# Transcript Quantification using RNA-Seq

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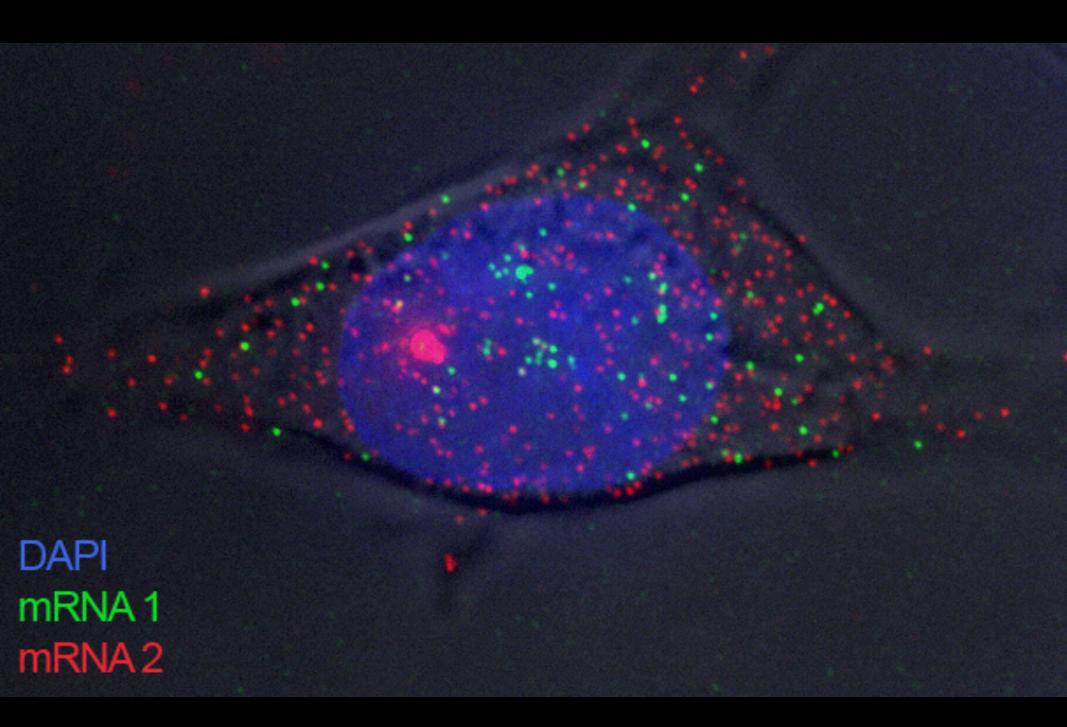


Image: https://homepages.warwick.ac.uk/staff/D.Hebenstreit/

#### Gene Expression

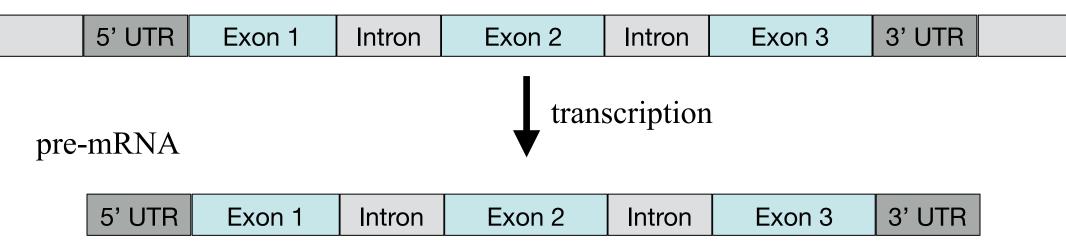


- What genes are expressed in cell type X and in what quantities?
- What genes are expressed in all cells? ("housekeeping genes")
- What genes are differentially expressed in cells A relative to B?
- How do gene expression patterns change over time, during development, as a result of treatment with chemical Y, ...
- Answering all of these questions requires methods to quantify the abundance of transcripts

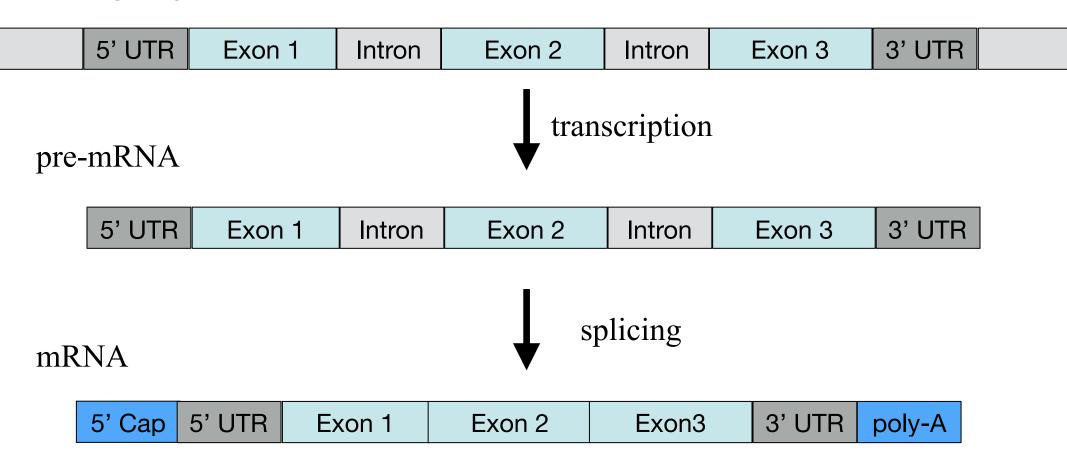


5' UTR	Exon 1	Intron	Exon 2	Intron	Exon 3	3' UTR	

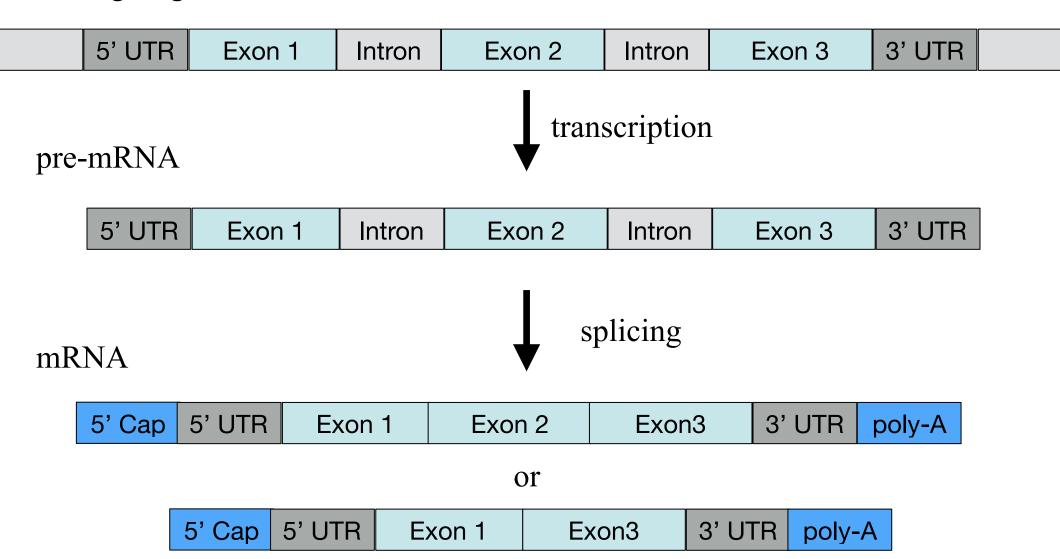














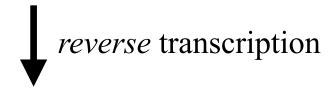


Most sequencing instruments can't directly sequence RNA molecules. Must convert to cDNA first.





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#### RNA Sequencing



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reverse transcription



#### **Analysis Problems**



 Can we use the alignment methods we've already seen to align RNA-Seq reads to a reference genome?

### **Analysis Problems**

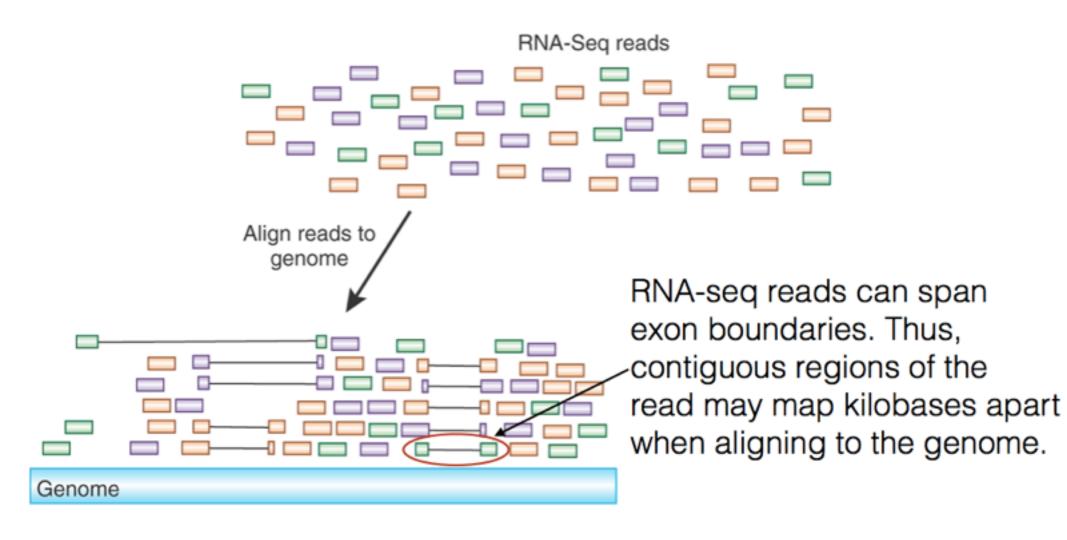


 Can we use the alignment methods we've already seen to align RNA-Seq reads to a reference genome?

