

Overheard: Audio-based Integral Event Inference

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There is no doubt that the popularity of smart devices and the development of deep learning models bring individuals too much convenience. However, some rancorous attackers can also implement unexpected privacy inferences on sensed data from smart devices via advanced deep-learning tools. Nonetheless, up to now, no work has investigated the possibility of riskier overheard, referring to inferring an integral event about humans by analyzing polyphonic audios. To this end, we propose an Audio-based integral evenT inffERence (ALTER) model and two upgraded models (ALTER-p and ALTER-pp) to achieve the integral event inference. Specifically, ALTER applies a link-like multi-label inference scheme to consider the short-term co-occurrence dependency among multiple labels for the event inference. Moreover, ALTER-p uses a newly designed attention mechanism, which fully exploits audio information and the importance of all data points, to mitigate information loss in audio data feature learning for the event inference performance improvement. Furthermore, ALTER-pp takes into account the long-term co-occurrence dependency among labels to infer an event with more diverse elements, where another devised attention mechanism is utilized to conduct a graph-like multi-label inference. Finally, extensive real-data experiments demonstrate that our models are effective in integral event inference and also outperform the state-of-the-art models.

CCS Concepts: • Computing methodologies → Neural networks; • Information systems → Multimedia information systems; • Security and privacy;

Additional Key Words and Phrases: Multi-label Image Recognition, Differential Privacy, Robustness

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1 INTRODUCTION

Nowadays, the recordings of visual and audio data capturing various scenes of people's daily life can be acquired and collected anywhere and anytime through cameras and microphones on ubiquitous smart devices [27, 28, 34]. In the meantime, with the advent of the deep learning era,

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visual and audio data can be analyzed more effectively for providing individuals with more accurate customized services. However, the evolution of technology is a double-edged sword – such data can also be malevolently used by attackers to infer individuals' sensitive information [5, 11, 20], causing severe privacy leakage and economic loss.

So far, many works have been proposed to investigate visual and audio data-oriented privacy inference models. These visual-based approaches can successfully achieve the identification of individuals [18], the inference of individuals' activities [22], and the recognition of individuals' locations [13]. Nevertheless, these models suffer a lot of performance loss because of the poor image quality and may even become infeasible due to the constraint of camera coverage. Considering the omnidirectional coverage and easier deployment of audio sensors, some researchers change their targets to study imperceptible privacy inference attacks on audio data. These audio-based privacy inference models can be broadly classified into three categories. (i) Audio-based person identification approaches are designed by discriminating the timbres of different people [9, 23]; (ii) Sound prediction models have been developed to classify different activities' sounds of human for human activity detection [1, 4]; (iii) Environmental scene recognition schemes are devised to infer indoor and outdoor environments where human locate through distinguishing the various environmental audios [6, 31]. But, the existing works are only able to infer one specific element of an event about human, such as who they are, what they do, or where they are. Although these one-element prediction approaches can be combined to perform integral event inference, such a method lacks scalability in reality as the number of elements needs to be known or determined before model combination. What's worse, this event inference model built in a simple combination way will become more and more complicated with the increase of elements, greatly increasing implementation cost. Therefore, it is still challenging to design an effective and scalable audio-based integral event inference model.

To fill this blank, we present an Audio-based integraL event infERence (ALTER) model that is composed of three main components, including data preprocessing, sequential data feature learning, and multi-label inference. Our ALTER model can successfully achieve the goal of integral event inference by simultaneously leveraging the temporal correlation in the time-series audio data and the short-term co-occurrence dependency among multiple labels. Additionally, to alleviate the information loss in the sequential data feature learning, we improve ALTER model to the ALTER-p model by designing a new attention mechanism, in which we entirely exploit the audio information and the importance of all data points to get the output data features. Besides, for the purpose of inferring a sophisticated event with more various elements, the ALTER-p model is further upgraded to the ALTER-pp model, where we devise another new attention mechanism to help represent the long-term co-occurrence dependency among labels. Finally, the effectiveness of the three proposed models is evaluated and compared by conducting comprehensive real-data experiments. The multifold contributions of our work are concluded below.

- To the best of our knowledge, this is the first work to investigate an audio-based integral event inference task.
- We design ALTER, ALTER-p, and ALTER-pp models to perform the audio-based integral event inference with considering different application requirements and data characteristics.
- In our models, one novel attention mechanism is developed to retain information as much as possible in audio data feature learning, and another creative attention mechanism is implemented to capture the long-term co-occurrence dependency among multiple labels.
- We also propose a link-like multi-label inference scheme and a graph-like multi-label inference method to realize the event inference based on the short-term co-occurrence dependency and the long-term co-occurrence dependency among labels, respectively.

- 99 • Extensive real-data experiments are well conducted to validate the effectiveness of our
100 proposed models on integral event inference and to illustrate their superiority over state-of-
101 the-art approaches.

102 The rest of this paper is organized as follows. The related works are briefly summarized in
103 Section 2. We detail our methodology in Section 3, and then conduct real-data experiments and
104 analyze the experimental results in Section 4. After that, we propose some discussions and future
105 works in Section 5. Finally, we end up with a conclusion in Section 6.

108 2 RELATED WORKS

109 In this section, we summarize the related works on visual-based and audio-based privacy inference
110 models.

113 2.1 Visual-based Privacy Inference

114 With the impressive growth of deep learning in computer vision [16], attackers can maliciously
115 detect, extract, and retrieve individuals' sensitive information in visual data via deep learning
116 models. When one person's visual data is public on social platforms, attackers can leverage deep
117 learning tools to automatically steal his/her private information, including who the person is,
118 what the person does, and where the person is. For examples, recognition models can be exploited
119 to identify people in pictures [15, 18], detection models can be used to detect human activities
120 in videos [7, 22], and other inference models can be employed to infer individuals' locations in
121 images [13, 30].

122 However, the performance of these visual-based models is greatly affected by the limited quality
123 of visual data, and these models even will not be able to work when an object in pictures is occluded,
124 when an activity occurs in the dark, or when an event happens in an area that is beyond the
125 coverage of video cameras.

