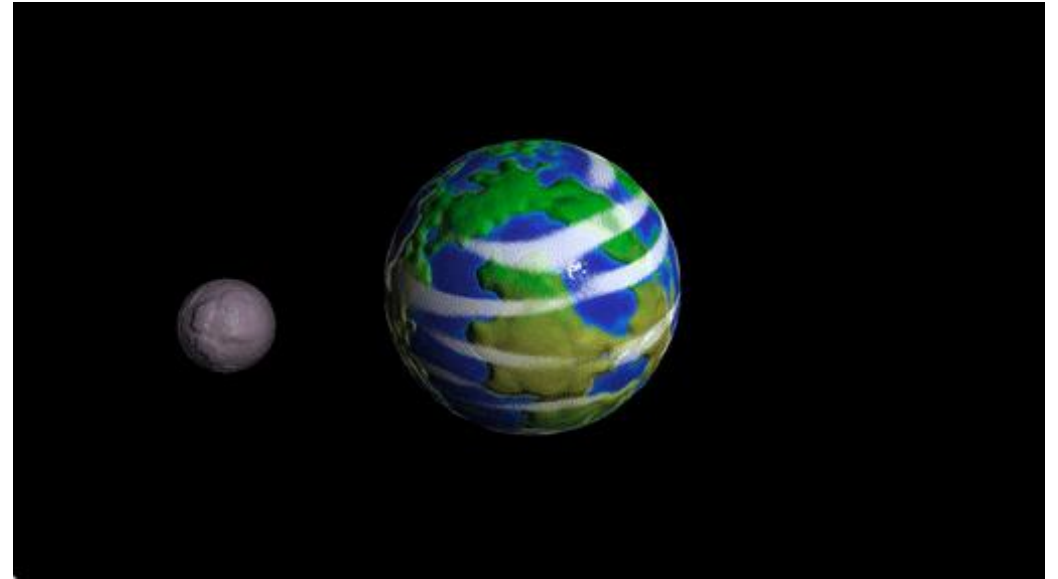


# Transformations, Shaders & Rasterization



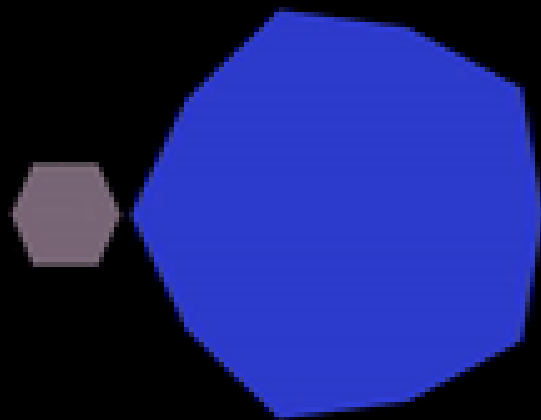
Some Slides/Images adapted from Marschner and Shirley and David Levin

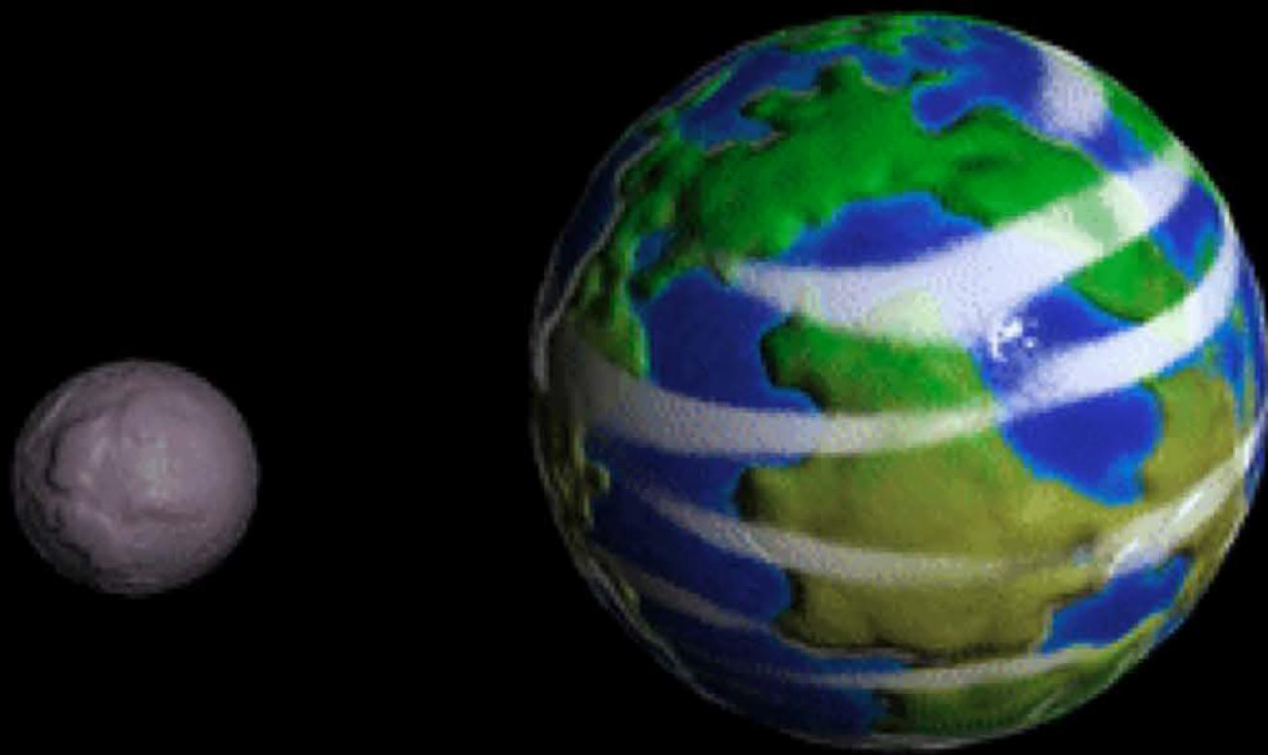


# Agenda

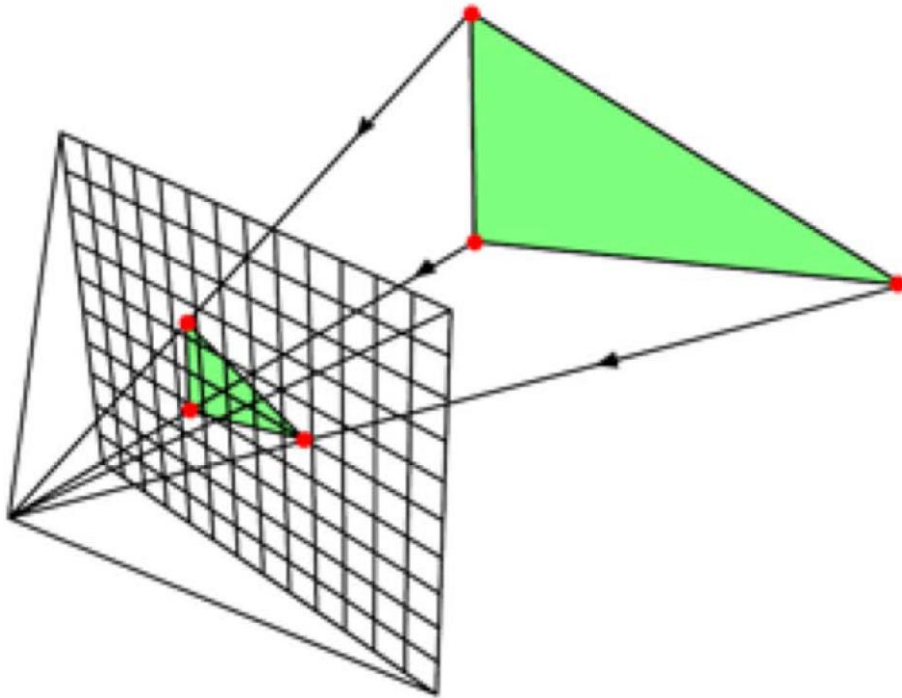
- Rasterization and the Modern Graphics Pipeline
- Transformations
- Shaders
- Normal and Bump Mapping
- Perlin Noise





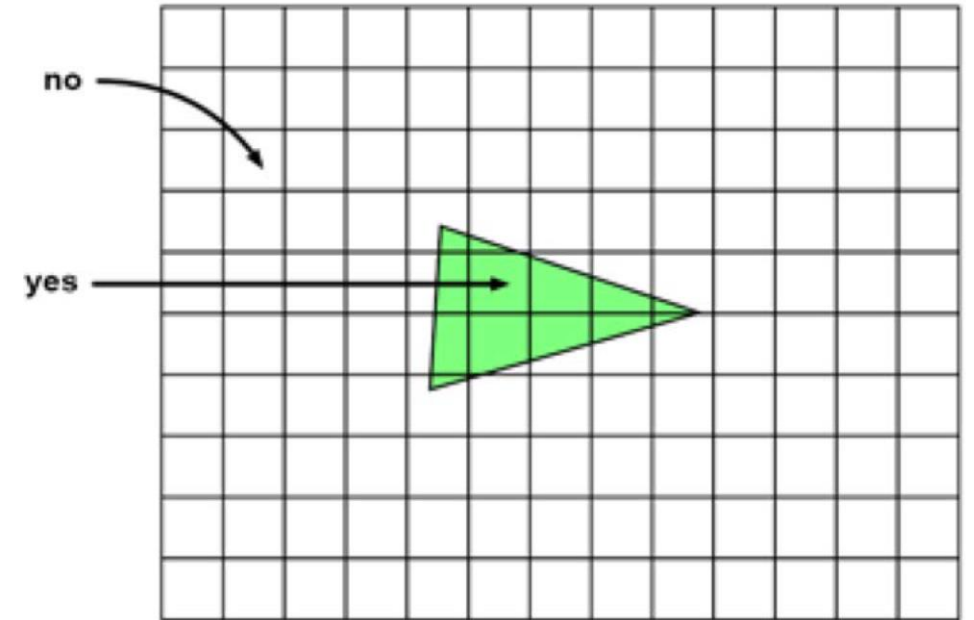


# Rasterization



© www.scratchapixel.com

1. Project Vertices to Image Plane



2. Turn on pixels inside triangle



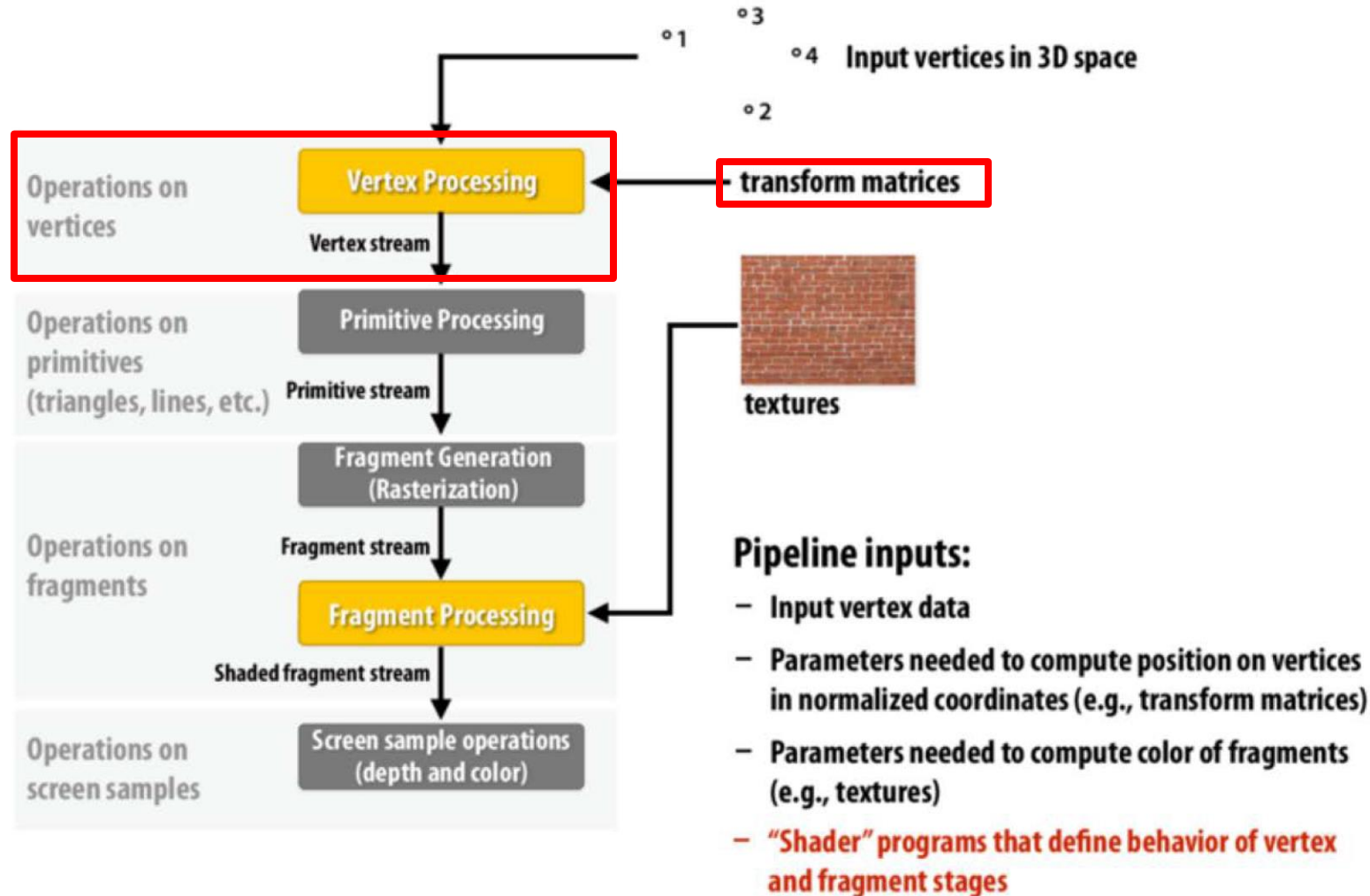
# Rasterization

```
001 // rasterization algorithm
002 for (each triangle in scene) {
003     // STEP 1: project vertices of the triangle using perspective projection
004     Vec2f v0 = perspectiveProject(triangle[i].v0);
005     Vec2f v1 = perspectiveProject(triangle[i].v1);
006     Vec2f v2 = perspectiveProject(triangle[i].v2);
007     for (each pixel in image) {
008         // STEP 2: is this pixel contained in the projected image of the triangle
009         if (pixelContainedIn2DTriangle(v0, v1, v2, x, y)) {
010             image(x,y) = triangle[i].color;
011         }
012     }
013 }
```