Yes but require modifications to allow large gaps for introns

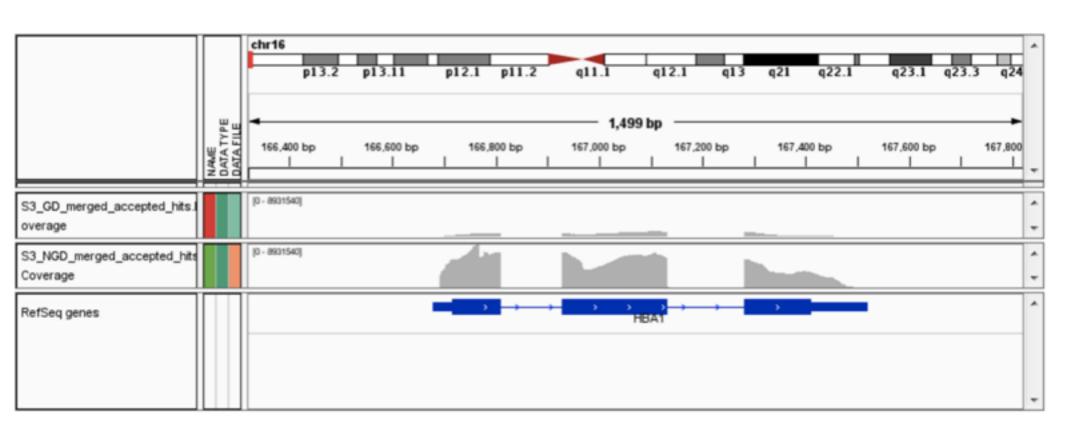
### RNA-Seq Alignment





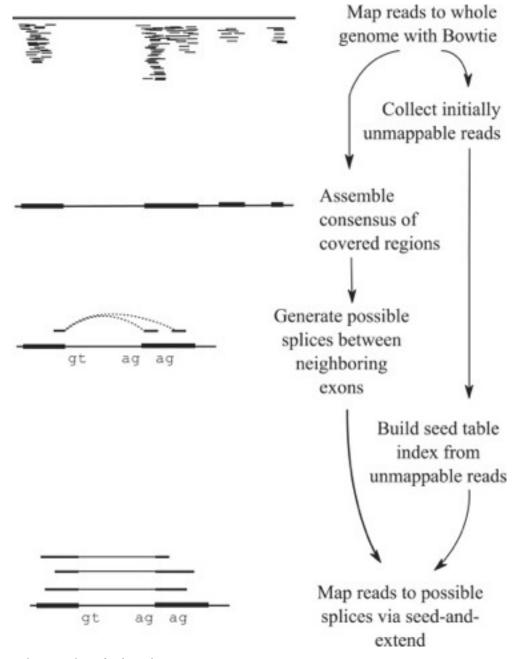
### RNA-Seq Alignment





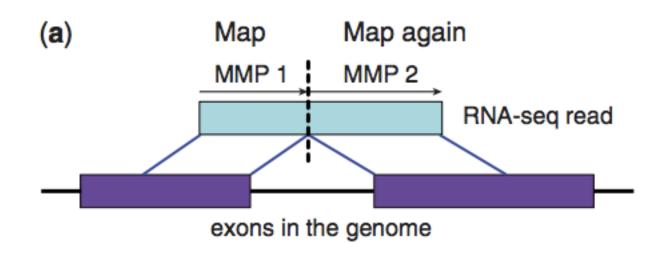






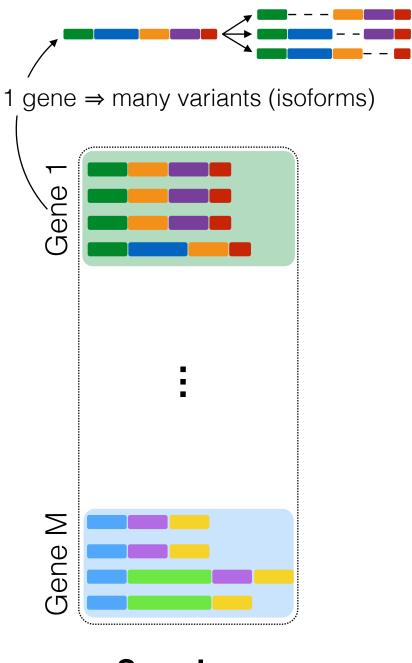




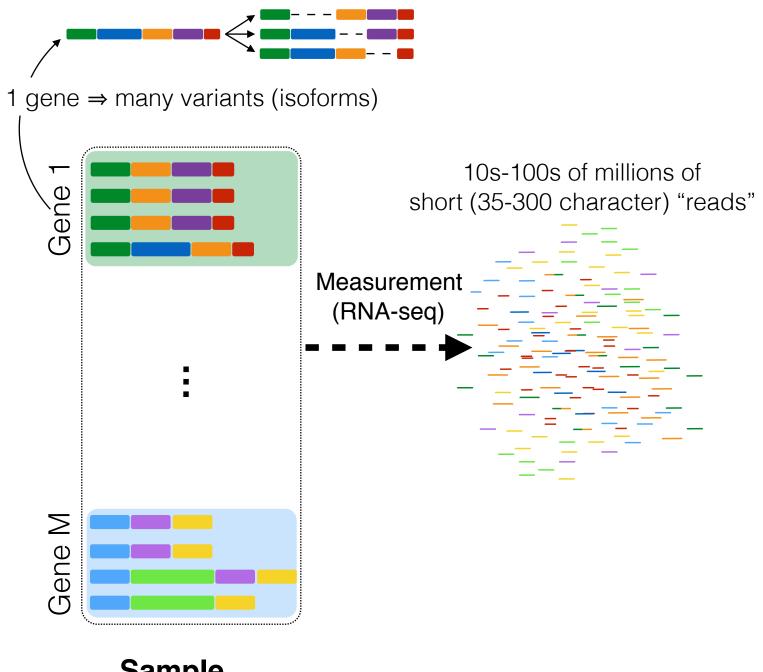


Step 1: find maximal matching prefix of read

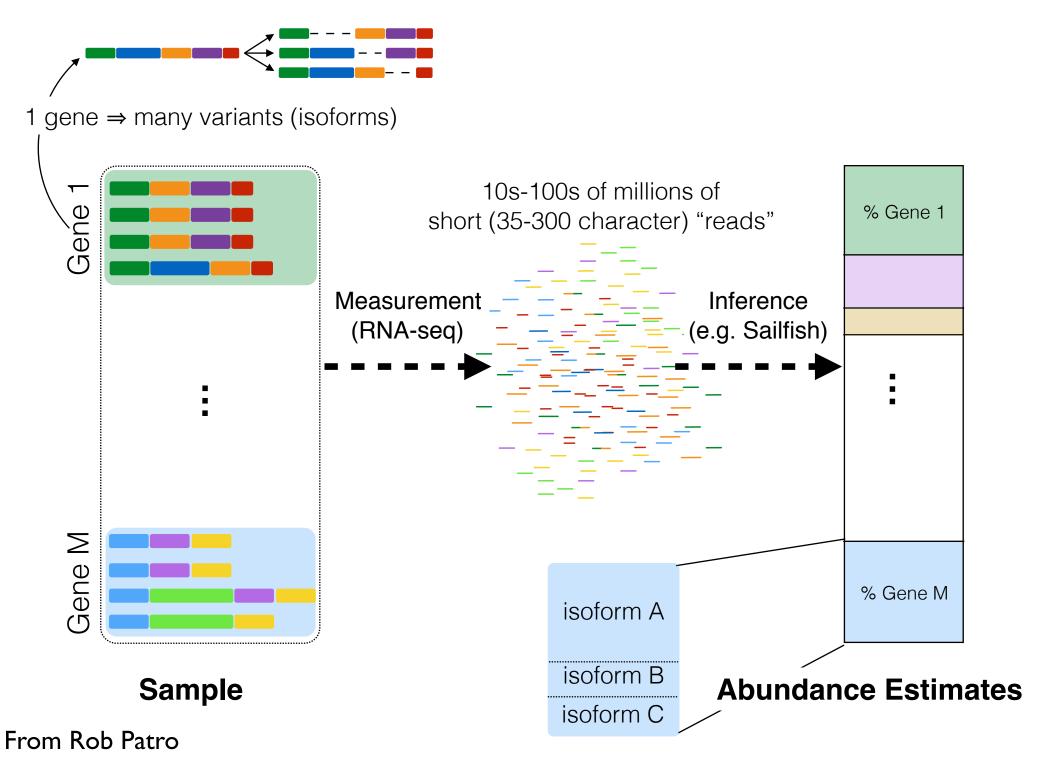
Step 2: repeat for the suffix of the read, while making sure partial matches consistent with splice donors/acceptors