127 2.2 Audio-based Privacy Inference

128 Audio data can be used as a supplementary information source to achieve more stealthy privacy
129 inference attacks own to its omnidirectional coverage and audio sensors' easy deployment in various
130 environments [10, 11]. Therefore, a few research has begun to investigate the possibility of inferring
131 privacy using audio data, which can be broadly classified into three mainstream applications. (i)
132 Person identification can be accomplished by matching the newly captured timbre of a person
133 from audio with the previously learned timbre of the same person [8, 9, 12, 23]. (ii) Vocal sounds
134 produced by humans can also be recognized through audio data [1, 3, 4], which includes infants'
135 and adults' screams, crying, coughing, clapping, whistling, sneezing, laughing, and the sound of
136 footsteps. (iii) Indoor and outdoor environmental scenes where humans locate, such as homes,
137 offices, and residential areas, can be detected by analyzing an audio stream as well [2, 6, 29, 31].
138 Although these existing works have demonstrated that it is possible to infer a single specific type
139 of sensitive information about humans in audio, there is no one to design a scheme to directly
140 speculate an integral event related to humans by analyzing polyphonic audio.

141 In this paper, three audio-based models are presented to realize the inference of human's integral
142 event by processing polyphonic audio. The technical novelty of our models lies in two aspects. (i)
143 The temporal correlation and the importance of different data points are leveraged in the sequential
144 data feature learning. (ii) The co-occurrence dependency in multiple labels and the importance of
145 these labels are exploited in the final event prediction.

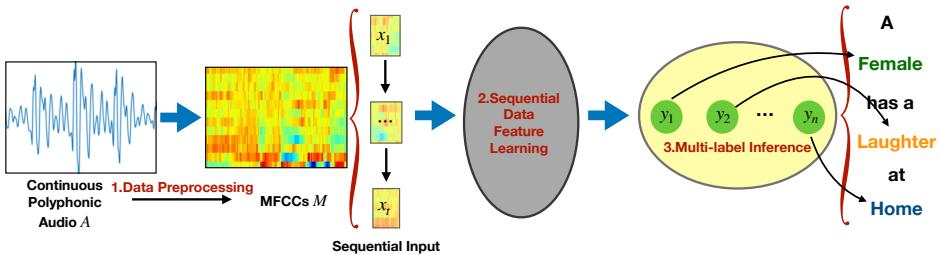


Fig. 1. Framework of Our Proposed Audio-based Integral Event Inference Model (ALTER)

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3 METHODOLOGY

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In this paper, we treat each element in an event as a label of one polyphonic audio. Accordingly, we aim to predict multiple labels of one polyphonic audio and then combine these labels related to the same event to infer the integral event. To this end, we propose an Audio-based integral event inference (ALTER) model as presented in Fig. 1. Generally speaking, ALTER is composed of three components, including (i) data preprocessing, (ii) sequential data feature learning, and (iii) multi-label inference. At the beginning, in data preprocessing, we convert the continuous polyphonic audio into Mel-Frequency Cepstrum Coefficients (MFCCs) [25]. Then, a sequential data feature learning scheme is used to capture the features of sequential input while considering the temporal correlation in the sequential data. Next, the multi-label inference stage leverages the extracted data features to predict multiple element labels. In the following, after introducing the design of three components of ALTER in Section 3.1, we present two upgraded models, ALTER-p and ALTER-pp, in Section 3.2 and Section 3.3, respectively.

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3.1 ALTER

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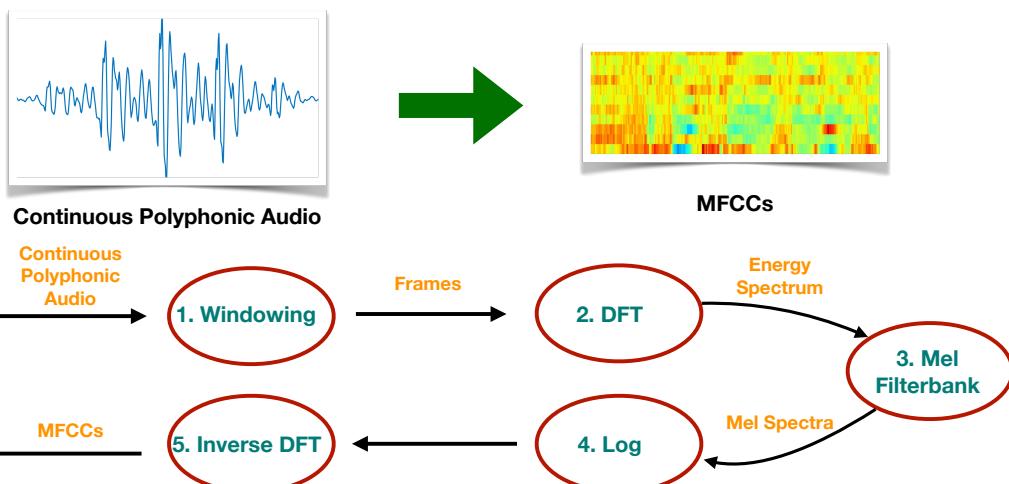


Fig. 2. Procedure of Calculating MFCCs

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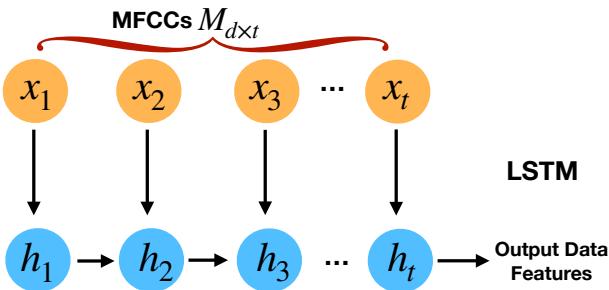
3.1.1 Data Preprocessing. Since MFCCs have shown effectiveness in capturing the features of the acoustic signal in the speech recognition systems [19, 26, 33], we transform the polyphonic audio

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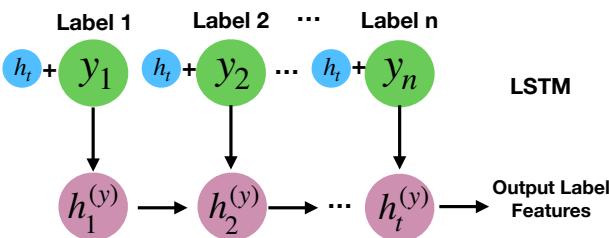
197 into MFCCs for our audio-based event inference task. In Fig. 2, we illustrate the procedure for
 198 calculating MFCCs of audio step by step: (i) window the original continuous polyphonic audio into
 199 a series of short frames; (ii) for each frame, calculate the energy spectrum using Discrete Cosine
 200 Transform (DCT) [32]; (iii) apply a mel filterbank [21], which is a series of bandpass filters with
 201 constant bandwidth and spacing on a mel frequency scale, to each frame's energy spectrum in
 202 order to get the multiple mel spectra; (iv) compute the logarithm of the mel spectra of each frame;
 203 and (v) convert these frames' logarithmic mel spectra back to the time domain via inverse DCT [32],
 204 which are MFCCs of the polyphonic audio. For presentation simplicity, we denote the calculation
 205 procedure of MFCCs as a function $F(\cdot)$ and use $F(\cdot)$ to transform the original continuous audio
 206 vector A_t into MFCCs matrix $M_{d \times t}$, i.e.,

$$M_{d \times t} = F(A_t), \quad (1)$$

210 where t is the dimension of the audio vector, and d is the number of filters in the filterbank.



