

# Mathematical modelling of cell membranes

Isak Hammer\*

Supervisor: André Massing†

December 15, 2022

***Note.** This article is submitted as an examination in the course TK8115 Numerical Optimal Control for the autumn semester 2022 at the Department of Engineering Cybernetics, NTNU. So it is by no means peer-reviewed or validated.*

## Abstract

This article aims to show novel methods of mathematical modelling of biological cell membranes. We first presented general research on incorporating multi-physics into mathematical models. We then presented a mathematical and numerical shape optimization framework using a gradient flow method and a finite element method to solve cell membrane dynamics specifically for the elastic bending energy on evolving surfaces.

## 1 Introduction

Cell membranes are the foundation of the origin of life, but are also linked to the dynamics of virus infections and genetic mutations since it controls what substances can exit or enter the cell [1, 2]. In fact, a good understanding of the cell membrane is important for engineering proteins to manipulate various intracellular processes in living systems [3].

One of the primary components of the cell membranes is lipids which serve many different functions. The lipids form a bilayer with remarkable properties such as selective flexibility, fluidity, shape optimization and its ability to fuse or divide without creating any form of leakage [1]. Thus, physical processes like elastic bending forces, fluid dynamics, temperature and diffusion are essential to how a cell membrane will evolve [4, 5].

Generally, based on the principle of least action, will the dynamical system seek the minimal membrane energy configuration. For instance, let the membrane have an elastic bending energy functional, then will the cell membrane evolve towards an optimal shape for minimum mechanical energy. Another example is if there is a diffusion of a two-phase system on the

membrane such that the concentrations of the two phases seek their minimal potential chemical energy. We will now describe how we could approach some of these problems mathematically.

### 1.1 Elastic bending energy on evolving surfaces

Assuming that the system is a single-phase system, i.e., the lipids are uniformly distributed, can the elastic bending energy be modelled using the Canham-Helrich energy functional [6, 7, 4]. Let us denote  $b_b, b_k$  and  $H_0$  as parameters based on physical models, then can the energy functional be denoted as,

$$\mathcal{E}_{CH}(\Gamma(t)) = \int_{\Gamma} b_b (H - H_0)^2 + b_k K. \quad (1)$$

Here is  $H = \kappa_1 + \kappa_2$  denoted as the mean curvature and  $K = \kappa_1 \kappa_2$  as the gaussian curvature with respectively and  $\kappa_1$  and  $\kappa_2$  as principal curvatures.  $\Gamma(t) = \Gamma$  is here an evolving 2-dimensional and closed surface in  $\mathbb{R}^3$ , for more info see section 2. Using the Gauss-Bonnet theorem, can it be shown that the problem above is equivalent to the so-called Willmore energy functional [8, 9],

$$\mathcal{E}_W(\Gamma(t)) = \int_{\Gamma} \frac{1}{2} H^2. \quad (2)$$

This is a well-known problem in the mathematical community [10, 11, 12, 13]. In fact, it is a mathematical tool used to study the geometry of surfaces because it can be used to study the diffeomorphism from an initial surface to a minimal energy configuration, which are surfaces with the least possible area for a given boundary. This is important in many areas of mathematics, including differential geometry, topology, and mathematical physics [14, 15, 16].

It has been established many numerical methods for shape optimization problems [17, 18], evolving surface partial differential equations (PDE) [19, 20, 21, 22, 23, 24, 25] and specific algorithms for the Willmore energy problem (2) [26, 27, 28, 29, 30]. However, the field is still in active research since many methods is not rigorously shown to be consistent.

\*isakhammer@gmail.com

†Department of Mathematical Sciences, NTNU

## 1.2 Two-phase separation modelling on predefined evolving surfaces

It also turns out that the lipids often accumulate into so-called lipid rafts, which serve as a rigid platform for proteins with special properties such as intracellular trafficking of lipids and lipid-anchored proteins [31]. Modelling of lipid rafts formation can be modelled as a two-phase separation problem based on minimization of the Ginzburg-Landau energy functional [32],

$$\mathcal{E}_{GL}(c) = \int_{\Gamma(t)} \Psi(c) + \frac{\gamma}{2} |\nabla c|^2, \quad (3)$$

which describes the chemical energy for a concentration  $c : \Gamma(t) \times [0, T] \mapsto [0, 1]$ . Here is  $\Psi(c) : \mathbb{R} \mapsto \mathbb{R}$  denoted as a nonlinear scalar chemical potential function. Keep in mind that unlike the Willmore energy functional (2), where the  $\Gamma(t)$  is determined by the elastic properties, should the energy functional (3) be interpreted as a chemical diffusion problem a predefined evolving domain  $\Gamma(t)$ . Usually is this problem solved by deriving equivalent variants of partial differential equations (PDE), such as the Allen-Cahn equation (or the Cahn-Hilliard equation if the total concentration is globally conserved) on evolving domains. For further details, see [32, 33, 34, 35, 36].

## 1.3 Multiphysics problems on evolving surfaces

Ultimately, the cell membrane will consist of interactions of several physics (temperature, elasticity, chemical diffusion, internal fluid pressure etc.) [4]. Hence, being able to model several processes may give unforeseen results.

An interesting example is to couple the energy functionals (3) and (1) since the lipid-rafts formation is said to change the elasticity properties of the membrane, maybe a good model for how cell membranes evolve to specific shapes or execute cell division. One way to couple the energy functionals is to let the parameters  $b_b, b_k$  and  $H_0$  be some function of the time dependent concentration  $c$ , i.e.,

$$\begin{aligned} \mathcal{E}_{CHGL}(\Gamma(t), c(t)) = & \int_{\Gamma} b_b(c) (H - H_0(c))^2 \\ & + \int_{\Gamma} b_k(c) K \\ & + \int_{\Gamma} \Psi(c) + \frac{\gamma}{2} |\nabla c|^2, \end{aligned}$$

For more information, see [37].

