## RAG Evaluation: The Good, The Bad, and The Tricky

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## 01 Introduction



## Large Language Models (LLMs) What are they?

#### **Key Characteristics:**

- Massive deep learning models using transformer architecture
- Billions of parameters
- Require multiple GPUs for high computational power
- ChatGPT popularized LLMs

#### **Training:**

- Pre-trained on vast amounts of text data
- Training corpus often unknown (IP concerns)
- Learn language patterns, facts, and reasoning

#### **Functionality:**

- Predict next word/token based on previous context
- Generate text sequentially until stop condition



## How can we ensure they work?

#### By evaluating!

Evaluation is about **trust** to ensure the **accuracy** and **relevance** of the generated outputs and avoid **regression**.

**Validates** the model's performance in high-stakes applications (e.g., healthcare, customer support).

What we need is **good metrics**. But what is a good metric?

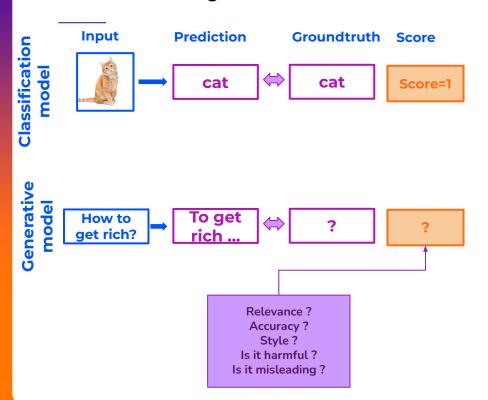


# Why LLM evaluation is tricky?



#### **Evaluate something that is generated**

#### How would you do that?



#### Other Difficulties with LLM to consider:

- One input, many possible answers
- Subjectivity
- Sensitivity: slight changes might affect the output
- Non-determinism
- Continuous Evaluation

#### **Evaluation**

#### **Types of evaluation**

Text similarity metrics (ex ROUGE, BertScore...)



Human Evaluation



Model evaluation/ LLM as a judge



#### **Evaluation with text similarity scores**

### **ROUGE: Naive Text Similarity Metric with Inherent Limitations**

Example 1 Example 2 **Prediction** Classification **Evaluating LLMs** models are easy is a very difficult to assess topic Groundtruth **Large Language Large Language** Models are not Models are not easy to assess easy to assess Score=0 Score=0.6

#### **Text similarity scores are:**

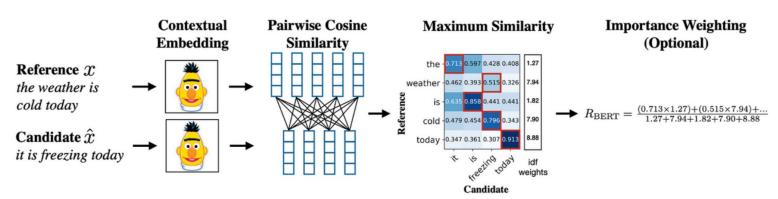
- Limited to surface-level text similarity
  - ignores context and semantics
  - low correlation with human judgments
- Unable to measure factual accuracy or user satisfaction
- Biased toward rigid matching of reference texts

n\_gram=1

<sup>\*</sup> Source: Blagec, Dorffner, Moradi: A global analysis of metrics used for me

<sup>\*</sup> ROUGE: Recall-Oriented Understudy for Gisting Evaluation

## **Evaluation with semantic similarity scores BERTScore - Capturing Meaning Beyond Surface Matching**



#### **Advantages:**

- Captures semantics beyond surface matching
- Handles paraphrases and linguistic variations
- Correlates better with human judgments

#### **Limitations:**

- Biased toward reference text
- Semantic similarity =/= relevance
- Computationally expensive

### **Human Evaluation Challenges The Human Factor**

User feedbacks







Rate answers on a scale

- Captures **nuanced aspects**
- Identifies **safety risks** and biases
- Qualitative insights for improvement