223 Fig. 3. LSTM-based Sequential Data Feature Learning



237 Fig. 4. LSTM-based Multi-label Feature Learning

241 **3.1.2 Sequential Data Feature Learning.** We treat the obtained MFCCs matrix as a sequence $M_{d \times t} =$
 242 $\{x_1, x_2, \dots, x_t\}$, where each element is a d -dimensional vector. LSTM neural network [14] provides
 243 an extraordinary function to learn the features of sequential data with the consideration of temporal
 244 correlation in data. In light of this, we use the LSTM unit to extract the features from the sequence

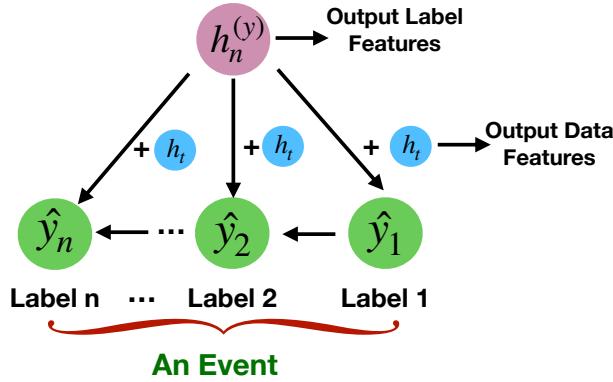


Fig. 5. Link-like Multi-label Inference

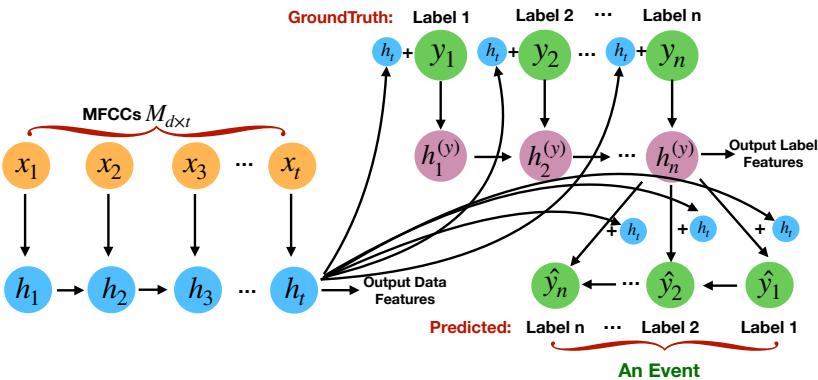


Fig. 6. Data Flow of ATLER Model

$M_{d \times t}$, which can be formulated as follows:

$$i_T = \sigma(W_i[h_{T-1}, x_T] + b_i), \quad (2)$$

$$f_T = \sigma(W_f[h_{T-1}, x_T] + b_f), \quad (3)$$

$$o_T = \sigma(W_o[h_{T-1}, x_T] + b_o), \quad (4)$$

$$\tilde{c}_T = \sigma(W_c[h_{T-1}, x_T] + b_c), \quad (5)$$

$$c_T = f_T c_{T-1} + i_T \tilde{c}_T, \quad (6)$$

$$h_T = o_T \cdot \tanh(c_T), \quad (7)$$

where $x_T \in M_{d \times t}$; i_T , f_T , and o_T are the input gate, forget gate, and output gate, respectively; $\sigma(\cdot)$ is the activation function; W_i , W_f , W_o , and W_c are the weights, and b_i , b_f , b_o , and b_c are the biases; \tilde{c}_T is the immediate state, and c_T is the long-term state during sequential data feature learning process; $\tanh(\cdot)$ is the hyperbolic tangent activation function; and x_T and h_T are T -th input and output information, respectively. The LSTM-based sequential data feature learning process is presented in Fig. 3, where we can get the final output features h_t from $M_{d \times t}$.

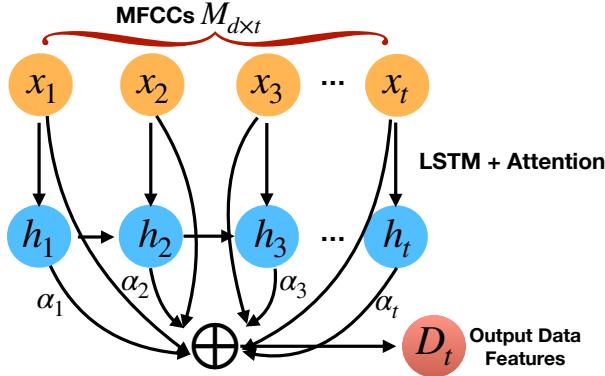


Fig. 7. LSTM-Attention-based Sequential Data Feature Learning

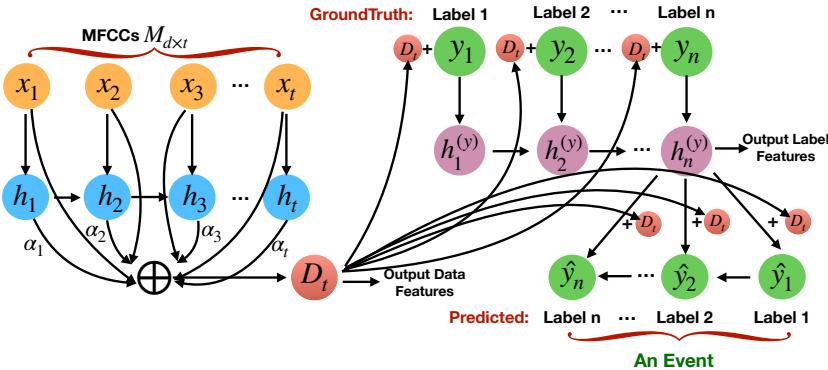


Fig. 8. Data Flow of ATLER-p Model

3.1.3 Multi-label Inference. It is known that an integral event can be described by several elements, such as who is a person, what is a person talking, and where is a person. In this paper, we assume that an integral event is composed of n elements, each of which can be taken as one label of continuous polyphonic audio. Thus, we can consider the event inference task as a multi-label inference task. Our multi-label inference process contains two phases, *i.e.*, multi-label feature learning and multi-label inference.