Recently some authors also coupled diffusion processes and the so-called mean curvature energy, see [38, 39]. It is well known that lipids travel along the cell membrane in a fluidic manner, hence, it is also of interest to couple the Ginzburg-Landau energy functional (3) (or

more specifically, the Cahn-Hilliard equation) with the Navier-Stokes equation. Some methods have been proposed for solving the problem on surfaces and evolving surfaces, but it remains a field of active research [40]. As far as an author knows, coupling the Canham-Helrich energy functional (1), Ginzburg-Landau energy functional (3), and Navier-Stokes equation remains an open problem.

Some physical processes may require constant area and volume. This can be added by introducing respective area and volume functionals, see [41, Definition 2.5].

Until now have all the models assumed that the membrane has no difference in internal and external pressure. As a matter of fact, osmotic pressure can be introduced by adding an energy functional using the van't Hoff formula. Let  $V_p$  be the volume of a closed evolving surface  $\Gamma(t)$ , we can then model the difference of internal and external pressure as,

$$\Delta P(V_p) = P_{in} - P_{out} = iRT \left( \frac{n}{V_p} - \bar{c} \right),$$

where  $i, R, T, \bar{c}$  and  $n$  are the van't Hoff index, ideal gas constant, temperature, ambient molar concentration and molar amount of the enclosed solute. Then the energy functional has the form,

$$\mathcal{E}_p(\Gamma(t)) = \int_{\Gamma} \Delta P(V_p),$$

For more information, see [42].

## 1.4 Outline of this report

The long-term goal would be to solve the multiphysics problems above. However, many of the problems presented are fairly complicated to solve numerically and require sophisticated techniques. Hence, in this report, we focus on the latest research within the numerical optimizations methods of finding the minima of the energy functional (2).

First of all, we will establish notation by including a section for definitions and important results from differential geometry and shape derivatives. We will then derive the underlying dynamical system of evolutionary system dynamics using the gradient flow technique inspired by shape optimization methods based on the work done in [43]. Lastly, we will establish the numerical methods of the system dynamics by applying recent methods using an evolutionary surface finite element method (FEM) [29, 30].

# 2 Background Theory

## 2.1 Calculus on surfaces

This subsection is inspired by the notation used in [29, 43]. Let  $\Gamma^0 \subset \mathbb{R}^3$  be an initial 2-dimensional, smooth, compact, and oriented

surface with no boundary at  $t = 0$  s.t. we can assign any unique points  $p \in \Gamma^0$ . We define the time evolutionary surface to be on the form [29, Section 2.1],

$$\begin{aligned}\Gamma &= \Gamma(t) = \Gamma(X(\cdot, t)) \\ &= \{X(p, t) : p \in \Gamma^0\}\end{aligned}$$

transformed via the smooth mapping,

$$X : \Gamma^0 \times [0, T] \mapsto \mathbb{R}^3.$$

An important regularity result is that if  $\Gamma^0$  is of class  $C^\infty$ , then  $\Gamma$  is also of class  $C^\infty$  for  $\forall t \in [0, T]$  [17, 43].

We will define a unique evolutionary point  $x \in \Gamma(t)$  based on the smooth mapping  $X(p, t) = x$ . A way to imagine this is to have an initial point in  $\Gamma^0$  and the mapping  $X$  describes how this point will deform over time. The outer unit normal vector field of  $\Gamma(t)$  is defined as the mapping  $\nu : \Gamma \mapsto \mathbb{R}^3$ .

Using the notation presented in [43] and [29] can we define the basic surface differential operators. Consider a scalar function,  $u : \Gamma \mapsto \mathbb{R}$ , and a vector-valued function,  $\hat{u} : \Gamma \mapsto \mathbb{R}^3$ . We can then denote  $\nabla_\Gamma u : \Gamma \mapsto \mathbb{R}^3$  as the projection gradient operator,

$$\nabla_\Gamma u = \nabla u - \langle \nu, \nabla u \rangle \nu.$$

Similarly, for the vector-valued function is the operator defined s.t.

$$\nabla_\Gamma \hat{u} = (\nabla_\Gamma u_1, \nabla_\Gamma u_2, \nabla_\Gamma u_3)^T.$$

The surface divergence for a vector-valued function is defined as

$$\nabla_\Gamma \cdot \hat{u} = \nabla \cdot \hat{u} - \nu^T D\hat{u} \cdot \nu$$

Here  $D\hat{u}$  denotes the Jacobian of  $\hat{u}$ . Similarly, the Laplace-Beltrami operator  $\Delta_\Gamma u : \Gamma \mapsto \mathbb{R}$  is proven to have the form [44, Lemma 1],

$$\begin{aligned}\Delta_\Gamma u &= \nabla_\Gamma \cdot \nabla_\Gamma u \\ &= \Delta u - \nu^T D^2 u \cdot \nu - H \partial_\nu u.\end{aligned}$$

Here is  $D^2 u$  denotes the Hessian of the scalar function  $u$ . In the case of a vector-valued function is the operator defined as

$$\Delta_\Gamma \hat{u} = (\Delta_\Gamma u_1, \Delta_\Gamma u_2, \Delta_\Gamma u_3)^T$$

A method to compute the mean curvature and the so-called Frobenius norm of the matrix  $A$  involves applying the extended Weingarten map,  $A(x) = \nabla_\Gamma \nu(x)$ , s.t. these identities holds ,

$$\begin{aligned}H &= \text{tr}(A) = k_1 + k_2, \\ |A|^2 &= k_1^2 + k_2^2,\end{aligned}$$

see [29]. We may also want to use these definitions to introduce the following identities,

$$\begin{aligned}\partial_\nu H &= -|A|^2, \\ \nabla_\Gamma H &= \Delta_\Gamma \nu + |A|^2 \nu.\end{aligned}$$

Again, see Lemma 3.3 and Lemma 3.2 in [43].