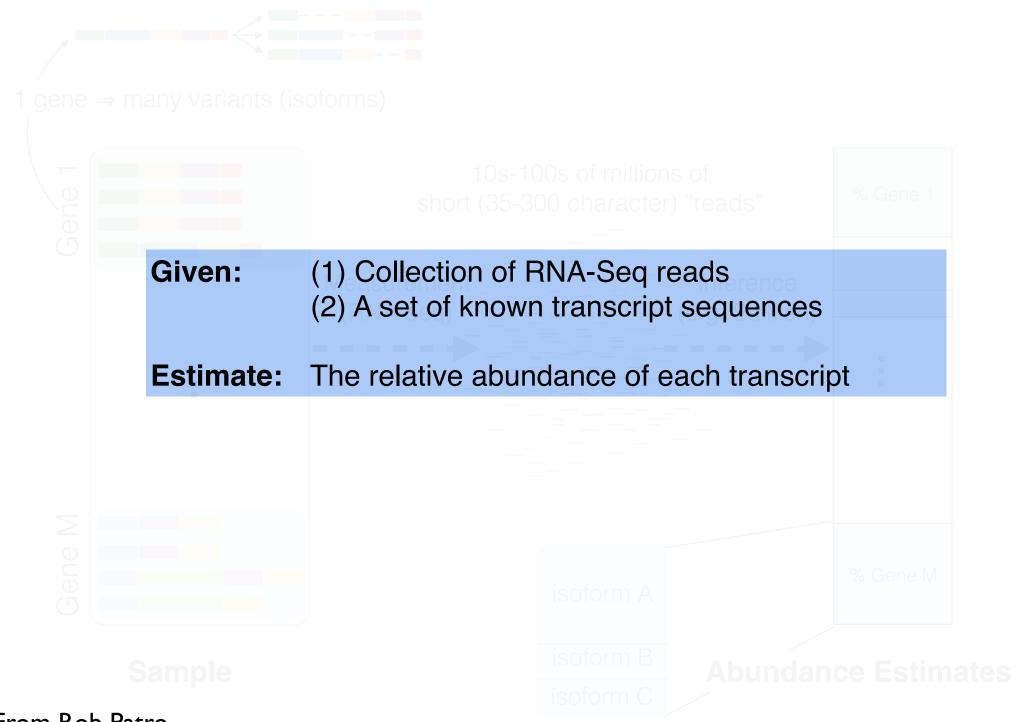


**Sample** 

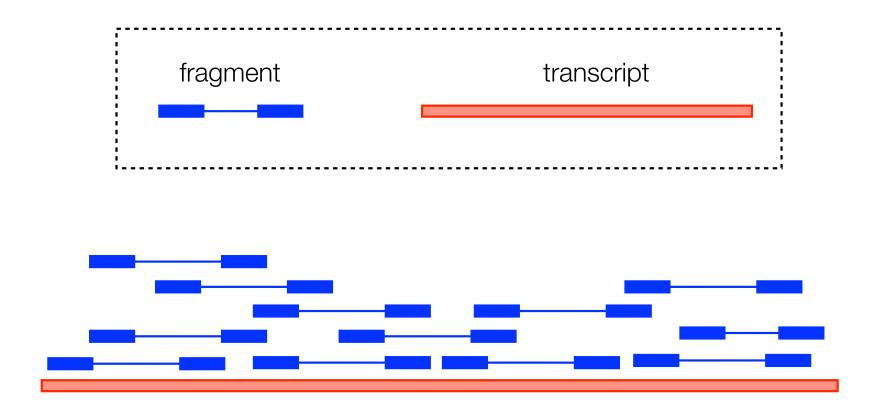


#### Sample



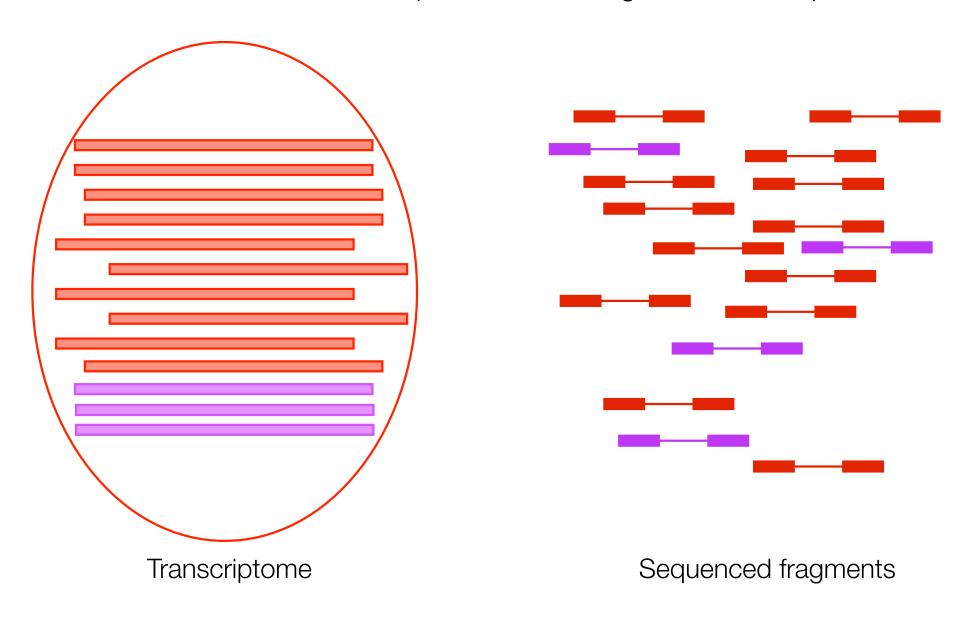


#### Basic principles of quantification



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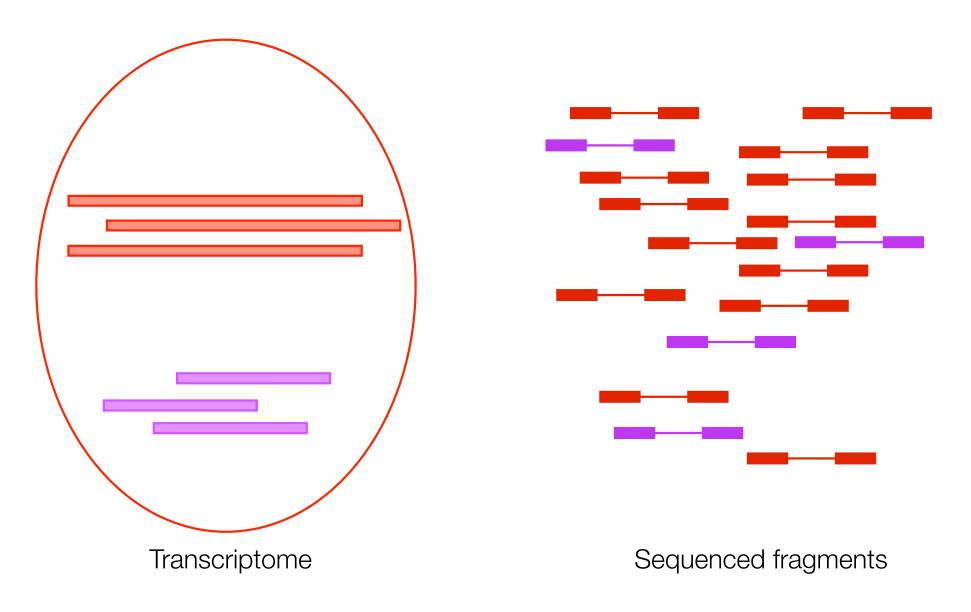
The more abundant a transcript is, the more fragments we'll sequence from it



slide from Cole Trapnell (http://www.stat115.org/lectures/stat115\_rnaseq.pdf) via Rob Patro

#### Basic principles of quantification

The **longer** a transcript is, the more fragments we'll sequence from it



TPM (Transcripts Per Million)

$$\text{TPM}_i = \rho_i \times 10^6 \text{ where } 0 \le \rho_i \le 1 \text{ and } \sum_i \rho_i = 1$$

$$\rho_i = \frac{\frac{X_i}{\ell_i}}{\sum_j \frac{X_j}{\ell_j}}$$
 Reads coming from transcript i

FPKM (Fragments Per Kilobase Per Million Mapped Reads)

$$FPKM_i = \frac{X_i}{\left(\frac{\ell_i}{10^3}\right)\left(\frac{N}{10^6}\right)} = \frac{X_i}{\ell_i N} \cdot 10^9$$

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$$\rho_i = \frac{\frac{X_i}{\ell_i}}{\sum_j \frac{X_j}{\ell_j}} \qquad \begin{array}{c} \text{Reads coming from} \\ \text{transcript i} \end{array}$$
 Length of transcript i

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abundance of i as fraction of all measured transcripts

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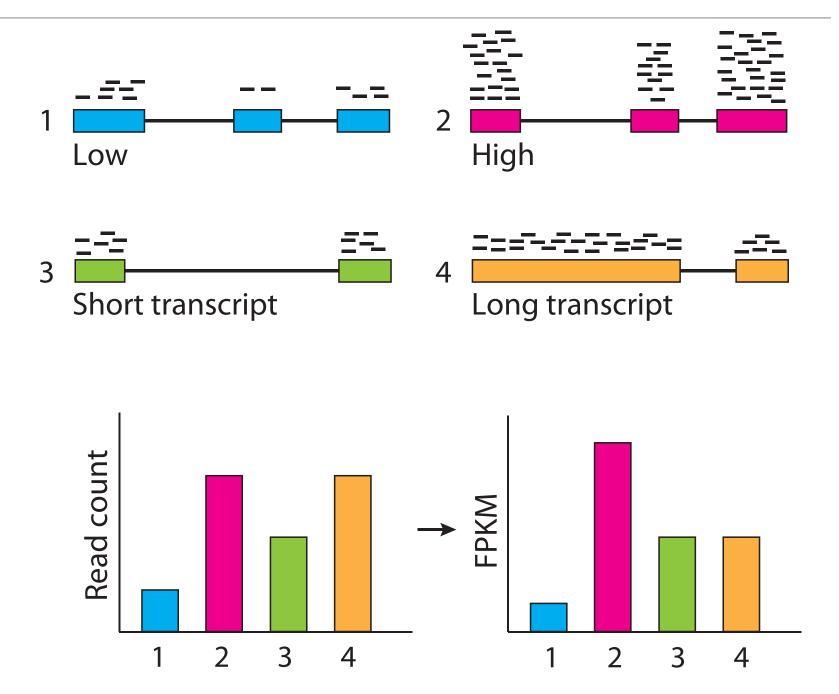
Reads coming from

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$$FPKM_i = \frac{X_i}{\left(\frac{\ell_i}{10^3}\right)\left(\frac{N_{\bullet}}{10^6}\right)} = \frac{X_i}{\ell_i N} \cdot 10^9$$

Total number of mapped reads

### Calculating expression of genes and transcripts



slide from Cole Trapnell (<a href="http://www.stat115.org/lectures/stat115">http://www.stat115.org/lectures/stat115</a> rnaseg.pdf) via Rob Patro

# The difficulty is in estimating Xi

All equations on the previous slides assume we know the value of  $X_i$ — the number of reads originating from transcript i.

This is not as easy as it seems; multi-mapping reads are a major confounder:

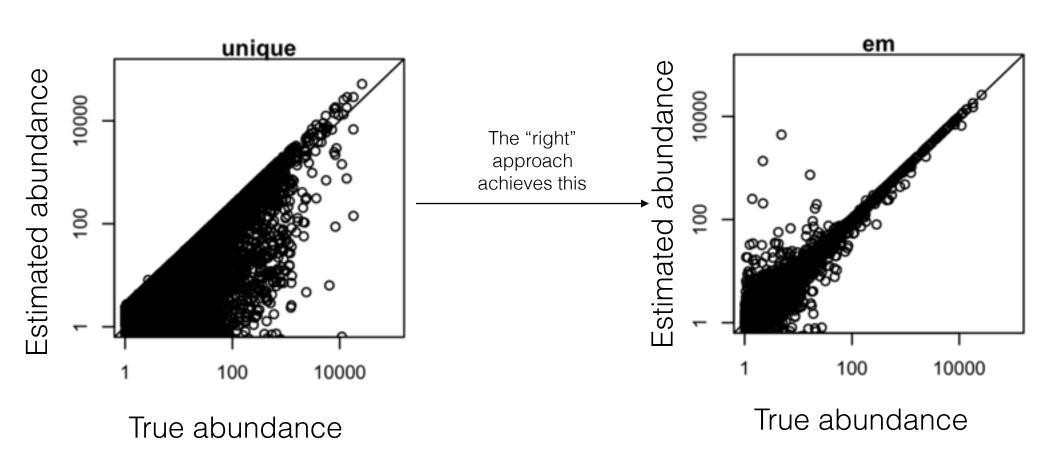
Different transcripts may share much of the same sequence (e.g. shared exons) — how do we assign a fragment in such situations?

Even without isoforms, such problems arise from similar / related gene families.



# A simple (and wrong) approach

What if we consider only reads that map uniquely to a single transcript?



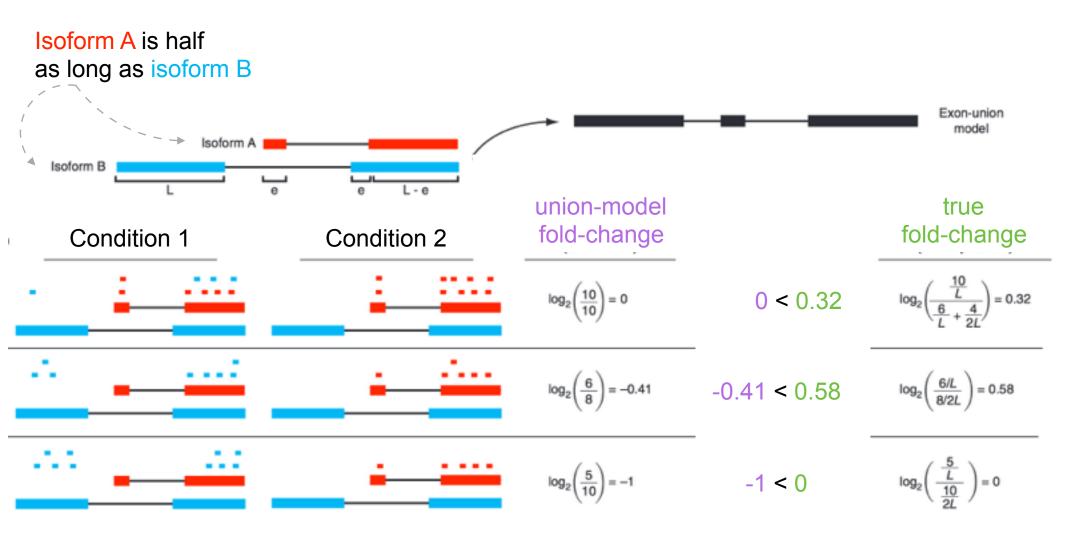
Li, Bo, et al. "RNA-Seq gene expression estimation with read mapping uncertainty." Bioinformatics 26.4 (2010): 493-500.

#### Considering Genes Doesn't Solve the Problem

But, what if we only care about expression at the level of "genes" (i.e. we don't care about how much of each isoform there is, only how much of each overall gene)?

People commonly consider 2 models for this problem (union & intersection); Trapnell et al. show that both models can lead to completely incorrect results → accurate gene-level estimates require isoform-level abundance computations!

