# Chinese Character Recognition

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# 1 Introduction

Character recognition is an important part in the fields of computer vision and artificial intelligence. For a machine to recognize sentences, words ,and characters in a given image is useful for multiple goals. For example to digitize handwritten texts. digitized texts have a number of advantages over physical texts. An important advantage is that digital texts are more easily distributed and reproduced. It is also easier to search in digital texts. But probably the greatest advantage is storage. It is easier and takes less room to save and backup a few bytes on a disk than it is to physically backup archive the same texts. As is often the case in the fields computer vision and artificial intelligence, compression is the end goal.

An other practical application of character recognition is text translation. Text recognition for the use of translation is useful for example tourists who use their smart-phone to take a picture of a sign and have that sign translated in their native language by an translation app. Or even better, have their text translated and inserted into the camera feed directly. This form of augmented reality is still in its infantsy but being able to recognize and digitize texts on walls, signs ,and posters for all kinds of purposes is not that hard to imagine.

Usage of character recognition can also be imagined in the retail industry. Here it can be used for label recognition on certain products, currency ,and identification purposes for restricted product groups (like liquor and tobacco).

The automotive industry uses character recognition in their autonomous cars. They read speed limits and other road signs to form a state of awareness of their surroundings They abstract what the next course of action should be based partly on that gathered state. A normal road sign is not a character but a computer does not know the difference between 'human' characters and a specific image. The important part is that the goal is to find meaning in an image by 'reading' parts of that image. Sign recognition and character recognition are therefor closely related. They differ in that characters tend to come in a sequence forming sentences. Where road signs are mostly isolated.

Staying on the road character recognition is used for numberplate recognition. It is used by law enforcers to find stolen or unregister vehicles but also as an automated form of speed control. Here a mounted or portable camera is used to take pictures of cars with their number plates and the software recognizes the plates and the characters on them to identify the vehicle.

# 2 Method

TODO: Small introduction to this section

TODO: the skew of the chars is not done by this algorithm

### 2.1 Segmentation

The Chinese characters were supplied in greyscale strokes of characters in the western orientation (from left to right).

In order to segment the characters from an image a combination of methods is used. First the image is binarized. Binarization is done by applying a Gaussian filter on the image to remove some of the noise. Then Otsu's method of finding a threshold is used. The image is then binarized using this threshold.

#### TODO: Add references for Otsu and gausian filtering

After binarization the image is rotated. This is done because most images in the dataset are titled slightly. This is probably due to some variations in the orientation of the paper during the scanning process. Rotation is done by resizing the image in the vertical direction to half its size. The idea behind this is that the characters will be squeezed together and form a horizontal line this line can then be used to find the optimal rotation. this is done by taking the horizontal densities. The maximum densities are saved for the different rotations (between  $+1^{\circ}$  and  $-1^{\circ}$ ). The rotation with the largest maximum density is where the line is likely to be the most horizontal. This is taken as the optimal rotation.

After the characters are rotated the vertical location of the characters are located by taking the horizontal densities. The densities are smoothed by using a averaging filter with ten of the neighboring densities. Then the densities are thresholded. The threshold is determined by taking  $0.1 \times imageWidth$ . From the resulting vertical areas the largest area is taken. This is based on the assumption that characters will produce the most wide peak in horizontal densities. For example many of the images hold a horizontal line above or beneath the characters. This line will produce a narrow but high peak when the horizontal densities are taken. The characters will in this case produce a lower and wider peak. After the determination of the vertical location of the characters the rest of the image is removed leaving only a horizontal stroke of characters.

### TODO: Add image of the densities

We are left with a isolated stroke of characters. In order to find the characters in this stroke the connected components are taken. This will give us a list of all the connected components in the image. If multiple components are above each other we assume that these components are part of the same character. These components are merged. Some components are not directly on top of each-other. It is determent how much the components overlap vertically. If the overlapping width is 0.4 times the width of the width of the smaller of the two components, they are merged.

After merging vertically we are left with a list of components that might be outliers in terms of width in comparison with the mean width of the characters in the supplied labeled dataset. In order to recognize outliers the mean and standard deviation was calculated from the characters in the labeled dataset. A component is deemed a small out-lier if:

$$C_w < \mu_w + 2\sigma_w \tag{1}$$

and big if:

$$C_w > \mu_w + 2\sigma_w \tag{2}$$

Here  $C_w$  is the component's width,  $\mu_w$  is the mean width and  $\sigma_w$  is the standard deviation in width.

Small components that are characters on their own are often preceded and followed by more white space than components that form a character with the component left or right from it. The small characters are inspected on this feature and it is determined if this small component is actually a character. Some small components are just noise. If a component is too small, has too much black pixels or too much white pixels, it is recognized as noise and it is removed from the list of components.

TODO: At the moment these determinations are made by chosen thresholds. But these thresholds can be extracted from the labeled dataset

All that is left in the list of small components are expected to be components of characters. The components are merged with their left or right neighbor based on the width the newly merged component will have. Small components merge with the component (left or right) that together will have the smallest width. If however both neighboring components are deemed as big by the outliers detection, no merging will take place. The component is measured after merging. If the merged components is still small, the algorithm will try to merge it again with its left or right neighbor.

TODO: Sometimes 2 characters are seen as one because of some noise above the characters or because they are both small. This can be detected by taking the components that are deemed big and see if they can be separated in two or more components if there is a lot of white space in between them or by looking at the vertical densities.

- 2.2 Feature Extraction
- 2.3 Classification
- 3 Dataset
- 4 Experiment
- 5 Results
- 6 Discussion