As a matter of fact, one event is usually composed of more than one concurrent element, including object, activity, environment, *etc*. For example, in an event that "a girl has a laughter at home", the coconcurrent elements are gender (*i.e.*, female), activity (*i.e.*, laughter), and location (*i.e.*, home). That is, the elements in an event are co-occurrence dependent. Hence, for multi-label feature learning, we attempt to learn the features of multiple labels while considering the co-occurrence dependency among these element labels. We can treat these correlated labels as a label sequence and denote the label sequence as $Y = \{y_1, y_2, \dots, y_n\}$, where y_i is the i -th element label in the event. In Fig. 4, we exploit LSTM neural network to extract the multi-label features with incorporating label correlation. Furthermore, taking into account that the data features mainly affect the labels' prediction, the output data features h_t are also used in our LSTM-based multi-label feature learning, which can be

344 formulated below:

$$i_N^{(y)} = \sigma(W_i^{(y)}[h_{N-1}^{(y)}, y_N] + A_i h_t + b_i^{(y)}), \quad (8)$$

$$f_N^{(y)} = \sigma(W_f^{(y)}[h_{N-1}^{(y)}, y_N] + A_f h_t + b_f^{(y)}), \quad (9)$$

$$o_N^{(y)} = \sigma(W_o^{(y)}[h_{N-1}^{(y)}, y_N] + A_o h_t + b_o^{(y)}), \quad (10)$$

$$\tilde{c}_N^{(y)} = \sigma(W_c^{(y)}[h_{N-1}^{(y)}, y_N] + A_c h_t + b_c^{(y)}), \quad (11)$$

$$c_N^{(y)} = f_N^{(y)} c_{N-1}^{(y)} + i_N^{(y)} \tilde{c}_N^{(y)}, \quad (12)$$

$$h_N^{(y)} = o_N^{(y)} \cdot \tanh(c_N^{(y)}), \quad (13)$$

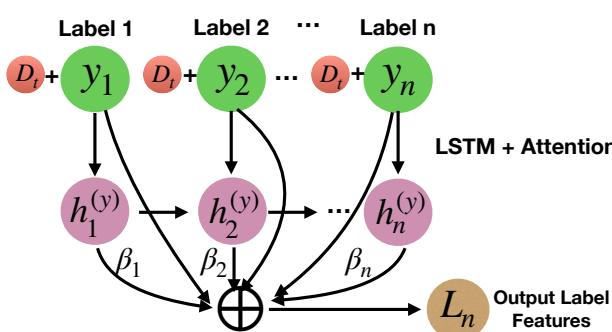
355 where $y_N \in Y$; $N \in [2, n]$; $i_N^{(y)}$, $f_N^{(y)}$, and $o_N^{(y)}$ are the input gate, forget gate, and output gate for label
 356 feature learning, respectively; $W_i^{(y)}$, $W_f^{(y)}$, $W_o^{(y)}$, and $W_c^{(y)}$ are the weights, and $b_i^{(y)}$, $b_f^{(y)}$, $b_o^{(y)}$,
 357 and $b_c^{(y)}$ are the biases in the label feature learning process; $\tilde{c}_N^{(y)}$ is the immediate state, and $c_N^{(y)}$ is
 358 the long-term state during the label feature learning; y_N and $h_N^{(y)}$ are N -th input and output label
 359 information, respectively; and A_i , A_f , A_o , and A_c are the weights of data features in the LSTM-based
 360 label feature learning architecture. Consequently, we can obtain the final label features $h_n^{(y)}$ for
 361 further inference.

362 Moreover, as presented in Fig. 5, we propose a link-like multi-label inference, during which we
 363 consider the fact that the current predicted label \hat{y}_N can be influenced by the previous one predicted
 364 label \hat{y}_{N-1} , the output data features h_t , and output label features $h_n^{(y)}$. So, we design the final layer
 365 using $\text{softmax}(\cdot)$ function shown in Eq. (14).

$$\hat{y}_N = \text{softmax}(U_s \sigma(W_s[h_n^{(y)}, h_t, \hat{y}_{N-1}]) + b_s), \quad (14)$$

366 where U_s , W_s , b_s are the parameters of $\text{softmax}(\cdot)$ to be learned.

367 At the end, we present the data flow of our proposed ATLER model in Fig. 6 by combining the
 368 aforementioned three components. The ATLER model is trained by minimizing the summation of
 369 the cross entropy between the predicted label \hat{y}_n and the corresponding ground-truth label y_n .



386 Fig. 9. LSTM-Attention-based Multi-label Feature Learning

3.2 ALTER-p

387 In ALTER, we use LSTM to extract the data features to get the output h_t , which, however, compresses
 388 too much original data information. In order to make full use of all data information and the

