abICS Documentation

Release 2.0.1

abICS's team

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ABOUT ABICS

1.1 What is abICS?

abICS is a software framework for training a machine learning model to reproduce first-principles energies and then using the model to perform configurational sampling in disordered systems. Specific emphasis is placed on multi-component solid state systems such as metal and oxide alloys. The current version of abics can use neural network models implemented in aenet to be used as the machine learning model. As of this moment, abICS can also generate Quantum Espresso, VASP, and OpenMX input files for obtaining the reference training data for the machine learning model.

1.2 Developers

abICS is developed by the following members.

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1.3 Version information

• ver. 2.0.1 : 2022/06/24.

• ver. 2.0 : 2022/06/24.

• ver. 1.0: 2020/05/01.

• ver. 1.0-beta: 2020/03/31.

• ver. 0.1 : 2019/12/10.

1.4 License

This package is distributed under GNU General Public License version 3 (GPL v3) or later.

1.5 Copyright

(c) 2019- The University of Tokyo. All rights reserved.

This software was developed with the support of "*Project for advancement of software usability in materials science*" of The Institute for Solid State Physics, The University of Tokyo.

CHAPTER

TWO

INSTALL

2.1 Prerequisites

abICS requires Python3 (>=3.7).

The following Python packages are required.

- numpy
- · scipy
- toml
- mpi4py
- pymatgen (>=2019.12.3)
- · qe-tools

These are installed automatically but mpi4py and pymatgen need extra software-packages before installing them.

- mpi4py needs one of the MPI implementations, e.g., Open MPI.
- pymatgen needs Cython:

```
$ pip3 install cython
```

2.2 Install from PyPI

Since abICS is registered in PyPI users can install abICS easily:

```
$ pip3 install abics
```

If you want to install abICS locally because, for example, you have no permission to write files, the following command:

```
$ pip3 install --user abics
```

installs abICS below a directory ~/.local . If you want to install abICS into another directory, use the --prefix=DIRECTORY option (DIRECTORY is the path to the directory where abICS will be installed) .

2.3 Install from source

2.3.1 Download

The source codes of abICS can be obtained from GitHub page .

\$ git clone https://github.com/issp-center-dev/abICS

2.3.2 Directory structure

The directory structure of abICS is given as follows:

```
|-- COPYING
|-- README.md
|-- abics/
  |-- __init__.py
  |-- applications/
  |-- exception.py
  |-- mc.py
  |-- mc_mpi.py
   |-- replica_params.py
  |-- scripts/
  |-- util.py
|-- docs/
  |-- sphinx/
|-- examples/
|-- pyproject.toml
|-- test/
|-- tests/
```

A set of python modules are located in the abics directory.

2.3.3 Install

 \bullet Pass the location of the root directory of abICS as an argument of pip3 $\,$ install :

\$ pip3 install ./abICS

2.4 Uninstall

 \bullet pip3 uninstall abics uninstalls abics from your machine.

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CHAPTER

THREE

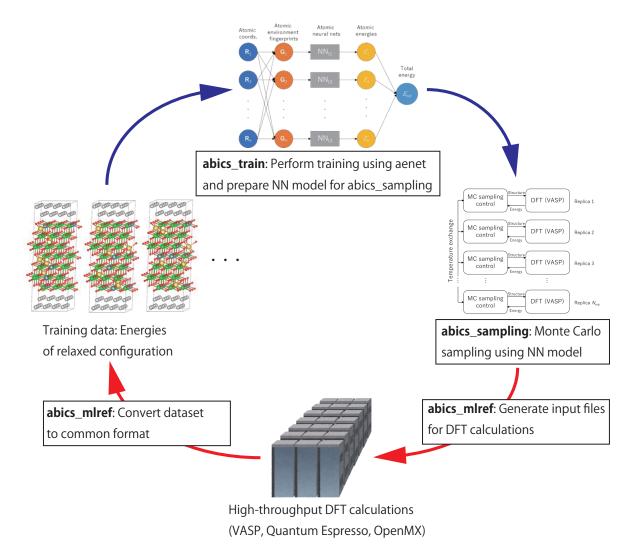
BASIC USAGE

3.1 Active learning

abICS was originally developed for directly combining first-principles calculations with replica-exchange Monte Carlo methods to perform configurational sampling, but the scale of the models and the number of steps that can be calculated are limited by the large computational cost of first-principles calculations. In contrast, Ver. 2 implements an active learning method to construct a neural network model that can rapidly predict the energy after structural optimization, dramatically improving the sampling speed [preprint].

The general flow of the active learning method implemented in abICS is as follows.

- 1. Perform ab initio calculations on a large number of randomly generated atomic configurations and prepare training data (correspondence between configurations and energies).
- 2. Build a neural network model that predicts energy from atomic configurations using the prepared training data.
- 3. Perform statistical thermodynamic sampling of atomic configurations using a replica exchange Monte Carlo method with a neural network model.
- 4. Evaluate the accuracy of the neural network model by sampling the ion configurations that appear in the Monte Carlo calculations and performing ab initio calculations on each of them.
- 5. If the accuracy is not sufficient, add the results calculated in 4. to the training data and repeat from 2.



Schematic of the active learning procedure using abICS

3.2 Preparing an abICS control file

First, we have to prepare an input file that controls the entire abICS framework. The input file of abICS is comprised of the following five sections:

- 1. [sampling] section specifies the parameters of the replica exchange Monte Carlo part, such as the number of replicas, the temperature range, and the number of Monte Carlo steps. In addition, [sampling.solver] subsection specifies the parameters for the (first principle calculation) solver, including the type of solver (VASP, QE,...), the path to the solver, and the directory containing immutable input files.
- 2. [mlref] section specifies options for extracting only atomic configurations from the sampling results in order to evaluate the accuracy of the neural network model and to expand the training data. In addition, for generating training data, [mlref.solver] subsection specifies the parameters for the (first principle calculation) solver, including the type of solver (VASP, QE,...), the path to the solver, and the directory containing immutable input files. This section is used for abics_mlref.
- 3. [train] section specifies optinons for making a trainer to learn a placement energy prediction model from training data. This section is used for abics_train.

- 4. [observer] section specifies the type of physical quantity to be calculated.
- 5. [config] section specifies the configuration of the alloy, etc.

