

Math 4330 Homework Set 8**Due Monday, November 9, 2015**Keith Dennis Malott 524 255-4027 math4330@rkd.math.cornell.eduTA: Gautam Gopal Krishnan 120 Malott Hall gk379@cornell.edu**NOTE:** Late homework not accepted.**Read:** "Bases and Coordinates", "The Matrix of a Linear Transformation" and "Dual Spaces".

Problems marked by box or * are more challenging and may be turned in anytime during the semester. There will be several such problems assigned during the term. Please turn in *separately* from routine assignments – if incorrect or incomplete, they will be returned to you to complete correctly. Final deadline is Monday, Nov. 30, no exceptions.

Do the following problems from the handouts:

MatLinTrans 9

MatLinTrans 10

Note: The vector spaces in parts d, e, f are NOT assumed to be finite dimensional.

MatLinTrans 21

MatLinTrans 27

Ex07 1. This Counts as Two ProblemsLet n be a positive integer.

- a. Let $\text{Tr} : F^{n \times n} \rightarrow F$ denote the trace function on $n \times n$ matrices over the field F . Show $\text{Tr}(AB) = \text{Tr}(BA)$ for any $A, B \in F^{n \times n}$. Show that Tr is never the zero linear functional.
- b. Show that there do not exist $A, B \in F^{n \times n}$ for F the field of complex numbers, such that $AB - BA = I$. What happens for an arbitrary field? F^n
- c. Let $T : V \rightarrow V$ be a linear transformation where V is a finite dimensional vector space over a field F . Choose a basis \mathcal{B} for V and define $\text{Tr}(T) = \text{Tr}([T]_{\mathcal{B}, \mathcal{B}})$. Show that the definition does not depend on the choice of basis \mathcal{B} .

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- d. Let $f: F^{n \times n} \rightarrow F$ be a linear functional which satisfies $f(AB) = f(BA)$ for all $A, B \in F^{n \times n}$. Prove that $f = a \cdot \text{Tr}$ for some scalar $a \in F$. Further show that if the characteristic of F is 0, then $f = \text{Tr}$ precisely when $f(I) = n$. What happens if the characteristic is not 0? Can you find another way to decide if $f = \text{Tr}$ by computing a single value?
- e. Let $S = \text{Span}_F(\{AB - BA \mid A, B \in F^{n \times n}\})$. Prove that $S = \ker \text{Tr}$.
Hint: Compute the dimension of $\ker \text{Tr}$, and find a basis for S using some well-known matrices.
- f. Let $A \in \mathbb{R}^{n \times n}$. Show that $A = 0$ if and only if $A^t \cdot A = 0$.

Ex07 2. Let V be a finite-dimensional vector space over the field F and let W be a subspace of V . If f is a linear functional on W , prove that there is a linear functional h on V such that $h(w) = f(w)$ for all $w \in W$.

Ex07 3. Let n and m be positive integers.

- a. Let F be a field. Let $A \in F^{m \times n}$ and let $B \in F^{n \times m}$. Then AB is an $m \times m$ matrix and BA is an $n \times n$ matrix. Is it always true that $\text{Tr}(AB) = \text{Tr}(BA)$? (Note that there are two different trace functions used here.) If so, give a proof. If not, give a counterexample.
- b. Replace the field by R a commutative ring with identity. Answer the same question.
- c. Let R be a ring with identity, but do not assume it is commutative. Answer the same question.

$$\begin{array}{c}
 \begin{matrix} n \times n & n \times m \\ n \times m & m \times m \end{matrix} \\
 \begin{matrix} \vdots & \vdots & \vdots \\ \vdots & \vdots & \vdots \\ \vdots & \vdots & \vdots \end{matrix} \\
 \begin{matrix} a_{11} & a_{12} \\ a_{21} & a_{22} \\ a_{31} & a_{32} \end{matrix} \quad \begin{bmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{bmatrix} \\
 \begin{bmatrix} a_{11} & & \\ & a_{m,m} & \\ & & \ddots \end{bmatrix} \quad \begin{bmatrix} a \\ & & \end{bmatrix}
 \end{array}$$

$\text{Tr}: F^{n \times n} \rightarrow F$ Show $\text{Tr}(AB) = \text{Tr}(BA) \quad \forall A, B \in F^{n \times n}$

a) Tr is never zero linear functional

$$\text{Tr}(AB)$$

$$= \sum_{j=1}^n \sum_{i=1}^n a_{ji} b_{ji}, \text{ since } (AB)_{ii} = \sum_{j=1}^n a_{ij} b_{ji}$$

$$\text{Tr}(BA) = \sum_{j=1}^n \sum_{i=1}^n b_{ji} a_{ij} = \text{Tr}(AB), \text{ this is just reindexing.}$$

Tr is never zero functional since $\text{Tr}(E_{ii}) = 1 \quad \forall n$.

show

b) $\nexists A, B \in F^{n \times n}, F = \mathbb{C}$ s.t. $AB - BA = I$

In the field \mathbb{C} , $\text{Tr}(AB) = \text{Tr}(BA)$ so there are no matrices s.t. $AB - BA = I$

In an arbitrary field, this may be true. Let $F = F^2$. Then let $A = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$ and $B = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$. $AB = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$ and $BA = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$, so $AB - BA = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} - \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} = I \in F^2$

since $-1 = 1$
in F^2 .

c) V is finite dimensional, $\mathcal{B} = \mathcal{B}_n$ vsp over F . $T: V \rightarrow V$ is a linear transformation. Choose a basis \mathcal{B} for V , define $\text{Tr}(T) = \text{Tr}([T]_{\mathcal{B}, \mathcal{B}})$. Show this definition does not depend on choice of \mathcal{B} .