# Modern Graphics Pipeline

## OpenGL/Direct3D graphics pipeline \*



\* several stages of the modern OpenGL pipeline are omitted



# Transformations

## Transformation/Deformation in Graphics:

A function  $f$ , mapping points/vectors to points/vectors.  
simple transformations are usually invertible.

$$[x \ y]^T \begin{array}{c} \xrightarrow{f} \\ \xleftarrow{f^{-1}} \end{array} [x' \ y']^T$$

### Applications:

- Placing objects in a scene.
- Composing an object from parts.
- Animating objects.





# Linear Transformations

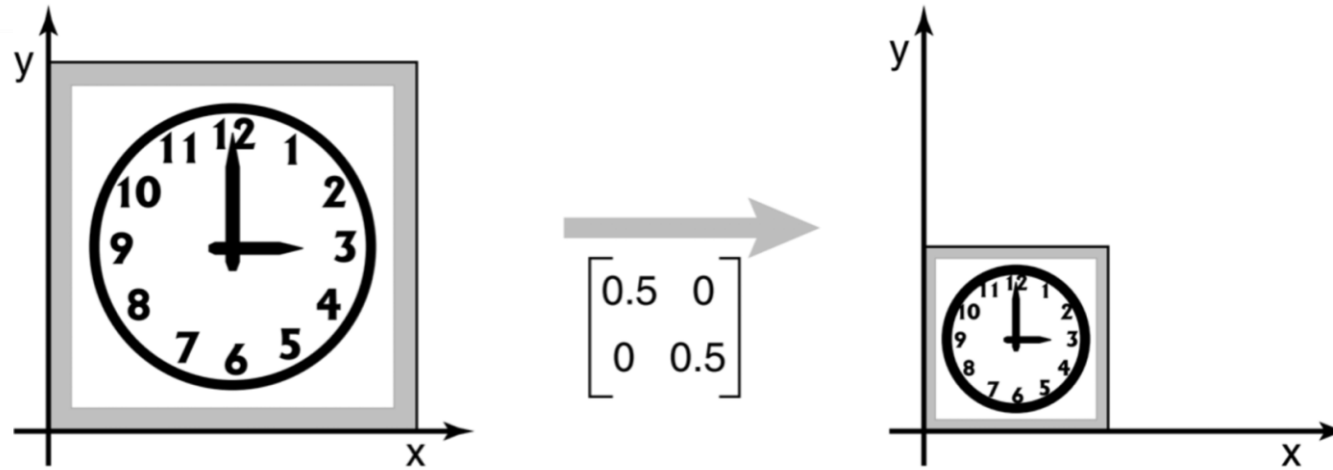
A transformation matrix  $A$  maps a point/vector  $p=[x \ y]^T$  to  $Ap$

$$\begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} a_{11}x + a_{12}y \\ a_{21}x + a_{22}y \end{bmatrix}$$



## 2D Linear Transformations - Scale

$$\begin{bmatrix} s_x & 0 \\ 0 & s_y \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} s_x x \\ s_y y \end{bmatrix}$$



When  $s_x = s_y$  we say the scaling is uniform



# 2D Linear Transformations - Rotation

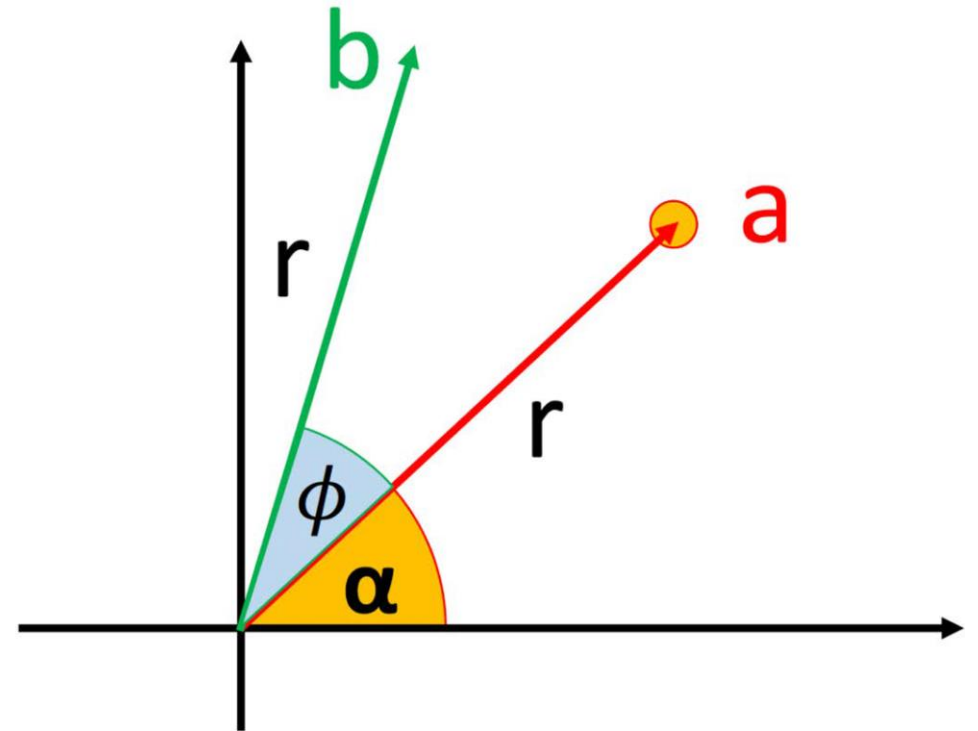
$$\text{rotate}(\phi) = \begin{bmatrix} \cos \phi & -\sin \phi \\ \sin \phi & \cos \phi \end{bmatrix}$$

$$x = r \cos \alpha$$

$$y = r \sin \alpha$$

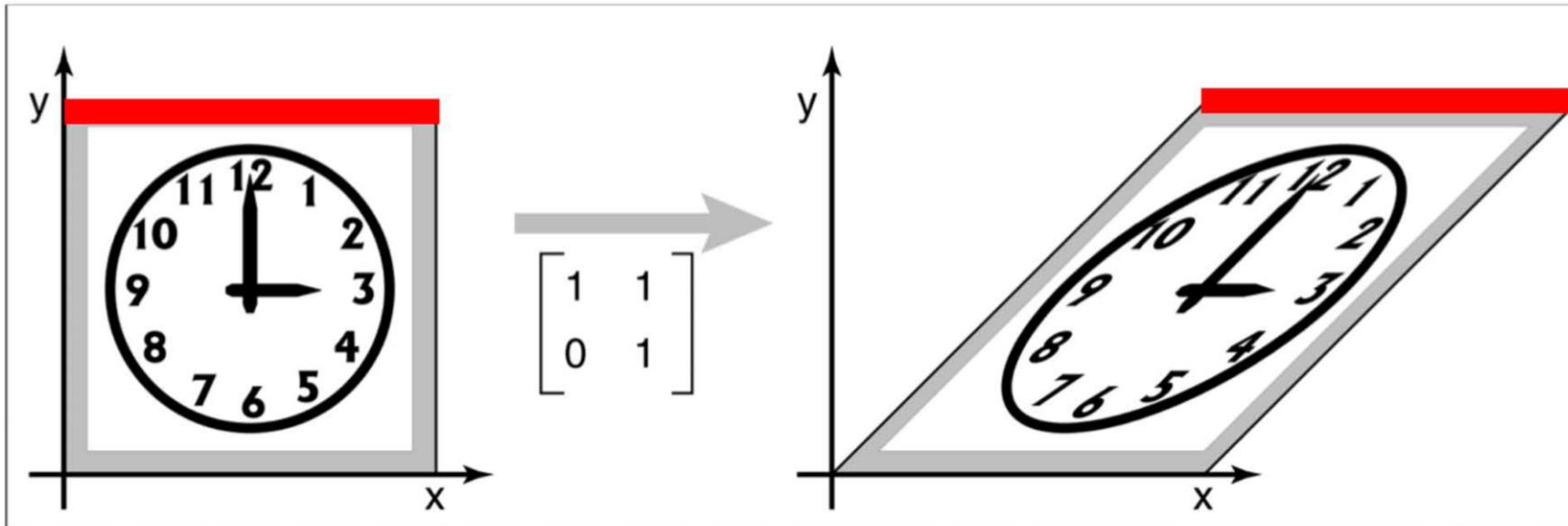
$$x' = r \cos(\alpha + \phi) = r (\cos \alpha \cos \phi - \sin \alpha \sin \phi) = x \cos \phi - y \sin \phi$$

$$y' = r \sin(\alpha + \phi) = r (\cos \alpha \sin \phi + \sin \alpha \cos \phi) = x \sin \phi + y \cos \phi$$



## 2D Linear Transformations - Shear

$$\begin{bmatrix} 1 & s \\ 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} x + sy \\ y \end{bmatrix}$$



These are always the same length



## 2D Linear Transformations- Translation?

$$T \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} x + t_x \\ y + t_y \end{bmatrix}$$