#### Resolving multi-mapping is fundamental to quantification

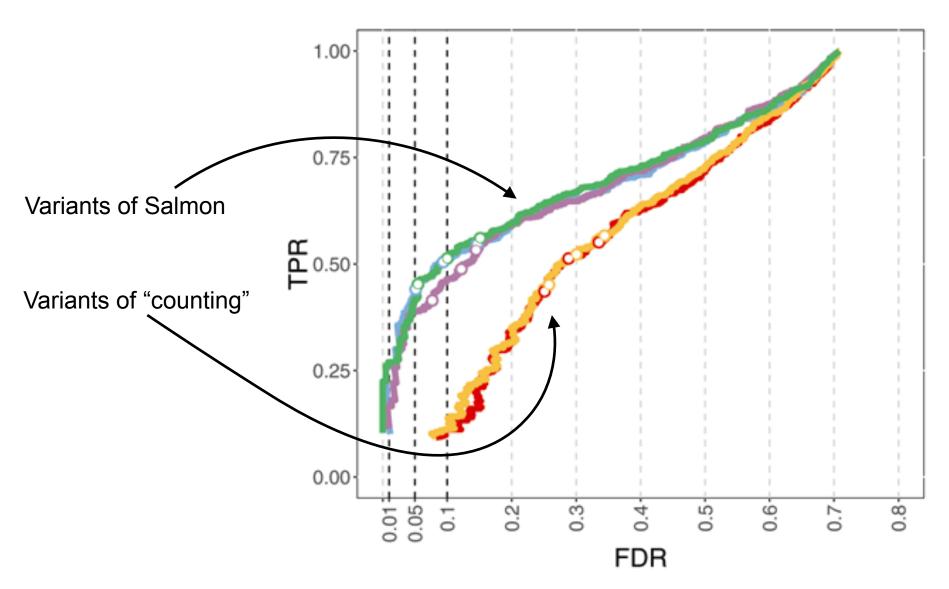


**Key point**: The length of the *actual molecule* from which the fragments derive is crucially important to obtaining accurate abundance estimates.

Adapted from: Trapnell, Cole, et al. "Differential analysis of gene regulation at transcript resolution with RNA-seq." Nature biotechnology 31.1 (2013): 46-53.

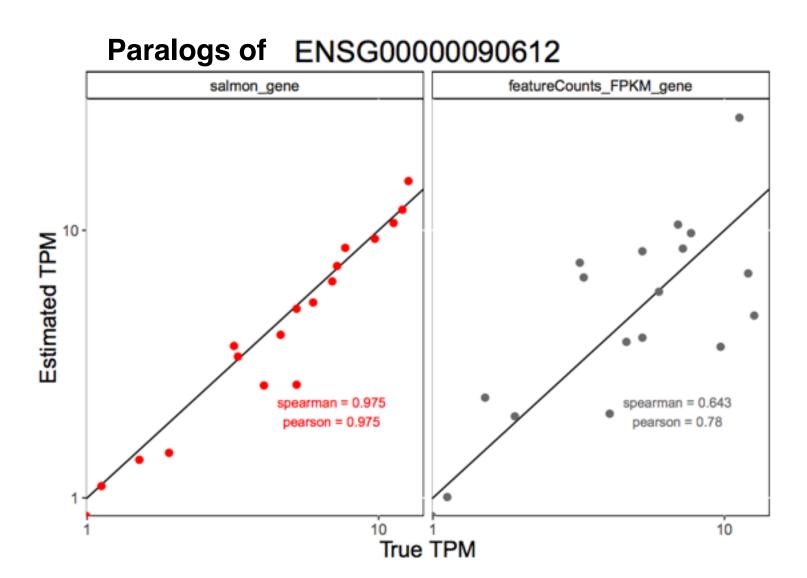
#### Resolving multi-mapping is fundamental to quantification





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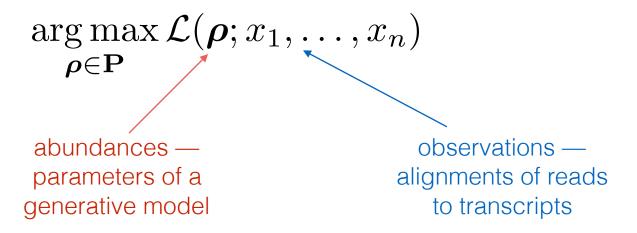
Can even affect abundance estimation in **absence** of alternative-splicing (e.g. paralogous genes)



#### So how do we estimate abundance "correctly"?

Key idea: Find the set of transcript abundances that maximizes the probability of the observed data — this is done by *probabilistic* assignment of fragments to transcripts.

That is: We're asking for the maximum likelihood estimates of transcript abundance



### So how do we estimate abundance "correctly"?

Finding the maximum likelihood estimates first requires defining the likelihood:

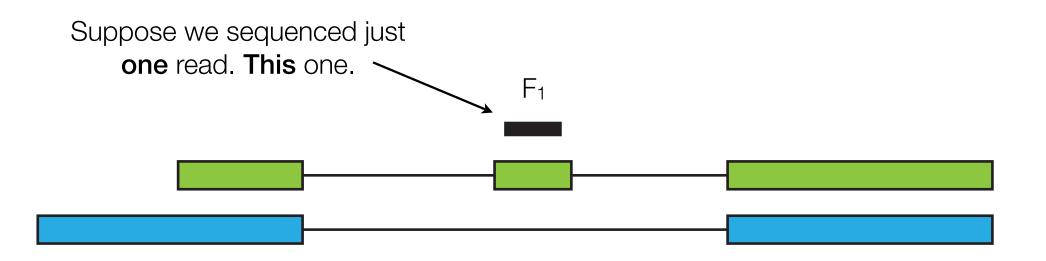
We'll define it in terms of parameters alpha

$$\alpha_t := \mathbb{P}(f \in t) = \frac{\rho_t \tilde{l}_t}{\sum_{r \in T} \rho_r \tilde{l}_r}$$

which are relatable, directly, to the rhos

$$\rho_t = \frac{\frac{\alpha_t}{\tilde{l}_t}}{\sum_{r \in T} \frac{\alpha_r}{\tilde{l}_r}}$$

\*Pachter, Lior. "Models for transcript quantification from RNA-Seq." arXiv preprint arXiv:1104.3889 (2011).



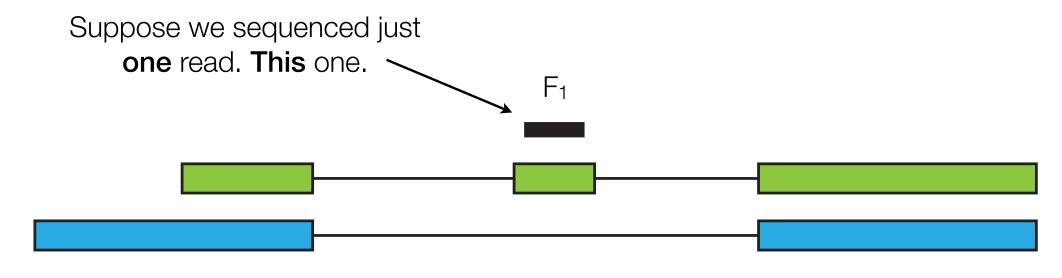
A few things need to happen to get this read as opposed to all the others we could have gotten:

We need to pick out a transcript from the RNA pool that could generate this read:

$$Prob(Picking the green transcript) = \frac{copies of the green transcript}{total number of transcripts in the pool}$$

Then, we need to pick this read from that transcript over all the others.

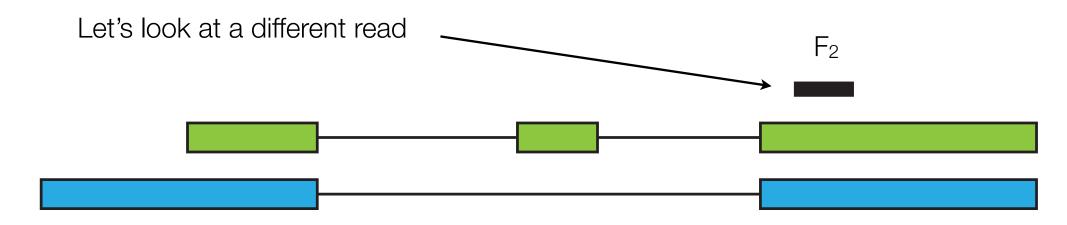
$$Prob(picking this read) = \frac{1}{length of green transcript}$$



not normalized by length

So given a relative abundance for the green transcript, which we'll call  $\alpha_{\rm green}$  we can calculate the probability of getting F<sub>1</sub>.

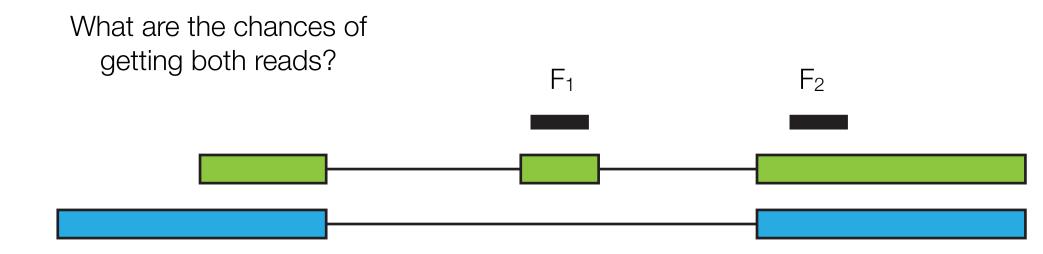
$$\Pr(F_1 \in T_{\text{green}}) = \Pr(F_1 \mid \alpha_{\text{green}}) = \frac{\alpha_{\text{green}}}{\ell_{\text{green}}}$$



F<sub>2</sub> could have come from either transcript, so we have to consider two ways of getting it:

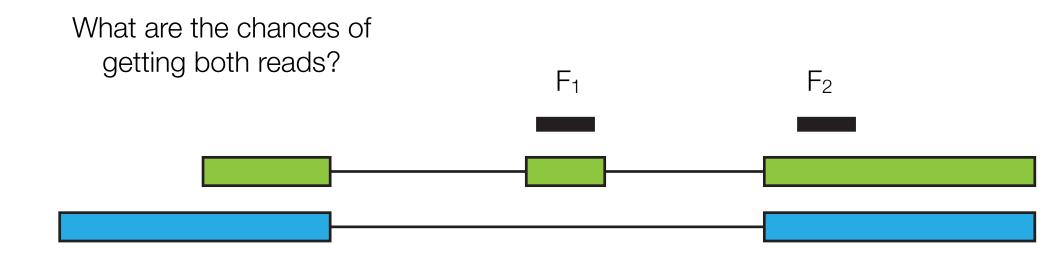
$$\Pr(F_2 \in T_{\text{green}} \text{ or } F_2 \in T_{\text{blue}}) = \Pr(F_2 \mid \alpha) = \frac{\alpha_{\text{green}}}{\ell_{\text{green}}} + \frac{\alpha_{\text{blue}}}{\ell_{\text{blue}}}$$

That is, in order to know the probability of getting  $F_2$ , we need to know the abundances of both the transcripts it might have come from.



