Scheme To Dependent Type theory In 100 Lines

Gershom Bazerman

Do Types Have the Lisp Nature?

Types Do Not Have Lisp Nature

- To Have Lisp Nature Everything Must Be Data
- To Have Lisp Nature Everything Must Be Code
- To Have Lisp Nature Your Program Must be One
- To Have Lisp Nature Compilation and Evaluation Must Be One

My Claim: Dependent Types Have Lisp Nature

- A Programming Language with Powerful Types
- A system for proving mathematical statements
- A system for representing knowledge equipped with an algorithmic procedure for verification.

- Propositional Logic: A or B implies C
- First Order Logic: forall x. P(x) implies Q(x).
- Higher Order Logic: forall P. P(x) implies exists y. P(y)

- Logic with quantifiers includes symbols representing things not just drawn from logic, but drawn from the world.
- Lisp is our world, and we equip it with some logical symbols —
 And, Or, Implies.
- We name them as Product (*), Coproduct (+), and Function (→).

- Now we introduce quantifiers forall, and exists.
- We write them as pi (Π) and sigma (Σ) dependent product, and dependent sum.
- Alternate pronunciations: "If given a..." and "There is given a..." (or even "Give me a..." and "I have a...").

Syntax and Semantics

- forall $(x : Nat). (x > 10) \rightarrow (x > 5)$
- forall (x : Nat). $(x > 10) \rightarrow (x < 5)$
- forall (x : Cheese). not x → Crackers
- A syntax for logic is not yet a language for logic.

Syntax and Semantics

"Colorless green ideas sleep furiously"

— Noam Chomsky, 1955

The BHK Interpretation

Propositions as Problems, Proofs as Constructions [Brouwer — 1908, 1924; Heyting —1934; Kolmogorov — 1932]

A proof of $A \land B$ is given by presenting two proofs -- one of A, one of B A proof of $A \lor B$ is given by presenting either a proof of A or a proof of B A proof of $A \to B$ is a construction to transform a proof of A into a proof of B A proof of A is a proof of A proof of forall (a elem A). A is a procedure that converts any element of A into a proof of A.

(This interpretation can hold with or without the excluded middle.)

Realizability

Formulae as Specifications, Proofs as Numerical Realizers [Kleene, 1945]

Constructions are numbers, read as partial functions. We encode functions with Gödel codes.

The Curry-Howard Correspondence

Propositions as Types, Proofs as Lambda Terms (encoded as Sets) [Howard, 1968-69]

Constructions are typed lambda terms (encoded set theoretically). In this construction, propositions directly correspond to types of these terms. Howard also introduced the world's first *dependent* type system, in which types may depend on terms. In this system, equality may be stated between terms.

DeBruijn, in constructing Automath, contemporaneously and independently, also introduced a dependent type system.

Intuitionistic Type Theory

Propositions as Types, Proofs as Lambda Terms (Directly) [Martin-Löf, 1970, 1972...]

Constructions are typed lambda terms. Types may contain terms and terms may operate on types. Equality may be stated between terms, and between types.

While Howard's system operates on Heyting arithmetic, Martin-Löf's was designed to operate on the whole of mathematics.

Computational Type Theory

Propositions as (Refinement) Types, Proofs as Untyped Lambda Terms

[Constable et al., 1985]

Constructions are untyped lambda terms. Types may contain terms and terms may operate on types.

Other Important Variations

The Calculus of Constructions [Coquand, Huet, 1984]

The Calculus of Inductive Constructions [Coquand, Paulin, 1990]

The LF Logical Framework [Harper, Honsell, Plotkin, 1987]

And others...

MESS

Martin-Löf Extensible Specification and Simulator [Being Presented Now, 2015]

Scheme-as-a-Logical-Framework

A construction to transform a proof of A into a proof of B is a scheme function that transforms an object we judge to be a proof of A into an object we judge to be a proof of B.

What do we mean by Judgment?