For details, see *Input Files Format* . The following is an example of an input file selecting aenet as a solver.

```
[sampling]
nreplicas = 8
nprocs_per_replica = 1
kTstart = 600.0
kTend = 2000.0
nsteps = 6400 # Number of steps for sampling
RXtrial_frequency = 4
sample_frequency = 16
print_frequency = 1
reload = false
[sampling.solver]
type = 'aenet'
path= 'predict.x-2.0.4-ifort_serial'
base_input_dir = './baseinput'
perturb = 0.0
run_scheme = 'subprocess' #'mpi_spawn_ready'
ignore_species = ["0"]
[mlref]
nreplicas = 8
ndata = 5
[mlref.solver]
type = 'qe'
base_input_dir = './baseinput_ref'
perturb = 0.05
ignore_species = []
[train]
type = 'aenet'
base_input_dir = './aenet_train_input'
exe_command = ['generate.x-2.0.4-ifort_serial', 'srun train.x-2.0.4-ifort_intelmpi']
ignore_species = ["0"]
vac_map = []
restart = false
[config]
unitcell = [[8.1135997772, 0.0000000000, 0.0000000000],
            [0.0000000000, 8.1135997772, 0.0000000000],
            [0.0000000000, 0.0000000000, 8.1135997772]]
supercell = [1,1,1]
[[config.base_structure]]
type = "0"
coords = [
     [0.237399980, 0.237399980, 0.237399980],
     [0.762599945, 0.762599945, 0.762599945],
```

```
[0.512599945, 0.012600004, 0.737399936],
     [0.487399966, 0.987399936, 0.262599975],
     [0.012600004, 0.737399936, 0.512599945],
     [0.987399936, 0.262599975, 0.487399966],
     [0.737399936, 0.512599945, 0.012600004],
     [0.262599975, 0.487399966, 0.987399936],
     [0.987399936, 0.487399966, 0.262599975],
     [0.012600004, 0.512599945, 0.737399936],
     [0.487399966, 0.262599975, 0.987399936],
     [0.512599945, 0.737399936, 0.012600004],
     [0.262599975, 0.987399936, 0.487399966],
     [0.737399936, 0.012600004, 0.512599945],
    [0.237399980, 0.737399936, 0.737399936],
     [0.762599945, 0.262599975, 0.262599975],
    [0.512599945, 0.512599945, 0.237399980],
    [0.487399966. 0.487399966. 0.762599945].
    [0.012600004, 0.237399980, 0.012600004],
     [0.987399936, 0.762599945, 0.987399936],
     [0.987399936, 0.987399936, 0.762599945],
     [0.012600004, 0.012600004, 0.237399980],
     [0.487399966, 0.762599945, 0.487399966],
     [0.512599945, 0.237399980, 0.512599945],
     [0.737399936, 0.237399980, 0.737399936],
     [0.262599975, 0.762599945, 0.262599975],
     [0.237399980, 0.512599945, 0.512599945],
     [0.762599945, 0.487399966, 0.487399966],
     [0.762599945, 0.987399936, 0.987399936],
    [0.237399980, 0.012600004, 0.012600004],
     [0.737399936, 0.737399936, 0.237399980],
    [0.262599975, 0.262599975, 0.762599945],
[[config.defect_structure]]
coords = [
    [0.000000000, 0.000000000, 0.000000000],
     [0.749999940, 0.249999985, 0.499999970],
     [0.249999985, 0.749999940, 0.499999970],
     [0.249999985, 0.499999970, 0.749999940],
    [0.749999940, 0.499999970, 0.249999985],
     [0.499999970, 0.749999940, 0.249999985],
     [0.499999970, 0.249999985, 0.749999940],
     [0.000000000, 0.499999970, 0.499999970],
     [0.749999940, 0.749999940, 0.000000000],
     [0.249999985, 0.249999985, 0.000000000],
     [0.249999985, 0.000000000, 0.249999985],
     [0.749999940, 0.000000000, 0.749999940],
    [0.499999970, 0.000000000, 0.499999970],
     [0.000000000, 0.749999940, 0.749999940],
    [0.000000000, 0.249999985, 0.249999985],
    [0.49999970. 0.499999970. 0.0000000000].
    [0.374999970, 0.374999970, 0.374999970],
     [0.624999940, 0.624999940, 0.624999940],
```

```
[0.374999970, 0.874999940, 0.874999940],
     [0.624999940, 0.124999993, 0.124999993],
     [0.874999940, 0.874999940, 0.374999970],
     [0.124999993, 0.124999993, 0.624999940],
     [0.874999940, 0.374999970, 0.874999940],
     [0.124999993, 0.624999940, 0.124999993],
[[config.defect_structure.groups]]
name = 'Al'
# species = ['Al']
                      # default
# coords = [[[0,0,0]]] # default
num = 16 #432 #16000
[[config.defect_structure.groups]]
name = 'Mg'
# species = ['Mg']
                       # default
\# \text{ coords} = [[[0,0,0]]] \# \text{ default}
num = 8 #216 #8000
[observer]
ignored_species = ['0']
```

3.3 Preparing a reference file for first-principles solvers

The user must prepare reference input file(s) for first-principles solvers that are used for generating the training data according to the input format of the solver. The path of the reference file is specified by base_input_dir in the [mlref.solver] section in the abICS input file (see below). The coordinate information should not be written here; it will be written by abICS. The following is an example of a QE reference file.

```
&CONTROL
  calculation = 'relax'
  tstress = .false.
  tprnfor = .false.
  pseudo_dir = './pseudo'
  disk_io = 'low'
  wf_collect = .false.
&SYSTEM
  ecutwfc
              = 60.0
  occupations = "smearing"
             = "gauss"
  smearing
  degauss
               = 0.01
&electrons
 mixing\_beta = 0.7
  conv_thr = 1.0d-8
  electron_maxstep = 100
&ions
```

```
ATOMIC_SPECIES
Al 26.981 Al.pbe-nl-kjpaw_psl.1.0.0.UPF
Mg 24.305 Mg.pbe-spnl-kjpaw_psl.1.0.0.UPF
O 16.000 O.pbe-n-kjpaw_psl.1.0.0.UPF
ATOMIC_POSITIONS crystal
K_POINTS gamma
```

3.3.1 Specific notes for first-principles solvers

Every setting other than those pertaining to the atomic coordinates should be set in the solver reference input file. However, the specification of atoms to be relaxed can be controlled by abICS. To enable the structural optimization option, please set the option to do structural optimization in the reference file of the solver, and also specify the related parameters such as the total number of steps for relaxation. Furthermore, to interoperate with abICS, there are a few rules regarding the file name and contents of the reference file for each solver. We'll explain them below.

VASP

- URL: https://www.vasp.at
- Reference file rules
 - Please prepare INCAR, POTCAR, KPOINTS files.
 - * In POTCAR file, please arrange the atoms in alphabetical order.
 - * The POSCAR file is basically not needed, but maybe needed depending on the version of pymatgen. In that case, please prepare a suitable file.

Quantum Espresso

- URL: https://www.quantum-espresso.org
- Available version: 6.2 or higher
 - "Old XML" format is not available
- · Reference file rules
 - Please set the reference file name as scf.in.
 - calculation option must be scf or relax.
 - If the calculation is done only at Γ point, the calculation becomes fast if you set Gamma in kpoints.

OpenMX

• URL: http://www.openmx-square.org

• Available version: 3.9

· Reference file rule

- Please set the reference file name as base.dat.

3.4 Preparing a reference file for training and evaluating the machine learning model

The user must prepare a reference file according to the input format of the machine learning model trainer and calculator to be used (only aenet is supported at the moment). The path of the reference file is specified by base_input_dir in the [solver] section in the abICS input file (see below). The coordinate information should not be written here because it will obviously change in the course of the simulation. The lattice sites are specified in a separate abICS input file (see below), and abICS will take care of generating the coordinates section at each sampling step.

