

**Assignment 3 (Deadline: 6:00pm on 12 May 2023)***Prof Hongseok Yang*

Submit your solutions in KLMS. (Reminder: We adopt a very strict policy for handling dishonest behaviours. If a student is found to copy answers from fellow students or other sources in his or her homework submission, she or he will get F.)

1. Consider the following structures over a signature with a single binary relation symbol  $R$ :

$$U_{\mathcal{A}} = \mathbb{N} \text{ and } R_{\mathcal{A}} = \{(n, m) \in \mathbb{N} \times \mathbb{N} : n < m\}$$

$$U_{\mathcal{B}} = \mathbb{Z} \text{ and } R_{\mathcal{B}} = \{(n, m) \in \mathbb{Z} \times \mathbb{Z} : n < m\}$$

$$U_{\mathcal{C}} = \mathbb{Q} \text{ and } R_{\mathcal{C}} = \{(n, m) \in \mathbb{Q} \times \mathbb{Q} : n < m\}$$

Give a formula that is satisfied by  $\mathcal{B}$  but not by  $\mathcal{A}$ , and a formula that is satisfied by  $\mathcal{C}$  but not by  $\mathcal{B}$ . **(20 points)**

2. Translate the following formula to rectified form, then to prenex form, and finally to Skolem form:

$$\forall z \exists y (Q(x, g(y), z) \vee \neg \forall x P(x)) \wedge \neg \forall z \exists x \neg R(f(x, z), z).$$

**(20 points)**

3. Are the following claims correct? Justify your answers.

(a) For any formula  $F$  and term  $t$ , if  $F$  is valid then  $F[t/x]$  is valid. **(6 points)**

(b)  $\exists x (P(x) \rightarrow \forall y P(y))$  is valid. **(7 points)**

(c) For any formula  $F$  and constant symbol  $c$ , if  $F[c/x]$  is valid and  $c$  does not appear in  $F$  then  $\forall x F$  is valid. **(7 points)**

4. Fix a signature  $\sigma$ . Consider a relation  $\sim$  on  $\sigma$ -assignments that satisfies the following two properties:

(P1) If  $\mathcal{A} \sim \mathcal{B}$ , then for every atomic formula  $F$  we have  $\mathcal{A} \models F$  iff  $\mathcal{B} \models F$ .

(P2) If  $\mathcal{A} \sim \mathcal{B}$ , then for each variable  $x$  we have (i) for each  $a \in U_{\mathcal{A}}$  there exists  $b \in U_{\mathcal{B}}$  such that  $\mathcal{A}_{[x \mapsto a]} \sim \mathcal{B}_{[x \mapsto b]}$ , and (ii) for all  $b \in U_{\mathcal{B}}$  there exists  $a \in U_{\mathcal{A}}$  such that  $\mathcal{A}_{[x \mapsto a]} \sim \mathcal{B}_{[x \mapsto b]}$ .

Prove that if  $\mathcal{A} \sim \mathcal{B}$  then for any formula  $F$ ,  $\mathcal{A} \models F$  if and only if  $\mathcal{B} \models F$ . You may assume that  $F$  is built from atomic formulas using the connectives  $\wedge$  and  $\neg$  and the quantifier  $\exists$ . **(20 points)**

5. In this question we work with first-order logic without equality.

(a) Consider a signature  $\sigma$  containing only a binary relation symbol  $R$ . For each positive integer  $n$  show that there is a satisfiable  $\sigma$ -formula  $F_n$  such that every model  $\mathcal{A}$  of  $F_n$  has at least  $n$  elements. **(5 points)**

- (b) Consider a signature  $\sigma$  containing only unary predicate symbols  $P_1, \dots, P_k$ . Using Question 4, or otherwise, show that any satisfiable  $\sigma$ -formula has a model with at most  $2^k$  elements. **(15 points)**