SOC corrected Gaussian basis sets for electronic transport calculations in ANT.Gaussian.

W Dednam¹, S Pakdel³, E B Lombardi¹ and J J Palacios²

- Department of Physics, Science Campus, University of South Africa, Private Bag X6, Florida Park 1710, South Africa
- ² Departamento de Fisica de la Materia Condensada, Universidad Autonoma de Madrid, Cantoblanco, Madrid 28049, Spain
- 3 Department of Physics, Technical University of Denmark: Kongens Lyngby, Hovedstaden, Denmark

E-mail: dednaw@unisa.ac.za

Introduction

The Dirac-Kohn-Sham Hamiltonian can be written, to lowest order, as the standard atomic SOC matrix [1] because the radial and angular components of the wave functions in atomic-orbital based DFT, such as the GTOs used by CRYSTAL14 [2] or GAUSSIAN09 [3] are orthogonal:

$$\xi(r) \mathbf{L} \cdot \mathbf{S} = \left[\xi_{ij} \left\langle l_i; m_{l_i}; s | \mathbf{L} \cdot \mathbf{S} | l_j; m_{l_j}; s' \right\rangle \right], \tag{1}$$

where

$$\xi_{ij} = \frac{e^2}{2m_e c^2} \int_0^\infty \frac{1}{r} \frac{\mathrm{d}V_{\text{eff}}(r)}{\mathrm{d}r} R_i(r) R_j^*(r) r^2 \mathrm{d}r.$$
 (2)

In Eq. (2), $V_{\rm eff}(r)$ is the effective nuclear potential [1]. $R_{\rm i}(r)$ are the radial (un)contracted gaussian-type orbitals (CGTOs). Only CGTOs on the same atom and of the same shell type (L=1, 2 or 3) contribute to the integral because SOC is an intra-atomic phenomenon [1]. However, for CGTO basis sets with pseudopotentials, a single multiplicative correction to $\xi_{\rm ij}$ is needed in order to account for the correct effective charge in $V_{\rm eff}(r)$ due to the lack of nodal structure near the nucleus in pseudopotentials [1]. Here, we make two minor modifications to improve the above implementation. We use the following modified Yukawa screening potential:

$$V_{\text{eff}}(r) = \begin{cases} \frac{-(Z-1)\left[\exp\left(-\frac{\ln Z}{r_c}r\right) + 1\right]}{r} & r \le r_c \\ \frac{-1}{r} & r > r_c \end{cases}$$
 (3)

where r_c is a cutoff, typically the size of an atomic radius ($\sim 2.5-3.0$ a.u.) and Z is the atomic number. Instead of the single global multiplicative factor used in ref. [1], we implement a multiplicative factor for each shell type (L=1, 2 or 3) to account for the fact that the radial SOC coefficients of different shells are usually multiples of each other [4].

Benchmarking

To verify that adding SOC as a correction in a post-SCF step gives good SOC bands, we use Bi(111) bilayers (see Fig. 1 b)) as a test system and compare our calculations using CRYSTAL14

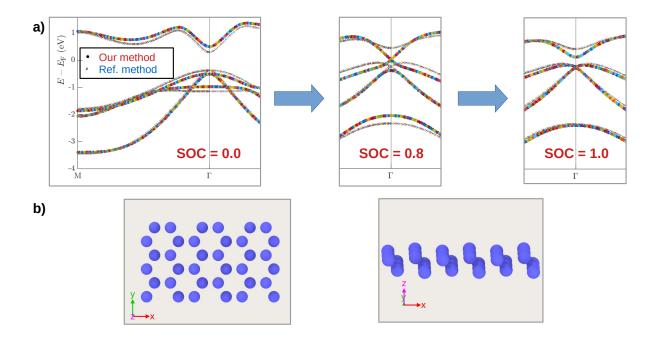


Figure 1. (Colour online) a) Example of adding spin-orbit coupling as a post-SCF correction in DFT calculations of the bands of a solid material, for b) Bismuth (111) bilayers. Solid markers are our method and the fainter crosses correspond to the reference method. The same lattice parameters were used in both methods as in ref. [1]: a = 4.33 Å and c = 1.74 Å in symmetry group $P\bar{3}m1$ of the Hermann-Mauguin classification or space group 164 in the International Tables of Crystallography.

on one hand, and OpenMX as reference method, on the other, given the very good agreement between OpenMX and Wien2k [5, 6].

