and perhaps the proportion of the world's forests these data might represent...even if discussed in a qualitative sense.

add discussion of the more intact stands studied here, vs what actually exists. straightforward.

Introduction:

L81: “exceptions” in sentence twice.

Fixed.

L97: Typo “Since the its most”

Fixed.

Methods:

L144: If there is a non-trivial proportion of the world's forests (especially in certain biomes) is plantation or planted forests, does removing such plots from the dataset bias the results of a global modeling product?

straightforward—just a bit of text

Results:

L243-245: I think this is per unit forest area, correct? If so, I think it would be helpful to specify that here. Also, the reader should be referred to Figs 2-5, not just 5, right?

Fixed.

L270-271: The wording of the sentence “There were sufficient data to model...” Seems a bit awkward. Should it read “... WHICH were also significant...”?

straightforward—just a bit of text edit.

Discussion: You might consider reiterating in the first paragraph of the Discussion section that your findings indicate that Temperate Broadleaf Forests are actually the most productive forest biome in terms of NEP. I think this is a surprising finding (we normally think of Tropical forests as being more productive) that warrants attention.

It strikes me that both R3 and R4 find this surprising. I don't. An Odum-esque conceptual diagram + some discussion should help to explain this.

L297: The fact that ForC does not include soil C is important. It is not a flaw in the model or the approach here, but throughout you discuss C stocks, which many would interpret as being inclusive of soil C. I think the authors should consider clearly indicating in the Methods section, and perhaps reiterating in the Results and Discussion, that stocks here are defined as litter layer, biomass, and necromass, but excludes C in soil.

straightforward—just a bit of text edit.

L322-323: As you point out in the Results section, while there are no statistically sig differences in NEP across biomes, there are large differences in the means. Is this an artifact of the data sources used (i.e. distribution and number of sites with empirical data)? Can you speak a little more to this point in this section?

straightforward—just a bit of text edit.

L382: You might consider changing text to “increases with age AT LEAST up to the 100-yr threshold examined here” so that it does not come across as suggesting that NEP only increases for the first hundred years of stand development, which we know is not true.

straightforward—just a bit of text edit.

L445-450: I come back to the data in Figs 2-5 and text in the results section (L243-245), which indicates no sig differences in NEP, but highest means in temperate forests. Of course, the high biomass in tropical forests makes them critical to protect from a C storage perspective, but if NEP (i.e. rates of C sequestration) are highest, at least as a mean, in temperate broadleaf forests how should those ecosystems factor into conservation priorities. Related to this point, is the high NEP of temperate forests driven by the relatively young nature of temperate broadleaf forests in the eastern U.S., where a lot of data exist?

straightforward—just a bit of text edit.

References mentioned above:

Chaplin-Kramer R., Ramler I., Sharp R., Haddad N. M., Gerber J. S., West P. C., ... King H. (2015).

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