Suppose \mathcal{C} is another basis for V . Then $\exists P$, an invertible matrix and

$$[T]_{\mathcal{B}, \mathcal{B}} = P[T]_{\mathcal{C}, \mathcal{C}}P^{-1}. \text{ So } \text{Tr}([T]_{\mathcal{B}, \mathcal{B}}) = \text{Tr}(P[T]_{\mathcal{C}, \mathcal{C}}P^{-1}) = \text{Tr}(P^{-1}P[T]_{\mathcal{C}, \mathcal{C}}) = \text{Tr}([T]_{\mathcal{C}, \mathcal{C}})$$

d) $f: F^{n \times n} \rightarrow F$ linear functional s.t. $f(AB) = f(BA) \quad \forall A, B \in F^{n \times n}$

Prove $f = a \cdot \text{Tr}$, $a \in F$

Let $\{AB - BA \mid A, B \in F^{n \times n}\} \subseteq F^{n \times n} = V$

and $\{M \in F^{n \times n} \mid \text{Tr}(M) = 0\} = W$ So $\text{Tr}(M)$ has codim of 1.

and $\dim \{f \in V^* \mid f(W) = 0\} = \text{codim } W$. Since $\text{Tr} \in \{f \in V^* \mid f(W) = 0\}$,

we conclude $f = a \cdot \text{Tr}$. X

e) $S = \text{Span}_F(\{AB - BA \mid A, B \in F^{n \times n}\})$. Prove $S = \ker \text{Tr}$

$0 \rightarrow \ker \text{Tr} \xrightarrow{f} F^{n \times n} \xrightarrow{\text{Tr}} F \rightarrow 0$ tells us $n^2 = \dim F^{n \times n} = \dim \ker \text{Tr} + \dim F$

$F = \dim \ker \text{Tr} + 1$, so $\dim \ker \text{Tr} = n^2 - 1$.

WTS $\dim S = n^2 - 1$. Let $A = E_{ij}$, $B = E_{ji}$, $i \neq j$. So $AB = E_{ij}$, $BA = 0$,

$AB - BA = AB = E_{ij} \in S$. Suppose $C = E_{ij}$, $D = E_{ji}$. Clearly $E_{ii} - E_{jj}$

$= E_{ij} E_{ji} - E_{ji} E_{ij} \in S$. Note that $\dim(E_{ii} - E_{jj}) = n - 1$, so $\dim(S) =$

$$n^2 - n + n - 1 = n^2 - 1$$

This shows $S = \ker \text{Tr} \square$

f) Clearly $A = 0 \rightarrow A^t A = 0$. Suppose $A^t A = 0$. Then take the coordinate (i, i) of $A^t A = 0$, which is $(a_{1i})^2 + (a_{2i})^2 + \dots + (a_{ni})^2 = 0$,
So $a_{ji} = 0$, $1 \leq j \leq n$. Therefore $A = 0$.

V finite dimensional vsp set over F , $W \subseteq V$.
 f is a linear functional on W , prove $\exists h$ on V s.t. $h(w) = f(w) \forall w \in W$.

Define $\mathcal{W} = \{e_1, \dots, e_k\}$ as basis for $W \subseteq V$.

Then $f = \sum_{i=1}^k f(e_i) e_i^*$. We can extend \mathcal{W} to a basis \mathcal{B} for

V by adding $\{e_{k+1}, \dots, e_n\}$ to \mathcal{W} . Define $h: V \rightarrow V$ as

$$h = \sum_{i=1}^k f(e_i) e_i^* + \sum_{j=k+1}^n e_j^*, \text{ So } h(w) = \sum_{i=1}^k f(e_i) e_i^*(w) + \sum_{j=k+1}^n e_j^*(w)$$

$$= \sum_{i=1}^k f(e_i) e_i^*(w), \text{ since } e_j^*(w) = 0 \text{ when } k+1 \leq j \leq n.$$

$$\text{So } f(w) = h(w) \forall w \in W.$$

2) Let $A \in F^{m \times n}$, $B \in F^{n \times m}$. $\text{Tr}(AB) = \sum_{j=1}^m \sum_{i=1}^n a_{ji} b_{ij}$, $\text{Tr}(BA)$

$$= \sum_{i=1}^n \sum_{j=1}^m b_{ji} a_{ij} \text{ but } \sum_{j=1}^m \sum_{i=1}^n a_{ji} b_{ij}$$

$$= \sum_{j=1}^m \sum_{i=1}^n b_{ji} a_{ij} \text{ by reindexing } \square$$

This holds if $F = \mathbb{R}$,

\mathbb{R} is commutative ring. Commutativity of multiplication is the key.

C) $\text{Tr}(AB) \neq \text{Tr}(BA)$ since we don't have commutativity.

Why? example?

c) cont'd WTS: if $\text{im } T^n = \text{im } T^{n+1}$ for some fixed $n \geq 0$, then it follows $\text{im } T^{n+1} \subseteq \text{im } T^{n+2}$ and $\text{im } T^k = \text{im } T^p$, $k \geq p$. We assume $\text{im } T^n \subseteq \text{im } T^{n+1}$ for some fixed $n \geq 0$. Using b) $\text{im } T^{n+1} \supseteq \text{im } T^{n+2}$. WTS: $\text{im } T^{n+1} \subseteq \text{im } T^{n+2}$. Since $\text{im } T^n = \text{im } T^n$, $\forall v \in V, \exists v' \in V$ s.t. $T^n(v) = T^{n+1}(v')$. So it follows that $\forall v \in V, \exists v' \in V$ s.t. $T^{n+1}(v) = T(T^n(v)) = T(T^{n+1}(v')) = T^{n+2}(v')$, so $\text{im } T^{n+1} \subseteq \text{im } T^{n+2}$, and $\text{im } T^{n+1} = \text{im } T^{n+2}$. $\text{im } T^k = \text{im } T^p$, $k \geq p$.