Officially: "On the Meanings of the Logical Constants and the Justification of Logical Laws," Martin-Löf, 1983

For our purposes: A judgment is a semi-decision procedure whose domain is one or more Scheme terms (and whose range is, naturally, #t, #f, or loop).

Some Ground Terms

```
; value formers
(struct lam-pi (var vt body))
(struct app (fun arg))
; primitives
(struct closure (typ body))
; type formers
(struct type-fun (dom codom))
; one basic type
(define type-unit 'type-unit)
; dependency
(struct type-pi (var dom codom))
(define type-type 'type); inconsistent!
```

Judgement I: "T is a type."

Aside: Reduction

```
(define/match (reduce cxt body)
 [(_ (app (lam-pi var vt b) arg))
 (if (hasType? cxt arg vt) (reduce cxt (b arg)) (error)]
 ; application of closures (primitives) is non-strict.
 [( (app (closure ty b) arg))
 (closure (app-type cxt (red-eval cxt ty) arg) (lambda (cxt) (app (b cxt) arg)))]
 [(_ (app fun arg)) (if (or (not fun) (symbol? fun)) (error)
                  (reduce cxt (app (reduce cxt fun) arg)))]
 [(_ _) body])
(define (red-eval cxt x)
 (match (reduce cxt x)
  [(closure typ b) (red-eval cxt (b cxt))]
  [v v]))
```

Aside: some syntactic sugar

```
(define apps
 (lambda (fun . args)
  (foldl (lambda (arg acc) (app acc arg)) fun args)))
(define-syntax-rule (lam (x t) body) (lam-pi (quote x) t (lambda (x) body)))
(define-syntax-rule (pi (x t) body) (lam-pi (quote x) t (lambda (x) body)))
(define-syntax-rule (pi-ty (x t) body) (type-pi (quote x) t (lambda (x) body)))
(define-syntax-rule (close t body) (closure t body))
```

Judgement 2: "X has type T."

```
(define (hasType? cxt x | t | t |
 (match* ((reduce cxt xI) (red-eval cxt tI))
  [((closure typ b) t) (eqType? cxt typ t)]
  [((? symbol? x) t) #:when (eqType? cxt t (find-cxt x cxt)) #t]
  [((lam-pi vn vt body) (type-fun a b))
   (and (eqType? cxt vt a)
       (extend-cxt vn vt cxt (newvar newcxt) (has Type? newcxt (body newvar) b)))]
  [(x 'type-unit) (null? x)]
  [((lam-pi vn vt body) (type-pi a b))
   (and (eqType? cxt vt a)
       (extend-cxt vn vt cxt (newvar newcxt)
               (hasType? newcxt (body newvar) (reduce newcxt (b newvar)))))]
  [(x 'type) (type? cxt x)]
  [(x t) (hasType?-additional cxt x t)]))
```

Zooming In: Typechecking Functions

Checking a function has a result type at all arguments of a type is to check that it has a type at a generic argument of that type.

Checking a dependent function means reducing as we check:

Judgement 3: "TI and T2 are equal as types."

```
(define (eqType? cxt tl t2)
 (match* ((red-eval cxt tl) (red-eval cxt t2))
  [((type-fun a b) (type-fun a l b l))
   (and (eqType? cxt a al) (eqType? cxt b bl))]
  [((type-pi v a b) (type-pi v l a l b l))
   (and (eqType? cxt a al)
       (extend-cxt v a cxt (newvar newcxt)
               (eqType? newcxt (b newvar) (bl newvar))))]
  [((? symbol? vname) (? symbol? vname | )) (eq? vname vname | )]
  [(a b) (and a b (or (eqType?-additional cxt a b) #f))]))
```

We extend it with types by describing how to extend judgments over them, as well as how to introduce and eliminate them.

```
(define type-judgments '())
(define (type?-additional cxt t)
  (for/or ([p type-judgments]) (p cxt t)))

(define hasType-judgments '())
(define (hasType?-additional cxt x t)
  (for/or ([p hasType-judgments]) (p cxt x t)))

(define eqType-judgments '())
(define (eqType?-additional cxt t1 t2)
  (for/or ([p eqType-judgments]) (p cxt t1 t2)))
```