#### Disadvantages

- Cost and Time difficult to scale
- **Need for Expertise:** Requires domain experts/ specific knowledge
- Subjectivity and Bias:
  - Evaluators' differing opinions and biases can skew results.
  - o Inconsistent judgments reduce reliability and reproducibility

#### LLM as a judge

#### **Challenges of Al-Based Evaluation**

#### **Advantages**

- **Cost-effective** alternative to human evaluation
- Scalable for large-scale assessments
- Consistent scoring (more or less)
   across multiple samples
- Empirically good results



#### **Disadvantages**

- Potential biases
- > Inherited from training data
- Prefer a single score
- Prefer own model prediction
- Order counts
- Requires careful prompt engineering and fine-tuning
- · Lack of determinism in iterations



## Why RAG evaluation is even trickier?



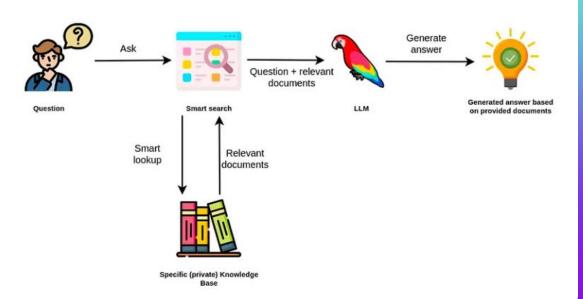
#### What is RAG?

#### **Understanding Retrieval Augmented Generation (RAG)**

RAG combines **retrieval** (locating relevant data from a knowledge base) with text **generation** (leveraging the retrieved information as context for generation).

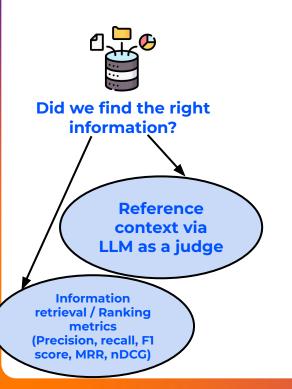
#### Key benefits:

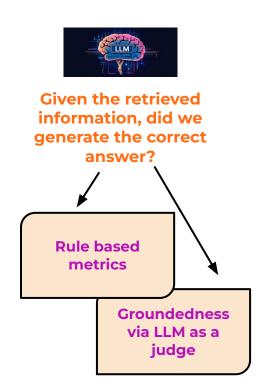
- Adapts the LLM to rare or unseen data
- Enhances factual accuracy and reduces hallucinations.

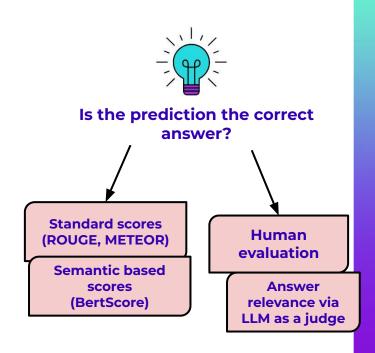


#### **RAG Evaluation**

#### The metrics should answer the questions...







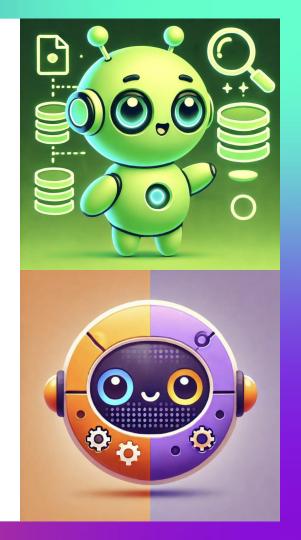
## **RAG-Specific Challenges 1. Duality**

Combination of **retrieval** and **generation**, each requiring different evaluation metrics.

- Challenges related to the retriever
  - Irrelevant information "creates noise"
  - Lack of relevant information makes it impossible to reply well
- **⇒** Challenges related to the generation
  - Preserving relevant information
  - Mitigating hallucinations/incorrect generations
  - Handling query diversity effectively

Interdependence between them makes it hard to isolate their impact on performance





#### **RAG Interdependence Traps 1.**

#### Lost in the middle

This one is

really

relevant

#### **PROMPT**

You are a useful assistant, here is your task...