To get both F<sub>1</sub> and F<sub>2</sub>, we just need to multiply the two probabilities!

$$\Pr(F_1 \in T_{\text{green}} \text{ and } F_2 \in T_{\text{green}} \text{ or } F_2 \in T_{\text{blue}}) = \Pr(F \mid \alpha) = \left(\frac{\alpha_{\text{green}}}{\ell_{\text{green}}}\right) \cdot \left(\frac{\alpha_{\text{green}}}{\ell_{\text{green}}} + \frac{\alpha_{\text{blue}}}{\ell_{\text{blue}}}\right)$$

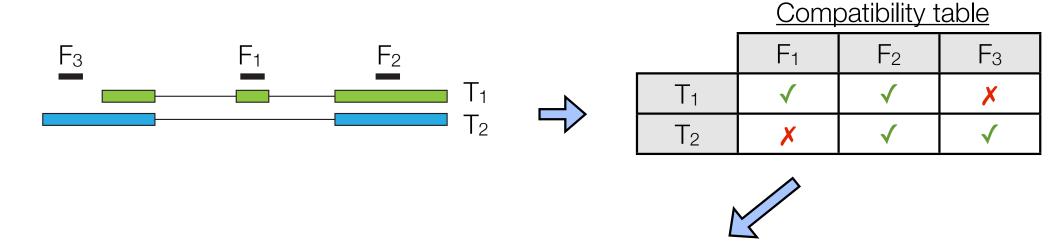


Let's look at this probability as a function of alpha:

$$\mathcal{L}(\alpha; F) = \mathcal{L}(\alpha) = \left(\frac{\alpha_{\text{green}}}{\ell_{\text{green}}}\right) \cdot \left(\frac{\alpha_{\text{green}}}{\ell_{\text{green}}} + \frac{\alpha_{\text{blue}}}{\ell_{\text{blue}}}\right)$$

Given a input assignment of abundances to transcripts (the alphas), this function returns a number. The greater the number, the better the chances of seeing the reads we actually see.

We can take any set of reads and any set of transcripts, and build one of these likelihood functions:



$$\mathcal{L}(\alpha; F) = \mathcal{L}(\alpha) = \left(\frac{\alpha_{\text{green}}}{\ell_{\text{green}}}\right) \cdot \left(\frac{\alpha_{\text{green}}}{\ell_{\text{green}}} + \frac{\alpha_{\text{blue}}}{\ell_{\text{blue}}}\right) \cdot \left(\frac{\alpha_{\text{blue}}}{\ell_{\text{blue}}}\right)$$

Now we want to find the values of alpha that maximize this likelihood function.

### Likelihood Function

With the simplest generative model, we get a likelihood function that looks like this:

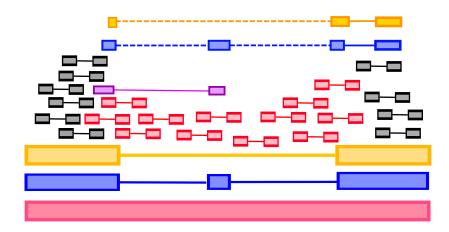
$$\mathcal{L}(\alpha) = \prod_{t \in T} \left(\frac{\alpha_t}{\tilde{l}_t}\right)^{X_t}$$
 fragments 
$$\propto \prod_{t \in T} \alpha_t^{X_t},$$
 compatible w/ transcript t

\*Pachter, Lior. "Models for transcript quantification from RNA-Seq." arXiv preprint arXiv:1104.3889 (2011).

#### From Rob Patro

#### Assigning reads to isoforms

**Problem**: infer which transcript each fragment came from



Some fragments could have come from any transcript (black), while others only one (blue, yellow). The purple fragment could have come from either the red or the blue one.

Conditional probability that a fragment came from a given isoform is a function of that isoform's abundance!

# Finding the MLE

This problem lends itself very well to an Expectation Maximization (EM) approach.

#### Essentially:

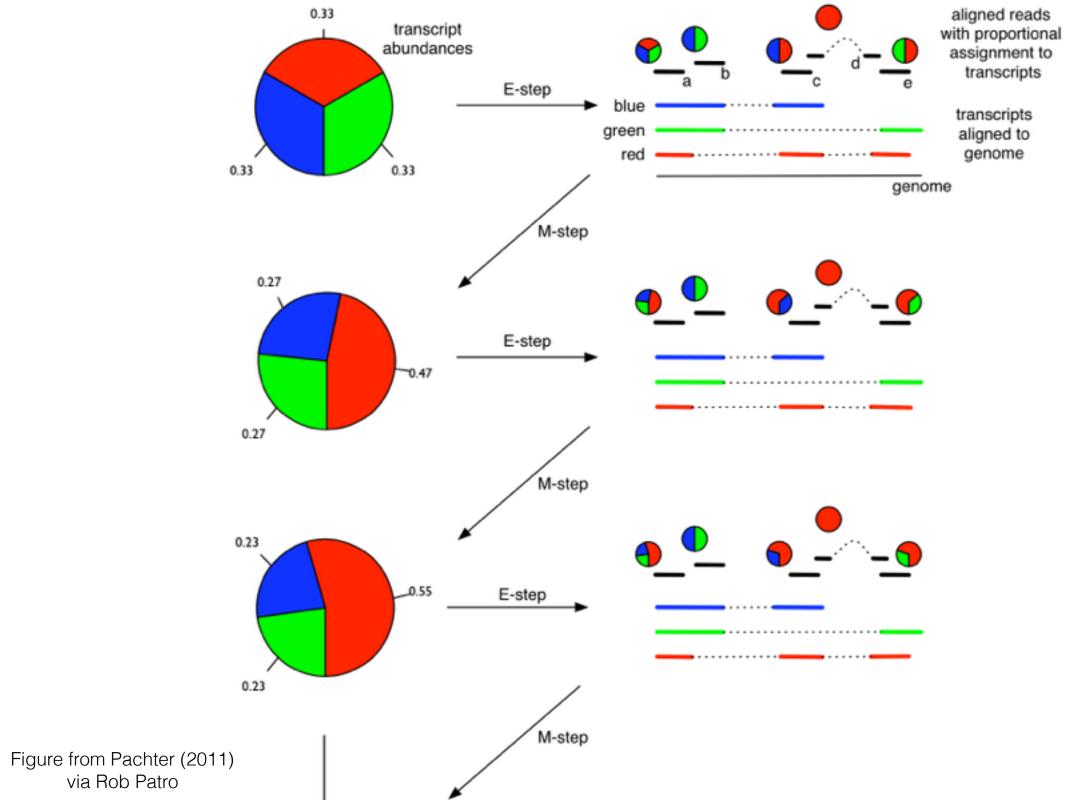
While not converged:

E-step

Assign fragments to transcripts (probabilistically) using current estimates of transcript abundance.

M-step

Re-estimate transcript abundance using probabilistic fragment assignments.



Performance of RSEM (one of the first methods to use EM for RNA-seq quant.)

MPE = median percentage error

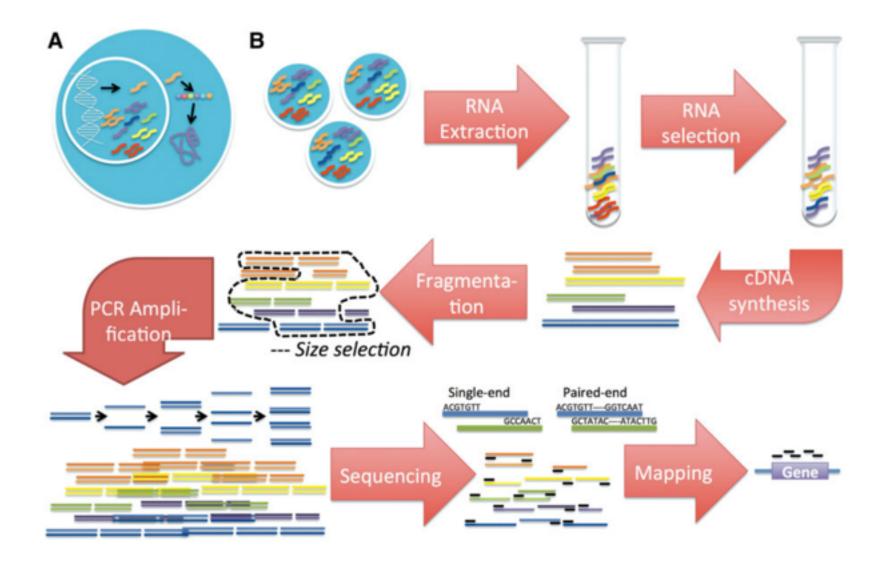
EF = error fraction (% error > 5)

**Table 2.** Error of the unique, rescue and em estimated gene expression levels with respect to sample expression values from simulations of mouse and maize RNA-Seq data

			Sample gene expression in NPM ( $\nu$ ) or TPM ( $\tau$ )					
			[1,10)	$[10, 10^2)$	$[10^2, 10^3)$	$[10^3, 10^4)$	$[10^4, 10^5)$	All
Simul	ation of m	ouse RNA-Seq	data					
		N	6279	4025	886	111	15	11316
	MPE	unique	29.6	29.2	30.9	32.8	32.1	29.6
		rescue	12.6	6.8	6.1	5.9	5.8	8.2
		em	2.6	1.0	0.4	0.3	0.4	1.5
=	EF	unique	93.7	93.9	95.6	99.1	100.0	94.0
		rescue	79.5	73.2	72.2	69.4	66.7	76.6
		em	27.8	6.2	1.1	0.0	0.0	17.7
Simul	ation of m	aize RNA-Seq o	lata					
		N	9210	4931	1040	113	12	15306
	MPE	unique	86.1	84.2	85.2	80.5	96.3	85.5
		rescue	21.3	11.8	8.9	8.5	7.7	16.0
<del>.</del>		em	4.6	1.5	0.6	0.5	0.3	2.8
	EF	unique	97.2	96.7	97.1	98.2	100.0	97.0
		rescue	89.4	88.3	85.8	82.3	91.7	88.8
		em	47.5	18.8	6.1	4.4	16.7	35.1

Li, Bo, et al. "RNA-Seq gene expression estimation with read mapping uncertainty." *Bioinformatics* 26.4 (2010): 493-500.

#### Actual RNA-seq protocols are a bit more "involved"



There is substantial potential for biases and deviations from our model — indeed, we see quite a few.

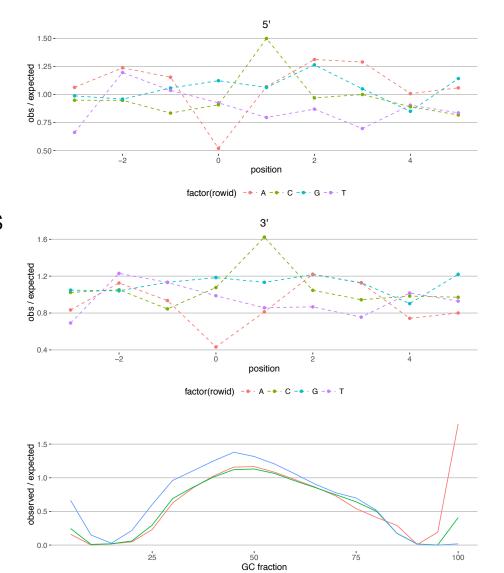
# Biases abound in RNA-seq data

Biases in prep & sequencing can have a significant effect on the fragments we see.

Fragment gc-bias<sup>1</sup>—
The GC-content of the fragment affects the likelihood of sequencing

Sequence-specific bias<sup>2</sup>—sequences surrounding fragment affect the likelihood of sequencing

Positional bias<sup>2</sup>—
fragments sequenced non-uniformly across the body of a transcript



factor(cbin) — [1, 34) — [34, 68)

1:Love, Michael I., John B. Hogenesch, and Rafael A. Irizarry. "Modeling of RNA-seq fragment sequence bias reduces systematic errors in transcript abundance estimation." bioRxiv (2015): 025767.