3.4.1 Machine learning trainer/calculator-specific notes

aenet

- URL: http://ann.atomistic.net
- Checked with version 2.0.4.
- · Reference file rules
 - Place the input files for aenet in the generate, train, and predict directories in the directory which is set in the base_input_dir of the [trainer] section.
 - aenet compiles the atomic configuration and energy data for training into an intermediate binary format
 that is converted to atomic environment descriptor-energy relationships before training. Please place the
 input files for this conversion generate.x in the generate directory.
 - Place an input file for train.x in the train directory that reads the training data generated by generate.x and trains. The file should be named train.in.
 - Place the input file predict.in for predict.x in the predict directory to evaluate the energy for the input coordinates using the trained potential model.
- · abICS control file
 - In the [solver] section, for type, perturb, and run_scheme, set the following if using an active learning scheme.

```
type = "aenet"
perturb = 0.0
run_scheme = 'subprocess'
```

3.5 Creating a set of training data

- 1. Generate a set of input files for the first-principle calculation using abics_mlref.
- 2. Perform the first-principle calculation with these inputs. (In the tutorial GNU parallel is used for the high-throughput calculation.)

3.6 Creating a neural network

- 1. Run abics_mlref again to convert the results of the first-principle calculation into a common format that abics_train will read.
- 2. Execute abics_train to create a neural network. When the calculation is completed successfully, the trained neural network is output in baseinput directory.

3.7 Monte Carlo sampling

By using abics_sampling, Monte Carlo sampling can be performed by using the trained neural network. (The number of MPI processes must be larger than the number of replicas.) Running the program will create directories named by the replica numbers under the current directory, and each replica runs the solver in it.

CHAPTER

FOUR

TUTORIAL

In this tutorial, we demonstrate the calculation of the degree of inversion of Mg and Al atoms in an ionic crystal $\mathrm{MgAl_2O_4}$. Input files are provided in examples/active_learning_qe/.

4.1 Constructing a neural network model

This section contains instructions on how to construct a neural network using aenet to reproduce first-principles energies from Quantum ESPRESSO (QE). Here, we will consider the temperature-dependent degree of Mg/Al inversion in MgAl2O4 spinel. In the ground state, all Mg ions are tetrahedrally coordinated by O ions while Al ions are octahedrally coordinated. We will simulate the amount of inversion between these sites vs. temperature. The set of input files used in this tutorial can be found in examples/active_learning_qe. In the following, we briefly describe the installation of aenet and GNU parallel, but you may skip the section if they are preinstalled in your system. We will also use ohtaka, the supercomputer system B of the Institute for Solid State Physics, as the environment for running the calculations.

4.1.1 Preparation

Installation of aenet

In abICS, we use aenet to build neural network models. You can download aenet from http://ann.atomistic.net. Follow the Installation instructions in the Documentation to install it. Note that abICS uses generate.x, train.x and predict.x of aenet for training and evaluating neural networks. For train.x, an MPI parallel version can be used, but for generate.x and predict.x, you need to use a non-MPI executable file (serial). For this reason, you should also install the serial version under makefiles.

Installation of GNU parallel

In this tutorial, we will use GNU parallel to run first-principles calculations with Quantum Espresso in parallel. Therefore, you need to install GNU parallel first. GNU parallel can be downloaded from https://www.gnu.org/software/parallel/ (on a Mac, it can also be installed directly by homebrew). After moving to the directory where you downloaded and extracted the source files, you can install it into \$HOME/opt/parallel by typing the following command.

```
$ ./configure --prefix=$HOME/opt/parallel
$ make && make install
```

For detailed configuration, please refer to the official manual.

4.1.2 Preparation of input files for training data set generation

A set of training data is required for creating a neural network that relate the configurations of atoms as input and the energy as output by the first-principle calculations. To generate the data set, the input files need to be prepared for both abICS and the first-principle solver.

Preparation of the abICS control file (input.toml)

This file contains the definition of the lattice structure to be calculated, the control of the entire active learning cycles by abICS, and the parameters for the replica exchange Monte Carlo method. By using the st2abics tool, you can automatically generate the input.toml template from the crystal structure file.

```
$ cd [example_dir].
$ st2abics st2abics_MgAl204.toml MgAl204.vasp > input.toml
```

In this section, we will explain the settings for each section of input.toml in more detail.

(i) [mlref] section

```
[mlref]
nreplicas = 8
ndata = 5
```

In this section, you can set the options for extracting atomic configurations from the RXMC calculation results to evaluate the accuracy of the neural network model and to expand the training data. Basically, nreplicas should be the same values as in the [sampling] section. ndata specifies how many samples to be extracted as the training dataset of the machine learning model from configurations generated by the RXMC calculation. Therefore, it should be set to a value less than or equal to the number of configurations generated by the RXMC calculation, nsteps/sample_frequency in [sampling] section.

(ii) [mlref.solver] section

Set up the solver used to calculate the energy for training data (configuration energy). In this example, Quantum Espresso is used. The base_input_dir can be set freely. The input files for the solver are placed in the set directory (see below). If multiple directories are set up in a list format, as in this example, calculations using each input are performed in turn. The second and subsequent calculations will use the structure from the last step of the previous calculation as the initial coordinates. The energy of the last calculation is then used for training of the neural network model. For example, one could perform a fast structural optimization in the first input file at the expense of accuracy, and then perform a structural optimization in the second and subsequent input files with a higher accuracy setting. For another example, in the case of a lattice vector relaxation, the same input can be run multiple times to reset the computational mesh based on a set plane-wave cutoff.

The perturb is for starting the structural optimization from a structure with broken symmetry by randomly displacing each atom. In this case, the first calculation starts from the structure in which all atoms for structural relaxation are displaced by 0.05 angstrom in a random direction.

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The ignore-species is set to an empty list when the first-principle solver is used for generating the training data. When a model is employed for the data generation in which some atomic species are ignored, they are specified in ignore-species.

(iii) [config] section

[config] section specifies atomic positions to be used in the Monte Carlo sampling. The st2abics utility tool can generate this section. If abics_sampling has not been performed yet, the atomic positions are randomly generated based on this information, and the input files for the first-principle calculation are produced. Once abics_sampling is executed, the input files will be generated from the atomic positions obtained from the Monte Carlo sampling.

Preparation of the QE reference file

Place the input file to be referenced in the QE scf calculation in baseinput_ref. The following is a description of the scf.in file in the sample directory.

```
&CONTROL
calculation = 'relax'
tstress = .false.
tprnfor = .false.
pseudo_dir = './pseudo'
disk_io = 'low'
wf_collect = .false.
&SYSTEM
  ecutwfc = 60.0
  occupations = "smearing".
  smearing = "gauss"
  degauss = 0.01
&electrons
 mixing_beta = 0.7
  conv\_thr = 1.0d-8
  electron_maxstep = 100
```

```
&ions
/
ATOMIC_SPECIES
Al 26.981 Al.pbe-nl-kjpaw_psl.1.0.0.UPF
Mg 24.305 Mg.pbe-spnl-kjpaw_psl.1.0.0.UPF
0 16.000 O.pbe-n-kjpaw_psl.1.0.0.UPF
ATOMIC_POSITIONS crystal
K_POINTS gamma
```

You need to rewrite the directory that contains the pseudopotentials, pseudo_dir, and the pseudopotentials used in ATOMIC_SPECIES according to your environment. The pseudopotentials used in this sample can be downloaded from the following link.

- https://pseudopotentials.quantum-espresso.org/upf_files/Al.pbe-nl-kjpaw_psl.1.0.0.UPF
- https://pseudopotentials.quantum-espresso.org/upf_files/Mg.pbe-spnl-kjpaw_psl.1.0.0.UPF
- https://pseudopotentials.quantum-espresso.org/upf_files/O.pbe-n-kjpaw_psl.1.0.0.UPF

In this example, calculation = 'relax' is used for structural optimization during the QE calculation, and gammma is used for K_POINTS to speed up the calculation.