In Bi(111) bilayers, starting without SOC in the left panel of Fig. 1 a), the band gap must evolve first into a Dirac cone at the Γ point (SOC=0.8 in the middle panel of Fig. 1 a)) and then open up again as SOC is increased to 1.0 in a so-called "band inversion" in the rightmost panel of Fig. 1 a). We used the high quality basis set from ref. [7] for Bi and the Perdew-Burke-Ernzerhof (PBE) generalized-gradient approximation (GGA) exchange correlation functional in our CRYSTAL14 calculation of the bands in the absence of SOC, resulting in reasonable agreement with our reference method, using the Bi8.0-s4p4d3f2 basis set and Bi_PBE19 pseudopotential in OpenMX [8]. We also used very large k meshes (81×47×1), in multiples of 3 to correctly capture the electronic structure at the Γ point. In going from SOC=0.0 to SOC=1.0, we only tuned the multiplicative factor empirically for the bands of p-orbital (L=1 shell) character because only they contribute to SOC ± 4 eV about the Fermi energy. Thus, in Fig. 1, SOC=0.8 corresponds to using a L=1 multiplicative factor SOCFAC $_P=270.0$ and SOC=1.0, to SOCFAC $_P=350.0$.

The ultimate goal of fitting SOC corrected bands to a reference method is to choose high quality basis sets that can be used in DFT electronic transport calculations where transition metal elements, sometimes with strong SOC, are used as the electrodes. Below follows a database of selected elements for which high quality GTO basis sets have been fitted to our reference method in the presence of SOC using the Perdew-Burke-Ernzerhof (PBE) generalized-gradient approximation (GGA) exchange correlation functional consistently throughout. The basis sets can be used as is in our implementation of SOC in ANT.Gaussian [9]. (Note that we have further optimized some of the following basis sets using Billy [10], with the

asterisks(*) indicating additional and/or optimized Gaussian primitives compared to the original basis set. The asterisks have to be deleted before the basis set can be used in ANT.Gaussian.)

IMPORTANT: Be advised that Gaussian09, unlike CRYSTAL14, removes primitives at random from CGTO shells with more than 7 primitives, and so SOC results may not be accurate for basis sets containing $L=\mathbf{1},\,\mathbf{2}$ or 3 CGTO shells with more than 7 primitives.

FCC Aluminum

Using the Al_pob_DZVP_rev2 all-electron basis set reported in ref. [11], a face-centred cubic (FCC) lattice constant of 4.05 Å and a Monkhorst-Pack (MP) k mesh of $32 \times 32 \times 32$ in both our and the reference method, we obtain the SOC-corrected fit of the bands shown in Fig. 2 after setting both SOCFAC_P and SOCFAC_D to a value of 1.0. For the reference method, we used Al_PBE19 and Al7.0-s3p3d2 as pseudopotential and basis set, respectively [8].

```
SOC factors:
SOCFAC_P = 1.0
SOCFAC_D = 1.0
All-electron basis set:
Al O
S 5 1.0
  5887.5727030
                     0.0013483347987
  885.61225996
                     0.0100715768090
  201.13604899
                     0.0451324540560
  56.284974674
                     0.1146126804300
  17.229551243
                     0.1015960894300
S 3 1.0
  29.340249922
                     0.0693474542080
  3.0439630420
                    -0.4252811767900
  1.1285539518
                    -0.4144983221000
S 1 1.0
  0.7834298000
                     1.0000000000000
S 1 1.0
  0.1440019200
                     1.0000000000000
P 5 1.0
  145.11918809
                     0.0063963373134
  33.717894833
                     0.0441893599650
  10.369863083
                     0.1558157599300
                     0.2863528695100
  3.5135616036
  1.1980050273
                     0.2292142324800
P 1 1.0
  0.3200672400
                     1.0000000000000
P 1 1.0
  0.1500336200
                     1.0000000000000
D 1 1.0
  0.1530089300
                     1.0000000000000
```

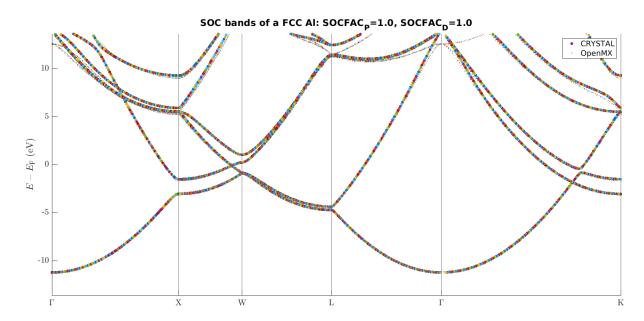


Figure 2. SOC-corrected bands for face-centred cubic Al obtained using the all-electron basis set reported in ref. [11] in CRYSTAL14 (solid markers) and the reference method OpenMX (faint crosses).