9. $T: V \rightarrow V$ is a linear transformation. $B_n = \ker T^n$, $C_n = \text{im } T^n$.
a) $B_n \subseteq B_{n+1}$, $B = \bigcup B_i$ is subspace of V . $\left(\begin{array}{l} C_1 \supseteq C_2 \supseteq \dots \supseteq C_n \supseteq C_{n+1} \text{ is stabilized} \\ \text{and } \exists k \in \mathbb{N} \text{ s.t. } C_k = C_{k'} \text{ for } 1 \leq k' \leq \dim V. \end{array} \right)$

For $v \in \ker T^n$, note $T^{n+1}(v) = T(T^n(v)) = T(0) = 0 \rightarrow v \in \ker T^{n+1}$.
So $B_n \subseteq B_{n+1}$. Suppose $v, w \in B$, then $v \in B_m$ and $w \in B_n$, m and n are arbitrary indices. Let $k = \max(m, n)$. Then $v, w \in B_k$. B_k is a subspace because it is the kernel of a linear map and $v + w \in B_k \rightarrow v + w \in B$. So B is a subspace of V .

b) Show $C_n \supseteq C_{n+1}$, $C = \bigcap C_i$ is a subspace of V .
 $\text{im}(T) = \{T(v) | v \in V\}$. Let $v \in \text{im}(T^{n+1})$. $T^{n+1}(v) = T(T^n(v)) = 0, v \in T^n$.
So $\text{im } T^n \supseteq \text{im } T^{n+1}$. Since $\text{im } T^n$ is a subspace of V and arbitrary intersection of subspaces is a subspace, $\bigcap C_i$ is a subspace of V .

c) Show $B = B_n$ for some n , if V is finite dimensional. Find a bound on n , depending on V and independent of T . Similarly, show $C = C_n$ for some n .

We know $B_{i+1} \supseteq B_i$. If $B_{i+1} = B_i$, then $B_n = B_i \quad \forall n \geq i$.

$\ker T^{i+1} = \ker T^i \rightarrow B = \ker T$. $v \in \ker T^{i+k}$, then $T^{i+1}(T^{k-1}(v)) = 0$

$T^{k-1}(v) \in \ker T^{i+1} = \ker T^i$. $T^i(T^{k-1}(v)) = 0$ and $T^{i+k-1}(v) = 0$

$v \in \ker T^{i+k-1} \rightarrow v \in \ker(T^{i+k-2}) \rightarrow \dots \rightarrow v \in \ker(T^i)$ $\ker(T^{i+k}) = \ker(T^i)$
 $\forall k \geq 1$

We repeat this process until $v \in \ker(T^i)$.

So the bound on n is at most $\dim(V)$.

Cont'd above...

d) $V = B \oplus C$, V is finite dimensional (✓ using c))

1) First we show that $B \cap C = \{0\}$. $\exists n$ s.t. $B_n = B$ and m s.t.

$C_m = C$. Let $N = \max(n, m)$, then $B_N = B$, $C_N = C$. Let $v \in B \cap C$

and $T^N(v) = 0$. Also $v = T^N(w)$, for some $w \in V$, so $T^{2N}(w) = 0$

$\rightarrow w \in B_{2N} = B = B_N \rightarrow T^N(w) = 0$, conclude $v = 0$.

2) Now we show $B + C = V$. $B_N = \ker(T^N)$, $C_N = \text{im}(T^N)$. $\dim B_N + \dim C_N$

$\dim B_N + \dim C_N = \dim V \rightarrow \dim B + \dim C = V$. $\xrightarrow{\text{using Nullity + Rank}} = \dim V$

So showing 1) and 2) proves $V = B \oplus C$ when V is finite dimensional.

e) Not true if V is not finite dimensional

Let $V = F[x]$. Let $T: F[x] \rightarrow F[x]$ be defined by $T(x^i) = x^{i+1} \forall i \geq 0$.
So $\ker T^n = 0$ for $n \geq 1$, so $B = 0$ and $\bigcap_{n \in \mathbb{N}} \text{im } T^n = 0$, since $\text{im } T^n$ is the set of all
polynomials of degree $\geq n$. Clearly $F[x] \neq 0 \oplus 0$ \square

f) Show T maps B to B , C to C . If V is finite dimensional, T restricted to B is nilpotent. T restricted to C is an isomorphism.

$\forall v \in V, v \in B \iff v \in \ker T^n, n \geq 1$. So $T(v) \in \ker T^{n-1}$, $T(v) \in B$.

We also see that $v \in C \iff T^n(v) = v$, for some $v \in V, n \geq 1$. Therefore $T^{n+1}(v) = T(T^n(v))$
 $= T(v)$, so $T(v) \in C$. This shows T maps B to B , C to C .

When V is finite dim, $B = B_k, 1 \leq k \leq \dim_F(V)$, (last of C). So $B = \ker T^k$ and
 $T^k(b) = 0 \forall b \in B$. This shows T restricted to B is nilpotent. Similarly

$C = C_n$ for $1 \leq n \leq \dim_F V$. $C = \text{im } T^n$ and from c) $\text{im } T^n = T^{n+1}$, and we

conclude T restricted to C is an isomorphism.

g) Let $V = F^{n \times 1}$. $V = B \oplus C$ from d), since V is finite dimensional.

All matrices $A \in F^{n \times n}$ can be identified with $T: V \rightarrow V$, a linear transformation.

Let B_1 be a basis for B and C_1 be a basis for C . $\mathcal{B} = B_1 \cup C_1$

So $[T]_{\mathcal{B}, \mathcal{B}} = \begin{bmatrix} [T]_{B_1, B_1} & 0 \\ 0 & [T]_{C_1, C_1} \end{bmatrix}$. Here $[T]_{B_1, B_1}$ is nilpotent, $[T]_{C_1, C_1}$ is invertible.
Because the matrices are equivalent \rightarrow they are the same linear transformation with respect to different bases, we are done \square

10. $S, T \in \text{Hom}_F(U, V)$, S and T are equivalent if \exists invertible P, Q s.t. $S = PTQ$.
 Verify this gives an equivalence relation on $\text{Hom}_F(U, V)$.