4.1.3 Preparation of input files for training the neural network

In this tutorial we use aenet to train the neural netowrk. We need to prepare the input files for abICS and aenet.

Preparation of the abICS control file (input.toml)

(i) [train] section

Set up a trainer to train a configuration energy prediction model from training data. Currently, abICS supports only aenet. You can freely set the base_input_dir. In that directory, set up the configuration files for the trainer (see below). In exe_command, specify the paths to generate.x and train.x of aenet. For train.x, an MPI parallel version is available, in which case, set the commands for MPI execution (mpiexec, srun, etc.) as shown in the example above.

The ignore-species is set to an empty list when the first-principle solver is used for generating the training data. When a model is employed for the data generation in which some atomic species are ignored, they are specified in ignore-species.

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Preparation of input files for aenet

Place the input files for aenet in the generate, train, and predict directories in the directory set in the base_input_dir of the [train] section.

generate

aenet compiles the atomic configuration and energy data for training into an intermediate binary format that is converted into atomic environment descriptor-energy relationships before training. Input files for generate.x that perform this conversion are placed in the generate directory.

First, prepare a descriptor setting file for each element type. The file names are arbitrary. In the tutorial we will use Al.fingerprint.stp, Mg.fingerprint.stp and so on.

As an example, the content of Al.fingerprint.stp is shown below:

```
DESCR
N. Artrith and A. Urban, Comput. Mater. Sci. 114 (2016) 135-150.
N. Artrith, A. Urban, and G. Ceder, Phys. Rev. B 96 (2017) 014112.
END DESCR

ATOM Al # Specify element

ENV 2 # Specify the number of element species and element names that interact with the element specified in ATOM
Al
Mg

RMIN 0.55d0 # Nearest neighbor distance between atoms

BASIS type=Chebyshev # Chebyshev Descriptor Settings
radial_Rc = 8.0 radial_N = 16 angular_Rc = 6.5 angular_N = 4
```

Please refer to the aenet documentation for more information on descriptor settings.

Next, prepare a file named generate.in.head as follows

```
OUTPUT aenet.train

TYPES
2
Al -0.0 ! eV
Mg -0.0 ! eV

SETUPS
Al Al.fingerprint.stp
Mg Mg.fingerprint.stp
```

OUTPUT must be set to aenet.train. Under TYPES specify the elemental species in the train data and their number. You can also specify an energy criterion for each elemental species, but it is basically safe to set it to 0. Under SETUPS specify the descriptor setup file for each elemental species. Be sure to include a newline at the end of the file. abICS will add a list of coordinate files to the end of generate.in.head, generate generate.in, and run generate.x.

train

Place the input file for train.x, which reads the training data generated by generate and trains, in the train directory. The file name should be train.in.

```
TRAININGSET aenet.train
TESTPERCENT 10
ITERATIONS 500
MAXENERGY 10000
TIMING
!SAVE_ENERGIES
METHOD
bfgs
NETWORKS
! atom
        network
                         hidden
! types file-name
                         layers nodes:activation
         Al.15t-15t.nn
                          2
                                 15:tanh 15:tanh
 Al
                            2
                                   15:tanh 15:tanh
           Mg.15t-15t.nn
 Mg
```

Basically, no changes are needed except for the NETWORKS section. The NETWORKS section specifies the name of the potential file for each element species to be generated, the neural network structure, and the activation function.

predict

Place the input file predict.in for predict.x in the predict directory to evaluate the energy for the input coordinates using the trained potential model.

```
TYPES
2
Mg
Al
NETWORKS
Mg Mg.15t-15t.nn
Al Al.15t-15t.nn
VERBOSITY low
```

Enter the number of elemental species and their names in the TYPES section and the name of the potential file (set in train.in) for each elemental species in the NETWORKS section.

Also, VERBOSITY must be set to low.

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Running the calculation

Now the input files have been prepared, we proceed to describe how to run the calculation. A sample script AL. sh is prepared to simplify the calculation procedure. run_pw.sh is used to run QE calculations; it is called inside parallel_run.sh, which will be described later. The contents of AL.sh is as follows.

```
#!/bin/sh
#SBATCH -p i8cpu
#SBATCH -N 4
#SBATCH -n 512
#SBATCH -J spinel
#SBATCH -c 1
#SBATCH --time=0:30:00
# Run reference DFT calc.
echo start AL sample
srun -n 8 abics_mlref input.toml >> abics_mlref.out
echo start parallel_run 1
sh parallel_run.sh
echo start AL final
srun -n 8 abics_mlref input.toml >> abics_mlref.out
#train
echo start training
abics_train input.toml >> abics_train.out
echo Done
```

The lines starting with #SBATCH and srun command are parameters of the job scheduler and the command to invoke parallel program (similar to mpiexec) used on the ISSP supercomputer system B, respectively. In this example, we are running an MPI parallel with 512 processes. For more information about the job scheduler, please refer to the manuals of your machine.

```
# Run reference DFT calc.
echo start AL sample
srun -n 8 abics_mlref input.toml >> abics_mlref.out
```

The above code block generates an input file for ab initio calculation, which is the main source of the training data, using abics_mlref. At the first execution, the specified number of atomic arrangements are randomly generated, a separate directory is prepared for each atomic arrangement, and an input file is created in the directory. At the same time, a file rundirs.txt is generated with the path of those directories. This directory listing can be used to automate the execution of ab initio computation jobs for individual inputs. We will then run the ab initio calculation based on the resulting file.

```
echo start parallel_run 1 sh parallel_run.sh
```

parallel_run.sh is a script to run high-throughput QE calculations in parallel using gnu parallel. It will manage the parallel running of calculations for the directories listed in rundirs.txt. The results of the QE calculation will be stored in each directory. Now that we have created the training data by the QE coverage calculation, we will move on to create the neural network potential in aenet. First, we run abics_mlref again to create files with the results of the ab initio calculations in a common format that abics_train will read.

```
echo start AL final
srun -n 8 abics_mlref input.toml >> abics_mlref.out
```

Next, we use anet to create a neural network potential based on the training data. The neural network potential is calculated by abics_train. The calculation is performed by reading the input file stored in base_input_dir in the [train] section of the input file. When the calculation is completed successfully, the trained neural network is output to the baseinput directory.

```
#train
echo start training
abics_train input.toml >> abics_train.out
```

The above process completes the AL.sh process for active learning.

4.2 Monte Carlo sampling

Next, we use the trained neural network potential for Monte Carlo samplings by abICS.

4.2.1 Preparation of input files

Several parameters need to be set in the abICS control file to perform the sampling as follows.

Preparation of the abICS control file (input.toml)

The calculation parameters are specified in [sampling] section concerning the Replica Exchange Monte carlo method.

(i) [sampling] section

```
[sampling]
nreplicas = 8
nprocs_per_replica = 1
kTstart = 600.0
kTend = 2000.0
nsteps = 6400
RXtrial_frequency = 4
sample_frequency = 16
print_frequency = 1
reload = false
```

In this section, you can configure settings related to the number of replicas, temperature range, etc. for the Replica Exchange Monte Carlo (RXMC) method (manual reference link). This time, we will use anet's predict.x as the energy solver for RXMC calculations. Currently, the mpi version of predict.x is not supported, so nprocs_per_replica should be 1.