FCC Copper

Using the Cu_extended_ruiz_2003 all-electron basis set [12], a FCC lattice constant of 3.63 Å, and a MP k mesh of $32 \times 32 \times 32$ in both our and the reference method, we obtain the SOC-corrected fit of the bands shown in Fig. 3 with SOCFAC_P = SOCFAC_D = 1.0. For the reference method, we used Cu_PBE19H and Cu6.0H-s3p3d3f1 as pseudopotential and basis set, respectively [8].

```
SOC factors:
SOCFAC_P = 1.0
SOCFAC_D = 1.0
All-electron basis set:
Cu 0
S 6 1.0
 76441.483694 0.0014236548869
 11477.790484 0.010910644019
 2611.4773990 0.054139319495
 737.33531101 0.18863206957
 240.02819227 0.38341646126
 82.721112667 0.29645681555
S 3 1.0
 160.07447183 -0.11037499013
 18.854087693 0.64586203973
 7.7438526664 0.44511050812
S 2 1.0
 13.678658833 -0.22684755916
 2.2583597115 0.72397240174
S 1 1.0
 .92525661579 1.0000000000
S 1 1.0
 .4100000000 1.000000000
S 1 1.0
 .1800000000 1.000000000
P 3 1.0
 2530.0174404 0.0019142187743
 600.09192696 0.015800163021
 194.09448024 0.076268471159
P 3 1.0
 73.686313161 0.23880092118
 30.458500032 0.44974987602
 13.130879763 0.39361952224
P 1 1.0
 5.5263086949 1.0000000000
P 1 1.0
 2.1487388219 1.0000000000
P 1 1.0
  *0.8145 1.0000000000
P 1 1.0
  *0.1327 1.0000000000
D 3 1.0
```

51.443304983 0.029319456167

14.404225180 0.15699236141

4.8477556014 0.37790966643

D 1 1.0

1.6194940205 1.0000000000

D 1 1.0

*0.4664 1.0000000000

Note that the asterisks (*) have to be deleted before the basis set can be used in ANT.Gaussian.

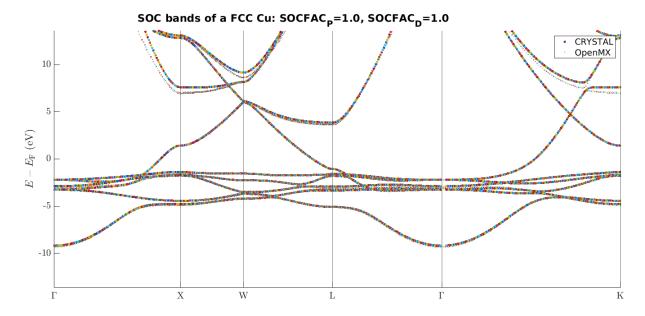


Figure 3. SOC-corrected bands for face-centred cubic Cu obtained using the all-electron basis set reported in ref. [12] in CRYSTAL14 (solid markers) and the reference method OpenMX (faint crosses).

FCC Silver

Using the Ag_pob_DZVP_2018 basis set and pseudopotential reported in ref. [13], a face-centred cubic (FCC) lattice constant of 4.075 Å and a Monkhorst-Pack (MP) k mesh of $32 \times 32 \times 32$ in both our and the reference method, we obtain the SOC-corrected fit of the bands shown in Fig. 4 after setting SOCFAC $_P=80.0$, SOCFAC $_D=10.0$ and SOCFAC $_F=5.0$. For the reference method, we used Ag_PBE19 and Ag7.0-s3p3d2f2 as pseudopotential and basis set, respectively [8].

```
SOC factors:
SOCFAC_P = 80.0
SOCFAC_D = 10.0
SOCFAC_F = 5.0
Basis set:
Ag 0
S 3 1.0
  9.08844200000
                    -1.980891879700
  7.54073100000
                     2.755451334700
                     0.227154083810
  2.79400500000
S 1 1.0
  1.23043317000
                      1.00000000000
S 1 1.0
  0.18387114000
                      1.000000000000
P 4 1.0
  4.45124000000
                     -0.993521037710
  3.67526300000
                      1.050052523700
  1.26106209050
                      0.647475325370
  0.54212477498
                      0.256215507230
P 1 1.0
  0.15011983000
                      1.000000000000
D 4 1.0
  7.79566722920
                    -0.017042912377
  2.89265102380
                     0.234461548030
  1.24742732030
                      0.447658775330
  0.49313817671
                      0.390649545600
D 1 1.0
  0.15938987000
                      1.000000000000
F 1 1.0
  1.39711000000
                      1.000000000000
```

```
Pseudopotential: \\
Ag O
AG-ECP
                  28
g potential
  1
       1.0000000
                                0.0000000
s-g potential
2
      12.5677140
                              255.0547710
2
       6.9976620
                               36.9833930
p-g potential
2
      11.3164960
                               60.7157050
2
      10.9580630
                              121.4438890
2
       7.1114000
                               10.1718660
       6.7733190
                               20.4865640
d-g potential
  4
2
       8.9284370
                               29.5049380
2
      11.1025670
                               44.0187360
2
       5.5432120
                                5.3683330
2
       3.9288350
                                7.4083750
f-g potential
2
      11.0129130
                              -12.6234030
2
      11.0198980
                              -16.7643270
```

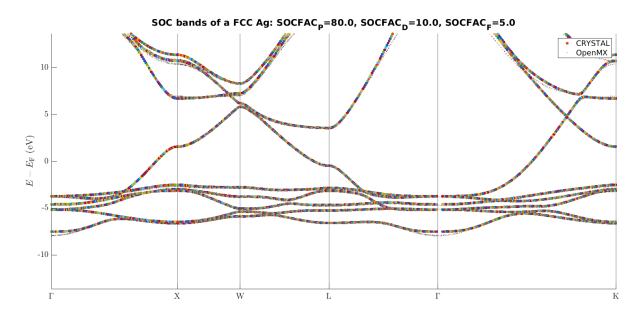


Figure 4. SOC-corrected bands for face-centred cubic Ag obtained using the basis set and pseudopotential reported in ref. [13] in CRYSTAL14 (solid markers) and the reference method OpenMX (faint crosses).