Here are the relevant documents:

- . 🗚
- E
- (
- D
- 1

<More instructions>

<user question>

When the prompt is very long, important information in the middle might be lost.

Even if you retrieve the correct document you are not guaranteed to generate the good answer

#### **LLM Answer**

According to my knowledge there's no relevant document ...

#### **RAG Interdependence Traps 2.**

#### **Context Truncation**

The generation component fails to add the proper project ending.

Even if you retrieved the correct documents, you might miss some information.

We've correctly retrieved documents A, B, C but needed D for the whole context

#### PROMPT

You are a useful assistant, here is your task...

Here are the relevant documents:

- /
- . .

<More instructions>

<user question>

#### **LLM Answer**

According to my knowledge, the process starts with ...

#### **RAG Interdependence Traps 3.**

#### **Hallucination Due to Weak Retrieval**

The generation component hallucinates because of the irrelevant context retrieved.

Even if you retrieve the correct document, you might need to control for not retrieving the incorrect ones.

#### PROMPT

This doc is relevant

You are a useful assistant, here is your task...

Here are the relevant documents:

. .

Ŀ

ese docs <More instructions>

<user question>

**LLM Answer** 

According to my knowledge, ...

These docs are not relevant

#### **RAG-Specific Challenges 2.**

#### Complexity related to the knowledge base

#### **Knowledge base quality:**

- Incompleteness, biases, and inaccuracies
- Outdated or stale information
- Duplicates and redundant information
- Inconsistencies and contradictions within the knowledge base

#### **Dynamic External Knowledge:**

- Frequently updated knowledge bases
- Difficulty in consistent evaluation

Multilingual bias in LLM/Embedding models



## 04 Our use cases



#### Use case 1: Markus leboncoin's Customer care Assistant

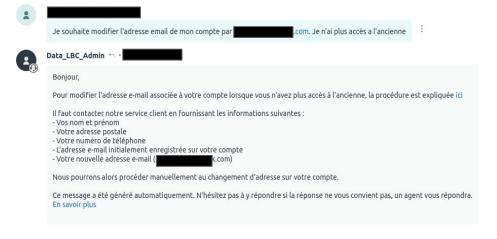
#### What:

Reply to users tickets automatically

#### Why?:

- ease the burden on customer service.
- ~200k tickets /month





## **Evaluating Markus Different evaluation steps**

#### **Pre-production evaluation:**

- Retriever evaluation
- LLM's ability to choose the right documents
- LLM as a judge to assess generated answers on specific scenarios
- Customer care support to assess if answers are acceptable

#### **Post-production evaluation:**

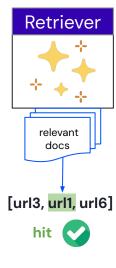
- Guardrails
- Feedback from customer care

## Small dataset on specific scenarios to be covered Positive examples

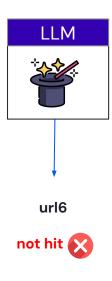
#### **Evaluation dataset**

Query:why can't I see my add?

expected\_urls = [url1, url2..]



hitrate: 1/1



hitrate: 0/1

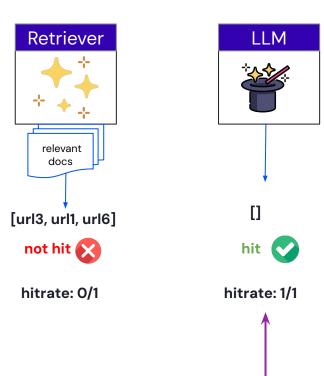
#### Small dataset on specific scenarios to be covered Negative examples