## 2D Affine Transformations - Translation

$$\begin{bmatrix} a_{11} & a_{12} & t_x \\ a_{21} & a_{22} & t_y \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix} = \begin{bmatrix} a_{11}x + a_{12}y + t_x \\ a_{21}x + a_{22}y + t_y \\ 1 \end{bmatrix}$$

$$Ax + t = b$$



# Cartesian $\Leftrightarrow$ Homogeneous Coordinates

Cartesian  $[x \ y]^T \Rightarrow$  Homogeneous  $[x \ y \ 1]^T$

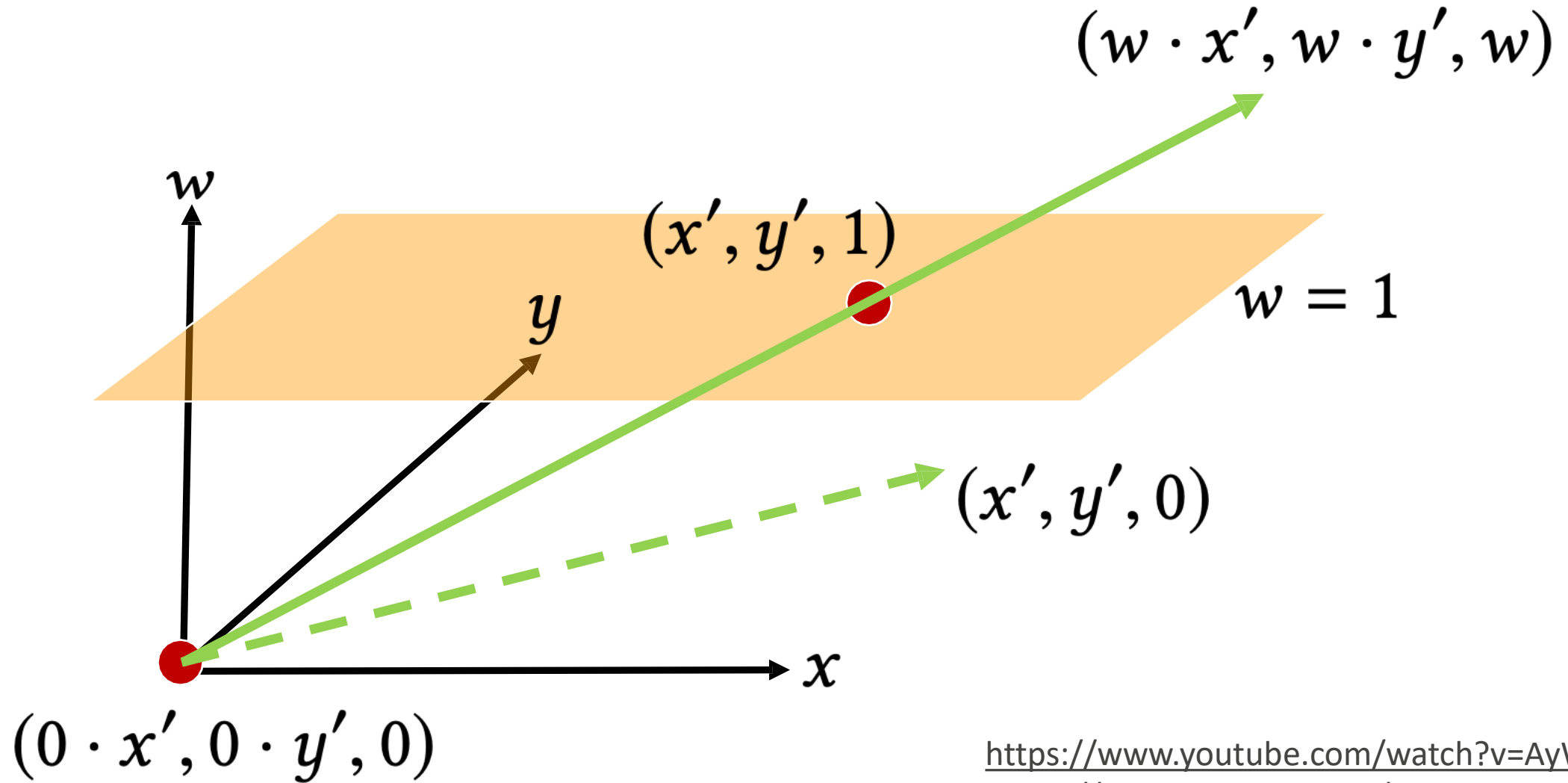
Homogeneous  $[x \ y \ w]^T \Rightarrow$  Cartesian  $[x/w \ y/w \ 1]^T$

Homogeneous points are equal if they represent the same Cartesian point. For eg.  $[4 \ -6 \ 2]^T = [-6 \ 9 \ -3]^T$ .

What about  $w=0$ ?



# Geometric Intuition



<https://www.youtube.com/watch?v=AyW4Y8APjK4>  
<https://www.youtube.com/watch?v=2Snoepcmi9U>  
<https://www.youtube.com/watch?v=Q2ultHa7GFQ>



# Points at $\infty$ in Homogeneous Coordinates

$[x \ y \ w]^T$  with  $w=0$  represent points at infinity, though with direction  $[x \ y]^T$  and thus provide a natural representation for **vectors**, distinct from **points** in Homogeneous coordinates.

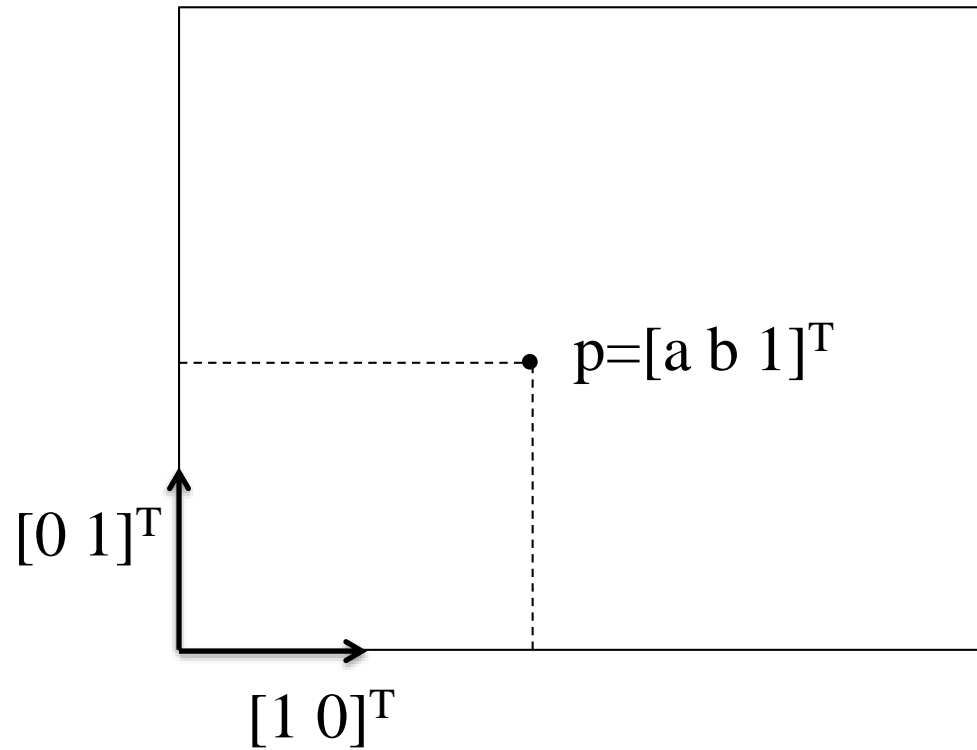
$$\begin{bmatrix} x \\ y \end{bmatrix}$$

Considered as a **vector** in 3D  
homogeneous coordinates

$$\begin{bmatrix} x \\ y \\ 0 \end{bmatrix}$$



# Points as Homogeneous 2D Point Coords



$$p = a*[1\ 0\ 0]^T + b*[0\ 1\ 0]^T + [0\ 0\ 1]^T$$

basis vectors      origin

Three red arrows point from the labels 'basis vectors' and 'origin' to the three terms in the equation above. One arrow points from 'basis vectors' to the first term  $a*[1\ 0\ 0]^T$ , another from 'basis vectors' to the second term  $b*[0\ 1\ 0]^T$ , and a third from 'origin' to the third term  $[0\ 0\ 1]^T$ .



# Representing 2D transforms as a 3x3 matrix

**Translate** a point  $[x \ y]^T$  by  $[t_x \ t_y]^T$ :

$$\begin{pmatrix} x' \\ y' \\ 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & t_x \\ 0 & 1 & t_y \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ 1 \end{pmatrix}$$

**Rotate** a point  $[x \ y]^T$  by an angle  $t$ :

$$\begin{pmatrix} x' \\ y' \\ 1 \end{pmatrix} = \begin{pmatrix} \cos t & -\sin t & 0 \\ \sin t & \cos t & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ 1 \end{pmatrix}$$

**Scale** a point  $[x \ y]^T$  by a factor  $[s_x \ s_y]^T$

$$\begin{pmatrix} x' \\ y' \\ 1 \end{pmatrix} = \begin{pmatrix} s_x & 0 & 0 \\ 0 & s_y & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ 1 \end{pmatrix}$$



# Properties of 2D transforms

...these 3x3 transforms when invertible are called **Homographies**.

they map **lines** to **lines**.

i.e. points  **$p$**  on a line  **$l$**  transformed by a Homography  **$H$**  produce points  **$Hp$**  that also lie on a line.

...a more restricted set of transformations also preserve parallelism in lines. These are called **Affine** transforms.

...transforms that further preserve the angle between lines are called **Conformal**.

...transforms that additionally preserve the lengths of line segments are called **Rigid**.



# Properties of 2D transforms

Homography (preserve lines)

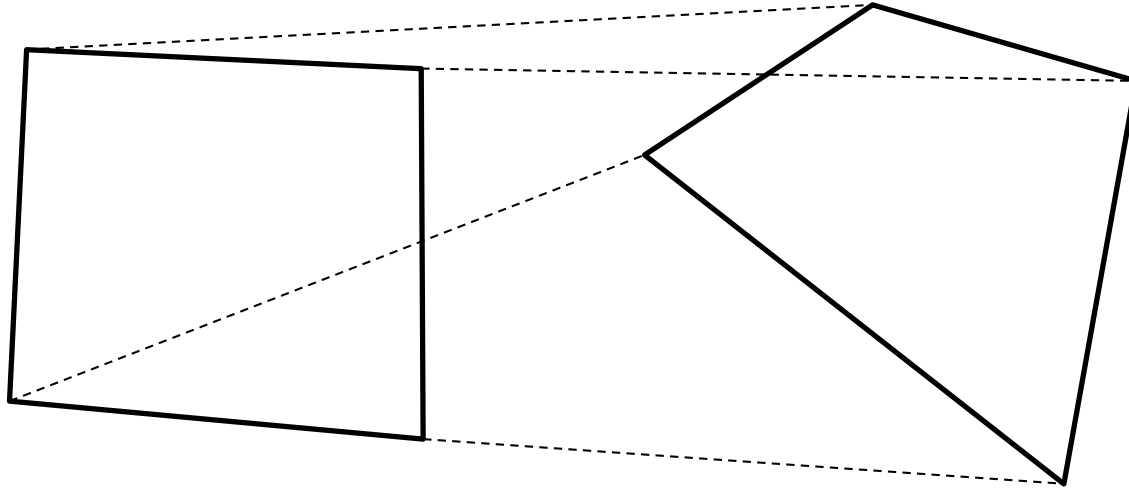
Affine (preserve parallelism)  
*shear, scale*

Conformal (preserve angles)  
*uniform scale*

Rigid (preserve lengths)  
*rotate, translate*



# Homography: mapping four points



A mapping of 4 points  $\mathbf{p}_i$  to  $\mathbf{H}\mathbf{p}_i$  uniquely define the 3x3 Homography matrix  $\mathbf{H}$ ?

$$\mathbf{H} \begin{bmatrix} x & y & 1 \end{bmatrix} = \begin{bmatrix} x' & y' & w' \end{bmatrix}.$$

Say  $\mathbf{H}[2][2]=k$ . What does the transform  $\mathbf{H}' = (1/k)*\mathbf{H}$  do?

$$\mathbf{H}' \begin{bmatrix} x & y & 1 \end{bmatrix} = 1/k \mathbf{H} \begin{bmatrix} x & y & 1 \end{bmatrix} = 1/k \begin{bmatrix} x' & y' & w' \end{bmatrix} = \begin{bmatrix} x' & y' & w' \end{bmatrix}.$$

But  $\mathbf{H}'[2][2] = 1$ .