Antimonene: a Sb(111) bilayer

Using the Sb_pob_TZVP_2018 basis set and pseudopotential reported in ref. [13], a Sb(111) bilayer similar to Fig. 1, with lattice parameters of a=4.12 Å and c=1.64 Å and a Monkhorst-Pack (MP) k mesh of $81\times47\times1$ in both our and the reference method, we obtain the SOC-corrected fit of the bands shown in Fig. 5 after setting both SOCFAC_P = 90.0 and SOCFAC_D = 5.0. For the reference method, we used Sb_PBE19 and Sb7.0-s3p3d3f2 as pseudopotential and basis set, respectively [8].

```
SOC factors:
SOCFAC_P = 90.0
SOCFAC_D = 5.0
Basis set:
Sb 0
S 4 1.0
  1612.41999330
                     0.000285403808
  238.844520970
                     0.001339377875
  23.9981188090
                     -0.049388154574
  15.1931242130
                     0.433922272540
S 2 1.0
  11.7364097330
                     0.921255199650
  6.52597747940
                     0.792352802260
S 1 1.0
  1.58348739000
                     1.000000000000
S 1 1.0
                     1.000000000000
  0.18345132000
P 3 1.0
  215.683933540
                     0.000260518232
  16.3744790880
                     0.073728000195
                     -0.272300281280
  9.72162833450
P 3 1.0
  2.79826431540
                     0.464726923740
  1.47110450330
                     0.503642420750
  0.75165385301
                     0.187066662940
P 1 1.0
  0.37211239000
                     1.000000000000
P 1 1.0
  0.12000001000
                     1.00000000000
D 6 1.0
  115.903122530
                     0.000531409151
  30.4742337200
                     0.005941113917
  18.2284182390
                     -0.010563706947
  4.32914566460
                     0.203481773410
  2.12948184960
                     0.427483789280
  0.99682636692
                     0.385395608090
D 1 1.0
  0.62366245000
                     1.000000000000
D 1 1.0
  0.31224235000
                     1.00000000000
D 1 1.0
```

0.12000012000 1.00000000000

```
Pseudopotential: \\
       0
SB-ECP
                  28
g potential
  1
                                0.0000000
       1.0000000
s-g potential
2
      16.3308650
                              281.0715810
2
       8.5565420
                               61.7166040
p-g potential
      14.4703370
2
                               67.4573800
2
      13.8161940
                              134.9335030
2
       8.4249240
                               14.7163440
       8.0927280
                               29.5185120
d-g potential
2
      14.8863310
                               35.4478150
2
      15.1463190
                               53.1434660
2
       5.9082670
                                9.1792230
2
                               13.2402530
       5.5943220
f-g potential
2
      14.4449780
                              -15.3668010
2
      14.4492950
                              -20.2961380
```

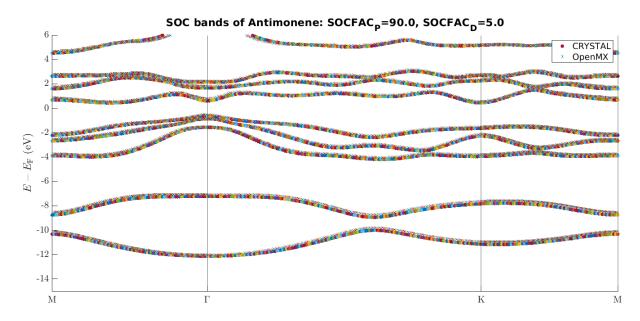


Figure 5. SOC-corrected bands for Sb(111) obtained using the basis set and pseudopotential reported in ref. [13] in CRYSTAL14 (solid markers) and the reference method OpenMX (faint crosses).