#### **Evaluation dataset**

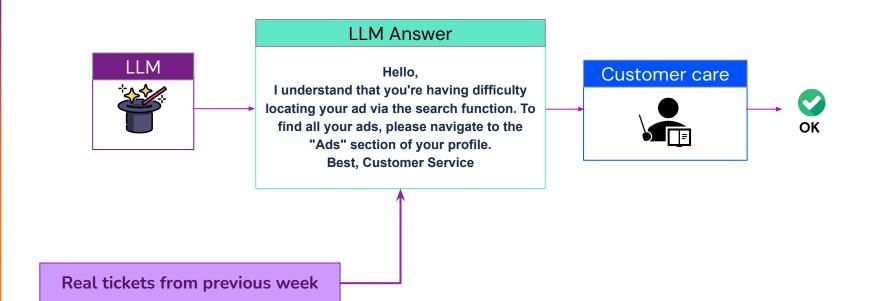
Query:Hi help me please

expected\_urls = []

The correct choice for the LLM is to select no document.



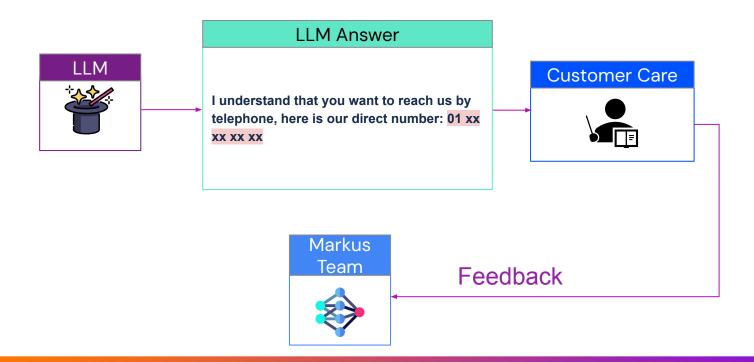
## Customer care support Validate behaviour and accuracy



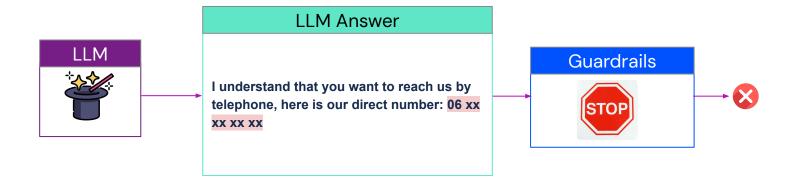
#### LLM as a judge Validate behaviour and accuracy



#### Feedback from Customer Service Human in the loop



## **Post Production Guardrails**



#### **Use case 2: Ada - Al Assistant**

#### leboncoin's internal chatbot







leboncoin's internal chatbot developed as a result of privacy concerns.

#### **Key Services:**

- General chatbot (UI and Slack)
- Slack thread and channel summarization
- Document upload and processing

#### **RAG Integration for Internal Knowledge:**

- Company policies (expenses, procurement, etc...)
- Projects, onboarding, and team information (Confluence)



#### **Evaluating Ada RAG?**

#### **Process**

| OII-IIIIE EVAIGATIOII |  |
|-----------------------|--|
|                       |  |
|                       |  |
|                       |  |

**Evaluate** iterations of the RAG system with **metrics** and store them in **Langfuse** 

Off-line evaluation

We evaluate each major iteration on an evaluation set:

- FAQs for policies
- Confluence: Encourage users to contribute **Q&As**.
- User-generated data: collect questions during user testing, feedback or support tickets.

#### Release in dev, ask for beta user feedback

 Duration: typically a week

#### 2. Purpose:

- Allow users to explore new features/behaviors
- Gather feedback
- Collect evaluation data

#### Deploy

Presently, **no online evaluation** because we don't monitor what users ask about.