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(ii) [sampling.solver] section

```
[sampling.solver] # Configure the solver used for RXMC calculations
type = 'aenet'
path= 'predict.x-2.0.4-ifort_serial'
base_input_dir = '. /baseinput'
perturb = 0.0
run_scheme = 'subprocess'
ignore_species = ["0"]
```

In this section, you can configure the energy calculator (solver) to be used for RXMC calculations. In this tutorial, we will use aenet package to evaluate the neural network model. For type, perturb, and run_scheme, if you are using the active learning scheme, do not change the above example. Set path to the path of aenet's predict.x in your environment. The base_input_dir, where the input files corresponding to predict.x are generated, can be set freely (explained in detail later).

You can also specify the atomic species to be ignored in the neural network model as ignore_species. In this example, the sublattice of oxygen always has an occupancy of 1, so oxygens do not affect energy. In this case, it is more computationally efficient to ignore the existence when training and evaluating the neural network model.

4.2.2 Running the calculation

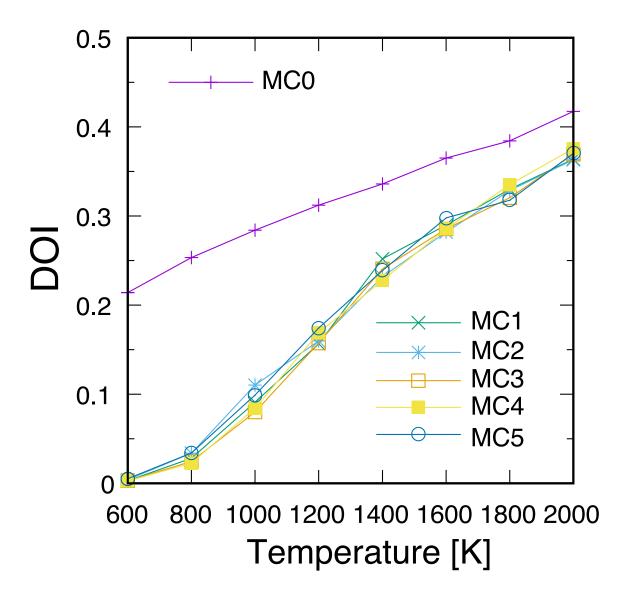
The sample script MC. sh is provided to simplify the calculation procedure. The content of the script is as follows.

```
#! /bin/sh
#SBATCH -p i8cpu
#SBATCH -N 1
#SBATCH -n 8
#SBATCH --time=00:30:00
srun -n 8 abics_sampling input.toml >> abics_sampling.out
echo Done
```

Running abicsAL will create the MCxx directory (where xx is the number of runs). With active learning in mind, additional functions have been implemented to obtain information such as the number of calculations by reading ALloop. progress. Under the MCxx directory, a folder will be created for the number of replicas. Then, in these folders, the atomic arrangement (structure.xxx.vasp) for each step described in the VASP POSCAR file format, the atomic position given the lowest energy (minE.vasp), and each step temperature and energy (obs.dat) etc. are output. For more details, please refer to the abICS manual output file.

The results obtained by the above procedure depend on the accuracy of the neural network potential computed by aenet. In the first step, we trained based on random configurations, thus the accuracy for low temperature structures is expected to be low. Here, by repeating the step of calculating the energy again by first-principles calculation for the structure estimated by Monte Carlo and relearning it, we expect to improve the accuracy in the whole temperature range.

This process can be calculated by repeating AL.sh and MC.sh in turn. The actual result of the calculation of the inversion rate (DOI) is shown in the figure below. In this example, the first result is MC0, followed by MC1, MC2, and so on. The first run is quite different from the others, thus we can expect that it is not accurate. On the other hand, if we train on the results of one Monte Carlo run, we find that the values are almost identical from the next run.



The DOI can be calculated by the following procedure.

- 1. Go to MCxxx directory.
- 2. Create Tseparate directory by srun -n 8 abicsRXsepT ../input.toml. (The number of processes should be the same as the number of replicas for abics_sampling. In this tutorial, the number of parallelism is set to 8, so set it to 8.)
- 3. copy calc_DOI.py and MgAl204.vasp in the sample directory.
- 4. Calculate the degree of inversion for each temperature by srun -n 8 python3 calc_DOI.py ../input. toml. (Align with the number of parallelism when abics_sampling is executed. In this tutorial, the number of parallelism is set to 8, so set it to 8.)

In general, you will need to write your own scripts (calc_DOI.py in the current example) for calculating thermody-

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namic averages from the structures accumulated for each temperature in MCxxx/Tseparate.

Also, please note that the number of Monte Carlo steps in this example input is not enough for fully converging the degree of inversion. It is recommended to perform a separate RXMC calculation using the obtained neural network model with a larger number of sampling steps to calculate thermodynamic averages.

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CHAPTER

FIVE

INPUT FILES FORMAT

The input file of abICS is constructed by the following five sections:

- 1. [sampling] section specifies the parameters of the replica exchange Monte Carlo part, such as the number of replicas, the temperature range, and the number of Monte Carlo steps. In addition, [sampling.solver] subsection specifies the parameters for the (first principle calculation) solver, including the type of solver (VASP, QE,...), the path to the solver, and the directory containing immutable input files.
- 2. [mlref] section specifies options for extracting only atomic configurations from the sampling results in order to evaluate the accuracy of the neural network model and to expand the training data. In addition, for generating training data, [mlref.solver] subsection specifies the parameters for the (first principle calculation) solver, including the type of solver (VASP, QE,...), the path to the solver, and the directory containing immutable input files. This section is used for abics_mlref.
- 3. [train] section specifies options for making a trainer to learn a placement energy prediction model from training data. This section is used for abics_train.
- 4. [observer] section specifies the type of physical quantity to be calculated.
- 5. [config] section specifies the configuration of the alloy, etc.

The following sections describe the detail of each section.

5.1 [sampling] section

Specify the parameters of the replica exchange Monte Carlo part, such as the number of replicas, the temperature range, and the number of Monte Carlo steps. The example is shown as follows.

```
[sampling]
nreplicas = 3
nprocs_per_replica = 1
kTstart = 500.0
kTend = 1500.0
nsteps = 5
RXtrial_frequency = 2
sample_frequency = 1
print_frequency = 1
```

5.1.1 Input Format

Specify a keyword and its value in the form keyword = value. Comments can also be entered by adding # (Subsequent characters are ignored).

5.1.2 Keywords

- About temperatures
 - kTstart

Format: float (>0)

Description: Minimum temperature for the replica.

- kTend

Format: float (>0)

Description: Maximum temperature for the replica.

- · About replica
 - nprocs_per_replica

Format: int (natural number)

Description : The number of processes for the replica. Default value = 1.

- nreplicas

Format: int (natural number)

Description: The number of replicas.

- Others
 - nsteps

Format: int (natural number)

Description: Number of Monte Carlo steps.

- RXtrial_frequency

Format: int (natural number)

Description : The interval for performing replica exchange trials. For example, setting this value to 1 means that replica exchange is attempted at every Monte Carlo step, while setting this to 2 means that exchange is attempted at every second step. Default = 1.