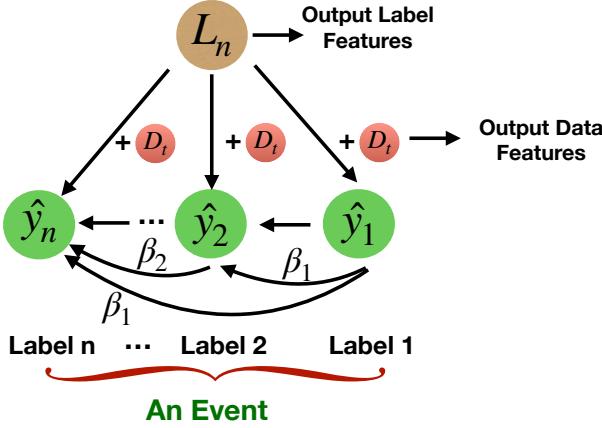


Fig. 10. Graph-like Multi-label Inference

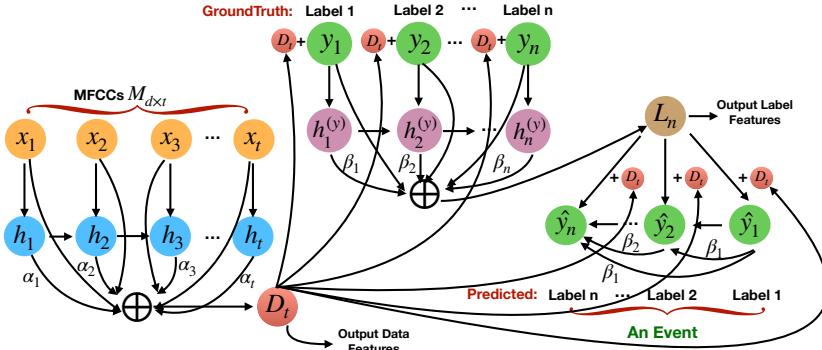


Fig. 11. Data Flow of ATLER-pp Model

importance of data points at the same time, we update our sequential data feature learning by using LSTM and attention mechanisms simultaneously. In Fig. 7, we show the LSTM-Attention-based sequential data feature learning scheme. First of all, we can compute the unnormalized relevance score e_T of the data x_T by using the following attention function:

$$e_T = Q_e \cdot \tanh(W_e h_T + U_e x_T + z_e), \quad (15)$$

where $T \in [1, t]$, and Q_e , W_e , U_e , and z_e are the parameters in the attention function. Then, we can calculate the corresponding attention weight α_T in Eq. (16) via normalizing the relevance scores.

$$\alpha_T = \exp(e_T) / \sum_{T=1}^t \exp(e_T). \quad (16)$$

Based on these attention weights, we define the new output data features as:

$$D_t = \sum_{T=1}^t \alpha_T x_T. \quad (17)$$

Accordingly, the final prediction function in Eq. (14) should be updated as:

$$\hat{y}_N = \text{softmax}(U_s \sigma(W_s[h_n^{(y)}, D_t, \hat{y}_{N-1}]) + b_s). \quad (18)$$

Finally, we replace the original LSTM-based one in ALTER with the LSTM-Attention-based sequential data learning to obtain our ALTER-p model, the data flow of which is shown in Fig. 8. ALTER-p is trained in the same way as the ALTER model.

3.3 ALTER-pp

Similarly, we expect to obtain the label features by using all label information while considering the importance of multiple labels. For this purpose, the LSTM-Attention architecture shown in Fig. 9 is applied to update our original LSTM-based multi-label feature learning component. In this architecture, we first calculate the unnormalized relevance score $e_N^{(y)}$ of the label y_N , i.e.,

$$e_N^{(y)} = Q_e^{(y)} \cdot \tanh(W_e^{(y)} h_N^{(y)} + U_e^{(y)} y_N + z_e^{(y)}), \quad (19)$$

where $N \in [1, n]$, and $Q_e^{(y)}$, $W_e^{(y)}$, $U_e^{(y)}$, and $z_e^{(y)}$ are the parameters of the attention function in label feature learning. Then, the attention weight of the N -th label β_N can be computed as:

$$\beta_N = \exp(e_N^{(y)}) / \sum_{N=1}^n \exp(e_N^{(y)}). \quad (20)$$

Consequently, we define the new label features to be:

$$L_n = \sum_{N=1}^n \beta_N y_N. \quad (21)$$

Moreover, inspired by the attention-based learning process, we propose a new graph-like multi-label inference presented in Fig. 10, where the prediction result of current label \hat{y}_N is affected by all previously predicted labels $\{\hat{y}_1, \dots, \hat{y}_{N-1}\}$. Thus, by using the newly learned label features L_n and the graph-like multi-label inference idea, we can further improve the prediction function in Eq. (22).

$$\hat{y}_N = \text{softmax}(U_s \sigma(W_s[L_n, D_t, \sum_{j=1}^{N-1} \beta_j \hat{y}_j]) + b_s). \quad (22)$$

After all, ALTER-pp is constructed by employing LSTM-Attention-based sequential data feature learning, LSTM-Attention-based multi-label feature learning, and graph-like multi-label inference, the data flow of which is demonstrated in Fig. 11. We will also train ALTER-pp using the same way of training ALTER.

Table 1. Gender Prediction Results (Ours v.s. Baseline 1)

Model	Data Learning	Label Learning	Acc	Pre	Rec	F1	Auc
Baseline 1	/	/	0.834	0.902	0.698	0.832	0.916
ALTER	LSTM	LSTM	0.844 (\uparrow 1.20%)	0.911 (\uparrow 1.00%)	0.699 (\uparrow 0.14%)	0.842 (\uparrow 1.20%)	0.918 (\uparrow 0.22%)
ALTER-p	LSTM + Attention	LSTM	0.845 (\uparrow 1.32%)	0.916 (\uparrow 1.55%)	0.707 (\uparrow 1.29%)	0.843 (\uparrow 1.32%)	0.922 (\uparrow 0.66%)
ALTER-pp	LSTM + Attention	LSTM + Attention	0.846 (\uparrow 1.44%)	0.919 (\uparrow 1.88%)	0.718 (\uparrow 2.87%)	0.844 (\uparrow 1.44%)	0.926 (\uparrow 1.09%)

Table 2. Vocal Sound Prediction Results (Ours v.s. Baseline 2)

Model	Data Learning	Label Learning	Acc	Pre	Rec	F1	Auc
Baseline 2	/	/	0.900	0.925	0.800	0.901	0.975
ALTER	LSTM	LSTM	0.908 (\uparrow 0.89%)	0.944 (\uparrow 2.05%)	0.833 (\uparrow 4.13%)	0.908 (\uparrow 0.78%)	0.980 (\uparrow 0.51%)
ALTER-p	LSTM + Attention	LSTM	0.914 (\uparrow 1.56%)	0.949 (\uparrow 2.59%)	0.836 (\uparrow 4.50%)	0.914 (\uparrow 1.44%)	0.986 (\uparrow 1.13%)
ALTER-pp	LSTM + Attention	LSTM + Attention	0.918 (\uparrow 2.00%)	0.954 (\uparrow 3.14%)	0.898 (\uparrow 12.25%)	0.918 (\uparrow 1.89%)	0.993 (\uparrow 1.85%)

Table 3. Environment Prediction Results (Ours v.s. Baseline 3)