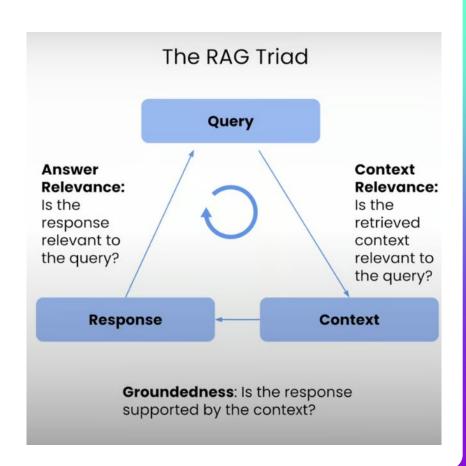
We plan to add **likes** with option to reuse the example to improve the performance.

## **Evaluating Ada**Scores cont'- LLM as a judge

#### LLM as a judge scores

- Context relevancy
- Answer relevancy
- Groundedness/ Faithfulness

These scores are normalised between 0 and 1.



## **Evaluating Ada continued LLM as Judge: How the Metrics Work**

These metrics are based on **prompting** and an **LLM call**.

These metrics are **sensitive to**:

- small changes in the prompt
- the order of the inputted information
- the length of inputted information
- the model used

Some python packages (<u>Trulens</u>, <u>ragas</u>) have them implemented.

context\_relevance\_system\_prompt = """You are a RELEVANCE grader; providing the relevance of the given CONTEXT to the given QUESTION.

Respond only as a number from 0 to 10 where 0 is the least relevant and 10 is the most relevant.

- A few additional scoring guidelines:
- Long CONTEXTS should score equally well as short CONTEXTS.
- RELEVANCE score should increase as the CONTEXTS provides more RELEVANT text to the OUESTION.
- RELEVANCE score should increase as the CONTEXTS provides RELEVANT context to more parts of the QUESTION.
- CONTEXT that is RELEVANT to some of the QUESTION should score of 2, 3 or 4. Higher score indicates more RELEVANCE.
- CONTEXT that is RELEVANT to most of the QUESTION should get a score of 5, 6, 7 or 8. Higher score indicates more RELEVANCE.
- CONTEXT that is RELEVANT to the entire QUESTION should get a score of 9 or 10. Higher score indicates more RELEVANCE.
- CONTEXT must be relevant and helpful for answering the entire QUESTION to get a score of 10.
  - Never elaborate."""

context\_relevance\_user\_prompt = """QUESTION: {question}

CONTEXT: {context}

Please answer with the template below for all statement sentences: Supporting Evidence: <Identify the sentences from the CONTEXT where the information is most relevant to the QUESTION.>

Score: <Output a number between 0-10 where 0 is no information overlap and 10 is all information is overlapping>  $\,$ 

#### **RAG** evaluation UI

#### Langfuse



Open-source observability platform for LLM applications. Helps track, analyze, and optimize AI interactions

#### **Features:**

- Tracing and logging datasets and metrics via experiments
- Prompt management
- Performance monitoring
- Cost tracking

#### **Benefits:**

- Easy integration to the code.
- Centralized location for tracking performance metrics.
- Enhances transparency and reproducibility in AI evaluation workflows.

#### **RAG** evaluation UI

#### Langfuse

#### **Disadvantages of Langfuse:**

- Limited Customization
- No native filtering capacity
- Limited analytical features
- Not easy to analyse the results









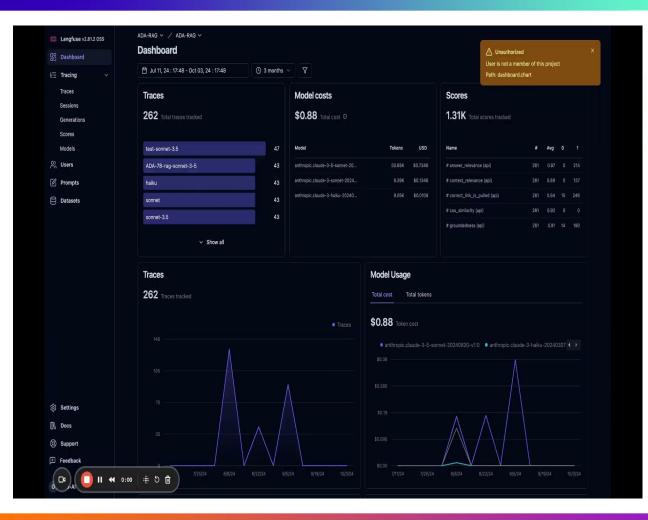














# 04 Wrap up





#### **Evaluation ensures RAG:**

- Improves response accuracy, style and behaviour.
- Handles domain-specific queries effectively
- Reduces hallucinations and factual errors.