# Affine properties: composition

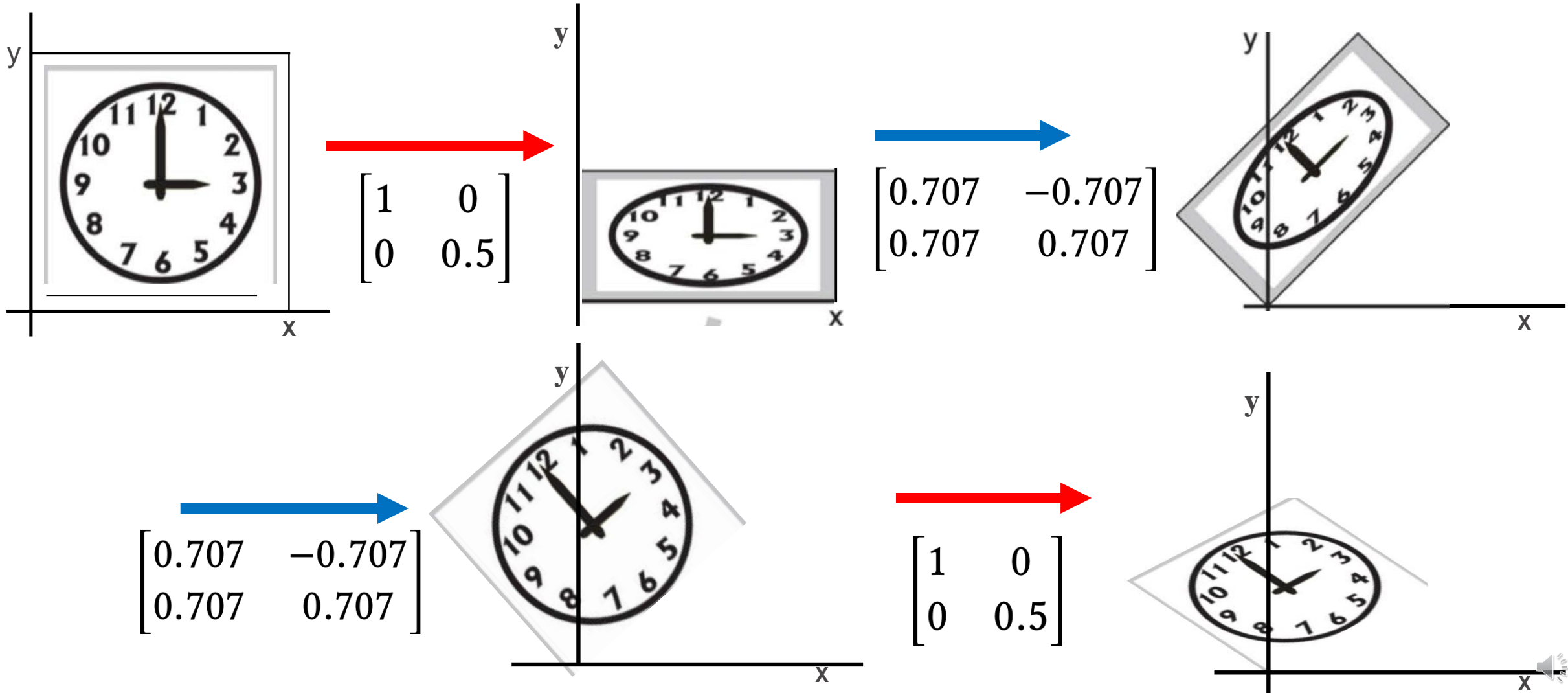
Affine transforms are closed under composition.  
i.e. Applying transform  $(A_1, t_1)$   $(A_2, t_2)$  in sequence  
results in an overall Affine transform.

$$p' = A_2 (A_1 p + t_1) + t_2 \Rightarrow (A_2 A_1) p + (A_2 t_1 + t_2)$$



# Composing Transformations

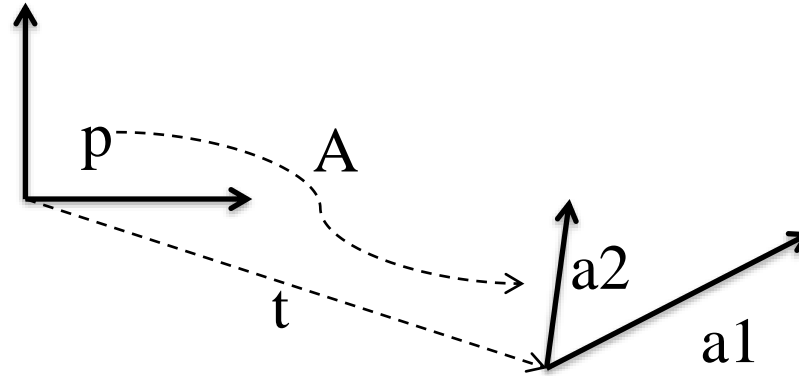
Any sequence of linear transforms can be concatenated into a single 3x3 matrix.  
In general transforms DO NOT commute (some special combinations are).





# Affine transform: geometric interpretation

A change of basis vectors and translation of the origin

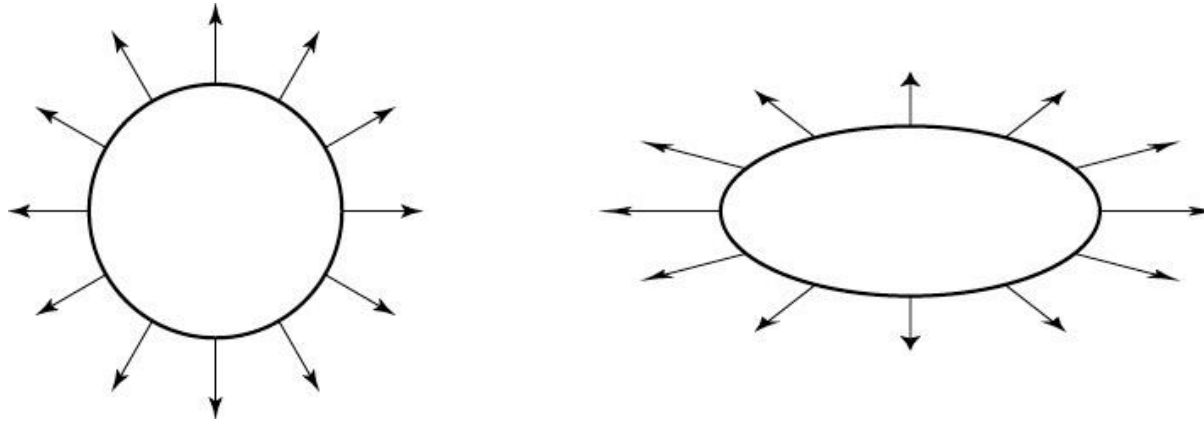


point  $p$  in the local coordinates of a reference frame defined by  $\langle a1, a2, t \rangle$  is

$$\begin{pmatrix} \begin{pmatrix} a1 & a2 \end{pmatrix} \begin{pmatrix} t \end{pmatrix} \\ 0 & 0 & 1 \end{pmatrix}^{-1} \begin{pmatrix} p \end{pmatrix}$$



# Transforming tangents and normals



Tangents can be written as the difference of points on an object.  
Say  $\mathbf{t} = \mathbf{p} - \mathbf{q}$ . The transformed tangent  $\mathbf{t}' = \mathbf{M}\mathbf{p} - \mathbf{M}\mathbf{q} = \mathbf{M}(\mathbf{p} - \mathbf{q}) = \mathbf{M}\mathbf{t}$ .

But  $\mathbf{n}' \neq \mathbf{M}\mathbf{n}$  for surface normals. (imagine scaling an object in x-direction)  
Say  $\mathbf{n}' = \mathbf{H}\mathbf{n}$ . What is  $\mathbf{H}$ ?

$$\begin{aligned}\mathbf{t}'^T \mathbf{n}' &= 0 \\ (\mathbf{M}\mathbf{t})^T (\mathbf{H}\mathbf{n}) &= 0 \\ \mathbf{t}^T (\mathbf{M}^T \mathbf{H}) \mathbf{n} &= 0\end{aligned}$$

Remember that  $\mathbf{t}^T \mathbf{n} = 0$ .      so...  $\mathbf{H} = (\mathbf{M}^T)^{-1}$



# Representing 3D transforms as a 4x4 matrix

**Translate** a point  $[x \ y \ z]^T$  by  $[t_x \ t_y \ t_z]^T$ :

$$\begin{pmatrix} x' \\ y' \\ z' \\ 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 & t_x \\ 0 & 1 & 0 & t_y \\ 0 & 0 & 1 & t_z \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix}$$

**Rotate** a point  $[x \ y \ z]^T$  by an angle  $t$  **around z axis**:

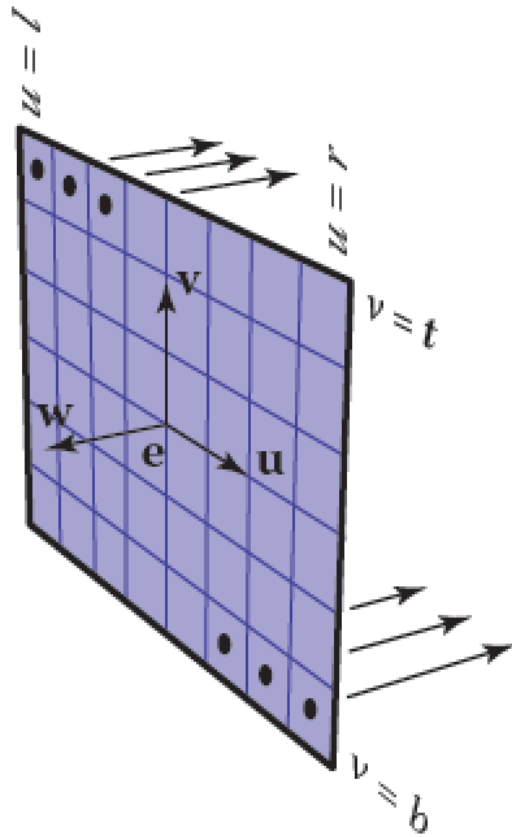
$$\begin{pmatrix} x' \\ y' \\ z' \\ 1 \end{pmatrix} = \begin{pmatrix} \cos t & -\sin t & 0 & 0 \\ \sin t & \cos t & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix}$$

**Scale** a point  $[x \ y \ z]^T$  by a factor  $[s_x \ s_y \ s_z]^T$

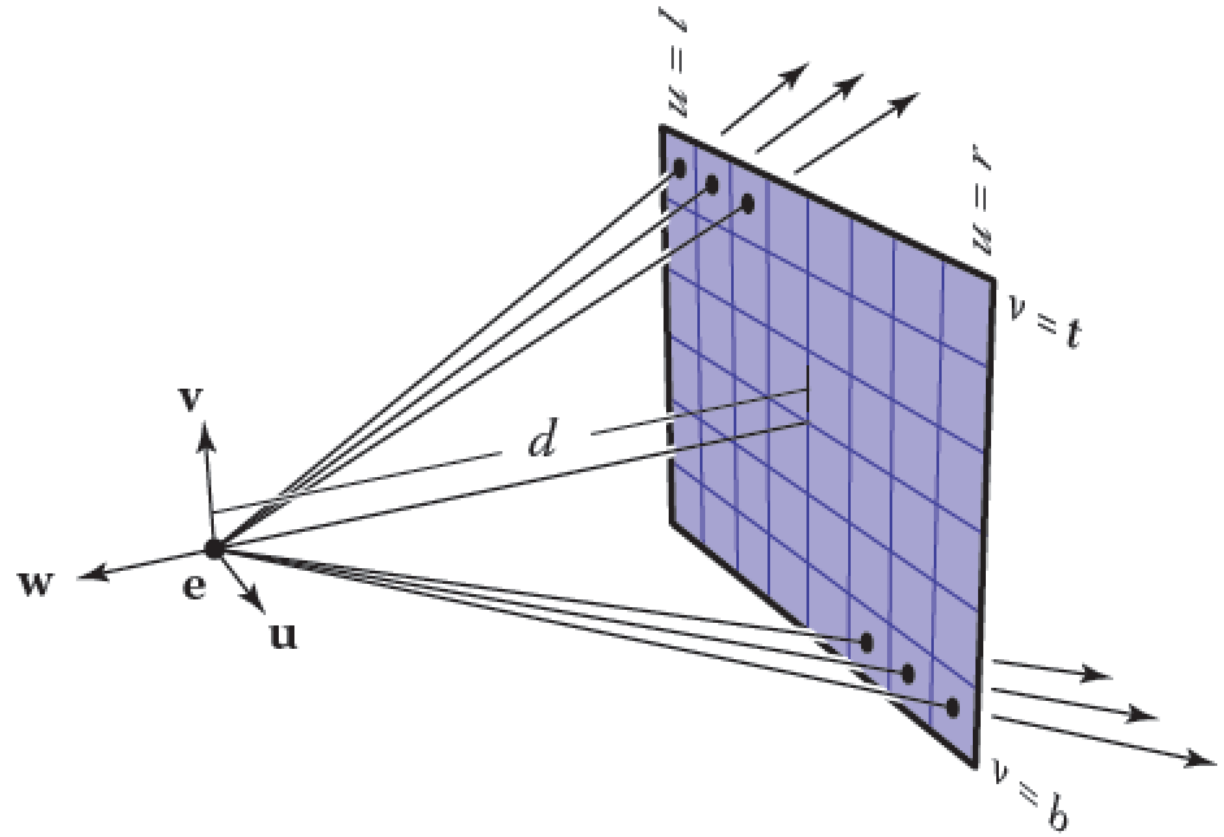
$$\begin{pmatrix} x' \\ y' \\ z' \\ 1 \end{pmatrix} = \begin{pmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & s_z & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix}$$