## 2.2 Evolutionary Surface Dynamics

In this section will we develop a framework for evolutionary surface dynamics.

First of all, we can denote the velocity  $v : \Gamma \mapsto \mathbb{R}^3$  to be

$$\frac{dx}{dt} = v(x) \quad \forall x \in \Gamma(t). \quad (4)$$

Given a model of the velocity  $v$  makes it possible to solve the ordinary differential equation (ODE) (4) and determine the evolution of a point on the surface  $\Gamma(t)$ . In this article will we assume that the velocity only has a normal component to the surface, i.e., it exists a scalar function  $V : \Gamma \mapsto \mathbb{R}$  s.t.  $v = V\nu$ .

Recall that the point  $x = X(p, t)$  is arising from the smooth mapping from the point  $p$  in  $\Gamma^0$  to  $\Gamma(t)$ . Now, let some arbitrary energy functional have the form,

$$\mathcal{J}(\Gamma(t)) = \int_{\Gamma(t)} \varphi(x).$$

For instance, in the case presented in (2) we define  $\varphi = H^2$ .

Based on [43], the shape derivative of this energy functional at some time  $t$  in the direction of the velocity  $v(x)$  from (4) is defined as

$$d\mathcal{J}(\Gamma(t); v) = \lim_{\varepsilon \rightarrow 0} \frac{\mathcal{J}(\Gamma(t + \varepsilon)) - \mathcal{J}(\Gamma(t))}{\varepsilon}.$$

For a more detailed description of the shape derivative, see [17, Definition 2.19].

Assume we have a scalar function  $f : \Gamma(t) \mapsto \mathbb{R}$ . Similarly, as for the shape derivative, we can now denote the material derivative at time  $t$  in the direction of the velocity  $v(x)$  as

$$\begin{aligned}\frac{D}{Dt} f(x, t; v) &= \frac{d}{dt} f(X(p, t), t) \\ &= \lim_{\varepsilon \rightarrow 0} \frac{f(X(p, t + \varepsilon), t + \varepsilon) - f(X(p, t), t)}{\varepsilon} \\ &= \frac{\partial f}{\partial t} + v \cdot \nabla f.\end{aligned}$$

See [17, Definition 2.74] or more specifically [19, Equation 5.1] for more information.

We denote the  $L^2(\Gamma)$  as the space of all functions that are square-integrable with respect to the surface measure, i.e.,

$$L^2(\Gamma) = \left\{ u : \Gamma \mapsto \mathbb{R} \mid \int_\Gamma |u|^2 < \infty \right\}$$

Let  $u, v \in L^2(\Gamma)$ , then can we define the norm and the inner-product

$$\begin{aligned}\|u\|_{L^2(\Gamma)}^2 &= \int_\Gamma |u|^2 \\ (u, v)_{L^2(\Gamma)} &= \int_\Gamma uv\end{aligned}$$

In this paper will we also the shorthand notation  $\|u\|_{L^2(\Gamma)} = \|u\|_\Gamma$  and  $(u, v)_{L^2(\Gamma)} = (u, v)_\Gamma$ .

The Sobolev space  $H^1(\Gamma)$  is defined as the space of all functions and its first weak derivative with a finite  $L^2$ -norm, i.e.,

$$H^1(\Gamma) = \left\{ f : \Gamma \mapsto \mathbb{R} \mid \int_{\Gamma} |f|^2 + |\nabla_{\Gamma} f|^2 < \infty \right\},$$

with the following norm and inner product  $u, v \in H^1(\Gamma)$ ,

$$\begin{aligned} \|u\|_{H^1(\Gamma)} &= \|u\|_{\Gamma} + \|\nabla_{\Gamma} u\|_{\Gamma}, \\ (u, v)_{H^1(\Gamma)} &= (u, v)_{\Gamma} + (\nabla_{\Gamma} u, \nabla_{\Gamma} v)_{\Gamma}. \end{aligned}$$

If we have a vector-valued function that  $u : \Gamma \mapsto \mathbb{R}^3$  where each element is in  $H^1(\Gamma)$  or  $L^2(\Gamma)$ , then do we denote it as a member of respectively  $[H^1(\Gamma)]^3$  or  $[L^2(\Gamma)]^3$ .

The method we will use in this paper to minimize the energy functional (2) is to compute the so-called gradient flow. The fundamental idea of the gradient flow is to give rise to evolutionary dynamics to decrease the overall energy functional both in space and time, i.e.,  $\mathcal{J}(\Gamma(t_2)) < \mathcal{J}(\Gamma(t_1))$  for all  $t_2 > t_1$ . For more information about gradient flows, see [45, 46]. Now assume we have the velocity defined in (4) to be  $v \in [L^2(\Gamma)]^3$ , then we define the  $L^2$  gradient flow s.t.

$$(v, \varphi)_{\Gamma} = -d\mathcal{J}(\Gamma; \varphi) \quad \forall \varphi \in [L^2(\Gamma)]^3.$$

It turns out that if  $v \neq 0$ , then is this equivalent to

$$d\mathcal{J}(\Gamma; v) = -\|v\|_{L^2(\Gamma)}^2 < 0, \quad (5)$$

see [18]. Hence, we finally have a toolbox which can be used to model evolutionary dynamics for moving surfaces.