and identifies where we can improve the system



# But, evaluation is difficult because of:

- •Growing knowledge bases complicate assessment
- Time-consuming manual review is often necessary
- Challenge to evaluate constantly



# And it's even more tricky because of:

 Defining good metrics and aggregating them is challenging

Non-reproducibility and biases

 Difficulties to pinpoint where an error comes from due to interdependence

# **Key takeaways**

#### What we have learned

- Text-similarity metrics are often inadequate for comprehensive evaluation.
- distinguishing between information retrieved and hallucinated by the model can be unclear.
- Usually, best is to mix a variety of metrics:
  - Rule based
  - Semantic based
  - LLM as a judge
- Effective strategies need to consider real-world contexts and use cases but getting good evaluation data can be challenging.
- Guardrails are essential



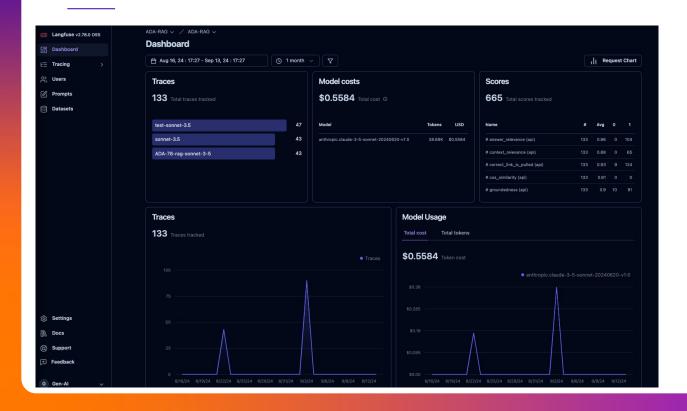
# Thank you! Questions?

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# leboncoin tech



## **Langfuse - Dashboard**





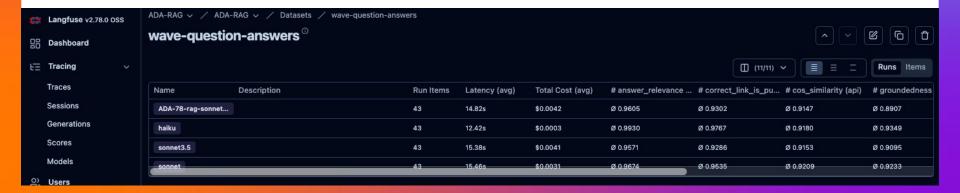
You can see the traces/ model usage for an interval...

But these are evaluation tests, filtering and interacting with the results could be more useful!

### Langfuse

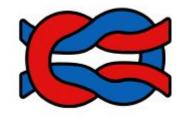


- You can access Traces/ Sessions/ Generations/ Scores/ Prompts and Datasets
- I find Datasets the most useful here I can run many different versions of the same QA pairs and compare different solutions.
- But when you want to compare the results, it's not that easy...



# **Langfuse - comparing experiments**

- For an individual example it's easy to compare different experiments
- But you can't group experiments together in an easy way, can't order them by increasing scores...
- It stays a very human exercise to translate the findings. To understand why a score was low you'll need to access each generation individually.



| Run At               | Dataset Item | Trace | Latency | Cost     | # answer_relevance | # correct_link_is_pu | # cos_similarity (api) | # groundedness (api) | # context_relevance |
|----------------------|--------------|-------|---------|----------|--------------------|----------------------|------------------------|----------------------|---------------------|
| 22/08/2024, 17:49:45 | 21c9e4a1-7d  | €≣    | 16.73s  | \$0.0046 | 1.0000             | 1.0000               | 0.9491                 | 1.0000               | 1.0000              |
| 08/08/2024, 12:13:48 | 21c9e4a1-7d  | ŧ≣    | 10.15s  | \$0.0002 | 1.0000             | 1.0000               | 0.9295                 | 1.0000               | 1.0000              |