BCC Tungsten

Using the W_pob_TZVP_rev2_s basis set and pseudopotential reported in ref. [7], a body-centred cubic (BCC) lattice constant of 3.16 Å and a Monkhorst-Pack (MP) k mesh of $32 \times 32 \times 32$ in both our and the reference method, we obtain the SOC-corrected fit of the bands shown in Fig. 6 after setting SOCFAC_P = 240.0, SOCFAC_D = 30.0 and SOCFAC_F = 10.0. For the reference method, we used W_PBE19 and W7.0-s3p2d2f1 as pseudopotential and basis set, respectively [8].

```
SOC factors:
SOCFAC_P = 240.0
SOCFAC_D = 30.0
SOCFAC_F = 10.0
Basis set:
W O
S 3 1.0
  30.000000000
                      0.322464834100
  27.0000000000
                     -0.466922572140
  13.0780456840
                      0.426995637760
S 1 1.0
  4.56489858000
                      1.000000000000
S 1 1.0
  *0.92909758000
                       1.000000000000
S 1 1.0
  *0.2029 1.000000000000
S 1 1.0
   *0.117 1.000000000000
P 4 1.0
  17.3684 -0.0364
  12.4136 0.1092
   5.15862176580
                   -0.293999550200
  1.2804 0.5082
P 1 1.0
  *0.6577
          1.000000000000
P 1 1.0
  *0.245
           1.000000000000
D 4 1.0
  7.40647373150
                      0.086993963018
  5.90262686030
                     -0.176675400110
  1.29847567500
                      0.551456970300
  0.57153508541
                      0.953135965350
D 1 1.0
  *0.4269 1.000000000000
D 1 1.0
  *0.2466
          1.000000000000
F 1 1.0
  *0.3026 1.000000000000
```

```
Pseudopotential:\\
W O
ECP60MDF 5 60
H-Komponente
2 1.000000 0.000000
S-H
2
2 11.063795 419.227599
2 8.217641 41.191307
P-H
6
2 9.338188 107.348110
2 8.430448 214.699568
4 9.490020 0.025442
4 9.489947 0.051895
2 1.882997 -0.117184
2 1.906972 0.296689
D-H
6
2 6.205433 58.881279
2 6.122157 98.683556
4 6.274556 0.019537
4 6.226375 0.021956
2 1.963875 -0.088577
2 1.888287 -0.209726
F-H
2
2 2.307953 6.232472
2 2.270609 8.311345
G-H
2
2 3.583491 -6.802944
2 3.562515 -8.443232
```

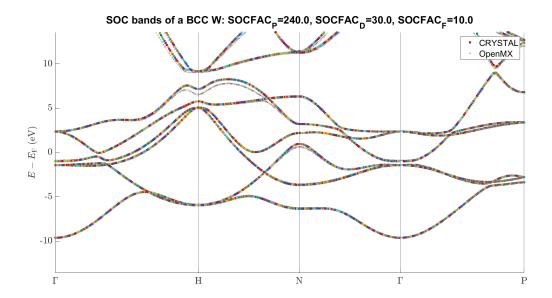


Figure 6. SOC-corrected bands for body-centred cubic W obtained using the basis set and pseudopotential reported in ref. [7] in CRYSTAL14 (solid markers) and the reference method OpenMX (faint crosses).

FCC Platinum

Using the Pt_pob_TZVP_rev2 basis set and pseudopotential reported in ref. [7], a face-centred cubic (FCC) lattice constant of 3.91 Å and a Monkhorst-Pack (MP) k mesh of $32 \times 32 \times 32$ in both our and the reference method, we obtain the SOC-corrected fit of the bands shown in Fig. 7 after setting SOCFAC_P = 280.0, SOCFAC_D = 40.0 and SOCFAC_F = 10.0. For the reference method, we used Pt_PBE19 and Pt7.0-s2p2d2f1 as pseudopotential and basis set, respectively [8].

```
SOC factors:
SOCFAC_P = 280.0
SOCFAC_D = 40.0
SOCFAC_F = 10.0
Basis set:
Pt 0
S 3 1.0
  30.000000000
                      0.271482639000
  27.0000000000
                      -0.422267587740
                      0.443615819950
  14.4083185640
S 1 1.0
  5.76260815000
                       1.00000000000
S 1 1.0
  1.06835871000
                       1.000000000000
S 1 1.0
  0.15368971000
                       1.000000000000
P 4 1.0
  15.5000000000
                      -0.156727186290
  14.0000000000
                      0.238534129890
  6.11612123390
                      -0.310413797330
                       0.564735250890
  1.57155863850
P 1 1.0
  0.77232013000
                       1.000000000000
P 1 1.0
  0.25285881000
                       1.00000000000
D 4 1.0
  8.32079376110
                       0.062945798646
                      -0.090271847072
  7.42072265200
  1.65704106390
                      0.168125264160
  0.73943569960
                       0.250454169700
D 1 1.0
  1.12524984000
                       1.000000000000
D 1 1.0
  0.27562365000
                       1.000000000000
F 1 1.0
  0.56813000000
                       1.000000000000
```

```
Pseudopotential:\\
Pt 0
PT-ECP
          5
                60
h potential
 1
      1.00000000
                           0.00000000
s-h potential
2
     14.60450000
                         429.64608700
2
      7.21828700
                           73.15688400
p-h potential
2
     11.57716200
                          88.02291700
2
     10.88384300
                         175.99819600
2
      6.42440300
                           13.68227400
      5.22419800
                            27.41465100
d-h potential
  4
2
      7.69961000
                           43.55785200
2
      7.55080800
                            65.36910800
      3.96116400
                            7.01859600
      3.87277700
                            11.39173300
f-h potential
  2
2
      3.37986900
                           10.71022000
2
      3.32625500
                           14.27812500
g-h potential
  2
2
      5.45202000
                          -11.65174900
2
      5.41258500
                          -14.37552500
```