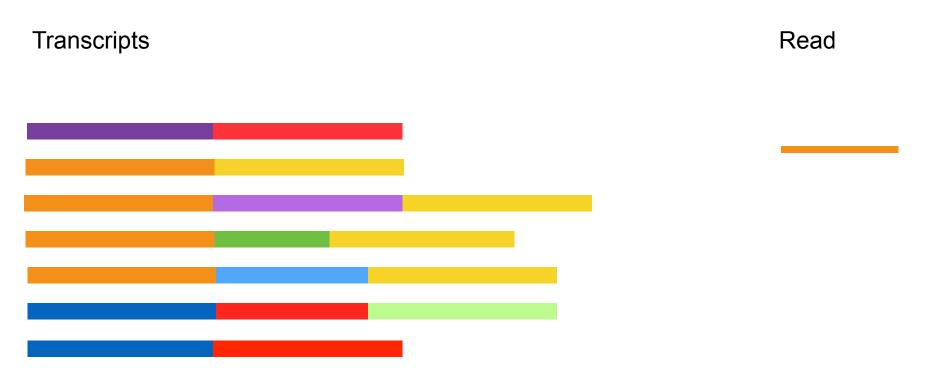
2:Roberts, Adam, et al. "Improving RNA-Seq expression estimates by correcting for fragment bias." Genome biology 12.3 (2011): 1.

#### Fast abundance estimation



- Standard RNA-Seq pipeline:
  - align reads to reference genome/transcriptome
  - process BAM file, produce transcript abundance estimates
- New algorithms greatly reduce runtime
  - Key idea: full alignment of read-to-reference is unnecessary
- All we care about is if a read is compatible with a certain transcript
- We'll discuss:
  - rapmap (Rob Patro)
  - kallisto (Pall Melsted, Lior Pachter, Nicolas Bray)

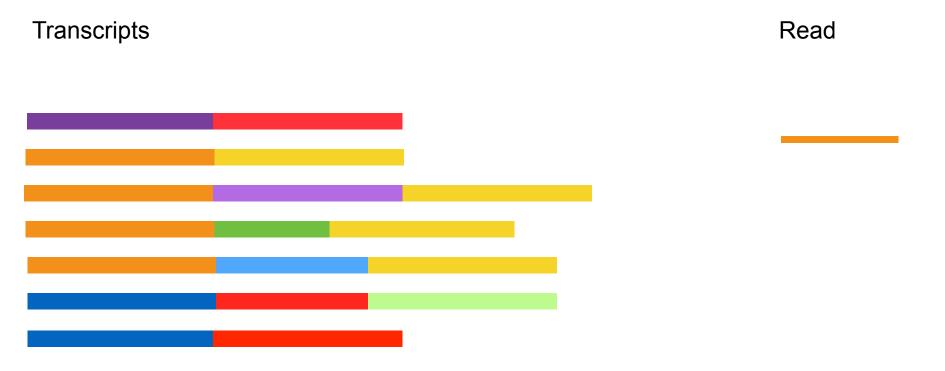
Consider the following scenario:



From Rob Patro

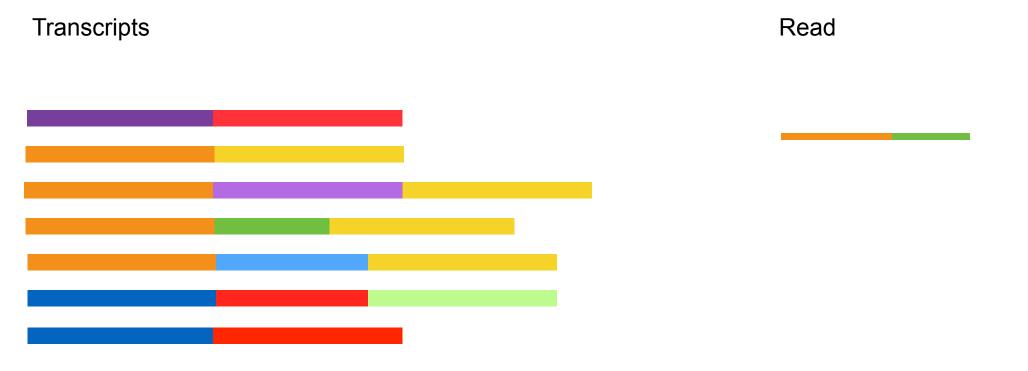
Consider the following scenario:

Say that colors represent exonic sequence. Intuitively, from where does the read originate?

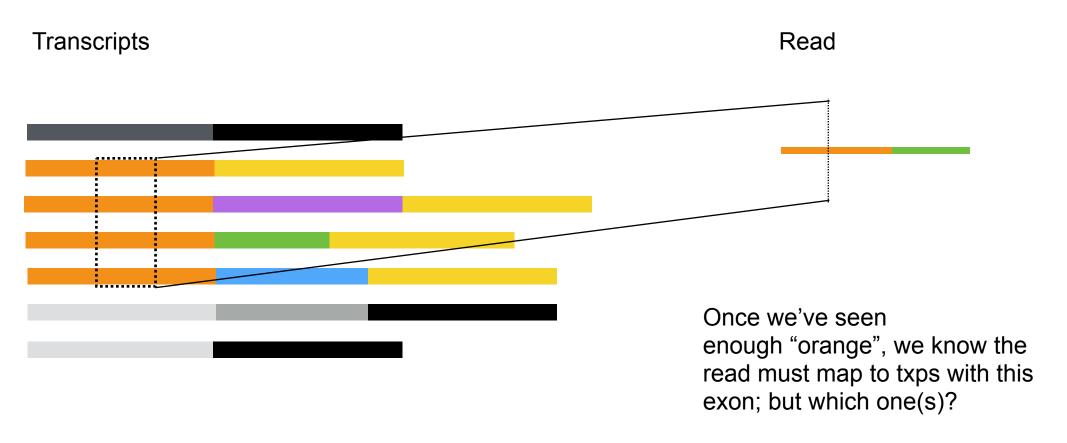


Consider the following scenario:

Say that colors represent exonic sequence. Intuitively, from where does the read originate? What about *this* read?



Consider the following scenario:

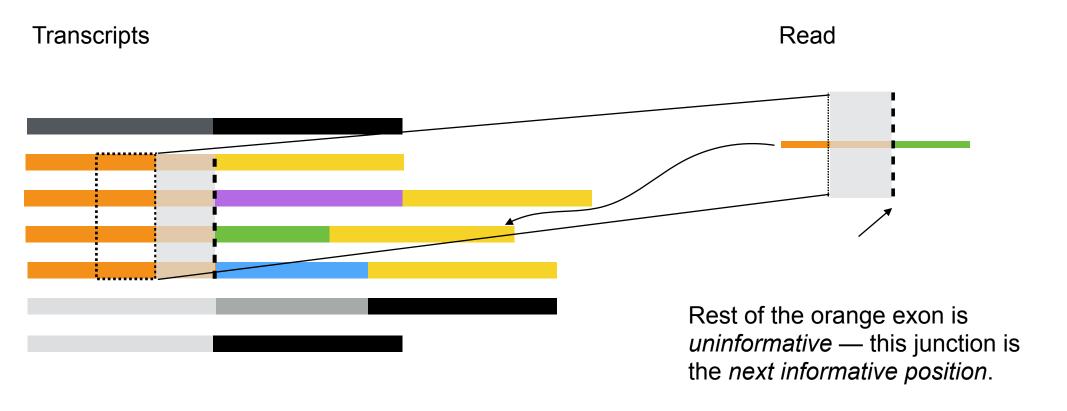


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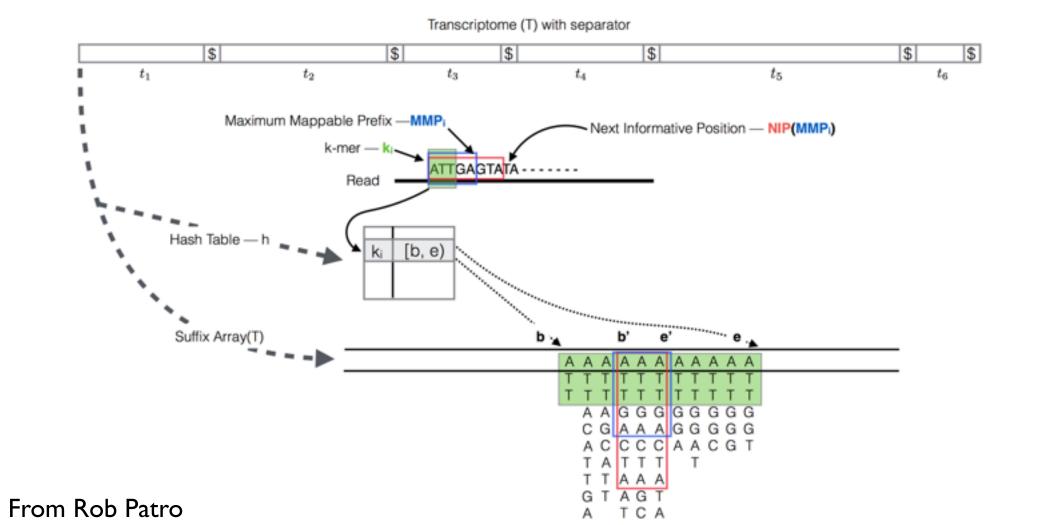
Consider the following scenario:

Is there some *general/formal* way to always find the next informative position (NIP) when mapping a read?

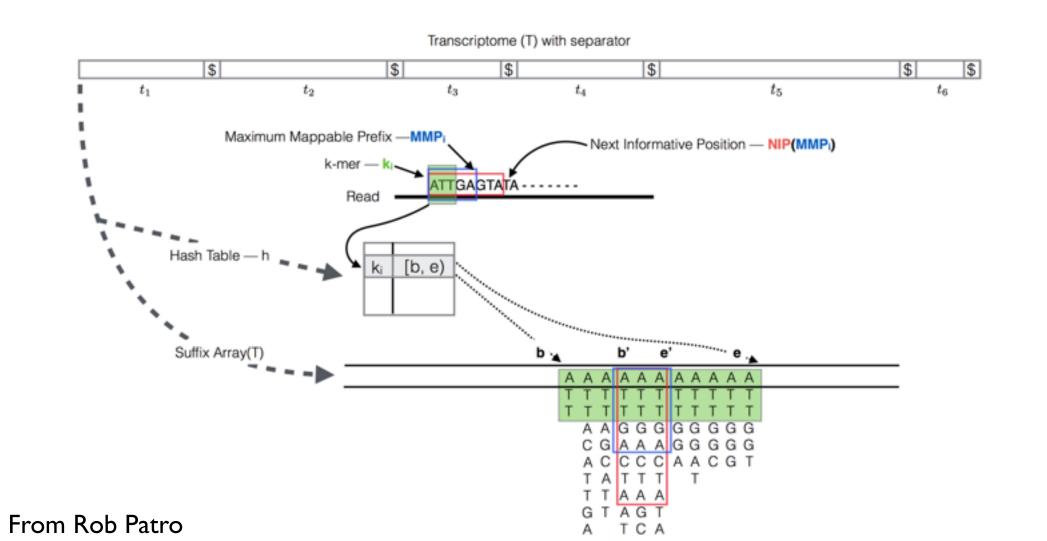


Move from left to right along read, until we find a k-mer with non-empty SA interval.