- a) 1) $S \sim S \rightarrow S = PSQ$
 2) $S \sim T$ $S = PTQ$ and $T = Q^{-1}SP^{-1}$, since P and Q are invertible
 3) $S \sim T$ and $T \sim R$, then $S \sim R$

$$S \sim T \rightarrow S = PTQ, T \sim R \Rightarrow T = ARB$$

$$T = Q^{-1}SP^{-1} \rightarrow Q^{-1}SP^{-1} = ARB$$

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$$S = QARB, \quad QA \text{ and } BP \text{ are invertible since product of invertible matrices is invertible.}$$

b) $S, T \in \text{Hom}_F(U, V)$ are equivalent $\iff \text{rank } S = \text{rank } T$.

$$\Rightarrow S = PTQ, \text{Im } S = PT(\text{Im } Q) = PT(U) = P(\text{Im } T), \text{Im } S \cong \text{Im } T \text{ since}$$

" \Leftarrow " P, B isomorphic.

If $\text{rank } S = \text{rank } T$, then show $S = PTQ$, P, Q invertible. Suppose S is full rank, $\text{rank } S = m$.
 Then $\exists P_1, Q_1$ invertible matrices such that $P_1SQ_1 = \text{ref } S = P_2TQ_2$. So $P_2^{-1}P_1S \cdot Q_1^{-1}Q_2 = T$
 So if $\text{rank } S = \text{rank } T$ and they are full rank, we are done.

Now WTS that if $\text{rank } S = \text{rank } T$, we can make them into full rank matrices.

$S: U \rightarrow V, T: U \rightarrow V$. Let $R \in \text{Hom}_F(V, V)$, now $RS: U \rightarrow V$ and $RS|_U \rightarrow \text{Im } T$.
 $T: U \rightarrow \text{Im } T, \text{Im } RS = R \text{Im } S = \text{Im } T$. $\text{Im } S \cong \text{Im } T$ since if we can

choose a basis s_1, \dots, s_k and t_1, \dots, t_k for S and T respectively.

Since we are not given that V is finite dimensional, we are implicitly invoking the axiom of choice.

c) U and V not finite dimensional, counterexamples to B.

$$(a_1, a_2, \dots) \in \mathbb{R}^{\infty}$$

Let S be a left shift map and T be the identity map

So $S(a_1, a_2, \dots, a_k) = (a_2, a_3, \dots, a_{k+1}) \in \mathbb{R}^{\infty}$. Then clearly the left shift is surjective but not injective and identity map is bijective.

- d) 1) $\dim \ker S = \dim \ker T$ If $S, T \in \text{Hom}_F(V)$ are equivalent, then $S = PTQ$.
 2) $\dim \text{im } S = \dim \text{im } T$ P and Q are isomorphic \rightarrow there is an induced
 3) $\dim \text{coker } S = \dim \text{coker } T$ bijection between bases of $\ker S$ and $\ker T$, $\text{im } S$ and $\text{im } T$,
 and $\text{coker } S$ and $\text{coker } T$.

So we conclude $\dim \ker PTQ = \dim \ker T$, $\dim \text{im } PTQ = \dim \text{im } T$, and
 $\dim \text{coker } PTQ = \dim \text{coker } T$. Let S and T be s.t. $\dim \ker S = \dim \ker T$,
 $\dim \text{im } S = \dim \text{im } T$, $\dim \text{coker } S = \dim \text{coker } T$. Choose B_1 as a basis for $\ker S$,
 and let B_1' be a basis for $\ker T$. Then let $B = B_1 \cup B_2$, $B' = B_1' \cup B_2'$ both
 be basis of V . Now $|B_1| = |B_2|$. Let C_1 and C_1' be images of B_2 under S and
 T , respectively. Note $S: B_1 \rightarrow 0$ and $T: B_1' \rightarrow 0$. Recall $\dim \text{im } S = \dim \text{im } T$, so
 $|C_1| = |C_1'|$. $C = C_1 \cup C_2$ and $C' = C_1' \cup C_2'$ as defined be bases for V .
 Here $P: C_1 \rightarrow 0$ and $P: C_2 \rightarrow 0$. Now let D and D' be images of C_2 and C_2' , respectively.
 So D and D' are bases for $\text{coker } S, T$ respectively. So bijections between each
 pair of bases, $(B_1, B_2), (C_1, C_1'), (D, D')$ induce linear maps $P \in \text{Hom}_F(V, V)$
 and $Q \in \text{Hom}_F(V, V)$ s.t. $S = PTQ$, $T = P' T' Q$.

e) The condition should be $\leftrightarrow \dim \ker S = \dim \ker T$ and $\dim \text{coker } S = \dim \text{coker } T$
 since we showed 1), 2), 3) to be **TFAE**.

f) Suppose $R, S, T \in \text{Hom}_F(U, V)$, R semi-equiv R since $R = I_V R I_U$.
 Suppose R semi-equiv S , $R = P_1 S Q_1$, then $S = P_1' S Q_1'$ so S semi-equiv R .
 If R semi-equiv S , S semi-equiv T , $R = P_1 S Q_1$, $S = P_1' R Q_1''$, $S = P_1'' T Q_1'''$
 $T = P_1''' S Q_1'''$. So $R = (P_1' P_1'') T (Q_1'' Q_1''')$ and $T = (P_1''' P_1' R Q_1' Q_1''')$ \square