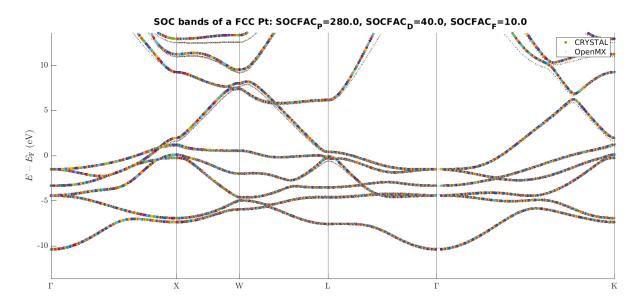


Figure 7. SOC-corrected bands for face-centred cubic Pt obtained using the basis set and pseudopotential reported in ref. [7] in CRYSTAL14 (solid markers) and the reference method OpenMX (faint crosses).

FCC Au

Using the Au_pob_TZVP_rev2 basis set plus additional uncontracted s primitive and pseudopotential reported in ref. [7], a face-centred cubic (FCC) lattice constant of 4.05 Å and a Monkhorst-Pack (MP) k mesh of $32 \times 32 \times 32$ in both our and the reference method, we obtain the SOC-corrected fit of the bands shown in Fig. 8 after setting SOCFAC_P = 260.0, SOCFAC_D = 40.0 and SOCFAC_F = 10.0. For the reference method, we used Au_PBE19 and Au7.0-s2p2d2f1 as pseudopotential and basis set, respectively [8].

```
SOC factors:
SOCFAC_P = 260.0
SOCFAC_D = 40.0
SOCFAC_F = 10.0
Basis set:
Au O
S 3 1.0
  30.0000000000
                      0.207492311080
  27.0000000000
                     -0.332678933940
  14.7468243310
                      0.383028179580
S 1 1.0
  6.10568239000
                      1.00000000000
S 1 1.0
  1.13068946000
                      1.000000000000
S 1 1.0
  0.18001283000
                      1.000000000000
S 1 1.0
  *0.10000000000
                       1.00000000000
P 4 1.0
  15.5000000000
                      0.150017118800
  14.0000000000
                     -0.236098131830
  6.42273682050
                      0.314588969480
                     -0.572796704460
  1.65956016810
P 1 1.0
  0.87155106000
                      1.00000000000
P 1 1.0
  0.20887895000
                      1.000000000000
D 4 1.0
  9.55240986560
                      0.040145559502
  7.26988869370
                     -0.093690906606
  1.77464967890
                      0.317462823170
  0.79960541055
                      0.467951924830
D 1 1.0
  0.55708019000
                      1.000000000000
D 1 1.0
  0.28104926000
                      1.000000000000
F 1 1.0
  0.72482000000
                      1.000000000000
```

${\it Built-in~Gaussian~Pseudopotential:}$

Au 0 mdf60

Pseudopotential:

| Pseuaopotentiai: | | | |
|------------------|-------------|-------------|--------------|
| Au O | | | |
| AU-ECP | 5 | 60 | |
| h potential | | | |
| 1 | | | |
| 2 1.00000000 | | | 0.00000000 |
| s-h potential | | | |
| 2 | | | |
| | 13.52321800 | | 426.64186700 |
| 2 | 6.264384 | :00 | 36.80066800 |
| p-h potential | | | |
| 4 | | | |
| | 11.41386700 | | 87.00209100 |
| | 10.32921500 | | 174.00437000 |
| | 5.70742400 | | 8.87061000 |
| 2 | 4.828165 | 17.90243800 | |
| d-h potential | | | |
| 4 | | | |
| | 7.43096300 | | 49.88365500 |
| 2 | 8.32199000 | | 74.68454900 |
| 2 | 4.60964200 | | 6.48622700 |
| 2 | 3.51150700 | | 9.54682100 |
| f-h potential | | | |
| 2 | | | |
| 2 | 3.08463900 | | 8.79164000 |
| 2 | 3.024743 | 00 | 11.65845600 |
| g-h potential | | | |
| 2 | | | |
| 2 | 3.97844200 | | -5.23433700 |
| 2 | 4.011491 | .00 | -6.73814200 |

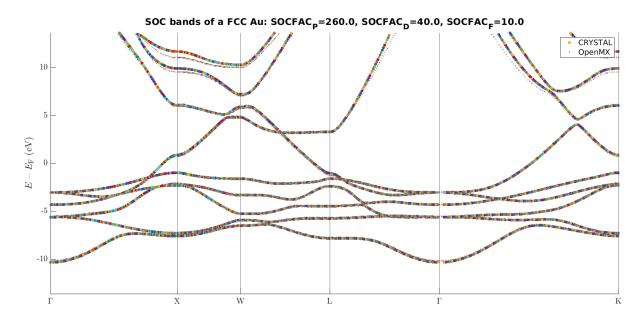


Figure 8. SOC-corrected bands for face-centred cubic Au obtained using the basis set and pseudopotential reported in ref. [7] in CRYSTAL14 (solid markers) and the reference method OpenMX (faint crosses).