# Reminder: Camera Model



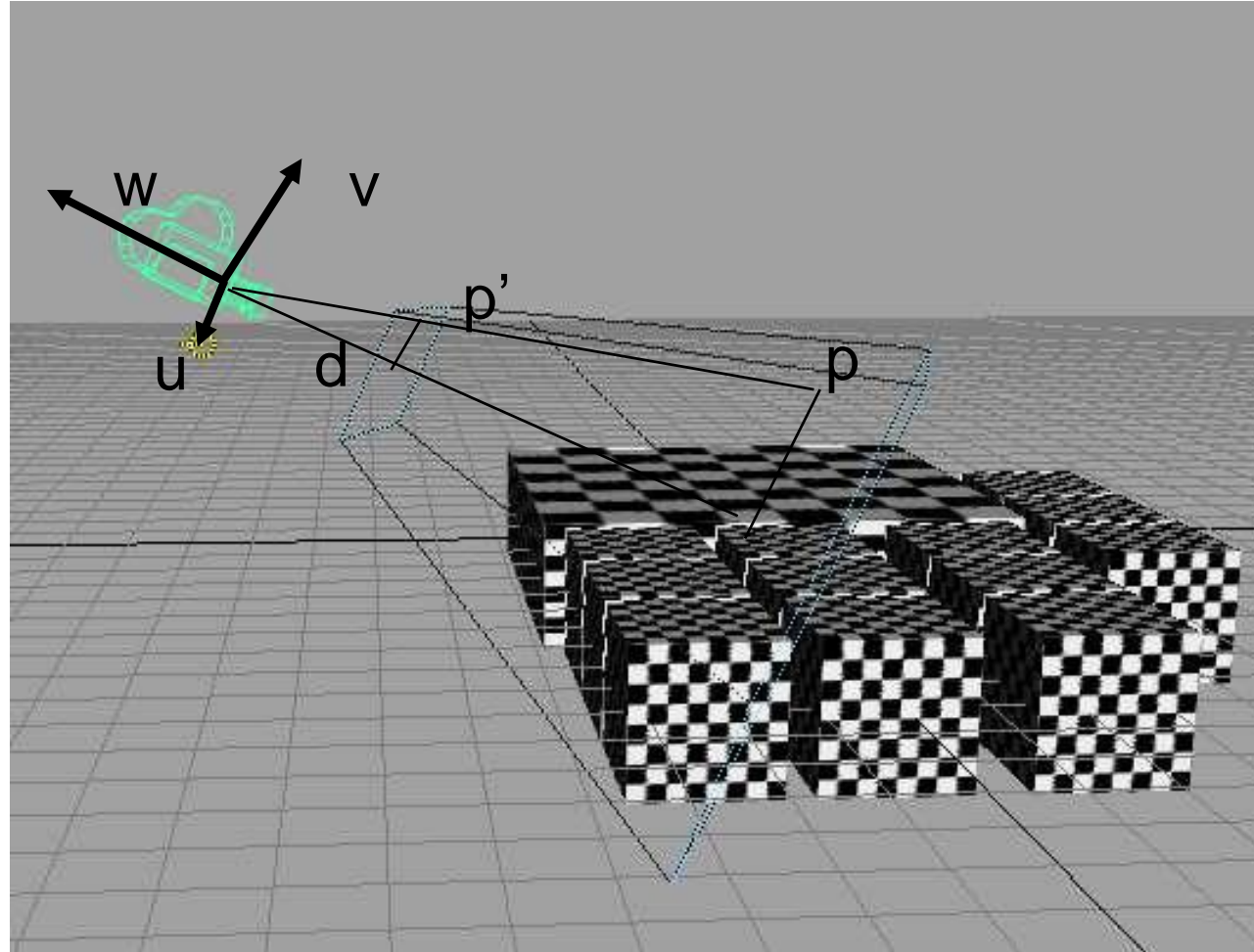
**Parallel projection**  
same direction, different origins



**Perspective projection**  
same origin, different directions



# Perspective projection



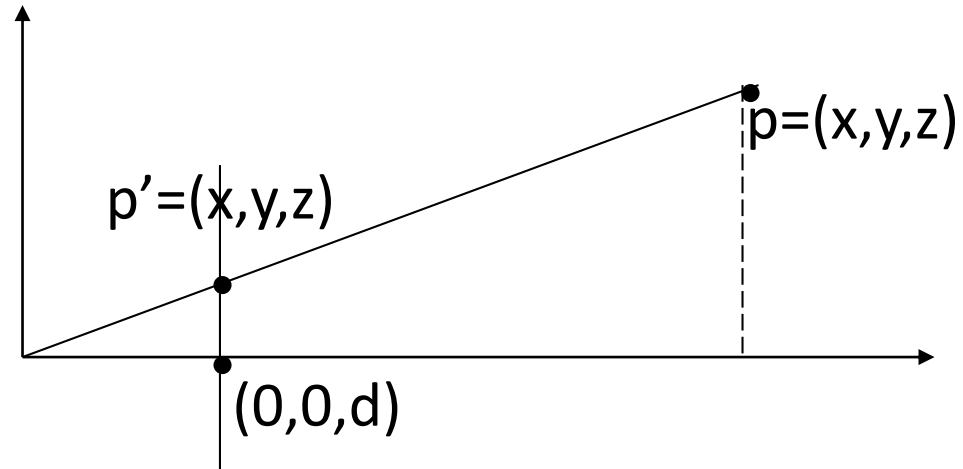
# Perspective Projection

---

$$y' = yd/z$$

$$x' = xd/z$$

$$z' = d$$

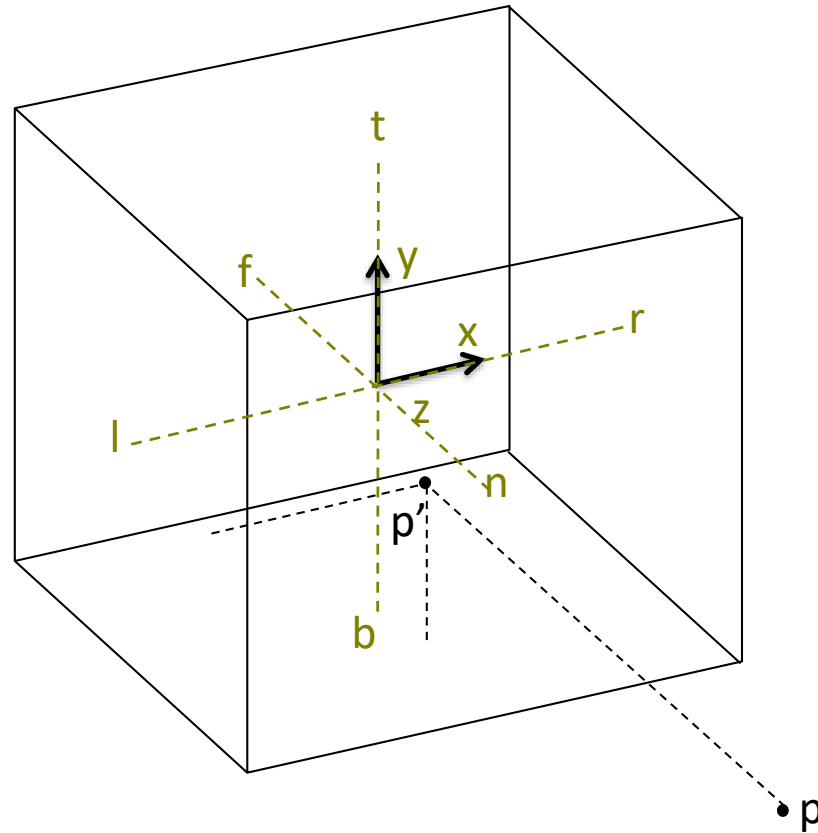


Insight:  $w' = z/d$

$$\begin{pmatrix} x' \\ y' \\ z' \\ w' \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 1/d & 0 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix}$$



# Canonical view volume

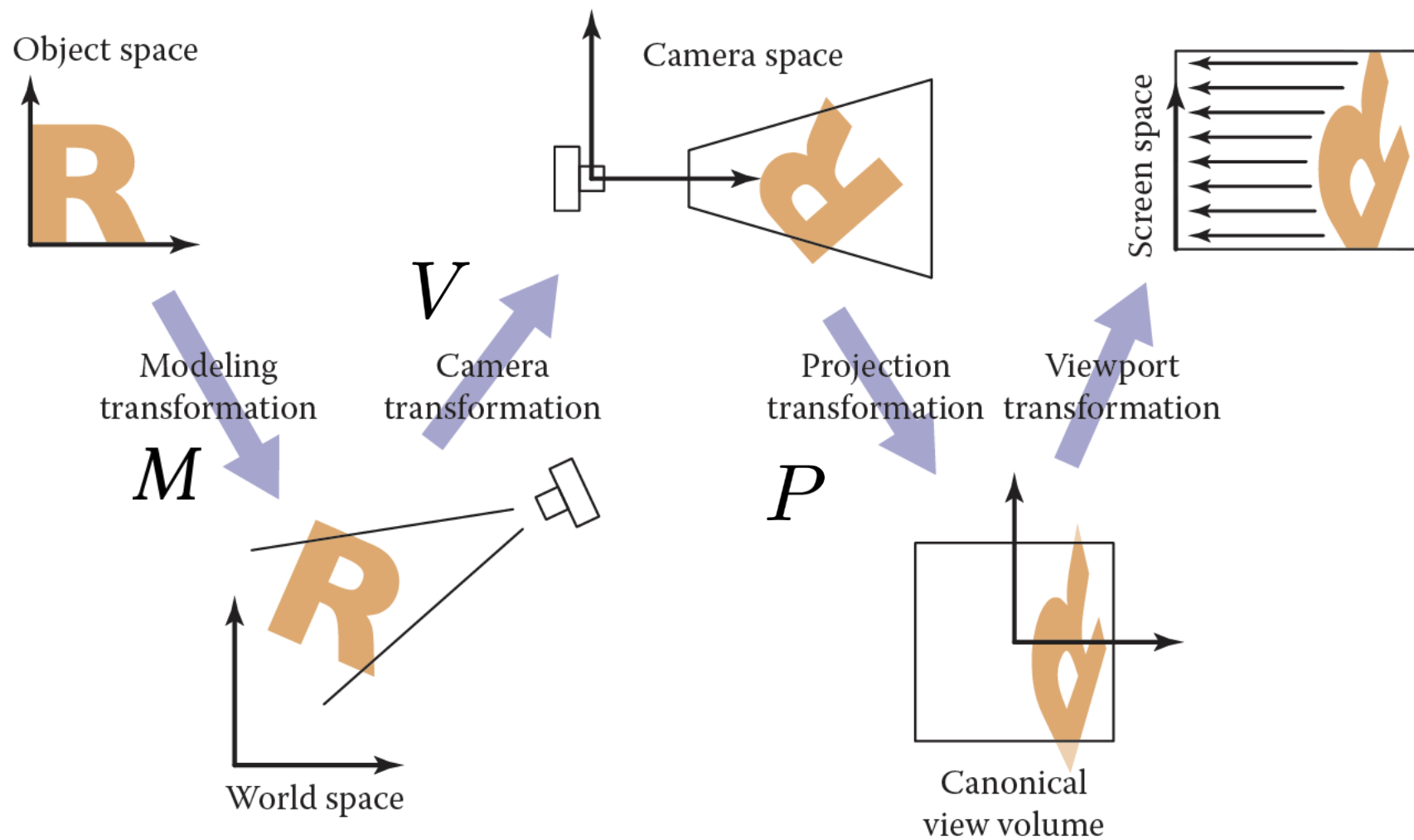


Map a 3D viewing volume  
to an origin centered cube  
of side length 2.