We extend it with types by describing how to extend judgments over them, as well as how to introduce and eliminate them.

```
(define intro-true #t)
(define intro-false #f)

(define bool-induct
  (pi (p (type-fun type-bool type-type))
  (lam (x (app p #t))
  (lam (y (app p #f))
    (pi (bl type-bool)
      (close (app p bl) (lambda (cxt) (if (red-eval cxt bl) x y))))))))
```

```
(define intro-pair (pi (a type-type) (pi (b type-type)
 (lam (x a))
 (lam (y b)
 (close (pair a b) (lambda (cxt) (cons a b))))))))
(define pair-induct (pi (a type-type) (pi (b type-type)
 (pi (p (type-fun (pair a b) type-type))
 (lam (f type-pi (x a) (type-pi (y b) (app p (pair x y))))
 (pi (z (pair a b)
 (close (app p z) (lambda (cxt) (let [(z-eval (red-eval cxt z))]
                          (apps f (car z-eval) (cdr z-eval))))))))))
```

Mathematical Propositions involve Equality

The identity type (initially derived from Howard):

```
for all types A, and B, A \land B is a type.
for all types A, and B, A \rightarrow B is a type.
for all types A, and B, A \rightarrow B is a type.
for all types A, and values x of type A, and functions p : A \rightarrow Type,
pi_x:A P(x) is a type.
for all types A, and _values_ x, y of type A, x == _A y is a type.
```

To check if x == A y is a type, we must now know that x and y are equal as values of type A.

Judgement 4: "X and Y are equal as values at type T."

```
(define (eqVal? cxt typ v1 v2)
 (match* ((red-eval cxt typ) (red-eval cxt v1) (red-eval cxt v2))
  [((type-fun a b) (lam-pi x xt body) (lam-pi y yt body2))
   (and (eqType? cxt a xt) (eqType? cxt a yt)
       (extend-cxt x xt cxt (newv newcxt)
               (eqVal? newcxt b (body newv) (body2 newv))))]
  [((type-pi v a b) (lam-pi x xt body) (lam-pi y yt body2))
   (and (eqType? cxt a xt) (eqType? cxt a yt)
      (extend-cxt x xt cxt (newv newcxt)
               (eqVal? newcxt (b newv) (body newv) (body2 newv))))]
  [('type-unit ) #t]
  [('type a b) (eqType? cxt a b)]
  [(_ (? symbol? x) (? symbol? y)) #:when (eq? x y) #t]
  [(rtyp x y) (eqVal?-additional cxt rtyp x y)]))
```

(struct type-eq (type vl v2))

```
(new-form
(match-lambda**; type?
 [(cxt (type-eq type vl v2) (and (hasType? cxt vl type) (hasType? cxt v2 type))]
 [(__) #f])
(match-lambda**; has Type?
 [(cxt _(type-eq type v | v2)) (eqVal? cxt type v | v2)]; note we ignore the term
 [(_ _ _) #f])
(match-lambda**; eqType?
 [(cxt (type-eq tlt tla tlb) (type-eq t2t t2a t2b))
 (and (eqType? cxt tlt t2t) (eqVal? cxt tlt tla t2a) (eqVal? cxt tlt tlb t2b))]
 [(_ _ _) #f])
(match-lambda**; eqVal?
 [(cxt (type-eq t a b) _ _) #t]; this is mysteriously always true and does not internalize
 [( ) #f]))
```

Equality Rules

```
(define equal-intro (pi (a type-type)
  (pi (x a) (close (type-eq a x x) (lambda (cxt) 'refl)))))
(define equal-induct (pi (a type-type)
 (pi (c (pi-ty (x a) (pi-ty (y a) (type-fun (type-eq a x y) type-type))))
 (lam (f (pi-ty (z a) (apps c z z 'refl)))
 (pi (m a)
 (pi (n a)
 (pi (p (type-eq a m n))
 (close (apps c m n p) (lambda (cxt) (app f m))))))))); n is ignored!
```