Compute Maximum Mappable Prefix (MMP) starting with this k-mer — logarithmic in k-mers SA interval

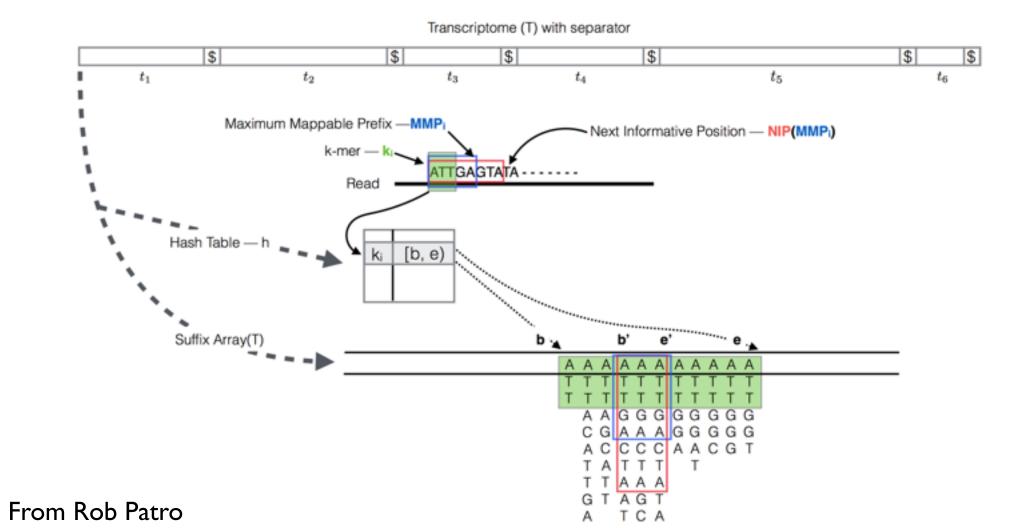


Compute NIP of this MMP — (fast) linear in read length



Compute NIP of this MMP — (fast) linear in read length

intuitively: **NIP** jumps you to the next exon boundary overlapping the read (need not be an actual exon boundary)

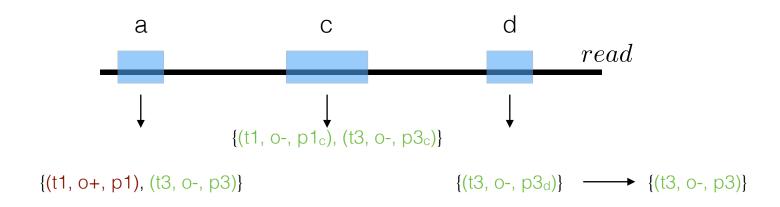


Produces a set of disjoint hits over each query (read).

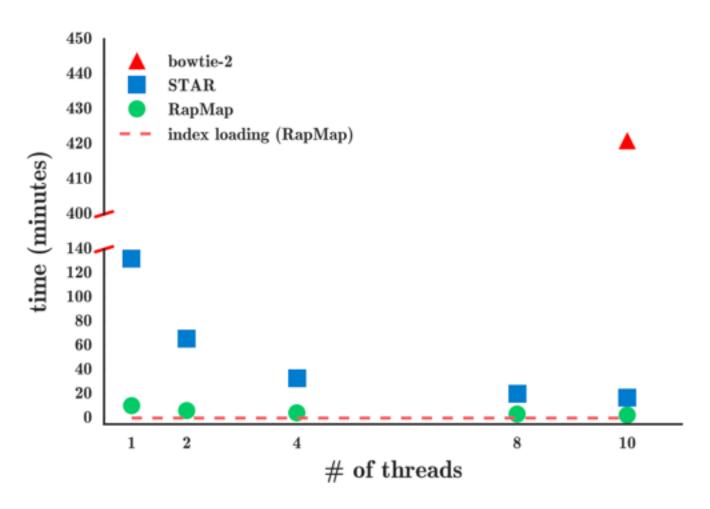
A hit is a tuple — (query offset, orientation, length, SA-interval)

Mappings are determined by a consensus mechanism over hits:

- default: a read maps to a transcript if that transcript appears in every hit for that read.
- other (stricter or looser) mechanisms are trivial to enforce (e.g. co-linearity of hits wrt read & reference).



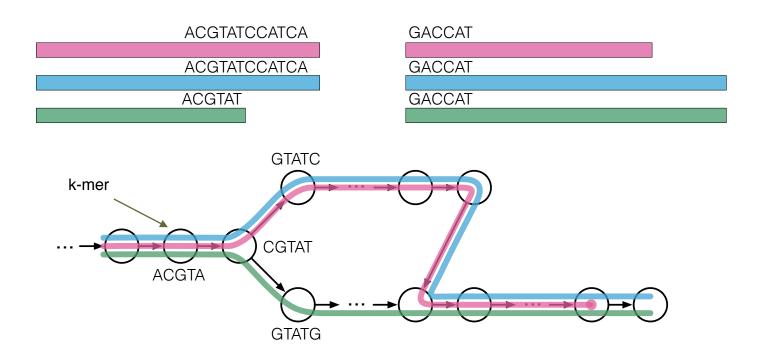
#### **Quasi-mapping is Fast**



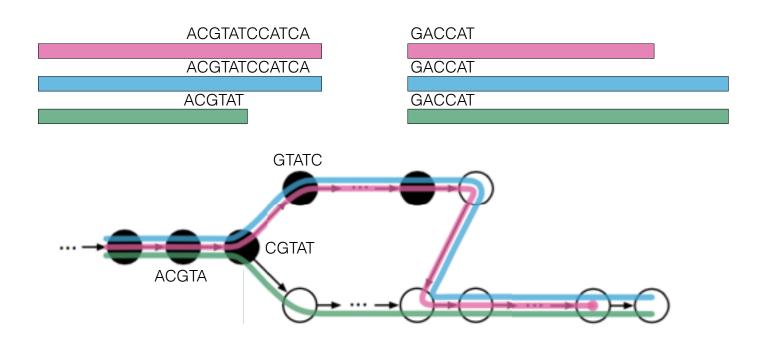
Can map **75 million paired-end reads** (76 bp) to the human transcriptome in matter of **minutes**; even with few threads.

Note: High degree of multi-mapping and inability to report top "**stratum**" means Bowtie2 is often reporting more than the "best" mapping (though it's commonly used in this context).

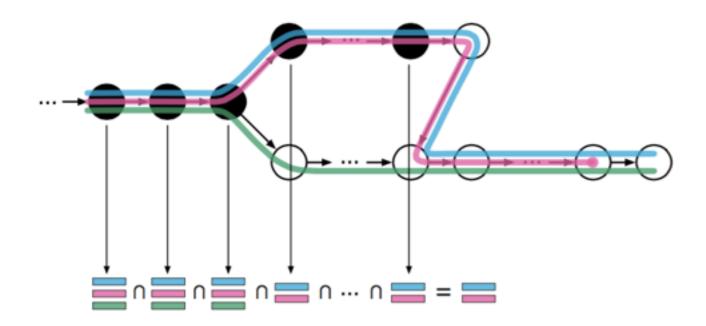
#### From Rob Patro



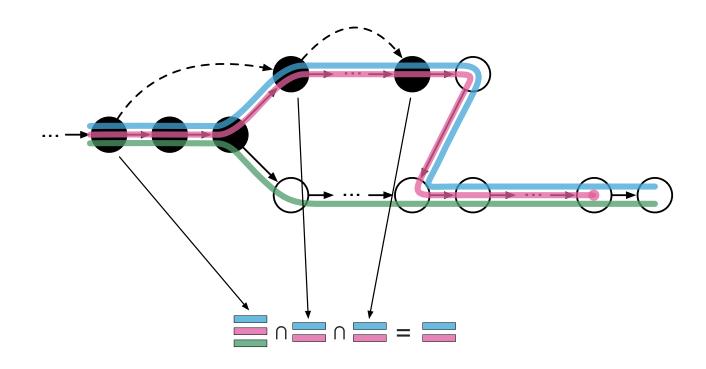
- Given our reference transcriptome, we first construct its target de Bruijn Graph (T-DBG)
- This encodes the transcript sequences but also provides information about how they overlap with each other
- Only has to be done once per transcriptome (and is fast)



- Given a read, finding its constitutive k-mers in the T-DBG gives you information about where the read could have come from
- This can be done very fast
- But individual k-mers might be more ambiguous than the read as a whole

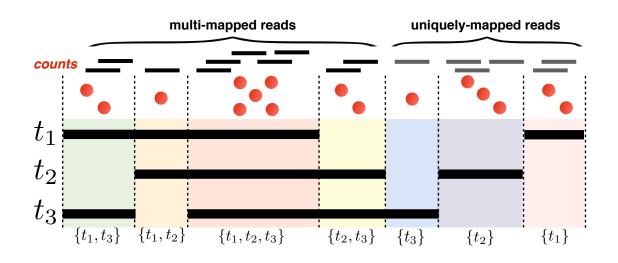


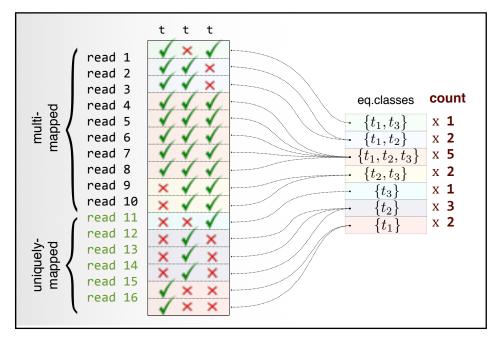
- Combining information across the k-mers can recover lost information
- For each k-mer we have the set of transcripts it could have come from.
   Intersecting them gives the set of transcripts that all k-mers could have come from
- It's possible for their combination to have information equivalent to the entire read, even if no single k-mer does by itself



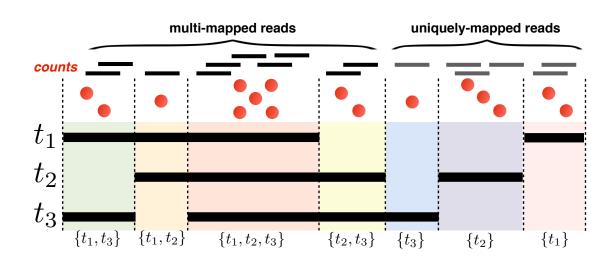
- Knowing the T-DBG, we can predict ahead of time which k-mers will be potentially interesting
- By only processing those k-mers, kallisto runs ~8 times faster