### 3 Evolutionary dynamics of the Willmore flow

The goal is to derive the evolutionary dynamics of the Willmore energy (2).

Recall that we define the velocity (4) to only have a normal component, i.e.,  $v(x) = V\nu$ . The shape derivative for (2) in the direction of some velocity  $v \in [H^1(\Gamma)]^3$  has the form

$$d\mathcal{E}_W(\Gamma; v) = \int_{\Gamma} \left( -\Delta_{\Gamma} H + \frac{1}{2} H^3 - H |A|^2 \right) V$$

A complete derivation of the shape derivative can be found in [43, Corollary 4.7]. Consequently, by applying the gradient flow in (5) and using that  $\|v\|_{\Gamma} = \|V\|_{\Gamma}^2$ , will we end up with

$$\|V\|_{\Gamma}^2 = \int_{\Gamma} V^2 = -d\mathcal{E}(\Gamma; v).$$

Hence, the gradient flow is equivalent to

$$V = \Delta_{\Gamma} H + Q, \quad (6)$$

where we denote the nonlinear term as  $Q = -\frac{1}{2} H^3 + H |A|^2$ .

From [29, Lemma 2.1] it derived that (6) must satisfy the following material derivatives,

$$\begin{aligned} \frac{D}{Dt} H &= -(\Delta_{\Gamma} + |A|^2) V, \\ \frac{D}{Dt} \nu &= (-\Delta_{\Gamma} + (HA - A^2)) z \\ &\quad + |\nabla_{\Gamma} H|^2 \nu - 2(\nabla_{\Gamma} \cdot (A \nabla_{\Gamma} H)) \nu \\ &\quad - A^2 \nabla_{\Gamma} H - \nabla_{\Gamma} Q. \end{aligned}$$

Here is the substitution variable introduced s.t.  $z = \Delta_{\Gamma} \nu + |A|^2 \nu$ .

It exists methods which do not exploit the material derivatives [28, 47]. However, it turns out that including these material derivatives brings additional computational costs, but provides so-called full-order approximation to the mean curvature,  $H$ , and the normal vector,  $\nu$ , and, thus, allows us to construct rigorous convergence proofs for evolving surface FEM, see [29, 21].

Recent work has proposed that the current method may not conserve the mesh quality while the surface is restricted to evolving along the normal velocity. Thus, a new variation of the standard methods has been considered by introducing a tangential velocity component via the equation  $H = -v \cdot \nu$ . Hence, also allows the mesh to be less deformed, see more at [30]. However, in this article will we not consider it.

Finally, we end up with the following strong form of the second-order evolutionary system of PDE.

$$\frac{d}{dt} H = -\Delta_{\Gamma} V - |A|^2 V, \quad (7a)$$

$$V = \Delta_{\Gamma} H + Q, \quad (7b)$$

$$\begin{aligned} \frac{d}{dt} \nu &= (-\Delta_{\Gamma} + (HA - A^2)) z \\ &\quad + |\nabla_{\Gamma} H|^2 \nu \\ &\quad - 2(\nabla_{\Gamma} \cdot (A \nabla_{\Gamma} H)) \nu \\ &\quad - A^2 \nabla_{\Gamma} H - \nabla_{\Gamma} Q, \end{aligned} \quad (7c)$$

$$z = \Delta_{\Gamma} \nu + |A|^2 \nu, \quad (7d)$$

$$\frac{d}{dt} x = v. \quad (7e)$$

where the terms are,

$$v = V\nu,$$

$$Q = -\frac{1}{2} H^3 + H |A|^2,$$

$$A = \nabla_{\Gamma} \nu$$

Recall that the material derivative operator  $\frac{D}{Dt}$  is simply equivalent to the time derivative  $\frac{d}{dt}$ , thus, it is now possible to apply normal techniques for time discretization.

It turns out from basic numerical theory that rewriting an equivalent weak formulation (integral form) of the system dynamics (7) makes

the problem suitable for numerical approximations since it involves complex shape dynamics. Hence, we will now introduce the weak formulation of the system dynamics.

Let us denote the following trial functions,

$$(H \times V \times \nu \times z) \in \left( H^1(\Gamma), H^1(\Gamma), [H^1(\Gamma)]^3, [H^1(\Gamma)]^3 \right), \quad (8)$$

and similarly the test functions,

$$(\chi^H \times \chi^V \times \chi^\nu \times \chi^z) \in \left( H^1(\Gamma), H^1(\Gamma), [H^1(\Gamma)]^3, [H^1(\Gamma)]^3 \right). \quad (9)$$

It has been proven that if you can find any trial functions (8) that does satisfy the following weak formulation (10) for all test functions (9), then the solution is equivalent to solving the dynamics presented in (7). See [29] for more information.