27. $T \in \text{Hom}_F(V, V)$ is idempotent linear transformation

a) Prove $V = \text{im } T \oplus \text{ker } T$

For $v \in V$, $v = Tv + (v - Tv) \in \text{im}(T) + \text{ker}(T)$ since $T(v - Tv) = Tv - T^2v = 0$
 Since T is idempotent, $Tv \in \text{ker}(T) \cap \text{im}(T)$ and $T^2v = Tv = 0 \rightarrow$ the
 sum is direct

b) $[T]_B = \begin{bmatrix} [T]_{B_1, B_1}^{\text{?}} & 0 \\ 0 & 0 \end{bmatrix}$, computed by definition.

c) Suppose $A, B \in F^{n \times n}$ are idempotent. Then \exists bases $B_1, B_2 \in F^{n \times n}$

s.t. $[L_A]_{B_1} = \begin{bmatrix} [L_A]_{B_1, B_1} & 0 \\ 0 & 0 \end{bmatrix}, [L_B]_{B_2} = \begin{bmatrix} [L_B]_{B_2, B_2} & 0 \\ 0 & 0 \end{bmatrix}$

B_1 and B_2 are bases for $\text{im } L_A$ and $\text{im } L_B$ respectively.

So $[L_A]_{B_1}$ and $[L_B]_{B_2}$ are similar $\Leftrightarrow \text{rank } [L_A]_{B_1, B_1} = \text{rank } [L_B]_{B_2, B_2}$.

However $\text{rank } [L_A]_{B_1, B_1} = \text{rank}(\text{im } L_A) = \text{rank}(A)$ and also

$\text{rank } [L_B]_{B_2, B_2} = \text{rank}(\text{im } L_B) = \text{rank } B$. So A is similar to B

$\Leftrightarrow \text{rank}(A) = \text{rank}(B) \square$

21.)? \square

Math 4330 Homework Set 9

Due Monday, November 16, 2015

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NOTE: Late homework not accepted.

Read: "The Matrix of a Linear Transformation" "Dual Spaces" and the three handouts on Rings and Modules.

Problems marked by $\boxed{\text{box}}$ or $\boxed{*}$ are more challenging and may be turned in anytime during the semester. There will be several such problems assigned during the term. Please turn in *separately* from routine assignments – if incorrect or incomplete, they will be returned to you to complete correctly. Final deadline is Monday, Nov. 30, no exceptions.

Do the following problems from the handouts:

DualSpace 7

DualSpace 8

DualSpace 16

DualSpace 25

DualSpace 33

DualSpace 34

DualSpace 36

Ex09 1. Let F be an arbitrary field.

- Show that the intersection of an arbitrary number of ideals in $F[x]$ is an ideal in $F[x]$.
- Let $f_1, \dots, f_k \in F[x]$. The ideal generated by these is

$$(f_1, \dots, f_k) = \{ g_1 f_1 + \dots + g_k f_k \mid g_i \in F[x] \},$$

the set of all $F[x]$ -linear combinations of f_1, \dots, f_k . Show that this ideal is precisely the intersection of the ideals which contain all f_i , $1 \leq i \leq k$.

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Ex09 2 (Exact Sequence of a Pair in a PID). Let R be a principal ideal domain (PID). Let $a, b \in R$, not both of which are 0. Define $f : R \times R \rightarrow R$ by $f(s, t) = sa + tb$. Note that $R \times R$ is also a commutative ring with 1 when addition and multiplication are defined coordinate-wise:

$$(1) \quad (a_1, b_1) + (a_2, b_2) = (a_1 + a_2, b_1 + b_2)$$

$$(2) \quad (a_1, b_1) \cdot (a_2, b_2) = (a_1 a_2, b_1 b_2)$$

Further note that $R \times R$ is an R -module with scalar multiplication defined by

$$(3) \quad r \cdot (a, b) = (ra, rb)$$

a. Show that f satisfies

$$(i) \quad f(x + y) = f(x) + f(y) \text{ for all } x, y \in R \times R.$$

$$(ii) \quad f(rx) = rf(x) \text{ for } r \in R, x \in R \times R.$$

Hence f is an R -module homomorphism.

b. Show that $\text{im } f \subseteq R$ is non-empty and is closed under addition and scalar multiplication; that is, $\text{im } f$ is an R -submodule of R .

c. Compute $\text{im } f$.

d. Show that $\ker f \subseteq R \times R$ is an R -submodule of $R \times R$.

e. Determine $\ker f$ explicitly: Show that there exists a function $g : R \rightarrow R \times R$ of the form $g(r) = (r\alpha, r\beta)$ for some $\alpha, \beta \in R$ such that $\text{im } g = \ker f$. Note that g satisfies the analogue of (i) and (ii) above (i.e., is an R -module homomorphism).

f. Show that there exists an exact sequence of R -modules

$$0 \rightarrow X \xrightarrow{i} R \times R \xrightarrow{f} R \xrightarrow{p} Y \rightarrow 0.$$

What are X , i , Y , p ?

g. Determine precisely all solutions (s, t) , $s, t \in R$ of the equation $sa + tb = \gcd(a, b)$ where $\gcd(a, b)$ denotes the greatest common divisor of a and b .