- sample_frequency

Format: int (natural number)

Description : The interval for observation of physical quantities. Default value = 1.

- print_frequency

Format: int (natural number)

Description : The interval for saving physical quantities. Default value = 1.

- reload

```
Format: bool ("true" or "false")
```

Description : Whether to restart a prior calculation from the last step finished last time. Default value = false.

5.2 [sampling.solver] section

This section specifies solver parameters such as solver type (VASP, QE, \dots), path to solver, directory with solver-specific input file(s). An example is shown as follows:

```
[sampling.solver]
type = 'vasp'
path = './vasp'
base_input_dir = './baseinput'
perturb = 0.1
run_scheme = 'mpi_spawn_ready'
```

5.2.1 Input Format

Keywords and their values are specified by a keyword and its value in the form keyword = value. Comments can also be entered by adding # (Subsequent characters are ignored).

5.2.2 Keywords

• type

Format: str

Description: The solver type (OpenMX, QE, VASP, aenet).

• path

Format: str

Description: The path to the solver.

• base_input_dir

Format: str or list of str

Description: The path to the base input file. If multiple calculations are set up in the form of a list, each calculation using each input is performed in turn. For the second and subsequent calculations, the structure from the last step of the previous calculation is used as the initial coordinates, and the energy from the last calculation is used. For example, it is possible to perform a fast structural optimization in the first input file at the expense of accuracy, and then perform the structural optimization in the second and later input files with a higher accuracy setting. Or, in the case of grid vector relaxation, one can run the same input multiple times to reset the computational mesh based on a set plane-wave cutoff.

• perturb

Format: float

Description : If a structure with good symmetry is input, structure optimization tends to stop at the saddle point. In order to avoid this, an initial structure is formed by randomly displacing each atom in proportion to this parameter. It can also be set to 0.0 or false. Default value = 0.0.

• ignore_species

Format: list

Description: Specify atomic species to "ignore" in neural network models such as aenet. For those that always have an occupancy of 1, it is computationally more efficient to ignore their presence when training and evaluating neural network models.

• run_scheme

Format: str

Description : Way to invoke the solver program. For details, please see *Specific notes for first-principles solvers*

• parallel_level (Only for QuantumESPRESSO)

Format: dict

Description: How to split parallel cpu resources, i.e., Parallelization levels. Key names are long-form command-line options (without the leading hyphen), that is, nimage, npools, nband, ntg, and ndiag. Values are the number of parallelization. Only the specified elements will be passed to pw.x as command-line options.

5.3 [mlref] section

Set options for retrieving only atomic configurations from the results of RXMC calculations. This is used, for example, to evaluate the accuracy of neural network models and to extend the training data. The file format is as follows.

```
[mlref]
nreplicas = 3
ndata = 50
```

5.3.1 Input Format

Keywords and their values are specified by a keyword and its value in the form keyword = value. Comments can also be entered by adding # (Subsequent characters are ignored).

5.3.2 Key words

- · About replica
 - nreplicas

Format: int (natural number)

Description : The number of replicas.

ndata

Format: int (natural number)

Description: The number of data (configuration) to be sampled

- sampler

Format: string (default: "linspace")

Description : The method to extract N_{data} samples from N samples generated by Monte Carlo method.

* "linspace"

Extract equilispaced samples by numpy.linspace(0, N-1, num=ndata, dtype=int)

* "random"

Random sampling by numpy.random.choice(range(N), size=ndata, replace=False)

5.4 [mlref.solver] section

Configure the solver used to calculate the training data (configuration energy). This section specifies solver parameters such as solver type (VASP, QE, ...), path to solver, directory with solver-specific input file(s). It is basically the same as the [sampling.solver] section and has the following file format.

```
[mlref.solver]
type = 'vasp'
base_input_dir = './baseinput'
perturb = 0.1
```

5.4.1 Input Format

Keywords and their values are specified by a keyword and its value in the form keyword = value. Comments can also be entered by adding # (Subsequent characters are ignored).

5.4.2 Keywords

• type

Format: str

Description: The solver type (OpenMX, QE, VASP, aenet).

• base_input_dir

Format: str or list of str

Description: The path to the base input file. If multiple calculations are set up in the form of a list, each calculation using each input is performed in turn. For the second and subsequent calculations, the structure from the last step of the previous calculation is used as the initial coordinates, and the energy from the last calculation is used. For example, it is possible to perform a fast structural optimization in the first input file at the expense of accuracy, and then perform the structural optimization in the second and later input files with a higher accuracy setting. Or, in the case of grid vector relaxation, one can run the same input multiple times to reset the computational mesh based on a set plane-wave cutoff.

• perturb

Format: float

Description : If a structure with good symmetry is input, structure optimization tends to stop at the saddle point. In order to avoid this, an initial structure is formed by randomly displacing each atom in proportion to this parameter. It can also be set to 0.0 or false. Default value = 0.0.

• ignore_species

Format: list

Description: Specify atomic species to "ignore" in neural network models such as aenet. For those that always have an occupancy of 1, it is computationally more efficient to ignore their presence when training and evaluating neural network models.

5.5 [train] section

We create neural network potentials by learning the results of ab initio calculations made by abics_train using aenet. The input information for abics_train is described in the [trainer] section. The description of each parameter is as follows.

This section specifies the type of physical quantity to be acquired. An example is shown as follows:

5.5.1 Input Format

Keywords and their values are specified by a keyword and its value in the form keyword = value. Comments can also be entered by adding # (Subsequent characters are ignored).

5.5.2 Key words

type

Format: str

Description: The trainer to generate the neural network potential (currently only 'aenet').

• base_input_dir

Format: str

Description: Path of the directory containing the input files that the learner refers to.

• exe_command

Format: list of str

Description: List of commands to execute; if you use aenet, you need to specify the path to generate.x and train.x.

• ignore_species

Format: list

Description: Same as ignore_species in [sampling.solver] section. Specify atomic species to "ignore" in neural network models such as aenet. For those that always have an occupancy of 1, it is computationally more efficient to ignore their presence when training and evaluating neural network models.

5.6 [observer] section

This section specifies the type of physical quantity to be acquired. An example is shown as follows:

```
[observer]
type = 'default'
```

5.6.1 Input Format

Keywords and their values are specified by a keyword and its value in the form keyword = value. Comments can also be entered by adding # (Subsequent characters are ignored).

5.6.2 Key words

type

Format: str

Description: A physical quantity set.

5.7 [config] section

This section specifies alloy coordination, etc. An example is shown as follows:

```
[config]
unitcell = [[8.1135997772, 0.0000000000, 0.0000000000],
            [0.0000000000, 8.1135997772, 0.0000000000],
            [0.0000000000, 0.0000000000, 8.1135997772]]
supercell = [1,1,1]
[[config.base_structure]]
type = "0"
coords = [
    [0.237399980, 0.237399980, 0.237399980],
    [0.762599945, 0.762599945, 0.762599945],
    [0.262599975, 0.262599975, 0.762599945],
[[config.defect_structure]]
coords = [
    [0.000000000, 0.000000000, 0.000000000],
    [0.749999940, 0.249999985, 0.499999970],
    [0.124999993, 0.624999940, 0.124999993],
[[config.defect_structure.groups]]
name = 'Al'
# species = ['Al']
                       # default
\# \text{ coords} = [[[0,0,0]]] \# \text{ default}
```

```
num = 16
[[config.defect_structure.groups]]
name = 'Mg'
# species = ['Mg'] # default
# coords = [[[0,0,0]]] # default
num = 8
```

5.7.1 Input Format

Keywords and their values are specified by a keyword and its value in the form keyword = value. Comments can also be entered by adding # (Subsequent characters are ignored).