### **Langfuse - Individual trace**

A trace contains the question, the expected answer, the IIm generated response and anything else that you want to add to it:

- the retrieved documents
- the explanation for LLM generated scores
- Prompt

page\_content: "with integrity in everything we do. Our reputation is important to us and is essential for our s and ability to operate today and in the future.

We serve our colleagues, customers and users best when we communicate openly, speak freely and share relevant information. If you observe or suspect any conduct that concerns you or that seems to violate our Code of Ethical Conduct and/or our policies and/or procedures, you are encouraged to speak up.



groundedness\_score\_explanation: "Supporting Evidence: The source document "Speak Up and Investigations Policy V2 - Final version (EN).txt" provides extensive details that match the key points mentioned in the hypothesis. Specifically:

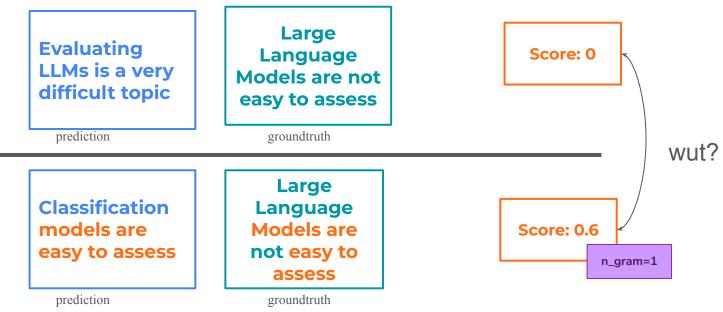
- Page 5 lists the types of concerns that can be raised through Speak Up channels, including fraud, bribery, conflicts of interest, data misuse, discrimination, etc., aligning with the hypothesis.
- Page 5 also mentions that Speak Up channels can be used to report any violation of laws or dangers



## **Evaluation with scores**

#### **BertScore**

ROUGE score measures how similar are the prediction and a possible ground truth but in a naive setup it can easily go wrong:



# **Issues with standard metrics**Why Traditional Metrics Fall Short

#### Limited Scope, focus on surface-level text similarity:

- Metrics like BLEU and ROUGE ignore context and meaning.
- Metrics like BertScore takes into account the semantics but is biased toward a "groundtruth".
- They tend to be biased, correlation between human judgement and some benchmark scores are low.\*

#### **Lack of Factual Accuracy Measurement:**

- Unable to assess if the generated content is factually correct or misleading.
- No Consideration for User Satisfaction.
- Doesn't capture qualitative aspects like coherence, fluency, or helpfulness.

#### **Bias Toward Rigid Matching**

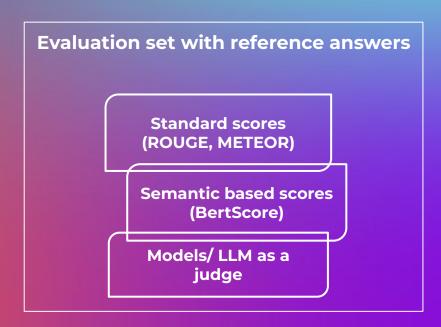
# **RAG Evaluation**

### The metrics should answer...

# Does the prediction answer the question correctly?

**Human evaluation** 

LLM as a judge (Answer relevance)



# **RAG Evaluation**

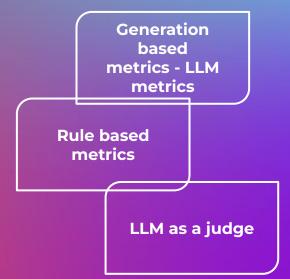
#### The metrics should answer...