Model	Data Learning	Label Learning	Acc	Pre	Rec	F1	Auc
Baseline 3	/	/	0.987	0.964	0.503	0.979	0.976
ALTER	LSTM	LSTM	0.989 (\uparrow 0.20%)	0.975 (\uparrow 1.14%)	0.511 (\uparrow 1.59%)	0.984 (\uparrow 0.51%)	0.985 (\uparrow 0.92%)
ALTER-p	LSTM + Attention	LSTM	0.997 (\uparrow 1.01%)	0.985 (\uparrow 2.18%)	0.534 (\uparrow 6.16%)	0.994 (\uparrow 1.53%)	0.993 (\uparrow 1.74%)
ALTER-pp	LSTM + Attention	LSTM + Attention	0.998 (\uparrow 1.11%)	0.988 (\uparrow 2.49%)	0.567 (\uparrow 12.72%)	0.997 (\uparrow 1.84%)	0.995 (\uparrow 1.95%)

Table 4. Event Prediction Results (Ours v.s. Baseline)

Model	Data Learning	Label Learning	Acc	Pre	Rec	F1	Auc
Baseline	/	/	0.718	0.521	0.828	0.704	0.945
ALTER	LSTM	LSTM	0.734	0.534	0.890	0.729	0.966
ALTER-p	LSTM + Attention	LSTM	0.778 (\uparrow 5.99%)	0.587 (\uparrow 9.93%)	0.896 (\uparrow 0.67%)	0.776 (\uparrow 6.45%)	0.970 (\uparrow 0.41%)
ALTER-pp	LSTM + Attention	LSTM + Attention	0.781 (\uparrow 6.40%)	0.675 (\uparrow 26.4%)	0.897 (\uparrow 0.79%)	0.779 (\uparrow 6.96%)	0.975 (\uparrow 0.93%)

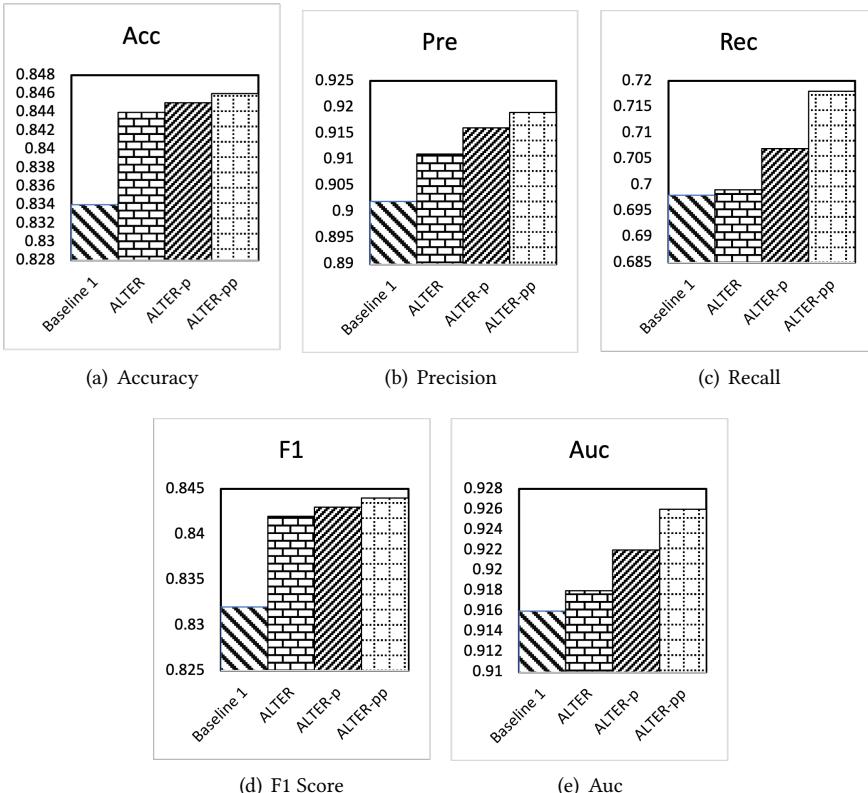


Fig. 12. Gender Prediction Results (Ours v.s. Baseline 1)

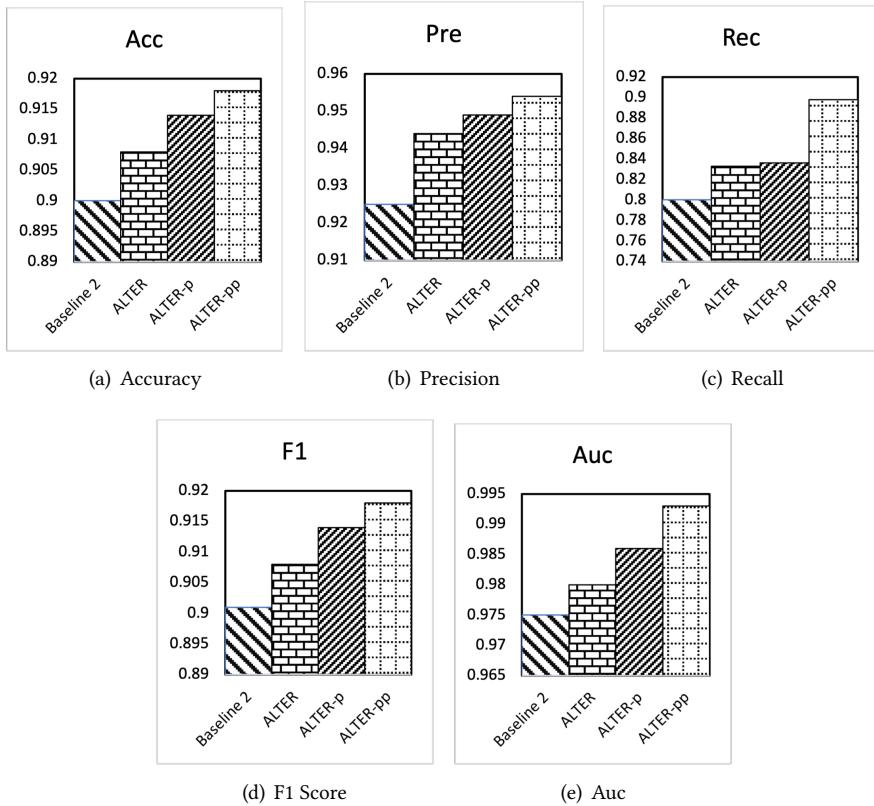


Fig. 13. Vocal Sound Prediction Results (Ours v.s. Baseline 2)

3.4 Model Comparison

We design ALTER model to infer an audio-based integral event by leveraging the temporal correlation in audio and the co-occurrence dependency among multiple element labels. However, in ATLER, the LTSM-based sequential data feature learning, which compresses the audio data into the output data features, may lead to data information loss when processing relatively longer audio. To reduce such information loss, ALTER-p is proposed by making full use of audio information and the importance of all data points, which is more helpful to analyze an audio with a relatively longer time period. Nonetheless, in the link-like multi-label inference of ALTER-p, we only consider a short-term co-occurrence dependency among labels, which may be limited in predicting a complicated event with relatively more elements. While, in order to effectively predict a sophisticated event with diverse elements, ALTER-pp is further presented by taking advantage of the long-term co-occurrence dependency among labels (*i.e.*, the graph-like multi-label inference).