5.7.2 Key words

· Specify lattice

- unitcell

Format: list

Description : Lattice vector $\mathbf{a}, \mathbf{b}, \mathbf{c}$ by the list format $[\mathbf{a}, \mathbf{b}, \mathbf{c}]$.

supercell

Format: list

Description : The size of super lattice by the list format [a, b, c].

• [[config.base_structure]] section

type and coords specify the atomic species that do not move in Monte Carlo calculation and their coordinates.

If there are multiple atomic species, specify multiple [[config.base_structure]] sections.

- type

Format: str

Description: Atomic specie.

- coords

Format: list of lists or str

Description : Coordinates. Specify a list of N elements (number of atoms) arranged in 3 elements representing 3D coordinates, or a string of coordinates arranged in N rows and 3 columns.

• [[config.defect_structure]] section

This sections specifies the lattice coordinates (coords) and atoms (or atom groups) (groups) that can reside on those lattice sites. Monte Carlo sampling is performed on the lattice specified in this section. In Ver. 1.0, conversion tools from POSCAR and cif will be available.

- coords

Format: list of lists or str

Description: The coordinates of the lattice sites where atoms reside. A list of N elements (number of atoms) arranged in 3 elements representing 3D coordinates, or a string of coordinates arranged in N rows and 3 columns.

- [[config.defect_structure.groups]] section

The atom group information to be updated by Monte Carlo.

* name

Format: str

Description: The name of atomic group.

* species

Format: list

Description: The atomic species belonging to the atom group. The default value is a list containing only one specified by name. A vacancy can be represented by an empty list [].

* coords

Format: list of lists of lists or str

Description : The coordinates of each atom in the atom group in each direction of local orientation. N (number of atoms) three-element lists, each of which is a list of three elements representing three-dimensional coordinates, is specified as a three-fold list, arranged by orientation. For example, if there are two atoms in the atom group and there are three different orientations, x,y,z, then coords can be specified as:

```
coords = [
[ # dir-1
[0.0, 0.0, 0.0], [0.5, 0.0, 0.0]
],
[ # dir-2
[0.0, 0.0, 0.0], [0.0, 0.5, 0.0]
],
[ # dir-3
[0.0, 0.0, 0.0], [0.0, 0.0, 0.5]
],
]
```

The default value is [[0.0, 0.0, 0.0]], so this keyword can be omitted if there is only one atom in the atom group.

* relaxation

Format: list of lists or str

Description: Whether to optimize structure (coordinates) or not for each atom and dimension. A list of N elements (number of atoms) with 3 booleans ("true" or "false"), or a string of "true" or "false" arranged in N rows and 3 columns. Default is ["true", "true", "true"] for all the atoms.

* magnetization

Format: list

Description: Magnetization (the difference between the number of up and down electrons) for each atom. Default is 0.0 for all the atoms.

* num

Format: int

Description : The number of atom groups of the type specified in this section.

OUTPUT FILES FORMAT

The calculation results are output in each replica directory.

6.1 structure.XXX.vasp

The atomic coordinates for each step are saved in the POSCAR file format of VASP. XXX in the filename corresponds to the index of the step.

Example:

```
Mg8 Al16 O32
1.0
8.113600 0.000000 0.000000
0.000000 8.113600 0.000000
0.000000 0.000000 8.113600
Al Mg O
16 8 32
direct
0.011208 0.995214 0.998158 Al
0.758187 0.240787 0.499981 Al
... skipped ...
0.746308 0.744706 0.233021 O
0.257199 0.255424 0.771040 O
```

6.2 minE.vasp

The lowest-energy structure among the samples in this replica.

6.3 obs.dat

The temperature and the total energy for each step in units of eV.

Example:

```
      0
      0.1034076
      -41690.28269769395

      1
      0.1034076
      -41692.06763035158

      2
      0.1034076
      -41692.06763035158
```

(continues on next page)

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6.4 obs_save.npy

The total energy for each step in units of eV in the Numpy binary format. Users can load it as darray by using numpy.load('obs_save.npy').

Example:

```
$ python -c "import numpy; print(numpy.load('obs_save.npy'))"
[[-41690.28269769]
[-41692.06763035]
[-41692.06763035]
[-41691.98205991]
[-41692.7414371 ]]
```

6.5 kT_hist.npy

The temperature for each step in units of eV in the Numpy binary format. Users can load it as darray by using numpy.load('kT_hist.npy').

Example:

```
$ python -c "import numpy; print(numpy.load('kT_hist.npy'))"
[0.1034076 0.1034076 0.1034076 0.1034076]
```

6.6 Trank_hist.npy

The rank (index) of the temperature for each step in the Numpy binary format. Users can load it as darray by using numpy.load('Trank_hist.npy').

Example:

```
$ python -c "import numpy; print(numpy.load('Trank_hist.npy'))"
[1 1 1 1 1]
```

CHAPTER

SEVEN

MISCELLANEOUS TOOLS

abICS comes with a few tools for facilitating typical workflows.

- st2abics for preparing an abICS input file from a structure file.
- abicsRXsepT for postprocessing of replica exchange Monte Carlo run.

The following sections describe how to use each of these tools.

7.1 st2abics

It is sometimes quite tedious to prepare the <code>[config]</code> section in abICS input files. To facilitate this, we provide the st2abics tool, which takes a structure file readable by pymatgen and converts it to an abICS input template with the <code>[config]</code> section filled in. An additional control file is required to tell st2abics how to break down the original structure file into config.base_structure and config.defect_structure (see <code>[config]</code> section for definitions). The tool is used as follows:

```
$ st2abics -h
usage: st2abics [-h] inputfi structurefi [outfi]

Prepare abICS config from structure file

positional arguments:
   inputfi         toml input file for st2abics
   structurefi   Structure file that can be read by pymatgen Structure.from_file() method
   outfi         Output file to be used as abics input. Defaults to standard output

optional arguments:
   -h, --help         show this help message and exit
```

Examples are provided in examples/st2abics and can be run as follows:

```
$ cd examples/st2abics
$ st2abics st2abics_MgAl204.toml MgAl204.vasp abics_MgAl204.toml # spinel
$ st2abics st2abics_CuZn.toml CuZn.vasp abics_CuZn.toml # brass
$ st2abics st2abics_BZY.toml BaZr03.vasp abics_BZY.toml # Y-doped BaZr03
```

The resulting files (abics_MgAl2O4.toml, abics_CuZn.toml, and abics_BZY.toml in the above example) can be used as abICS input after filling in the [mlref], [train], [sampling] and [observer] sections.

7.1.1 Input Format

Examples of st2abics input files can be found in examples/st2abics (st2abics_CuZn.toml, st2abics_MgAl204.toml, and st2abics_BZY.toml in the above example).

The format is similar to [config] section of abICS input file.

7.1.2 Keywords

• supercell

Format: list

Description : The size of supercell by the list format [a, b, c].

• [[config.base_structure]] section

This section specifies how to construct the base_structure that does not exchange atoms between lattice sites during the Monte Carlo calculation.