FCC Pb

Using the Pb_ECP60MDF_baranek_2013 basis set with optimized uncontracted s, p, d and f primitives and the pseudopotential reported in ref. [14], a face-centred cubic (FCC) lattice constant of 4.93 Å and a Monkhorst-Pack (MP) k mesh of $32 \times 32 \times 32$ in both our and the reference method, we obtain the SOC-corrected fit of the bands shown in Fig. 8 after setting SOCFAC $_P = 260.0$, SOCFAC $_D = 50.0$ and SOCFAC $_F = 0.0$. For the reference method, we used Pb_PBE19 and Pb8.0-s3p3d3f2 as pseudopotential and basis set, respectively [8].

```
SOC factors:
SOCFAC_P = 260.0
SOCFAC_D = 50.0
SOCFAC_F = 0.0
Basis set:
Pb 0
S 6 1.00
 8.55351735988
                3.88475299531
 7.35277444701 -5.11944720447
 2.95283552202
                0.359342355194
 1.43101525276
                2.0148141461
 0.220157140772 -0.029791382408
S 1 1.00
  *1.2543 1.0
S 1 1.00
   *0.4504 1.0
S 1 1.00
  *0.147
          1.0
P 6 1.00
 8.55351735988
                3.13503035185
 7.35277444701
               -4.65435922478
 2.95283552202
                1.34852524939
 1.43101525276
                3.23612718594
 0.659101026799 1.35196984634
 0.220157140772 0.0649906557872
P 1 1.00
  *1.2879
         1.0
P 1 1.00
  *0.5176
          1.0
P 1 1.00
  *0.1325
          1.0
D 5 1.00
 11.1394028684 0.299749731795
 7.57317950313
               -0.908307739883
 2.21243848295 3.44990840575
 1.0927431021
               5.08865830004
 0.517878712738 3.46485836421
D 1 1.00
 *0.2314 1.0
```

F 1 1.00

```
\begin{array}{ccc} \textit{Built-in Gaussian Pseudopotential:} \\ \textit{Pb} & \textit{0} \\ \textit{mdf60} \end{array}
```

```
Pseudopotential:
Pb 0
PB-ECP
                  60
g potential
  1
       1.0000000
2
                                0.0000000
s-g potential
  2
2
      12.29630300
                              281.28549900
2
       8.63263400
                               62.52021700
p-g potential
  4
2
                               72.27689700
      10.24179000
2
       8.92417600
                              144.59108300
2
       6.58134200
                                4.75869300
2
       6.25540300
                                9.94062100
d-g potential
  4
2
       7.75433600
                               35.84850700
2
       7.72028100
                               53.72434200
2
       4.97026400
                               10.11525600
2
       4.56378900
                               14.83373100
f-g potential
  2
2
       3.88751200
                               12.20989200
2
       3.81196300
                               16.19029100
```

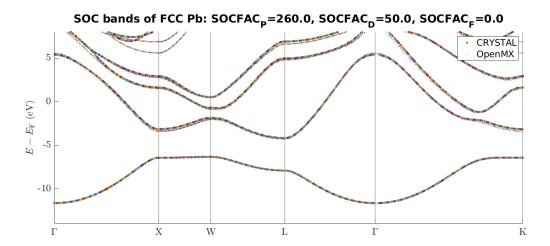


Figure 9. SOC-corrected bands for face-centred cubic Pb obtained using the basis set and pseudopotential reported in ref. [14] in CRYSTAL14 (solid markers) and the reference method OpenMX (faint crosses).

Bi(111) bilayer

Using the Bi_pob_TZVP_rev2 basis set plus additional uncontracted s primitive and pseudopotential reported in ref. [7], the Bi(111) bilayer shown in Fig. 1, with lattice parameters of a=4.33 Å and c=1.74 Å and a Monkhorst-Pack (MP) k mesh of $81\times47\times1$ in both our and the reference method, we obtain the SOC-corrected fit of the bands shown in Fig. 10 after setting both SOCFAC_P = 340.0 and SOCFAC_D = 120.0. For the reference method, we used Bi_PBE19 and Bi8.0-s3p3d3f2 as pseudopotential and basis set, respectively [8].

```
SOC factors:
SOCFAC_P = 340.0
SOCFAC_D = 120.0
Basis set:
Bi 0
S 4 1.0
  716.414353100
                       0.000312543071
  83.8060590470
                       0.001762476895
  21.1169628530
                      -0.219109834370
  15.4914481870
                       0.404112249310
S 2 1.0
  23.2398550290
                      -0.068255758685
  6.64742550000
                       0.978880464710
S 2 1.0
                       0.653863290000
  1.96177440000
  0.97252866000
                       0.346136700000
S 1 1.0
                       1.000000000000
  0.20618896000
S 1 1.0
  *0.10000000000
                        1.000000000000
P 3 1.0
  15.2496446690
                       0.745603560000
                      -0.855786373380
  14.8461760530
  7.06368267840
                       0.401491595920
P 3 1.0
  2.58812556160
                       0.355427296330
  1.50202084990
                       0.639769918900
                       0.323327738390
  0.76732724388
P 1 1.0
  0.42288904000
                       1.00000000000
P 1 1.0
  0.17062087000
                       1.000000000000
D 6 1.0
  66.4044819480
                       0.000381028783
  13.8584269610
                       0.010746152442
                      -0.071947646845
  7.06545190000
                       0.261959749890
  2.52521440350
  1.34195850000
                       0.425947500000
  0.68340941000
                       0.336803256270
D 1 1.0
  0.90438000000
                       1.000000000000
```