$\text{Translate}(-(l+r)/2, -(t+b)/2, -(n+f)/2) *$   
 $\text{Scale}(2/(r-l), 2/(t-b), 2/(f-n))$

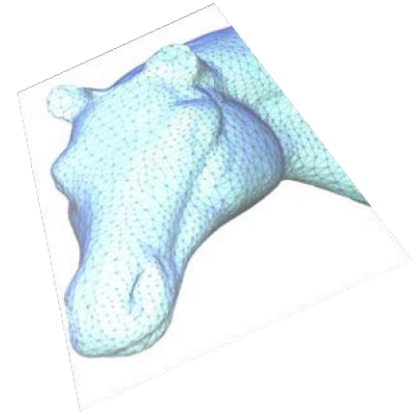
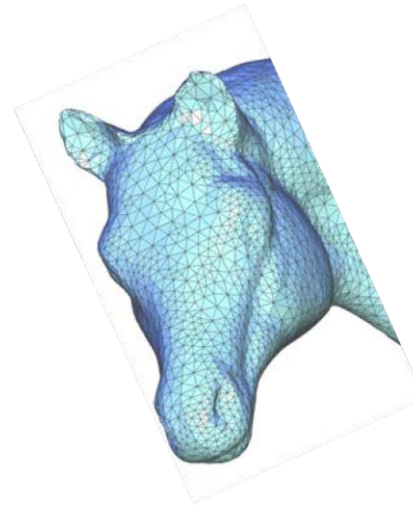
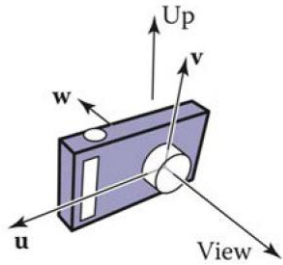
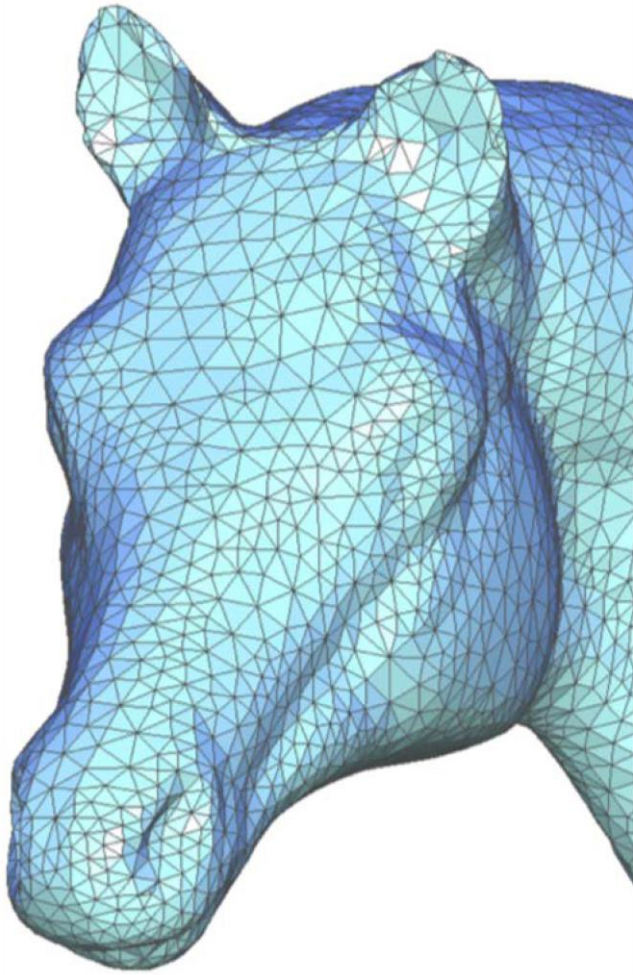


# Getting Things Onto The Screen





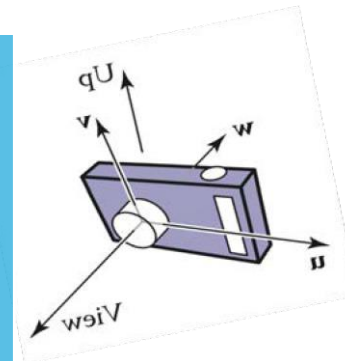
# Getting Things Onto The Screen



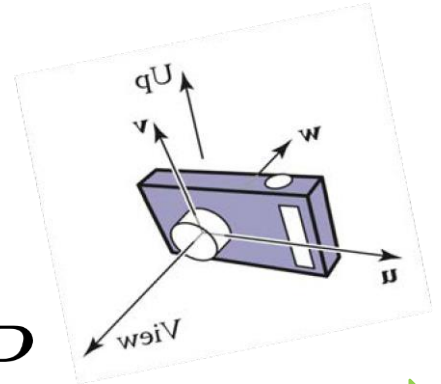
OpenGL combines these into the ModelView Matrix

$M$

$V$



$P$



Object Space

World Space

Camera Space

Canonical Space



# Modern Graphics Pipeline

## Role of CPU

main()

initialize window

copy mesh vertex positions V and face indices F to GPU

while window is open

if shaders have not been compiled or files have changed  
compile shaders and send to GPU

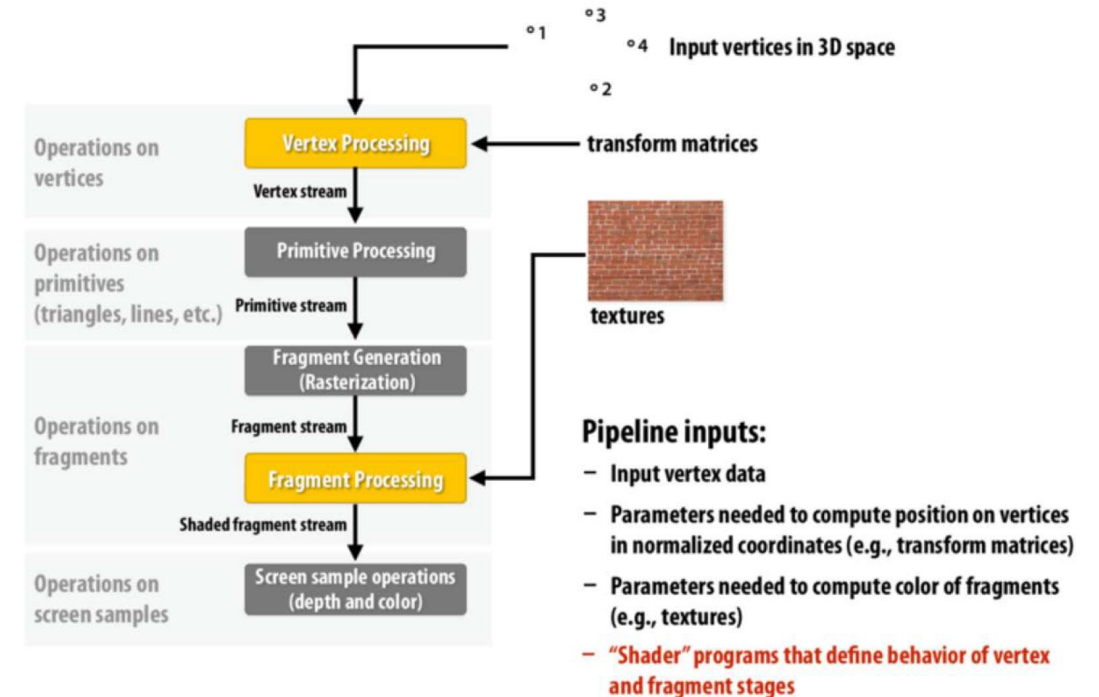
send "uniform" data to GPU

set all pixels to background color

tell GPU to draw mesh

sleep a few milliseconds

## OpenGL/Direct3D graphics pipeline \*



\* several stages of the modern OpenGL pipeline are omitted

CMU 15-462/662, Fall 2015

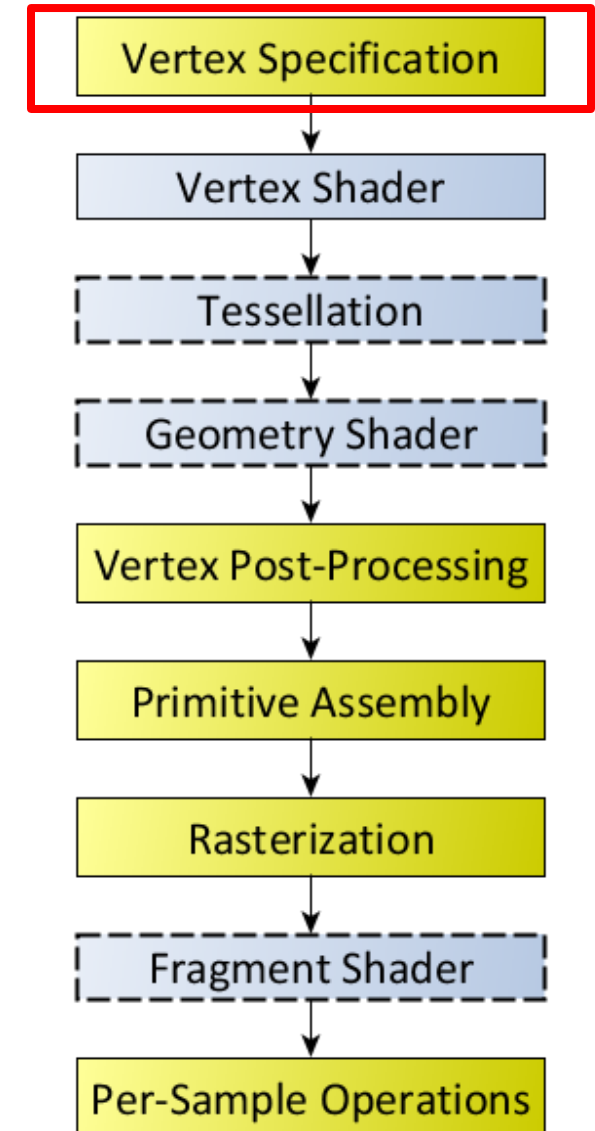


# Modern Graphics Pipeline

A Vertex Array Object (VAO) is an object which contains one or more Vertex Buffer Objects (VBO), designed to represent a complete rendered object. For eg. this is a diamond consisting of four vertices as well as a color for each vertex.

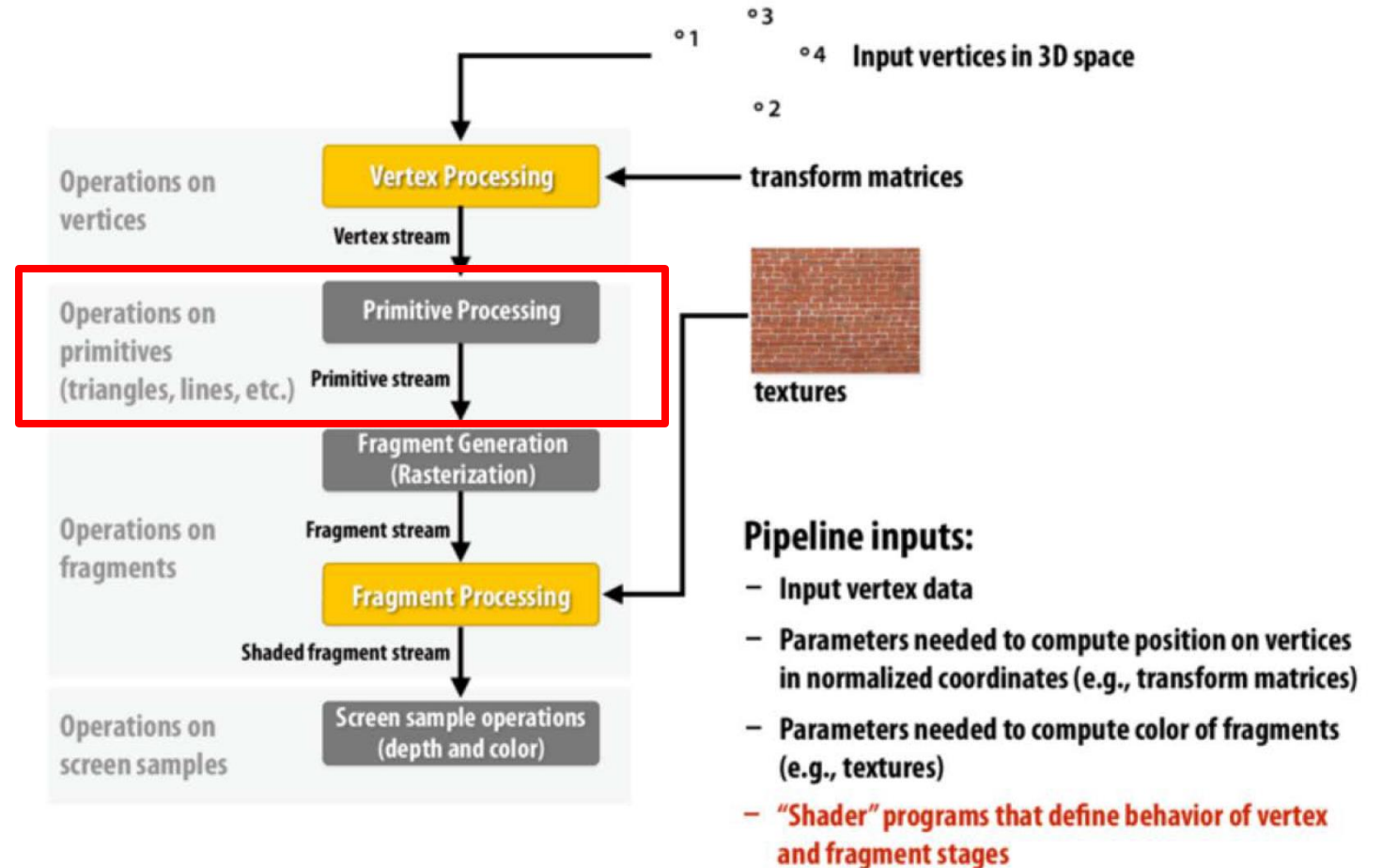
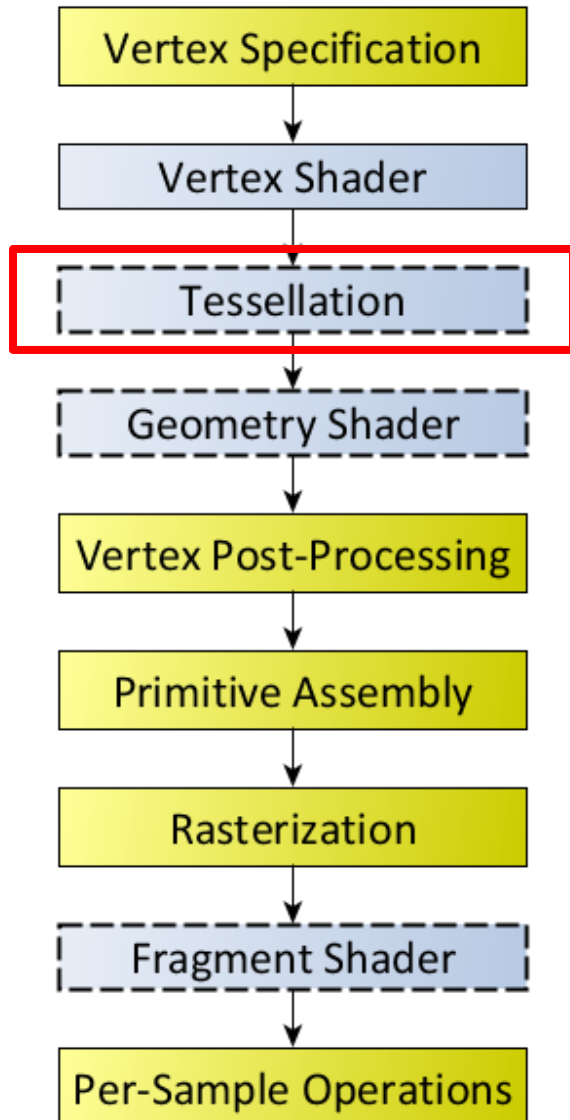
```
/* We're going to create a simple diamond made from lines */
const GLfloat diamond[4][2] = {
{ 0.0, 1.0 }, /* Top point */
{ 1.0, 0.0 }, /* Right point */
{ 0.0, -1.0 }, /* Bottom point */
{ -1.0, 0.0 } }; /* Left point */

const GLfloat colors[4][3] = {
{ 1.0, 0.0, 0.0 }, /* Red */
{ 0.0, 1.0, 0.0 }, /* Green */
{ 0.0, 0.0, 1.0 }, /* Blue */
{ 1.0, 1.0, 1.0 } }; /* White */
```