$$\left( \frac{d}{dt} H, \chi^H \right)_\Gamma = (\nabla_\Gamma V, \nabla_\Gamma \chi^H)_\Gamma - (|A|^2 V, \chi^H)_\Gamma, \quad (10a)$$

$$(V, \chi^V)_\Gamma = -(\nabla_\Gamma H, \nabla_\Gamma \chi^V)_\Gamma + (Q, \chi^V)_\Gamma, \quad (10b)$$

$$\begin{aligned} \left( \frac{d}{dt} \nu, \chi^\nu \right)_\Gamma &= (\nabla_\Gamma z, \nabla_\Gamma \chi^\nu)_\Gamma \\ &+ ((HA - A^2)z, \chi^\nu)_\Gamma \\ &+ (|\nabla_\Gamma H|^2 \nu, \chi^\nu)_\Gamma \\ &+ (A^2 \nabla_\Gamma H, \chi^\nu)_\Gamma \\ &+ 2(A \nabla_\Gamma H, \nabla_\Gamma \chi^\nu \nu)_\Gamma \\ &+ (Q, \nabla_\Gamma \cdot \chi^\nu)_\Gamma \\ &- (QH\nu, \chi^\nu)_\Gamma, \end{aligned} \quad (10c)$$

$$(z, \chi^z)_\Gamma = -(\nabla_\Gamma \nu, \nabla_\Gamma \chi^z)_\Gamma + (|A|^2 \nu, \chi^z)_\Gamma \quad (10d)$$

$$\frac{d}{dt} x = v. \quad (10e)$$

Note that the time-dependent variables  $\nu, H$  and  $x$  are initialized at  $t = 0$  based on  $\Gamma^0$ . It is also essential to take into account that this is a highly nonlinear PDE, hence, proving uniqueness is a difficult task. However, it has been proved that the Willmore flow has a unique solution if the initial surface  $\Gamma^0$  is close to a sphere [48]. It has also been discussed that under some shapes, does the Willmore functional, in fact, develop singularities [49]. Furthermore, no literature has shown the uniqueness of the Willmore flow coupled with the material derivatives, i.e., dynamics presented in (7).

## 4 Numerical methods

To be able to find a numerical approximation of the weak formulation (10) will we formulate

an evolving surface element method (FEM) for the evolutionary equation PDEs coupled with the evolving surface velocity. We will mostly use the notation and methods described in [29, 30].

### 4.1 Triangular mesh for $\Gamma^0$

Let initial surface  $\Gamma^0 \in \mathbb{R}^3$  have the triangular mesh  $\mathcal{T}_h$  consisting of triangles  $T$ . We will assume that the mesh is conform, i.e., for any  $T_1, T_2 \in \mathcal{T}_h$ ,  $T_1 \neq T_2$  and  $T_1 \cap T_2 \neq \emptyset$ , then must  $T_1$  and  $T_2$  share either a vertex or a facet. Let diameter of each triangle  $h_T$  be denoted as,

$$h_T = \max_{x_1, x_2 \in T} \text{dist}(x_1, x_2),$$

$$h_{\min} = \min_{T \in \mathcal{T}_h} h_T,$$

$$h_{\max} = \max_{T \in \mathcal{T}_h} h_T,$$

where  $h_{\min}$  and  $h_{\max}$  is the maximum and minimum diameter of an individual triangle  $T$  in  $\mathcal{T}_h$ . We define the chunkiness parameter  $c_T = h_T/r_T$ , where  $r_T$  is the largest ball inside inscribed in  $T$ . We will then assume that the mesh is shape regular and quasi-uniform, i.e.,  $c_T \leq c$  and  $h_{\max} \leq c h_{\min}$  for a constant  $c$  independent of  $h_T$  and  $T$ . See [19, Section 4.1] for more information.

### 4.2 Finite surface element

In this section is, the goal to develop discrete analogues of the definitions we introduced in subsections 2.1 and 2.2.

Let  $\mathcal{T}_h$  be the triangulation of  $\Gamma^0$ . Now denote the vector  $\mathbf{x} = \mathbf{x}(t) \in \mathbb{R}^{3N}$  as a collection of all evolving nodes,  $x_j(t) \in \mathbb{R}^3$ ,  $j \in \mathcal{I}$ , by a piecewise polynomial interpolation with degree  $k$ . Here is the index of all the nodes defined as  $\mathcal{I} = \{1, \dots, N\}$ , where  $N$  is the total number of nodes.

We denote the discretized surface,  $\Gamma_h[\mathbf{x}(t)]$ , to be the numerical approximation of the surface  $\Gamma(t)$ . As an initial condition do we construct the initial nodes,  $x_j(0) = p_j \forall j \in \mathcal{I}$ , s.t.  $\Gamma_h^0 = \Gamma_h[\mathbf{x}(0)]$  interpolates the initial surface,  $\Gamma^0$  in the nodal points  $p_j \in \Gamma^0$ ,  $j \in \mathcal{I}$ .

An essential piece of the puzzle is the finite element basis on  $\Gamma_h[\mathbf{x}]$ , which is denoted to have the form,

$$\phi_j[\mathbf{x}] : \Gamma_h[\mathbf{x}] \mapsto \mathbb{R}, \quad j \in \mathcal{I}.$$

In fact, the basis satisfies the identity,

$$\phi_j[\mathbf{x}](x_i) = \delta_{ij} \quad \forall i, j \in \mathcal{I}.$$

Finally, we can now define the so-called evolving finite element space of  $\Gamma_h[\mathbf{x}(t)]$  as,

$$\begin{aligned} S_h[\mathbf{x}] &= S_h(\Gamma_h[\mathbf{x}]) \\ &= \text{span} \left\{ \sum_{j \in \mathcal{I}} c_j \phi_j[\mathbf{x}] \mid c_j \in \mathbb{R} \right\}. \end{aligned}$$



More precisely, can we denote a  $C^0$  finite element space of  $k$ -th order as,

$$S_{h,k}[\mathbf{x}] = \{\chi_h \in C^0(\Gamma_h[\mathbf{x}]), \chi_h \in \mathcal{P}^k(T) \forall T \in \mathcal{T}_h\},$$

where  $\mathcal{P}^k$  is the set of all polynomials in three dimensions with order less or equal to  $k$ . We will use the notation  $S_h[\mathbf{x}] = S_{h,k}[\mathbf{x}]$  unless the order of the element is specified.