Ex09 3. Let R be a PID and let $a, b \in R$ be two non-zero elements. Show that there exist elements $r, s, u, v \in R$ such that

$$a. \quad (a, b) = au + bv,$$

$$b. \quad a = (a, b)r, \quad b = (a, b)s, \quad [a, b] = (a, b)rs,$$

c. the matrices $A, B \in R^{2 \times 2}$

$$A = \begin{bmatrix} u & v \\ -s & r \end{bmatrix}$$

and

$$B = \begin{bmatrix} 1 & -vs \\ 1 & ur \end{bmatrix}$$

are invertible and $\det A = \det B = 1$,

d. and further the following holds:

$$A \begin{bmatrix} a & 0 \\ 0 & b \end{bmatrix} B = \begin{bmatrix} (a, b) & 0 \\ 0 & [a, b] \end{bmatrix}.$$

2a) Let $x, y \in R \times R$, $r \in R$. Let $x = (x_1, x_2)$, $y = (y_1, y_2)$.

$$\text{Then } f(x+y) = f(x_1+y_1, x_2+y_2) = (x_1+y_1)a + (x_2+y_2)b$$

$$= x_1a + y_1a + x_2b + y_2b = (x_1a + x_2b) + (y_1a + y_2b) = f(x_1, x_2) + f(y_1, y_2)$$

$$= f(x) + f(y).$$

$$f(rx) = f(r(x_1, x_2)) = f(rx_1, rx_2) = rx_1a + rx_2b = r(x_1a + x_2b)$$

$$= rf(x_1, x_2) = r \cdot f(x). \text{ So } f \text{ is an } R\text{-module homomorphism.}$$

Ex 09 1 a) Let F be an arbitrary field. Show intersection of ideals in $F[x]$ is an ideal in $F[x]$.

Let $\{I_\alpha\}_{\alpha \in A} \subseteq F[x]$ be a set of ideals. Let $p(x), q(x) \in \bigcap_{\alpha} I_\alpha$ and $c \in F$. $p(x) + q(x) \in I_\alpha$ and $c \cdot p(x) \in I_\alpha$ for each α , since I_α is an ideal $\forall \alpha$. Then $p(x) + q(x) \in \bigcap_{\alpha} I_\alpha$ and $c \cdot p(x) \in \bigcap_{\alpha} I_\alpha$.

Then by Lemma 6 (Rings and Factorization), $\bigcap_{\alpha} I_\alpha$ is an ideal of $F[x]$.

b) Suppose $f_1, \dots, f_k \in F[x]$ and $(f_1, \dots, f_k) = \{g_1 f_1 + \dots + g_k f_k \mid g_i \in F[x]\}$ is the set of all polynomial linear combinations of f_1, \dots, f_k . This set is an ideal of $F[x]$. Suppose $I \subseteq F[x]$ is some ideal s.t. $f_1, \dots, f_k \in I$.

So $g_1 f_1 + \dots + g_k f_k \in I \quad \forall \{g_1, \dots, g_k\} \in F[x]$. Therefore $(f_1, \dots, f_k) \subseteq I$, and $(f_1, \dots, f_k) \in \bigcap I_\alpha$, the intersection of all ideals of $F[x]$ containing f_1, \dots, f_k . Now clearly $f_1, \dots, f_k = \bigcap I_\alpha$ since f_1, \dots, f_k also contains f_1, \dots, f_k .

2. b) $f(0,0) = a$ and $f(0,1) = b$, at least one is non zero since $a, b \in R$ are not both 0. Let $x, y \in \text{im } f$, $a \in R$. Then $\exists p, q, s, t \in R$ s.t. $x = f(p, q)$ and $y = f(s, t)$.

$f(p, q) = x$, $f(s, t) = y$. Using a), $f(p+s, q+t) = f(p, q) + f(s, t) = x + y$. $f(as, at) = a f(s, t) = ax$, $\rightarrow x+y, ax \in \text{im } f$, clearly $\text{im } f$ has closure under addition and mult by scalars in R . So $\text{im } f$ is an R -submodule of R .

a)

c) Note that $\text{im } f = \{sa + tb \mid s, t \in R\} \rightarrow \text{im } f = (a, b)$, which is the ideal generated by $a, b \in R$.

d) Let $x, y \in \ker f$, $r \in R$.

$$f(x+y) = f(x) + f(y) = 0 + 0 = 0$$

$f(rx) = r \cdot f(x) = r \cdot 0 = 0$. So $x, y, rx \in \ker f \rightarrow$ closure under addition and mult by scalars in R . So $\ker f$ is R -submodule of $R \times R$.

e) Let $(\alpha, \beta) \in \ker f$. Suppose $g: R \rightarrow R \times R$ is given by

$g(r) = (r\alpha, r\beta)$. Then $\text{im } g \subseteq \ker f$, since $\ker f$ is closed under scalar mult, and $(\alpha, \beta) \in \ker f$. Suppose $(c, d) \in \ker f$. Then $0 = f(c, d)$

$= c\alpha + d\beta$ and it follows that $\ker f \subseteq \text{im } g \square$

f) From d) we have $0 \rightarrow X \rightarrow R \times R \xrightarrow{f} R \xrightarrow{p} \text{coker } f \rightarrow 0$.

Here $\text{coker } f = R/\text{im } f$, $p: R \rightarrow R/\text{im } f$ is a projection. Because (α, β) are nonzero.

$g(r) = 0 \iff r = 0 \rightarrow g$ is injective. So $\ker g = 0$, $\ker f = \text{im } g$

$\ker p = \text{im } f$ and $\text{im } p = \text{coker } f \rightarrow$ Sequence is exact.

g) R is a PID and a, b not both 0, $\rightarrow \gcd(a, b)$ is unique up to units, and $\exists p, q \in R$ s.t. $\gcd(a, b) = pa + qb$. * from Lemma 9.

3. a) Again by Lemma 14, $(a, b) = \gcd(a, b)$ exists and is unique up to units, $\exists u, v \in R$ s.t. $(a, b) = au + bv$.

b) By def of gcd, $(a, b) \mid a \rightarrow \exists r \in R$ s.t. $a = (a, b)r$.

Also $(a, b) \mid b \rightarrow \exists s \in R$ s.t. $b = (a, b)s$.

$ab = (a, b)[a, b]$ by Lemma 14, a and $b \neq 0$.