4 EXPERIMENTS

In this section, we first introduce the experiment settings and then conduct comprehensive experiments to evaluate the effectiveness of our proposed ALTER, ALTER-p, and ALTER-pp models on a real-world dataset. Besides, more extensive experiments are done to compare our proposed models with the state-of-the-art.

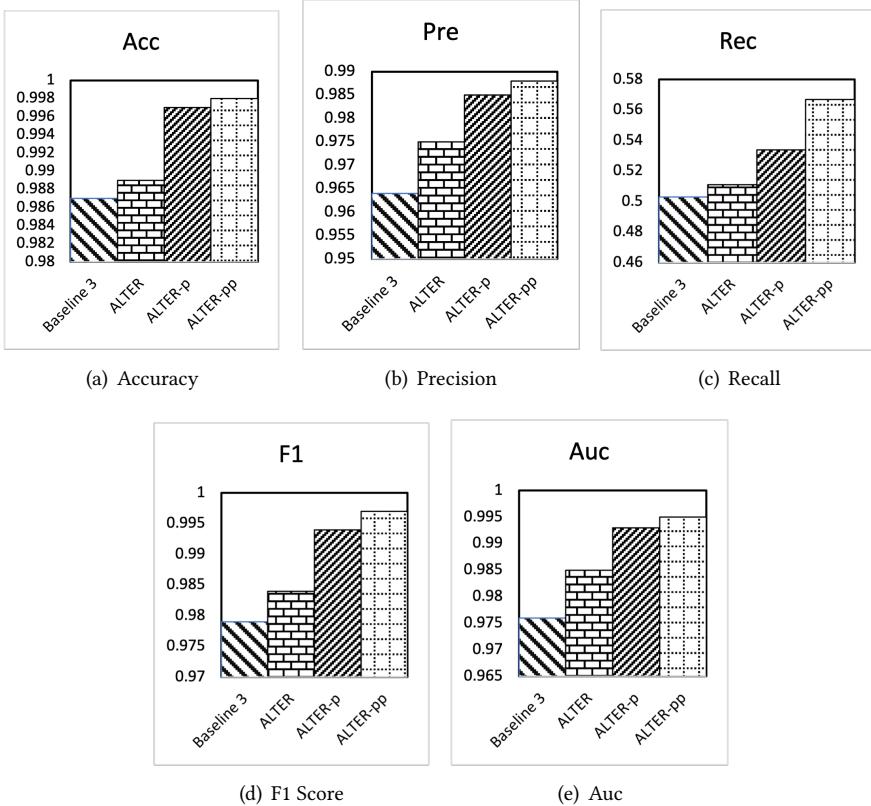


Fig. 14. Environment Prediction Results (Ours v.s. Baseline 3)

4.1 Experiment Settings

The datasets, baselines, performance metrics, network architectures, and parameter settings are described below.

4.1.1 Dataset. We adopt two public datasets, including **VocalSound** [17] and **TUT Acoustic Scenes 2016** [24]. VocalSound is a dataset consisting of males' and females' recordings of "laughter, sigh, cough, throat clearing, sneeze, and sniff". TUT Acoustic Scenes 2016 includes recordings from various acoustic environments, such as homes, offices, and residential areas. Since we aim to test the performance of our audio-based integral event inference models in the experiments, we synthesize these two datasets to obtain a polyphonic audio dataset, which contains human gender information, human vocal sound information, and environmental information. In this synthetic dataset, for instance, one polyphonic audio records an event that "a female has a laughter at home", and the corresponding labels of this audio record are "female", "laughter", and "home".

4.1.2 Baselines. Although no work has been proposed to predict an integral event based on audio so far, there are some related works to infer one element in an event. The one-element event inference can be treated as a special case in our models. Thus, we choose the following baselines to conduct comparison experiments so as to further illustrate the superiority of our models in this special case. (1) An EfficientNet-based model proposed in [17] is a state-of-the-art model for the

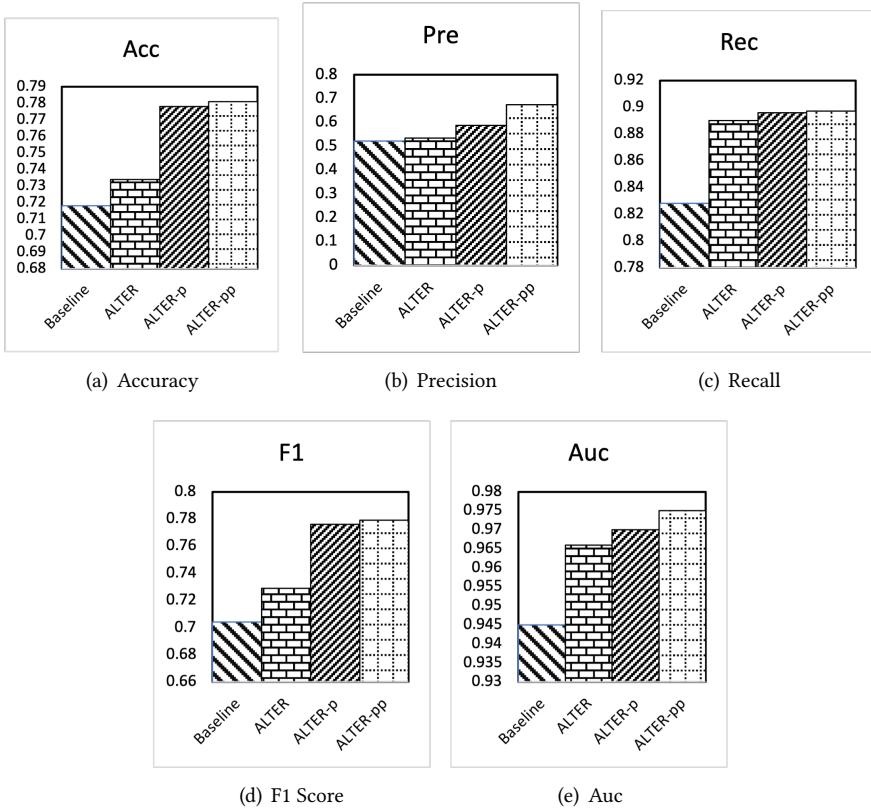


Fig. 15. Event Prediction Results (Ours v.s. Baseline)