# Modern Graphics Pipeline

## OpenGL/Direct3D graphics pipeline \*

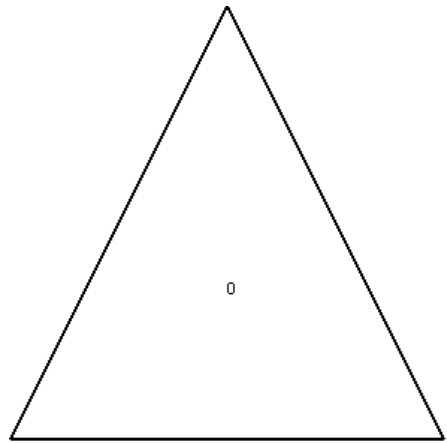


\* several stages of the modern OpenGL pipeline are omitted

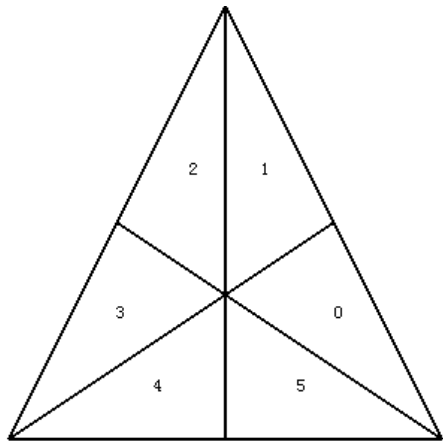
CMU 15-462/662, Fall 2015



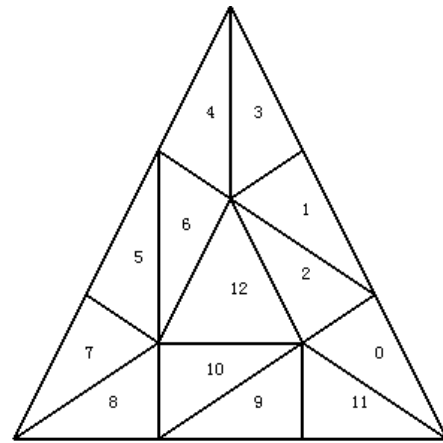
# Tessellation Control Shader



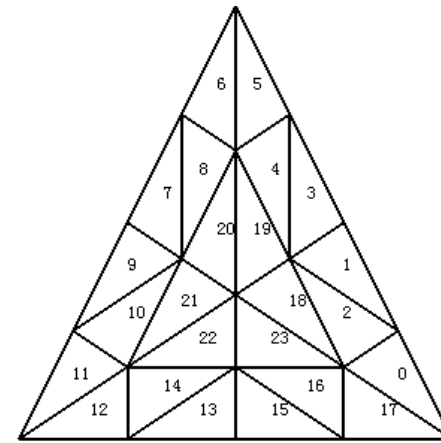
Level 1



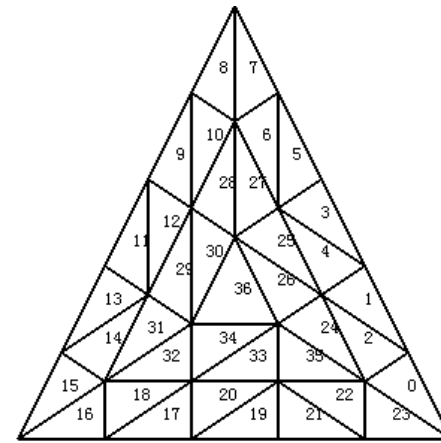
Level 2



Level 3

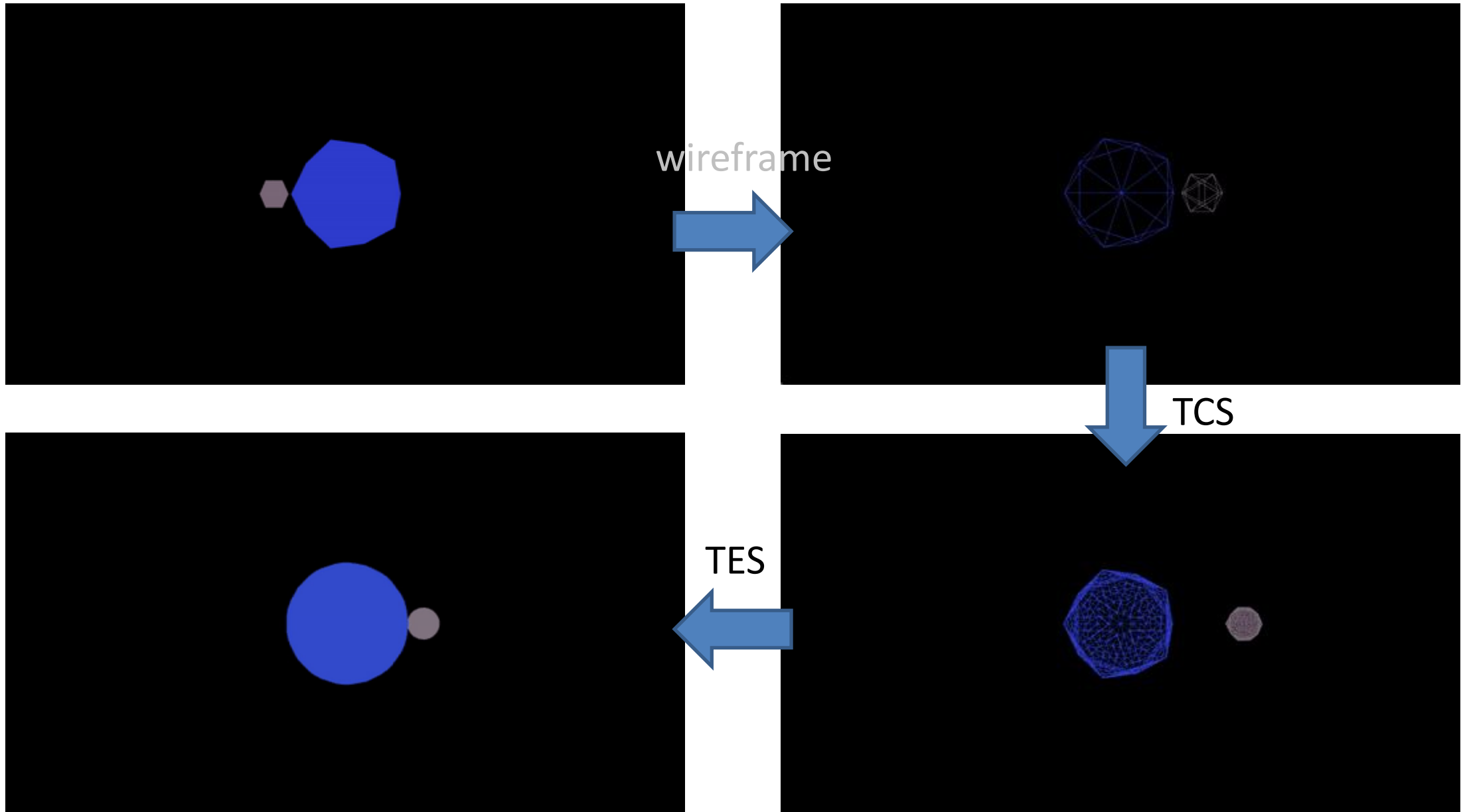


Level 4



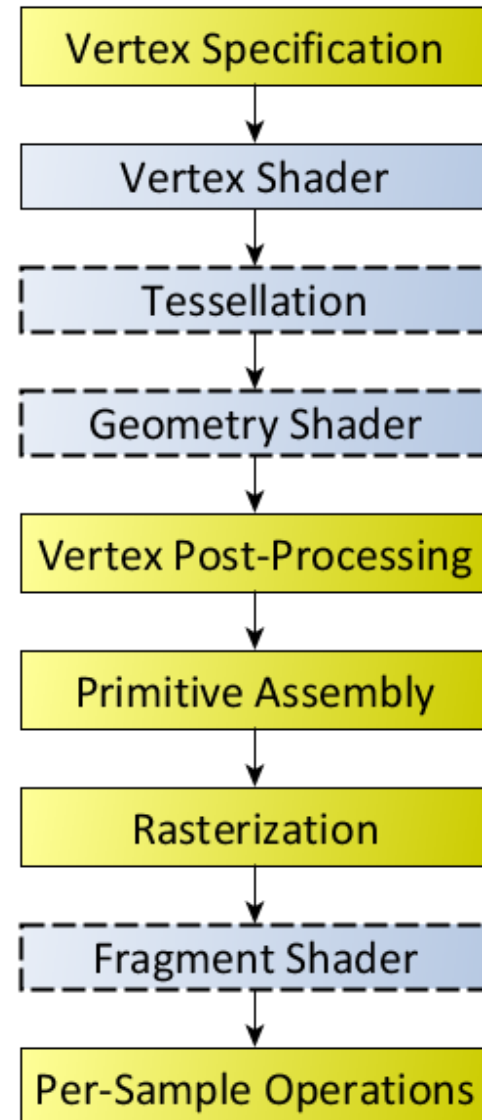
Level 5

# Tessellation Evaluation Shader





# Modern Graphics Pipeline



Clipping

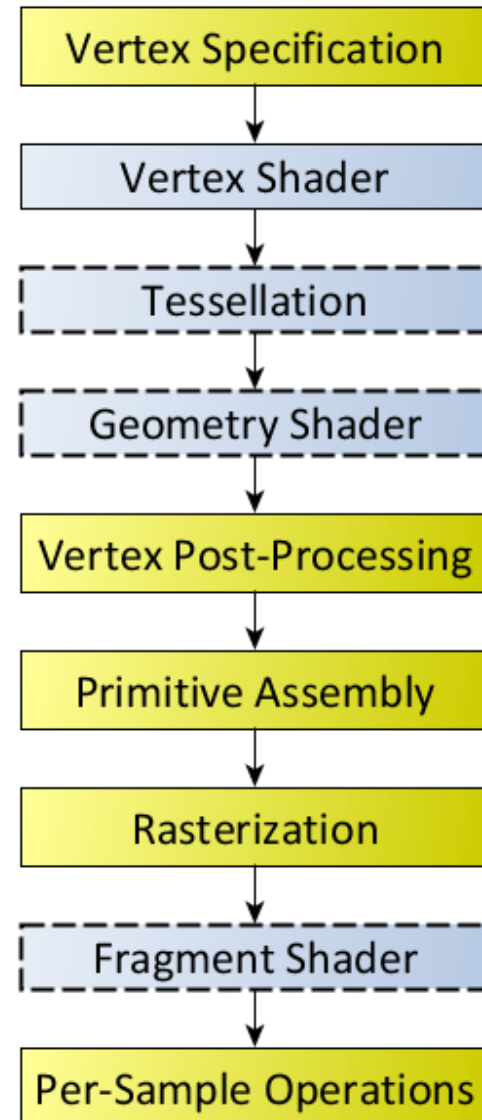
Perspective divide and viewport transform to window space

After the perspective projection matrix multiplication in the vertex shader, we need to divide all  $x, y, z$  values by  $w$  to get them into normalized device coordinates. Then puts things into window space correctly.