PIDs are commutative $\rightarrow (a, b)[a, b] = ab = (a, b)r(a, b)s$
 $= (a, b)(a, b)rs \rightarrow [a, b] = (a, b)rs$.

c) $A = \begin{pmatrix} u & v \\ -s & r \end{pmatrix}, B = \begin{pmatrix} 1 & -vs \\ 1 & ur \end{pmatrix}$

Using previous results a) and b), $\begin{pmatrix} u & v \\ -s & r \end{pmatrix} \begin{pmatrix} r & -v \\ s & u \end{pmatrix} = \begin{pmatrix} ur + rs & -ur + vs \\ -sr + rs & sv + ru \end{pmatrix}$

$= I \rightarrow A$ has an inverse. $|A| = 1$.

10 $\begin{pmatrix} 1 & -vs \\ 1 & ur \end{pmatrix} \begin{pmatrix} ur & vs \\ -1 & 1 \end{pmatrix} = \begin{pmatrix} ur + vs & -ur + vs + rsur \\ ur - ur & vscur \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = I \rightarrow B$ has inverse

$|B| = 1$.

d). $A \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix} B = \begin{pmatrix} u & v \\ -s & r \end{pmatrix} \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix} \begin{pmatrix} 1 & -vs \\ 1 & ur \end{pmatrix} = \begin{pmatrix} au & bv \\ -as & br \end{pmatrix} \begin{pmatrix} 1 & -vs \\ 1 & ur \end{pmatrix}$

$= \begin{pmatrix} au + bv & -auvs + bvrur \\ -as + br & ars^2 + bur^2 \end{pmatrix} = \begin{pmatrix} (a, b) & 0 \\ 0 & (a, b)rsur + (a, b)rsur \end{pmatrix}$

$= \begin{pmatrix} (a, b) & 0 \\ 0 & [a, b](rsur) \end{pmatrix} = \begin{pmatrix} (a, b) & 0 \\ 0 & [a, b] \end{pmatrix}$.

7. V vsp over field F , finite dimension $n > 0$.

Let $B = \{f_1, \dots, f_n\} \subset V^*$. Assume $\exists v \in V, v \neq \vec{0}$ s.t. $f_i(v) = 0 \forall 1 \leq i \leq n$.

Prove B is linearly independent. If $\exists v \in V$ s.t. $f_i(v) = 0 \forall i, v \neq \vec{0}$, then

$\{f_1, \dots, f_n\} \subseteq (\text{Span}_F(v))^0$, and $\dim(\text{Span}_F(v)) = 1$ since $v \neq \vec{0}$. We use

Thm 16 $\dim_F W + \dim_F W^0 = \dim_F V$, where $W \subseteq V$. So $\dim(\text{Span}_F(v))^0 = \dim V - \dim(\text{Span}_F(v)) = n - 1 < n$.

So we conclude $\{f_1, \dots, f_n\}$ is in $n-1$ dimensional subspace of V^* .

V^* has dimension n , so there is some linear dependence in $\{f_1, \dots, f_n\}$. \square

Let V be finite-dim vsp over field F and let $T: V \rightarrow V$ be a linear transformation.

$c \in F$, suppose $\exists v \in V$ s.t. $T(v) = cv, v \neq \vec{0}$. Prove \exists non-zero linear functional f on V s.t. $T^t(f) = cf$.

For every $f \in V^*$, $(T^t f - cf)(v) = f(Tv - cv) = 0$. Since $v \neq \vec{0}$, we know \exists some $g \in V^*$ s.t. $g(v) \neq 0$. So $\text{im}(T^t - cI) \neq \text{all of } V^*$. Then because V^* is a finite dimensional space, $\ker(T^t - cI) \neq \{0\}$, $\rightarrow \exists f \in V^*$ s.t. $T^t f - cf = 0$. \square

a) $(V/W)^* \rightarrow W^*$ show there exists a natural isomorphism.

?

33. Let $W_1, W_2 \subseteq V$ be subspaces of V over F .

1) If $W_1 \neq W_2$, show $\exists f \in V^*$ st. $f = 0$ on either W_1 or W_2 , but not both.

Let $W_1, W_2 \subseteq V$ be subspaces of V . Suppose $B = \{v_\alpha : \alpha \in A\}$ is a basis for V and $W_1 \neq W_2$. Then there $\exists v_\alpha \in B$ st. v_α is in W_1 or W_2 but not in both. WLOG, we say $v_\alpha \in W_1$ and $v_\alpha \notin W_2$. Let $f \in V^*$ be defined

$$f(v_\beta) = \begin{cases} 1 & \text{if } \beta = \alpha \\ 0 & \text{if } \beta \neq \alpha \end{cases}$$

Then since $v_\alpha \in W_2$, $f(w) = 0 \ \forall w \in W_2$, so f is zero on W_2 . Clearly $f \neq 0$ on W_1 because $v_\alpha \in W_1$, $f(v_\alpha) = 1$.

3

$$2) \quad W_1 = W_2 \Leftrightarrow W_1^0 = W_2^0$$

" \rightarrow " $W_1 = W_2 \rightarrow W_1^0 = W_2^0$
by definition.

$$S^0 = \{f \in V^* \mid f(s) = 0 \ \forall s \in S\}$$

" \leftarrow " Let $B = \{v_1, \dots, v_n\}$ be a basis for V and $B^* = \{\phi_1, \dots, \phi_n\}$ a basis dual

$$\phi_i(v_j) = \delta_{ij} \text{ (Kronecker Delta)}$$

$$\text{Let } W_1 = \text{span}(v_k, \dots, v_e) = W_2$$

$$W_1^0 = \text{span}(\phi_1, \dots, \phi_{k-1}, \phi_{e+1}, \dots, \phi_n) = W_2^0$$

If $W_1^0 = W_2^0$, they are spanned by same elements of

$$B^*, \quad W_1^0 = \text{span}(\phi_1, \dots, \phi_{k-1}, \phi_{e+1}, \dots, \phi_n) = W_2^0$$

$$W_1 = \text{span}(v_k, \dots, v_e) = W_2 \quad \square$$

34. V and W vsp over F , $T: V \rightarrow W$ linear transformation

a) $\ker T^t = (\operatorname{im} T)^0$

Note that $\ker T^t$ is $\{f \in W^* \mid T^t(f)(v) = f(T(v)) = 0 \ \forall v \in V\}$

So $\ker T^t \subseteq (\operatorname{im} T)^0$. We can show the reverse inclusion. Let $f \in (\operatorname{im} T)^0$.