An Actual Simple Proof

- (define not-bool (apps bool-elim type-bool #f #t))
 (define not-not-bool (lam (x type-bool) (app not-bool (app not-bool x))))
 (define id-bool (lam (x type-bool) x))
- ; not-not-is-id
 (define nnii-fam
 (lam (x type-bool) (type-eq type-bool (app id-bool x) (app not-not-bool x))))
 (hasType? '() nnii-fam (type-fun type-bool type-type))
- (define nnii-type (pi-ty (x type-bool) (app nnii-fam x)))
 (define nnii (pi (x type-bool)
 (apps bool-induct nnii-fam (apps refl type-bool #t) (apps refl type-bool #f) x)))
- (hasType? '() nnii nnii-type)

Extensionality, Axioms, and Computation

```
(define eta-axiom (pi (a type-type) (pi (b type-type) (pi (f (type-fun a b)) (pi (g (type-fun a b)) (pi (prf (pi-ty (x a) (type-eq a (app f x) (app g x)))) (trustme (type-eq (type-fun a b) f g) 'eta-axiom)))))))
```

- (define nnii-extensional (type-eq (type-fun type-bool type-bool) id-bool not-not-bool))
- (define nnii-extensional-term
 (apps eta-axiom type-bool type-bool id-bool not-not-bool nnii))
- (hasType? '() nnii-extensional-term nnii-extensional)

The Homotopy Interpretation

Types are (Homotopy) Spaces, Elements are Points and Paths (alternately: Formulae are Spaces, Constructions are Points) [Awodey and Warren — 2006, Voevodsky — 2006]

Univalence Axiom
("Equivalence is Equivalent to Equality")
("All functions on the universe act continuously")
("Equivalent things are indiscernible things")
[Voevodsky 2009]

Earlier Work: The Groupoid Interpretation [Hofmann and Streicher, 1995]

Aside: Nonstandard Models

Pick an equational theory, such as the following

Terms composed of:

T, F,
$$\wedge$$
, \vee , \neg

Formulae hold such as:

$$A \wedge (B \vee C) = (A \wedge B) \vee (A \wedge C)$$

 $\neg \neg A = A$
etc.

The "standard model" is boolean logic — but it isn't the only one!

Aside: Nonstandard Models

Add the axiom that: exists $A.A = \neg A$

The this enforces a U such that \neg U = U.

One way to make this consistent is to have: $T \lor U = T, F \lor U = U, T \land U = U, F \land U = F$

This axiom forces a nonstandard model.

Now consider the axiom: for all $A.A \lor \neg A = T$

This axiom rules out such a nonstandard model!

Aside: Nonstandard Models

We have nonstandard models of Peano Arithmetic (which are fun), Real Numbers (which are useful), and soforth.

Nonstandard models typically come from extending or quotienting standard models.

We can "inflate" or "flatten" possibilities through additional axioms.

Nonstandard Models and Type Theory

```
Unique-Identity-Proofs:

(pi-ty (a type) (pi-ty (x a) (pi-ty (y a)

(pi-ty (p (type-eq a x y))

(pi-ty (q (type-eq a x y))

(type-eq (type-eq a x y) p q))))))
```

Compatible with ITT, but not provable within it. Rules out certain models!

Question: What is the axiom that might necessitate such a model?

The Univalence Axiom

```
(define (fun-comp a f g) (lam (x a) (app f (app g a))))
(define (type-homotopy a p f g)
(pi (x a) (type-eq (app p x) (app f x) (app g x))))
(define (type-isequiv a b f) (pair-ty
  (sig-ty (g (type-fun b a)) (type-homotopy b (lam (x a) b) (fun-comp b f g) (lam (x b) x)))
  (sig-ty (h (type-fun b a)) (type-homotopy a (lam (x b) a) (fun-comp a h f) (lam (x a) x)))))
(define (type-equiv a b)
 (sig-ty (f (type-fun a b)) (type-isequiv a b f)))
(define type-ua (pi-ty (a type-type) (pi-ty (b type-type)
 (type-equiv (type-equiv a b) (type-eq type-type a b)))))
```