The discretized surface mapping,  $X_h : \Gamma_h^0 \mapsto \Gamma_h[\mathbf{x}(t)]$ , is denoted as

$$X_h(p_h, t) = \sum_{j \in \mathcal{I}} x_j(t) \phi[x(0)](p_h), \quad p_h \in \Gamma_h^0,$$

and the problem obviously does satisfy the identities;  $X_h(p_h, 0) = p_h \forall p_h \in \Gamma_h$ , the evolutionary property  $X_h(p_j, t) = x_j(t) \forall j \in \mathcal{I}$ , and

$$\begin{aligned} \Gamma_h &= \Gamma_h(t) = \Gamma_h[\mathbf{x}(t)] \\ &= \Gamma_h[X_h(\cdot, t)] \\ &= \{X_h(p_h, t) : p_h \in \Gamma_h^0\}. \end{aligned}$$

For shorthand notation, is the discretized point defined as  $x_h = X_h(p_h, t) \in \Gamma_h$ . Thus discretized velocity  $v_h(x_h, t) : \Gamma_h \mapsto \mathbb{R}^3$  is defined as

$$v_h(x_h, t) = \sum_{j \in \mathcal{I}} v_j(t) \phi_j[\mathbf{x}(t)](x_h)$$

Hence, by introducing  $\mathbf{v} = \mathbf{v}(t)$  as a collection of the velocity nodes,  $v_j \in \mathbb{R}^3$ , can we define the evolutionary dynamics of the nodal points  $\mathbf{x}$  s.t. this holds,

$$\frac{d}{dt} \mathbf{x}(t) = \mathbf{v}(t).$$

Ultimately, the discrete material derivative of a function  $f_h : \Gamma_h \mapsto \mathbb{R}$  is simply defined in the direction in the velocity  $v_h$  as

$$\begin{aligned} \frac{D}{Dt} f_h(x_h, t; v_h) &= \frac{d}{dt} f_h(x_h, t; v_h) \\ &= \sum_{j \in \mathcal{I}} \frac{df_j(t)}{dt} \phi_j[\mathbf{x}](x_h) \end{aligned}$$

where  $f_j$  is the nodal variables.

### 4.3 Evolving surface finite element method

We will now construct the final numerical scheme, finally arriving at the surface finite element method. Let us denote the following trial functions,

$$(H_h \times V_h \times \nu_h \times z_h) \in (S_h[\mathbf{x}], S_h[\mathbf{x}], S_h[\mathbf{x}]^3, S_h[\mathbf{x}]^3), \quad (11)$$

and similarly the test functions,

$$(\chi_h^H \times \chi_h^V \times \chi_h^\nu \times \chi_h^z) \in (S_h[\mathbf{x}], S_h[\mathbf{x}], S_h[\mathbf{x}]^3, S_h[\mathbf{x}]^3), \quad (12)$$

We will define the discrete helping functions as,

$$\begin{aligned} v_h &= V_h \nu_h, \\ Q_h &= -\frac{1}{2} H_h^3 + H_h |A_h|^2, \\ A_h &= \frac{1}{2} (\nabla_{\Gamma_h} \nu_h + (\nabla_{\Gamma_h} \nu_h)^T) \end{aligned}$$

For the error analysis, is a good idea to define the discrete velocity as,  $v_h = \tilde{I}_h(V_h \nu_h)$ , where the interpolation operator is defined s.t.  $\tilde{I}_h : C(\Gamma_h[\mathbf{x}]) \mapsto S_h[\mathbf{x}]$ . However, we will not use the interpolation estimate since it is out of the scope of this article. For more information see [29, Remark 3.1].

The evolving surface FEM for (7) is to find trial functions (11) and  $x_h \in [S(\mathbf{x})]^3$  s.t. it satisfies the following discrete weak formulation (10) for all test functions (9). Again, see [29] for more information.

$$\left( \frac{d}{dt} H_h, \chi_h^H \right)_{\Gamma_h} = (\nabla_{\Gamma_h} V_h, \nabla_{\Gamma_h} \chi_h^H)_{\Gamma_h} - (|A_h|^2 V_h, \chi_h^H)_{\Gamma_h}, \quad (13a)$$

$$(V_h, \chi_h^V)_{\Gamma} = -(\nabla_{\Gamma_h} H_h, \nabla_{\Gamma_h} \chi_h^V) + (Q_h, \chi_h^V)_{\Gamma_h}, \quad (13b)$$

$$\begin{aligned} \left( \frac{d}{dt} \nu_h, \chi_h^\nu \right)_{\Gamma_h} &= (\nabla_{\Gamma_h} z_h, \nabla_{\Gamma_h} \chi_h^\nu)_{\Gamma_h} \\ &+ ((H_h A_h - A_h^2) z_h, \chi_h^\nu)_{\Gamma_h} \\ &+ (|\nabla_{\Gamma_h} H_h|^2 \nu_h, \chi_h^\nu)_{\Gamma_h} \\ &+ (A_h^2 \nabla_{\Gamma_h} H_h, \chi_h^\nu)_{\Gamma_h} \\ &+ 2(A_h \nabla_{\Gamma_h} H_h, \nabla_{\Gamma_h} \chi_h^\nu \nu_h)_{\Gamma_h} \\ &+ (Q_h, \nabla_{\Gamma_h} \cdot \chi_h^\nu)_{\Gamma_h} \\ &- (Q_h H_h \nu_h, \chi_h^\nu)_{\Gamma_h}, \quad (13c) \end{aligned}$$