Did we find the right information? (Retrieval metrics)

No "reference context": LLM as a judge

Pairwise comparison of "reference context" and retrieved context (Precision, recall, F1 score, MRR, nDCG) Given the retrieved information, did we generate the correct answer?

(Generation metrics)



# **RAG-Specific Challenges 4.**

## Hallucinations due to the LLM and or The Knowledge base

The question isn't whether it will come, but rather when.

Therefore a guardrail bloc has to be included in the architecture to avoid harmful or misleading answers

<add schema here>



## Use case 2: Markus - ..

•••

- Retrieval part is important
- Prompt is very sensitive -
- If possible fix the problems without changing the prompt.
- Hallucinations are still a pain
- Non deterministic answers are still a challenge for evaluation and reproducibility
- Documents that are close semantically to the input are not always necessarily the most relevant.
- Constant "surprise" <-> continuous debugging
- You must have guardrails to mitigate those surprises (add scripts to detect hallucinations for example).
- Evaluation frameworks are new and not yet matures

# Takeaways and learnings



# **Evaluating CCA RAG**

**Process** 

| Off-line evaluation | User testing process | Deploy     |  |
|---------------------|----------------------|------------|--|
| Blabla CCA          | Blabla CCA           | Blabla CCA |  |

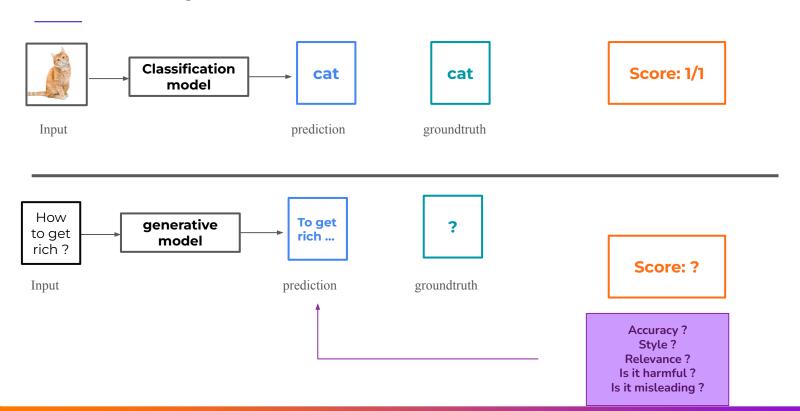
# **Challenges of LLM Evaluation Complexities in Evaluating LLMs**

- Output Variability: Multiple valid responses possible for one input
- Subjectivity: Human and model judgments can be inconsistent and biased
- Context Sensitivity: Subtle input changes may significantly alter outputs
- Non-determinism: Outputs may vary across runs for the same input
- Continuous Evaluation: Needed due to constant model improvements



# Evaluate something that is generated

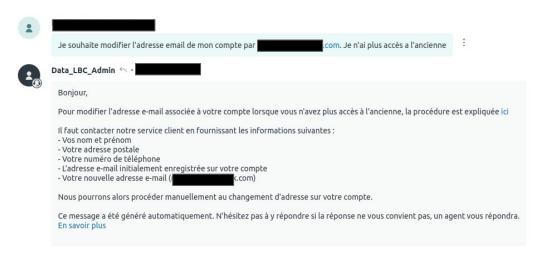
## How would you do that?



### **Use case 1: Markus - Customer Care Assistant**

leboncoin customer service total tickets: ~200k/month

Many of these tickets could be automated using LLMs and leboncoin's FAQ to ease the burden on customer service.









Amazon Bedrock

# **Evaluating Ada**

#### **Scores used**

Rule-based scores: make sure that the answer is in a certain way (dependant of the use case)

The end message should always finish with a caution "Be aware that I can make mistake. I found the source here..."

Check if source is in response

Retrieval metrics: check whether correct page is pulled: precision/ recall/ F1 scores BertScore: use reference responses, embed prediction and reference response, compare the similarity

Compare the stability of a response in several runs by comparing their embeddings

LLM as a judge scores