Two New Judgments

- "P is a path at type T between points X and Y"
 (This fills in the place where we ignored the equality term before)
- "In type T, between points X and Y, P and Q are equal as paths"
 (And this fills in the other place where we ignored the equality term)

And ... new computation rules

```
(define equal-induct (pi (a type-type)
  (pi (c (pi-ty (x a) (pi-ty (y a) (type-fun (type-eq a x y) type-type))))
  (lam (f (pi-ty (z a) (apps c z z 'refl)))
    (pi (m a)
        (pi (n a)
        (pi (p (type-eq a m n))
        (close (apps c m n p) (lambda (cxt) _?_?_?_?_))))))))))
```

HoTT enables synthetic Mathematics

- In particular, but not only: Synthetic Homotopy Theory
- Additionally: more straightforward category theory
- Work is ongoing

References on Writing Dependent Type Systems

- A simple type-theoretic language: Mini-TT http://www.cse.chalmers.se/~bengt/papers/GKminiTT.pdf
- Simply Easy http://strictlypositive.org/Easy.pdf
- Simpler, Easier http://augustss.blogspot.com/2007/10/simpler-easier-in-recent-paper-simply.html
- PTS http://hub.darcs.net/dolio/pts
- Pi-Forall https://github.com/sweirich/pi-forall
- Mess: https://github.com/gbaz/mess

References on Type Theory

- Software Foundations (Pierce et. al, ongoing)
 http://www.cis.upenn.edu/~bcpierce/sf/current/toc.html
- Programming in Martin-Löf's Type Theory (Nordström, Petersson, and Smith, 1990)
 http://www.cse.chalmers.se/research/group/logic/book/book.pdf
- Papers of Per Martin-Löf https://github.com/michaelt/martin-lof (see in particular "Intuitionistic Type Theory," "Constructive mathematics and computer programming," and "On the Meanings of the Logical Constants and the Justification of Logical Laws").
- "A Framework for Defining Logics," (Harper, Honsell, and Plotkin, 1991)
 http://www.lfcs.inf.ed.ac.uk/reports/91/ECS-LFCS-91-162/
- "The Theory of LEGO," (Pollack, 1994)
 http://citeseerx.ist.psu.edu/viewdoc/summary?doi=10.1.1.38.2610
- "Type Checking with Universes" (Harper and Pollack, 1991) http://citeseerx.ist.psu.edu/viewdoc/summary?doi=10.1.1.38.8166

Dependently Typed Languages in Use

- Coq: https://coq.inria.fr/
- Agda: http://wiki.portal.chalmers.se/agda/pmwiki.php
- Idris: http://www.idris-lang.org/
- Nuprl: http://www.nuprl.org/

References on Homotopy Type Theory

- http://homotopytypetheory.org/
- http://homotopytypetheory.org/book/
- https://github.com/HoTT/HoTT
- https://github.com/HoTT/HoTT-Agda
- http://www.math.cornell.edu/~hatcher/AT/ATpage.html
 (A general textbook on Algebraic Topology including Homotopy Theory)

Appendix: Universe Checking

```
(define/match (check-u cxt x); something along these lines generates a dependency graph
 [(_ (lam-pi a at body)); this code is evocative but not yet fully correct
 (let*
    ([au (check-u cxt at)]
     [vu (check-u (cons (cons a (car au)) (cdr au)) a)]
     [bu (extend-cxt a (car vu) (cdr vu) (nvar newcxt)
        (check-u newcxt (body nvar)))])
   (cons (maxs (car bu) (car vu)) (cdr bu)))]
 [(_ (app fun arg))
 (let*
    ([funu (check-u cxt fun)]
     [argu (check-u (cdr funu) arg)])
   (cons (car funu) (cons (cons (car funu) (car argu)) (cdr argu))))]
 [(_ (? symbol? vname)) #:when (find-cxt vname cxt)
                 (cons vname cxt)]
 [(x) (cons 0 cxt)])
```