$$(z_h, \chi_h^z)_{\Gamma_h} = -(\nabla_{\Gamma_h} \nu_h, \nabla_{\Gamma_h} \chi_h^z)_{\Gamma_h} + (|A_h|^2 \nu_h, \chi_h^z)_{\Gamma_h} \quad (13d)$$

$$\frac{d}{dt} x_h = v_h. \quad (13e)$$

Note that the time-dependent variables  $\nu_h, H_h$  and  $x_h$  is initialized at  $t = 0$  based on  $\Gamma^0$ .

## 5 Further work

The final scheme described in (13) (referred from [29]) is not completely solvable. First of all, the formulation is highly nonlinear and very coupled, implying that it is necessary to implement nonlinear PDE-solver methods such as the Newton's method. Secondly, it remains to

find a proper method for time discretization. However, this should be reasonably straightforward by applying the implicit Euler scheme or more sophisticated standard methods such as the backward differential formula or Runge-Kutta methods.

If the numerical schemes above are provided, then the formulation should be fairly possible to implement the problem using the modern finite element software framework NGSolve [50]. In fact, recently it was shown that a modified formulation of (13) could be solved using the same software library [30].

## 6 Conclusion

We have presented several interesting multiphysics processes to consider when modelling cell membrane dynamics. First, we introduced an elastic bending energy on evolving surfaces using the Canham-Helrich energy functional (1) and the equivalent Willmore energy functional. Secondly, we established that the diffusion of a two-phase system can be modelled using the Ginzburg-Landau energy functional (3) and discussed how we could combine the energy functional models to do multiphysics problems.

To demonstrate the techniques used to solve physical problems on evolving domains, we chose the Willmore energy functional (2). The background theory of calculus on surfaces and evolutionary dynamics was briefly discussed and then applied to define the strong and weak (or integral) formulation of the system dynamics. We then established a basic numerical framework and introduced the evolution surface finite element method.

## References

- [1] David L Nelson, Albert L Lehninger, and Michael M Cox. “Lehninger principles of biochemistry”. In: (2008).
- [2] James H Hurley et al. “Membrane budding”. In: *Cell* 143.6 (2010), pp. 875–887.
- [3] Mauricio Rojas et al. “Genetic engineering of proteins with cell membrane permeability”. In: *Nature biotechnology* 16.4 (1998), pp. 370–375.
- [4] Udo Seifert. “Configurations of fluid membranes and vesicles”. In: *Advances in physics* 46.1 (1997), pp. 13–137.
- [5] Saul L Neidلمان. “Effects of temperature on lipid unsaturation”. In: *Biotechnology and Genetic Engineering Reviews* 5.1 (1987), pp. 245–268.
- [6] Wolfgang Helfrich. “Elastic properties of lipid bilayers: theory and possible experiments”. In: *Zeitschrift für Naturforschung c* 28.11-12 (1973), pp. 693–703.
- [7] Xiaoqiang Wang and Qiang Du. “Modelling and simulations of multi-component lipid membranes and open membranes via diffuse interface approaches”. In: *Journal of mathematical biology* 56.3 (2008), pp. 347–371.
- [8] S. Montiel, A. Ros, and D.G. Babbitt. *Curves and Surfaces*. 2009. Chap. 8.5.
- [9] Thomas Willmore. *Riemannian geometry*. 1996. Chap. 7.2, p. 270.
- [10] Peter Topping. “Towards the Willmore conjecture”. In: (2000).
- [11] Fernando C Marques and Andre Neves. “The willmore conjecture”. In: (2014).
- [12] Florian Link. “Gradient flow for the Willmore functional in Riemannian manifolds of bounded geometry”. In: *arXiv preprint arXiv:1308.6055* (2013).
- [13] Ernst Kuwert and Reiner Schätzle. “The Willmore functional”. In: *Topics in modern regularity theory*. Springer, 2012, pp. 1–115.
- [14] Thomas Koerber. “The area preserving Willmore flow and local maximizers of the Hawking mass in asymptotically Schwarzschild manifolds”. In: (2021).
- [15] Ruben Jakob. “Singularities and full convergence of the Mobius-invariant Willmore flow in the 3-sphere”. In: (2022).
- [16] Fabian Rupp. “The volume-preserving Willmore flow”. In: *arXiv preprint arXiv:2012.03553* (2020).
- [17] Jan Sokolowski and Jean-Paul Zolésio. *Introduction to shape optimization*. 1992, pp. 47–48.
- [18] Kazufumi Ito, Karl Kunisch, and Gunther H Peichl. “Variational approach to shape derivatives”. In: *ESAIM: Control, Optimisation and Calculus of Variations* 14.3 (2008), pp. 517–539.
- [19] Gerhard Dziuk and Charles M Elliott. “Finite element methods for surface PDEs”. In: *Acta Numerica* 22 (2013), pp. 289–396.
- [20] Gerhard Dziuk and Charles M Elliott. “Finite elements on evolving surfaces”. In: *IMA journal of numerical analysis* 27.2 (2007), pp. 262–292.
- [21] Tim Binz and Balázs Kovács. “A convergent finite element algorithm for generalized mean curvature flows of closed surfaces”. In: (2022).
- [22] John W Barrett, Harald Garcke, and Robert Nürnberg. “A parametric finite element method for fourth order geometric evolution equations”. In: *Journal of Computational Physics* 222.1 (2007), pp. 441–467.