D 1 1.0

0.41457000000 1.000000000000

D 1 1.0

0.15146817000 1.000000000000

```
Pseudopotential:
Bi 0
BI-ECP
         5
               60
h potential
 1
      1.0000000
                        0.0000000
s-h potential
    13.0430900
                       283.2642270
2
     8.2216820
                        62.4719590
p-h potential
2
    10.4677770
                        72.0014990
2
     9.1189010
                       144.0022770
2
      6.7547910
                        5.0079450
      6.2525920
                         9.9915500
d-h potential
 4
2
                       36.3962590
      8.0814740
2
     7.8905950
                        54.5976640
     4.9555560
                         9.9842940
     4.7045590
                        14.9814850
f-h potential
2
                       13.7133830
2
      4.2145460
2
      4.1334000
                        18.1943080
g-h potential
 2
2
      6.2057090
                       -10.2474430
2
      6.2277820
                       -12.9557100
```

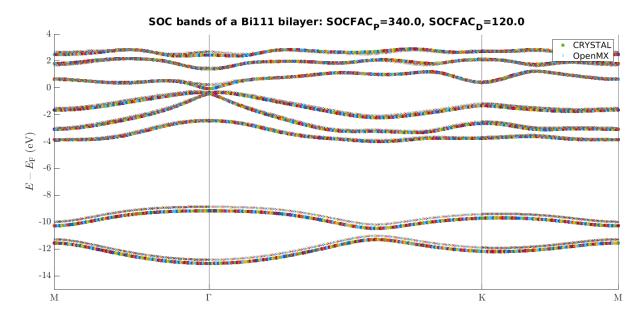


Figure 10. SOC-corrected bands for Bi(111) obtained using the basis set and pseudopotential reported in ref. [7] in CRYSTAL14 (solid markers) and the reference method OpenMX (faint crosses).

Acknowledgments

The computational results contained in this work would also not have been possible without access to the high performance computing (HPC) facility at Unisa, and the supercomputing facility in the Department of Applied Physics at the University of Alicante.

References

- [1] Pakdel S, Pourfath M and Palacios J J 2018 Beilstein J. Nanotechnol. 9 1015
- [2] Dovesi R et al. 2014 Int. J. Quantum Chem. 114 1287
- [3] Frisch M J et al. Computer code GAUSSIAN09, Revision C.01, Gaussian, Inc. Wallingford, CT, 2009
- [4] Barreteau C, Spanjaard D and Desjonquères M C 2016 Comptes Rendus Physique 17 406
- [5] Lejaeghere K *et al.* 2016 *Science* **351** aad3000
- [6] Ozaki T 2019 Delta gauge of OpenMX with the database (2019) URL https://t-ozaki.issp.u-tokyo.ac.jp/vps_pao2019/Delta_Factor/index.html
- [7] Laun J and Bredow T 2021 Journal of Computational Chemistry 42 1064–1072
- [8] Ozaki T 2019 The database (2019) of fully relativistic pseudopotentials (VPS) and pseudo-atomic orbitals (PAO) URL https://t-ozaki.issp.u-tokyo.ac.jp/vps_pao2019/
- [9] Palacios J J et al. 2018 Computer code ANT.Gaussian, with SOC corrections Available from https://github.com/juanjosepalacios/ANT.Gaussian
- [10] Towler M 2017 Computer program billy used for optimizing valence basis functions and/or performing simple geometric optimizations with CRYSTAL95/98/03/06/09/14. Available from https://vallico.net/mike_towler/crystal.html
- [11] Vilela Oliveira D, Laun J, Peintinger M F and Bredow T 2019 Journal of Computational Chemistry 40 2364–2376
- [12] Ruiz E, Llunell M and Alemany P 2003 Journal of Solid State Chemistry 176 400-411
- [13] Laun J, Vilela Oliveira D and Bredow T 2018 Journal of Computational Chemistry 39 1285–1290
- [14] Sophia G, Baranek P, Sarrazin C, Rérat M and Dovesi R 2013 Phase Transitions 86 1069– 1084