Then $f(w) = 0 \ \forall w \in \operatorname{im}(T) \rightarrow f(T(v)) = 0 \ \forall v \in V$. So $(\operatorname{im}(T))^0 \subseteq \ker T^t$. \square

b) V and W have finite dimension.

Show i) $\operatorname{rank} T^t = \operatorname{rank} T$

ii) $\operatorname{im} T^t = (\ker T)^0$

For i) express T as $L_M: F^{n \times 1} \rightarrow F^{m \times 1}$ defined by $L_M(C) = MC$, where C is a column vector in $F^{n \times 1}$ and $M \in F^{m \times n}$. We use the result from pg. 13

"Dual Spaces" to see that $\operatorname{im} L_M \subseteq F^{m \times 1}$ is $\operatorname{Colspace}(M)$, and $\operatorname{rank} L_M = \dim(\operatorname{Colspace}(M))$.

So apply standard bases and $[L]_{A,B} = M$, $[L^t]_{B^*,A^*} = M^t$

From Thm 24, $M \cong M^t \rightarrow \operatorname{rank} M = \operatorname{rank} M^t = \operatorname{rank} T = \operatorname{rank} T^t$.

ii) Suppose $f \in (\ker T)^0$. Then $f(v) = 0 \ \forall v \in \ker T$. Since $T^t(f)(v) = f(T(v)) = f(0) = 0$

$\forall v \in \ker T$, $(\ker T)^0 \subseteq \operatorname{im} T^t$. Rank-nullity says $\dim(\operatorname{im} T) + \dim(\ker T) = n$.

Apply Thm 16 and clearly $\dim(\operatorname{im} T) + \dim(\ker T) = \dim(\ker T) + \dim((\ker T)^0)$

$\rightarrow \dim((\ker T)^0) = \dim(\operatorname{im} T) = \dim(\operatorname{im} T^t)$. Finally, because

$$(\ker T)^0 \subseteq \operatorname{im} T^t, \quad (\ker T)^0 = \operatorname{im} T^t$$

c) If V, W are infinite dim, $(\ker T)^0 \subseteq \operatorname{im} T^t$ but equality is not necessarily true.

X

36. V is finite dim vsp over F .

$W_1, W_2 \leq V$ subspaces.

a) Show $(W_1 + W_2)^\circ = W_1^\circ \cap W_2^\circ$

Let $f \in (W_1 + W_2)^\circ$, then $f(W_1 + W_2) = 0$, $w_1 \in W_1, w_2 \in W_2$.

So $f(w_1) = 0$ and $f(w_2) = 0 \quad \forall w_1 \in W_1, w_2 \in W_2 \rightarrow f \in W_1^\circ \cap W_2^\circ$.

Conclude $(W_1 + W_2)^\circ \subseteq W_1^\circ \cap W_2^\circ$ \square

Now suppose $f \in W_1^\circ \cap W_2^\circ$. So $f(W_1) = 0, f(W_2) = 0, w_1 \in W_1, w_2 \in W_2$.

Then $f(w_1 + w_2) = 0$ and $f \in (W_1 + W_2)^\circ$. Therefore $W_1^\circ \cap W_2^\circ \subseteq (W_1 + W_2)^\circ$

and $W_1^\circ \cap W_2^\circ = (W_1 + W_2)^\circ$

b) Let $f \in (W_1 \cap W_2)^\circ$. So $f(w) = 0 \quad \forall w \in W_1 \cap W_2$.

Let $\mathcal{B}_1 = \{v_1, \dots, v_h\}$ be a basis for W_1 , $\mathcal{B}_2 = \{v_{g+1}, \dots, v_n\}$ be a basis for W_2 . Now $\mathcal{B}_1 \cap \mathcal{B}_2 = \{v_{g+1}, \dots, v_h\}$ is a basis for $W_1 \cap W_2$. We can

extend this to $\mathcal{B} = \{v_1, \dots, v_n\}$ a basis of V , so $W_1 \cap \mathcal{B} = \mathcal{B}_1$ and $W_2 \cap \mathcal{B} = \mathcal{B}_2$. Define $g, h \in V^*$ by $g(v_i) = \begin{cases} 0 & \text{if } 1 \leq i \leq h \\ f(v_i) & \text{if } h+1 \leq i \leq n \end{cases}$

$$h(v_i) = \begin{cases} 0 & \text{if } g \leq i \leq n \\ f(v_i) & \text{if } 1 \leq i \leq g-1 \end{cases}$$

8 Now $g \in W_1^\circ$, $h \in W_2^\circ$ and $f(v_i) = g(v_i) + h(v_i)$ for $1 \leq i \leq n$

$\rightarrow f = g + h$. Therefore $(W_1 \cap W_2)^\circ \subseteq W_1^\circ + W_2^\circ$.

Conversely, let $f \in W_1^\circ + W_2^\circ$. Then $\exists g, h \in W_1^\circ$ and W_2° resp.

such $f = g + h$. So $f(w) = g(w) + h(w) = 0 + 0 = 0, \quad \forall w \in W_1 \cap W_2$.

Then $W_1^\circ + W_2^\circ \subseteq (W_1 \cap W_2)^\circ \rightarrow W_1^\circ + W_2^\circ = (W_1 \cap W_2)^\circ$

c) If V is int. dim. part a) still holds

but b needs V to be finite dim. We can only say $W_1^\circ + W_2^\circ \subseteq (W_1 \cap W_2)^\circ$ but not conversely.

Why? Example?