- species

Format: list of str

Description: Atomic species of the base_structure. The corresponding coordinates are extracted automatically from the input structure file.

fix

Format: bool

Description: Whether to disallow local relaxation of the base_structure (true) or not (false).

• [[config.defect_structure]] section(s)

This section specifies the sublattice for configurational sampling. There can be more than one [[config.defect_structure]] section, e.g., one for cations and one for anions.

- site_center_species

Format: list of str

Description: The species in the original structure file whose coordinates are used as lattice sites for configurational sampling.

- [[config.defect_structure.groups]] subsection(s) This section specifies the atom groups that reside on the lattice sites for configurational sampling. If not provided, it will be constructed automatically from the original structure file using site_center_species.
 - * name

Format: str

Description : The name of atom group.

* species

Format: list of str

Description: The atom species belonging to the atom group. The default value is a list containing only one species specified by name. Elements that do not appear in the original structure file can also be specified. A vacancy can be represented by an empty list []. As an example, see st2abics_BZY. toml in the example directory.

* coords

Format: list of lists of lists or str

Description : The coordinates of each atom in the atom group for each orientation that the atom group can take (see description for coords *here*). Default value is [[[0.0, 0.0, 0.0]]].

* num

Format: int

Description: The number of atom groups of the type specified in this section. Make sure to specify the number based on the sites in the supercell, which may be larger than the original structure file read in by st2abics.

7.2 abicsRXsepT

This tool is for reordering the resulting structures and energies at each sampling step of a RXMC run by temperature. It is used after an abICS RXMC run is finished as:

```
$ mpiexec -np NPROCS abicsRXsepT input.toml NSKIP
```

NPROCS should be equal to or larger than the number of replicas, and input.toml should be replaced by the abICS input file that was used for this run. NSKIP is an optional parameter and is used for specifying the number of thermalization steps to skip when calculating the energy averages at each temperature (default value is 0). The results are stored in the Tseparate directory, and energy averages vs. temperature are stored in Tseparate/energies_T.dat

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abICS is designed for combining parallel extended ensemble methods with arbitrary energy calculators. At present, only the replica exchange Monte Carlo method is implemented.

8.1 Replica exchange Monte Carlo method

A disadvantage of the widely-used Metropolis Monte Carlo algorithm is that it tends to get stuck in local minima. The replica exchange approach aims to overcome this problem by considering multiple copies, or replicas, of the system under study. The algorithm may be described roughly as follows (see references below for more accurate descriptions). Monte Carlo sampling is performed on each replica independently at varying temperatures. At preset intervals, the temperatures are exchanged according to a Metropolis criterion that essentially assigns lower temperatures to replicas that happen to have lower energies. This allows an efficient sampling of the global configuration space using replicas at higher temperatures and accurate sampling of the local energy landscape at lower temperatures.

In abICS, parameters related to the replica exchange Monte Carlo method are specified in the [replica] section of the input file. By setting the lower limit of the replica temperature to kTstart, the upper limit to kTend, and the number of replicas to nreplicas, nreplicas replica systems with different temperatures ($T_0, T_1, \cdots T_{\text{nreplicas}-1}$) are sampled, where

$$T_i = rac{ ext{kTend} - ext{kTstart}}{ ext{nreplicas} - 1}i + ext{kTstart}.$$

In abICS, using nprocs_per_replica, the number of parallel solver processes that performs the calculation on each replica can be specified. The number of Monte Carlo steps is specified by nsteps, and the exchange transition probability R for each RXtrial_frequency step is defined as

$$R = \exp\left[-\left(\frac{1}{T_i} - \frac{1}{T_k}\right) \left(E(X_i) - E(X_k)\right)\right],\,$$

where X_i is the state for i -th replica system. In abICS, the exchange transition is tried between replicas with adjacent temperatures. The temperature exchange $T_i \leftrightarrow T_k$ is performed with the exchange transition probability R. Physical quantities such as the total energy is measured at each sample_frequency step.

- · About replica exchange Monte Carlo method
 - K. Hukushima and K. Nemoto, J. Phys. Soc. Japan, 65, 1604 (1996).
 - R. Swendsen and J. Wang, Phys. Rev. Lett. 57, 2607 (1986).

8.2 About configuration and update

Here, the outline of the definition of the configuration in abICS and the update by the Monte Carlo method are explained using Fig. 8.1 as an example.

(a)-(c) are schematic figures of unitcell, base_structure, and defect_structure, where blue, green, and black circles are the atomic types defined by base_structure, respectively. The star symbol indicates the location of the defects defined by defect_structure. (d) is a schematic figure for specifying the atomic species in base_structure. Here, three atomic species of blue, green, and black are defined. How each atom is arranged is defined by coords for each atom type. (e) is a schematic figure for specifying the group of atoms to be located at the defect position with defect_structure. orange defines a group consisting of four atoms composed of two types of atoms, and purple forms a group of three atoms composed of three types of atoms. These groups are placed at defect points specified by defect_structure.coords. The arrangement of atoms in each group can be specified by coords in the defect_structure groups section. defect_structure can be defined multiple times. Groups of each defect_structure will be placed at points of each one. (f) is a schematic figure about the update of the Monte Carlo method. In the update, there are two patterns, one that swaps two atom groups of different type, and the other that changes the orientation within the atom group without changing the arrangement. The type of updates is automatically selected with 1/2 probability. The energy is calculated with the specified solver from the proposed configuration X_{trial} and then the adoption rate $P(X_i \to X_{trial})$ is calculated.

- · Overview of abICS
 - S. Kasamatsu and O. Sugino, J. Phys. Condens. Matter, 31, 085901 (2019).

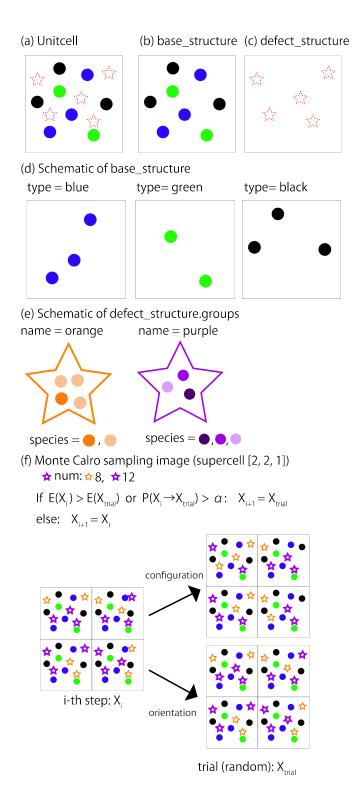


Fig. 8.1: (a)-(e) Definition of lattice in abICS. (f) A schematic of MonteCarlo method. Details are described in the text.

CHAPTER

NINE

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CHAPTER

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CONTACTS

• About Bugs

Please report all problems and bugs on the GitHub Issues page

To resolve bugs early, please follow these guidelines when reporting:

- Please specify the version of abICS you are using.
- If there are problems for installation, please inform us about your operating system and the compiler, and include the input / output.
- If a problem occurs during execution, please provide the input file used for execution and its output.

Thank you for your cooperation.

• Others

If you have any questions about topics related to your research that are difficult to consult at Issues on GitHub, please contact the developer team by email.

E-mail: abics-dev__at__issp.u-tokyo.ac.jp (replace _at_ by @)