gender prediction on VocalSound dataset. (2) In [17], another state-of-the-art EfficientNet-based approach is presented to make human vocal sound inference on VocalSound dataset. (3) A GMM-based model in [24] is the state-of-the-art to achieve environment recognition on TUT Acoustic Scenes 2016 dataset.

4.1.3 Performance Metrics. Since the audio-based integral event inference can be considered as a multi-label classification task, we use five typical metrics for classification tasks as the performance measurements, including accuracy (Acc), precision (Pre), recall (Rec), F1 score (F1), and area under the receiver operating characteristic curve (Auc). A higher value of Acc indicates a more precise prediction outcome, and the same principle applies to Pre, Rec, F1, and Auc.

4.1.4 Network Architectures. In ALTER model, we use two LSTM layers for sequential data feature learning and another two LSTM layers in the multi-label inference phase. For ALTER-p, we maintain the design of multi-label inference in ALTER and update the sequential data feature learning in ALTER by applying two LSTM layers and an attention layer concurrently. For ALTER-pp, we follow the sequential data feature learning architecture in ALTER-p while achieving multi-label inference via two LSTM layers plus an attention layer.

4.1.5 Parameter Settings. In data preprocessing, we window each audio sample into short frames every 10ms and use a filterbank with 128 filters to convert the audio vector into the MFCCs matrix.

687 We train the neural networks in our proposed ALTER, ALTER-p, and ALTER-pp models using an
 688 Adam optimizer for 80 epochs with an initial learning rate at $1e - 4$ and a batch size of 100.

689 690 4.2 Comparison between Ours and Baselines

691 In order to verify the effectiveness of ALTER, ALTER-p, and ALTER-pp models on the one-element
 692 event inference, we compare the performance of our proposed models with three state-of-the-art
 693 baselines. Firstly, we show the gender recognition results of our models and baseline 1 in Table 1
 694 and Fig. 12, where it can be seen that our proposed models' performance is comparable and even
 695 better than baseline 1. Secondly, the vocal sound prediction results of our models and the baseline
 696 2 are presented in Table 2 and Fig. 13. From these results, we can find out that the proposed models
 697 outperform baseline 2 with regard to human vocal sound inference. Thirdly, by comparing the
 698 results of Acc, Pre, Rec, F1, and Auc in Table 3 and Fig. 14, we can notice that our models are
 699 superior to baseline 3 in terms of environment prediction. To sum up, our models have superiority
 700 over the previous state-of-the-art approaches in terms of one specific element inference since the
 701 temporal correlation and the importance of different data points are leveraged in our proposed
 702 sequential data feature learning. The names of the baselines and their corresponding models are
 703 shown in Table 5.

704 705 Table 5. Baseline Models

Baseline 1	EfficientNet-based Model [17]
Baseline 2	EfficientNet-based Model [17]
Baseline 3	GMM-based Model [24]

711 712 4.3 Evaluation on Our Models

713 Since the problem of integral event inference has not been address by existing works, we combine
 714 baseline 1, baseline 2, and baseline 3 to obtain a event inference model, which is used as a baseline
 715 to investigate the effectiveness of our proposed models. To be specific, after training our models and
 716 the baseline model, we use the trained models to test the polyphonic audios in the testing dataset
 717 to predict the multiple element labels. Then, the predicted element labels and the corresponding
 718 ground-truth ones are used to calculate the event prediction performance to measure the event
 719 inference effectiveness, for which we present the values of Acc, Pre, Rec, F1, and Auc in Table 4 and
 720 Fig. 15. The results demonstrate that ALTER model outperforms the baseline in terms of integral
 721 event inference on polyphonic audio thanks to the incorporation of the temporal correlation
 722 in audio and the short-term co-occurrence dependency among multiple labels simultaneously.
 723 Besides, by comparing ALTER-p with ALTER, we can see that the values of all performance metrics
 724 are increased. Significantly, Acc and F1 are increased by about 6.00%, and Pre is increased by
 725 about 10.00%. The comparison indicates that ALTER-p can enhance the performance of the event
 726 prediction due to the full utilization of data information and the importance of all data points.
 727 In addition, compared with ALTER-p, ALTER-pp can obtain more improvements in the event
 728 prediction performance thanks to the consideration of the long-term co-occurrence dependency
 729 among labels.

730 731 5 DISCUSSION AND FUTURE WORK

732 In this section, we discuss two limitations of this work and present our future research directions.

733 (i) Although the experimental results have shown that ALTER-p can improve the performance
 734 of event prediction by considering the whole data information and the importance of all the data

points, the performance improvement is not too much since the audio samples in our synthetic dataset are short. Therefore, in the future, it is desirable for us to highlight the advantage of ALTER-p by collecting longer real-world audios via extensive experiments.

(ii) Similarly, due to the limitation of data source, we use our ALTER-pp model to predict the three-element event. As a result, the graph-like multi-label inference in ALTER-pp cannot bring too much performance improvement. We will conduct more comprehensive experiments after collecting polyphonic audios of human events with more diverse elements so as to better evaluate the benefit of considering the long-term co-occurrence dependency among labels.

6 CONCLUSION

This paper is the first work to investigate an audio-based integral event inference. Firstly, we propose an ALTER model to effectively achieve event inference by leveraging the temporal correlation in audio and the short-term co-occurrence dependency among multiple labels. Moreover, ALTER-p is designed by fully exploiting data information and the importance of all data points so as to enhance event prediction performance. Furthermore, ALTER-pp is proposed by further considering the long-term co-occurrence dependency among multiple labels for event inference performance improvement. Finally, via comprehensive real-data experiments, we demonstrate the effectiveness of our proposed models on the integral event inference and their advantages over the state-of-the-art methods.

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