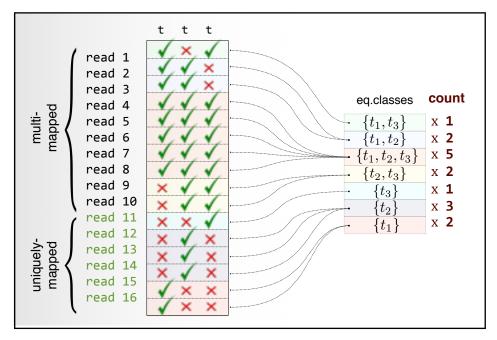
## Transcript compatibility counts



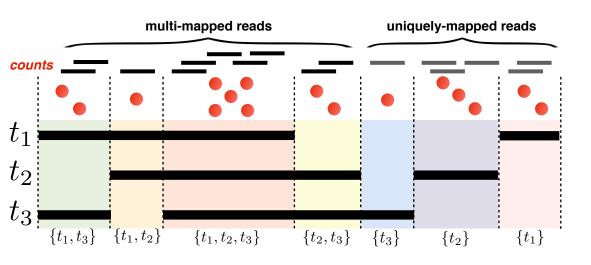


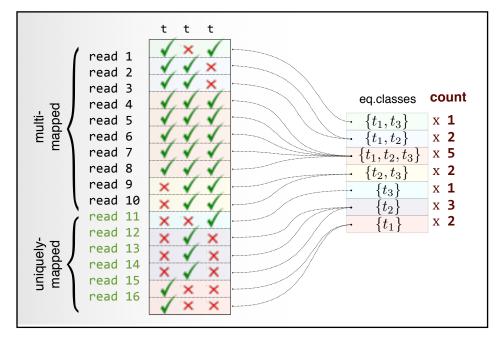
## Quantifying transcript abundances





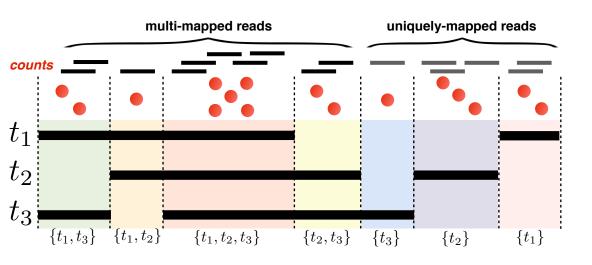
## Quantifying transcript abundances

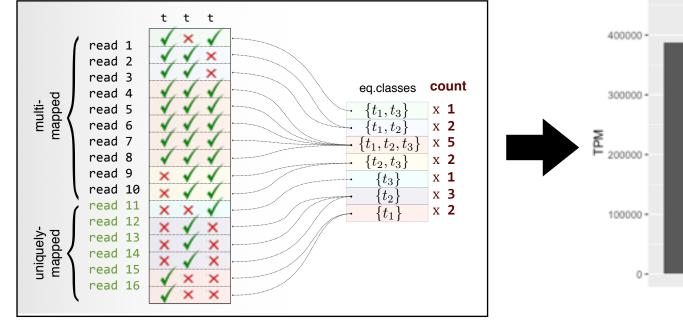


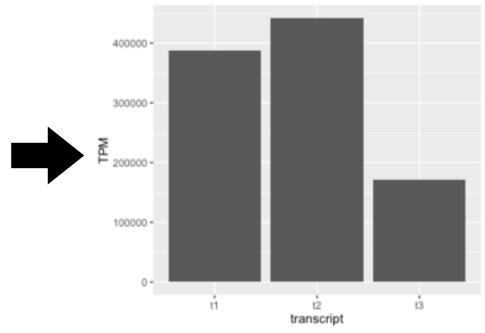


Slides from Pall Melsted and Nicolas Bray

## Quantifying transcript abundances



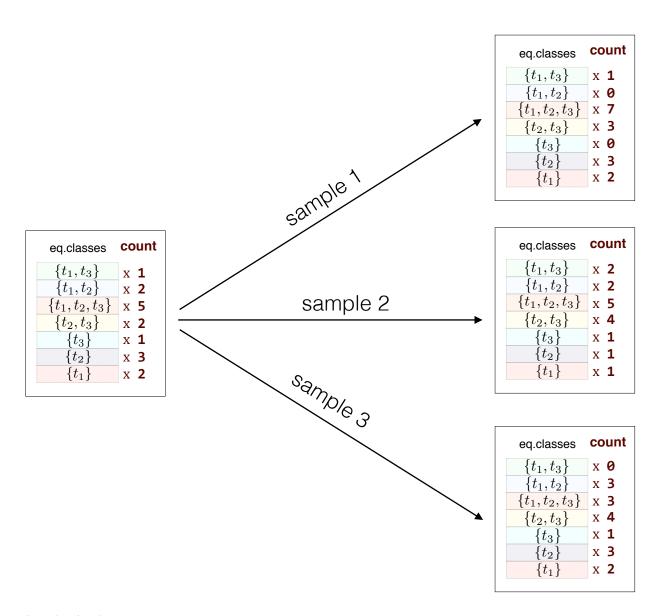


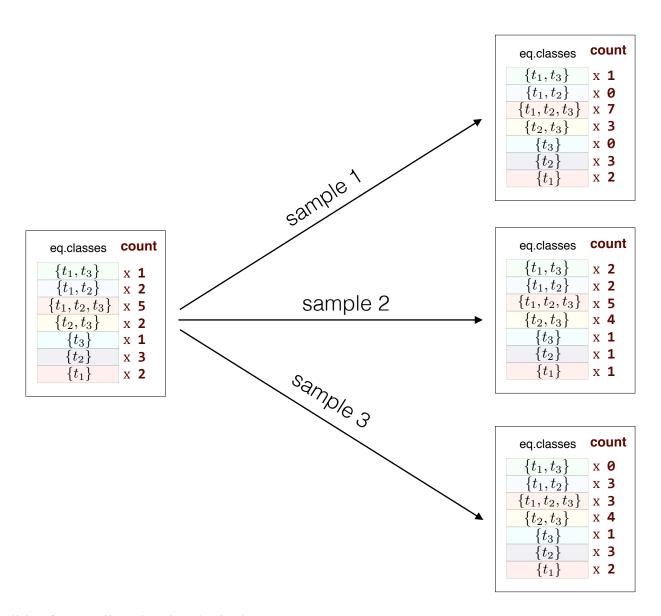


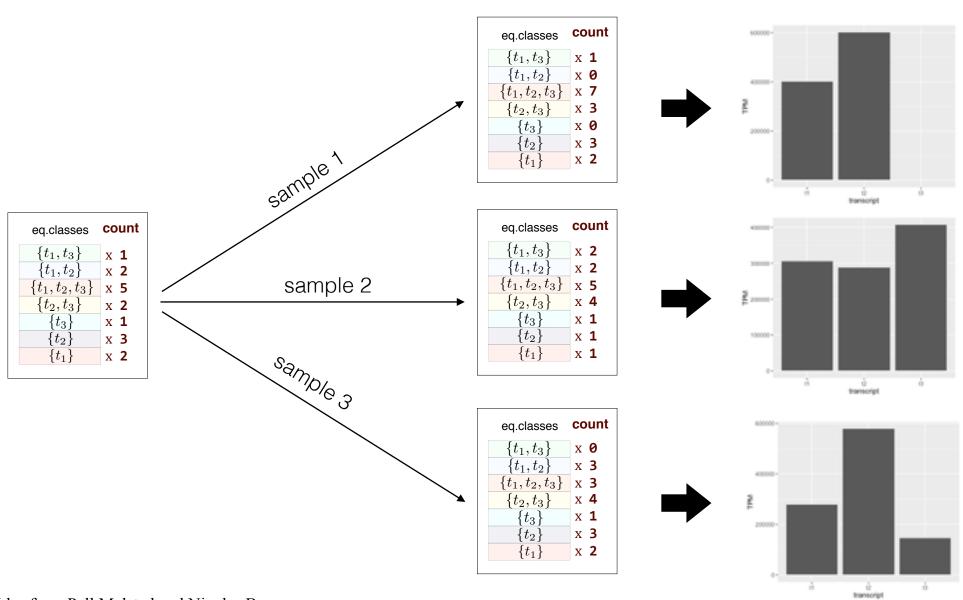
Slides from Pall Melsted and Nicolas Bray

- "What are the abundances of the different transcripts in my sample?"
- kallisto gives an answer but how sure should you be of it?
- In an alternate universe, your sample prep and sequencing might have produced slightly different data for no real biological reason
- What would that data look like?

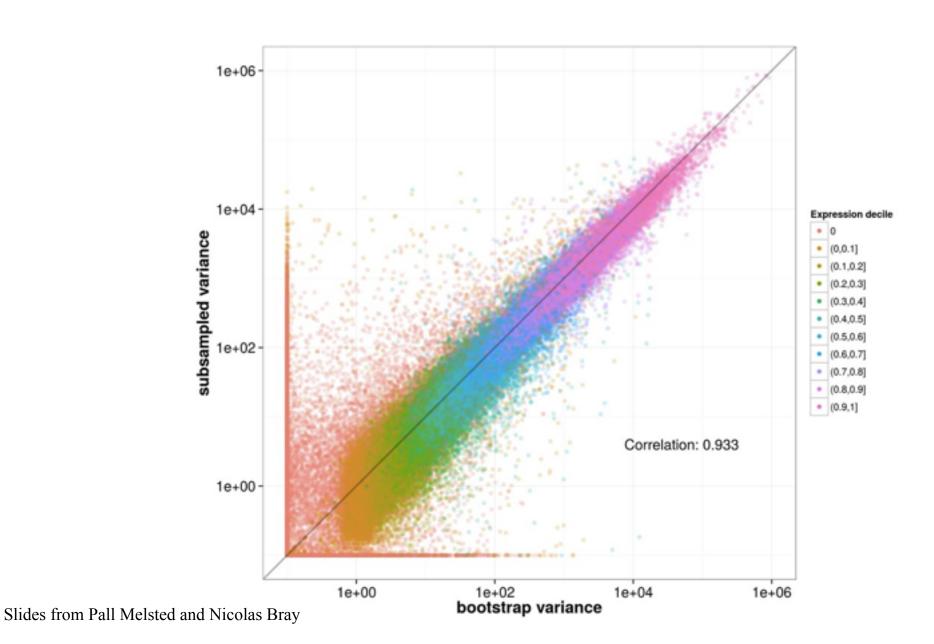
- The simplicity of the kallisto method allows us to apply a classic statistical tool known as the bootstrap.
- We can't access alternate universes, but we can try to simulate them as best we can
- Alternate datasets are constructed by resampling from the original dataset
- Each alternate dataset can then be analyzed with kallisto allowing us to gain some insight into the variability inherent in the data





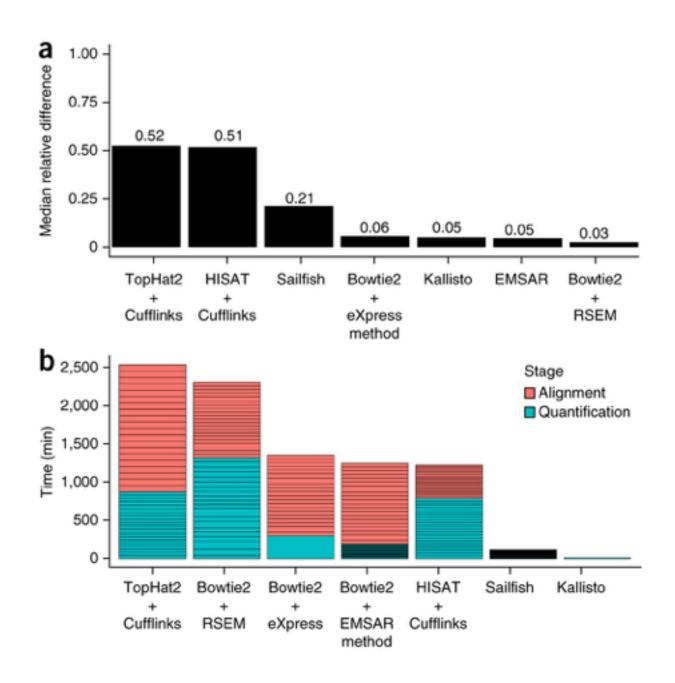


# Testing the bootstrap



#### Kallisto Performance





#### Rapmap/Kallisto Summary



- Rapmap: uses suffix array and LCP to avoid uninformative character comparisons
  - Output can be used in rapid abundance inference programs
- Kallisto: uses T-DBG to compute read/transcript compatibility,
   EM uses equivalence classes to reduce computation
- These approaches much faster than previous generation tools
- Field is moving quickly:
  - new Salmon tool from Rob Patro (<a href="http://robpatro.com/blog/?p=248">http://robpatro.com/blog/?p=248</a>)
  - Kallisto has DGE, data exploration extensions (Sleuth)