- [23] John W Barrett, Harald Garcke, and Robert Nürnberg. “On the variational approximation of combined second and fourth order geometric evolution equations”. In: *SIAM Journal on Scientific Computing* 29.3 (2007), pp. 1006–1041.
- [24] Balázs Kovács, Buyang Li, and Christian Lubich. “A convergent evolving finite element algorithm for mean curvature flow of closed surfaces”. In: *Numerische Mathematik* 143.4 (2019), pp. 797–853.
- [25] Christoph Lehrenfeld, Maxim A Olshanskii, and Xianmin Xu. “A stabilized trace finite element method for partial differential equations on evolving surfaces”. In: *SIAM Journal on Numerical Analysis* 56.3 (2018), pp. 1643–1672.
- [26] Francesco Palmurella and Tristan Rivière. “The parametric approach to the Willmore flow”. In: *Advances in Mathematics* 400 (2022), p. 108257.
- [27] Gerhard Dziuk. “Computational parametric Willmore flow”. In: *Numerische Mathematik* 111.1 (2008), pp. 55–80.
- [28] Andrea Bonito, Ricardo H Nochetto, and M Sebastian Pauletti. “Parametric FEM for geometric biomembranes”. In: (2010).
- [29] Balázs Kovács, Buyang Li, and Christian Lubich. “A convergent evolving finite element algorithm for Willmore flow of closed surfaces”. In: (2021).
- [30] Jiashun Hu and Buyang Li. “Evolving finite element methods with an artificial tangential velocity for mean curvature flow and Willmore flow”. In: (2022).
- [31] Ethan J Miller et al. “Divide and conquer: How phase separation contributes to lateral transport and organization of membrane proteins and lipids”. In: *Chemistry and Physics of Lipids* 233 (2020), p. 104985.
- [32] Vladimir Yushutin et al. “A computational study of lateral phase separation in biological membranes”. In: *International journal for numerical methods in biomedical engineering* 35.3 (2019), e3181.
- [33] Andreas Rätz. “A benchmark for the surface Cahn–Hilliard equation”. In: *Applied Mathematics Letters* 56 (2016), pp. 65–71.
- [34] Prerna Gera and David Salac. “Cahn–Hilliard on surfaces: A numerical study”. In: *Applied Mathematics Letters* 73 (2017), pp. 56–61.
- [35] Diogo Caetano and Charles M Elliott. “Cahn–Hilliard equations on an evolving surface”. In: *European Journal of Applied Mathematics* 32.5 (2021), pp. 937–1000.
- [36] Charles M Elliott and Thomas Ranner. “Evolving surface finite element method for the Cahn–Hilliard equation”. In: *Numerische Mathematik* 129.3 (2015), pp. 483–534.
- [37] Charles M Elliott and Björn Stinner. “A surface phase field model for two-phase biological membranes”. In: *SIAM Journal on Applied Mathematics* 70.8 (2010), pp. 2904–2928.
- [38] Burger. “Interaction of mean curvature flow and diffusion”. In: *PhD thesis, University of Regensburg, Regensburg, Germany* (2021).
- [39] Charles M Elliott, Harald Garcke, and Balázs Kovács. “Numerical analysis for the interaction of mean curvature flow and diffusion on closed surfaces”. In: (2022).
- [40] Maxim Olshanskii, Yerbol Palzhanov, and Annalisa Quaini. “A comparison of Cahn–Hilliard and Navier–Stokes–Cahn–Hilliard models on manifolds”. In: *Vietnam Journal of Mathematics* (2022), pp. 1–17.
- [41] Lars Müller. “Volume-Preserving Mean Curvature and Willmore Flows with Line Tension”. In: *PhD thesis, University of Regensburg, Regensburg, Germany* (2013).
- [42] Cuncheng Zhu, Christopher T Lee, and Padmini Rangamani. “Mem3DG: modeling membrane mechanochemical dynamics in 3D using discrete differential geometry”. In: *Biophysical Journal* 121.3 (2022), 71a.
- [43] Ricardo H Doğan Günay; Nochetto. “First variation of the general curvature-dependent surface energy”. In: (2012).
- [44] Jian-Jun Xu and Hong-Kai Zhao. “An Eulerian formulation for solving partial differential equations along a moving interface”. In: *Journal of Scientific Computing* 19.1 (2003), pp. 573–594.
- [45] Gunay Dogan et al. “Discrete gradient flows for shape optimization and applications”. In: (2007).
- [46] G Dogan et al. “Finite element methods for shape optimization and applications”. In: *Preprint* (2005).
- [47] Andrea Bartezzaghi, Luca Dedè, and Alfio Quarteroni. “Isogeometric analysis of geometric partial differential equations”. In: *Computer Methods in Applied Mechanics and Engineering* 311 (2016), pp. 625–647.
- [48] Gieri Simonett. “The Willmore flow near spheres”. In: *Differential and Integral Equations* 14.8 (2001), pp. 1005–1014.



- [49] Uwe F Mayer and Gieri Simonett. “A numerical scheme for axisymmetric solutions of curvature-driven free boundary problems, with applications to the Willmore flow”. In: *Interfaces and Free Boundaries* 4.1 (2002), pp. 89–109.
- [50] Joachim Schöberl et al. “Netgen/ngsolve”. In: *Software hosted at <https://ngsolve.org>* (2017).