<https://www.learnopengles.com/tag/perspective-divide/>

[https://www.khronos.org/opengl/wiki/Rendering\\_Pipeline\\_Overview](https://www.khronos.org/opengl/wiki/Rendering_Pipeline_Overview)

# Modern Graphics Pipeline

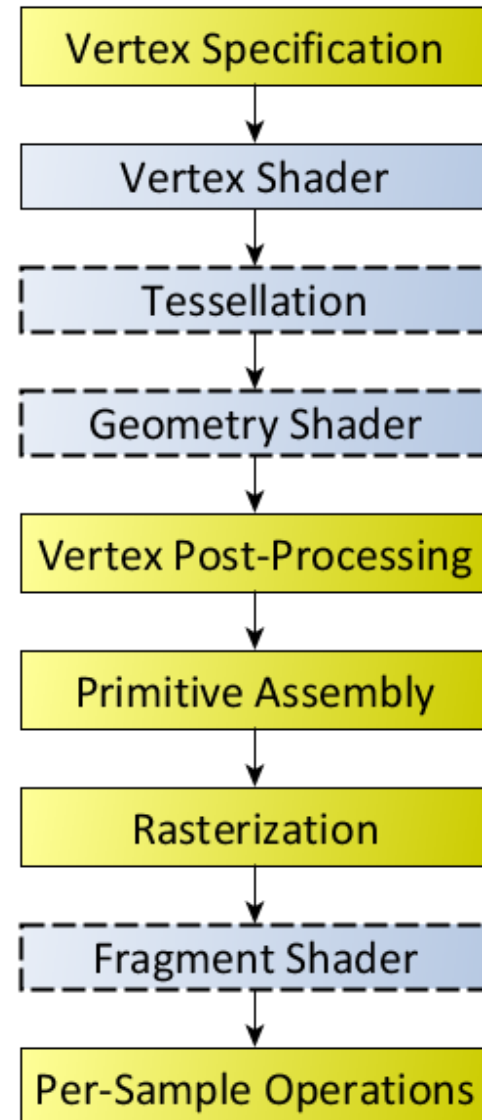


Fragment generation from primitives  
At least one fragment for every pixel area covered by  
primitive being rasterized





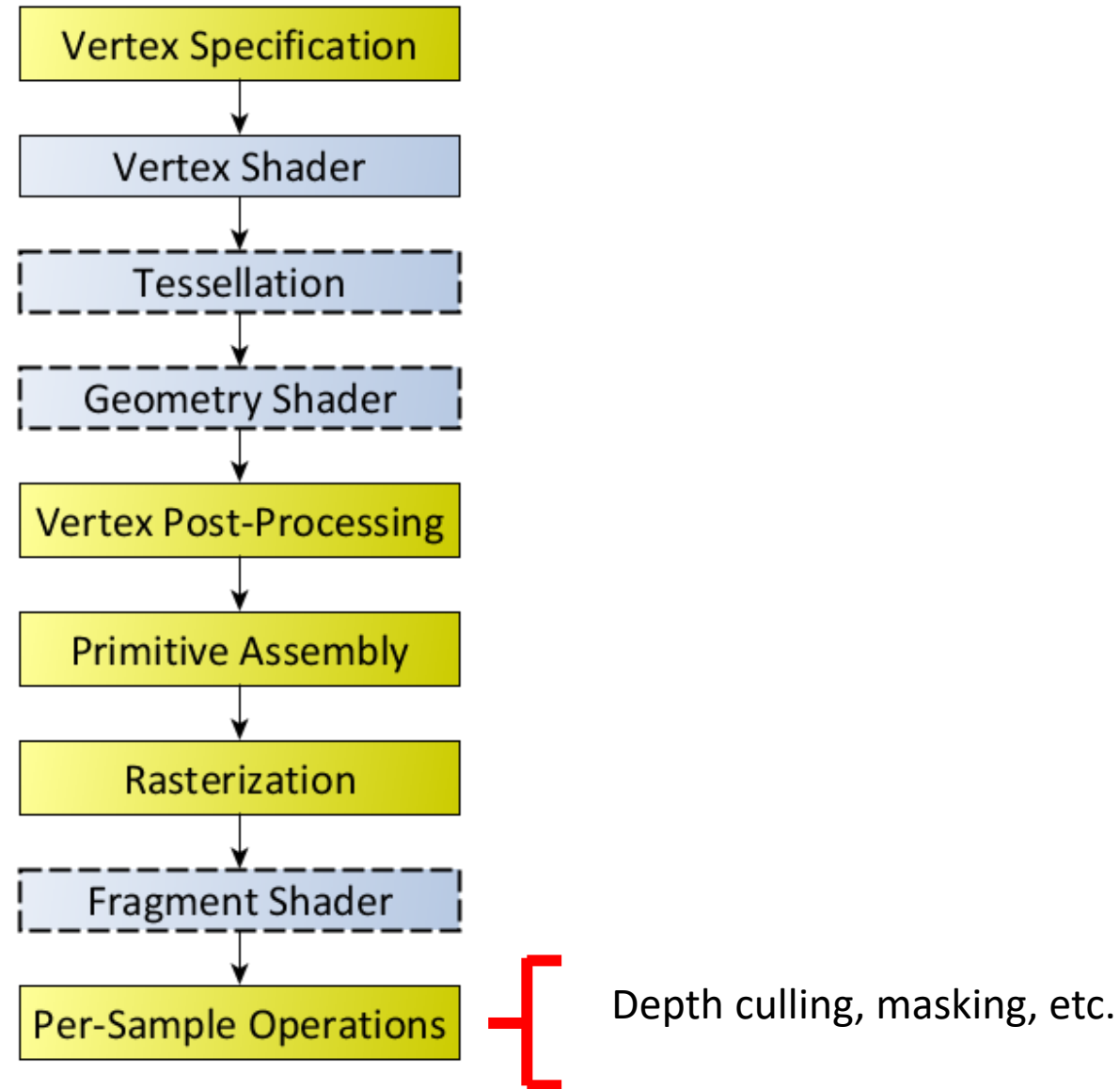
# Modern Graphics Pipeline



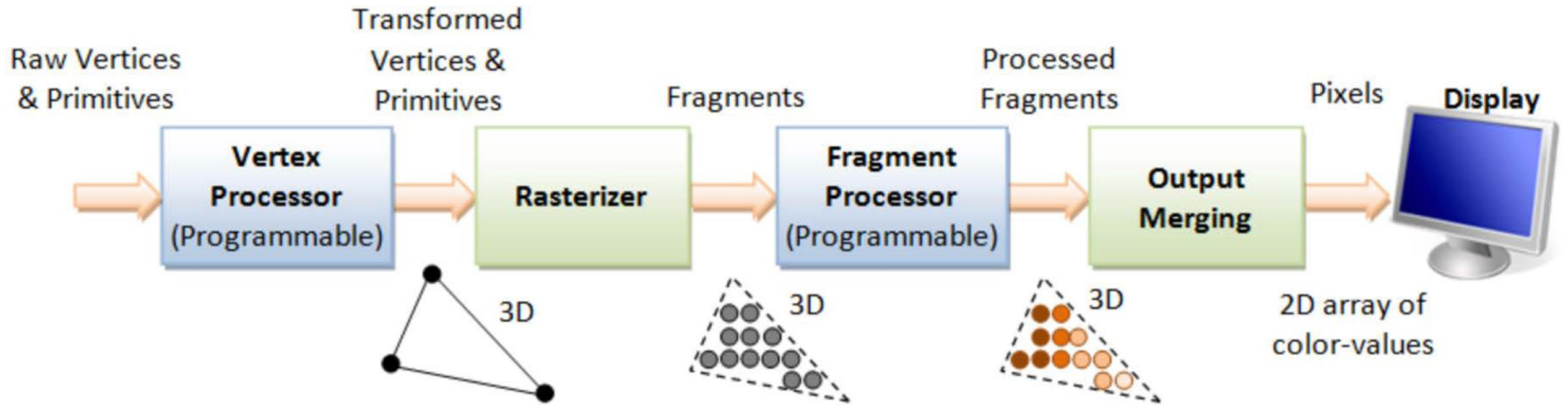
Programmable shader operates on every fragment  
Each output fragment has window space position, depth value, and zero or more color values



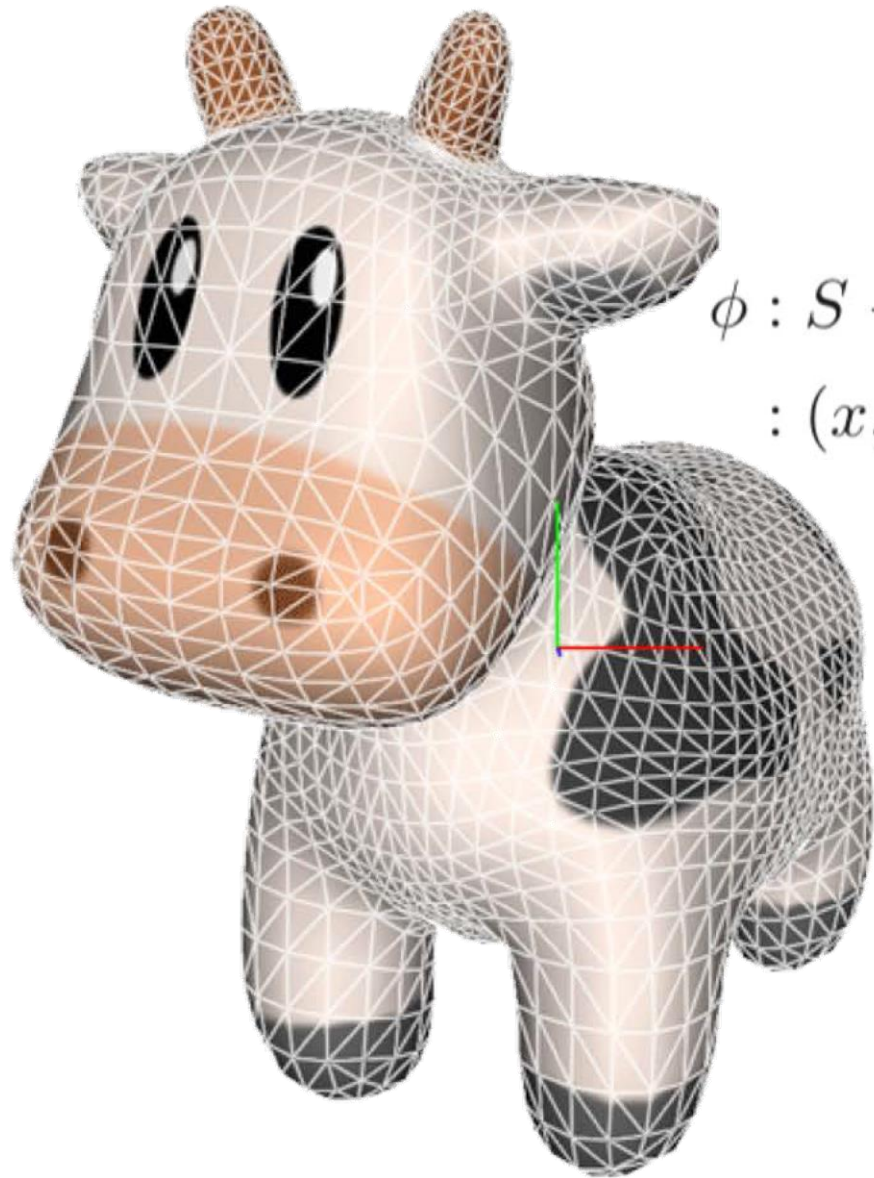
# Modern Graphics Pipeline



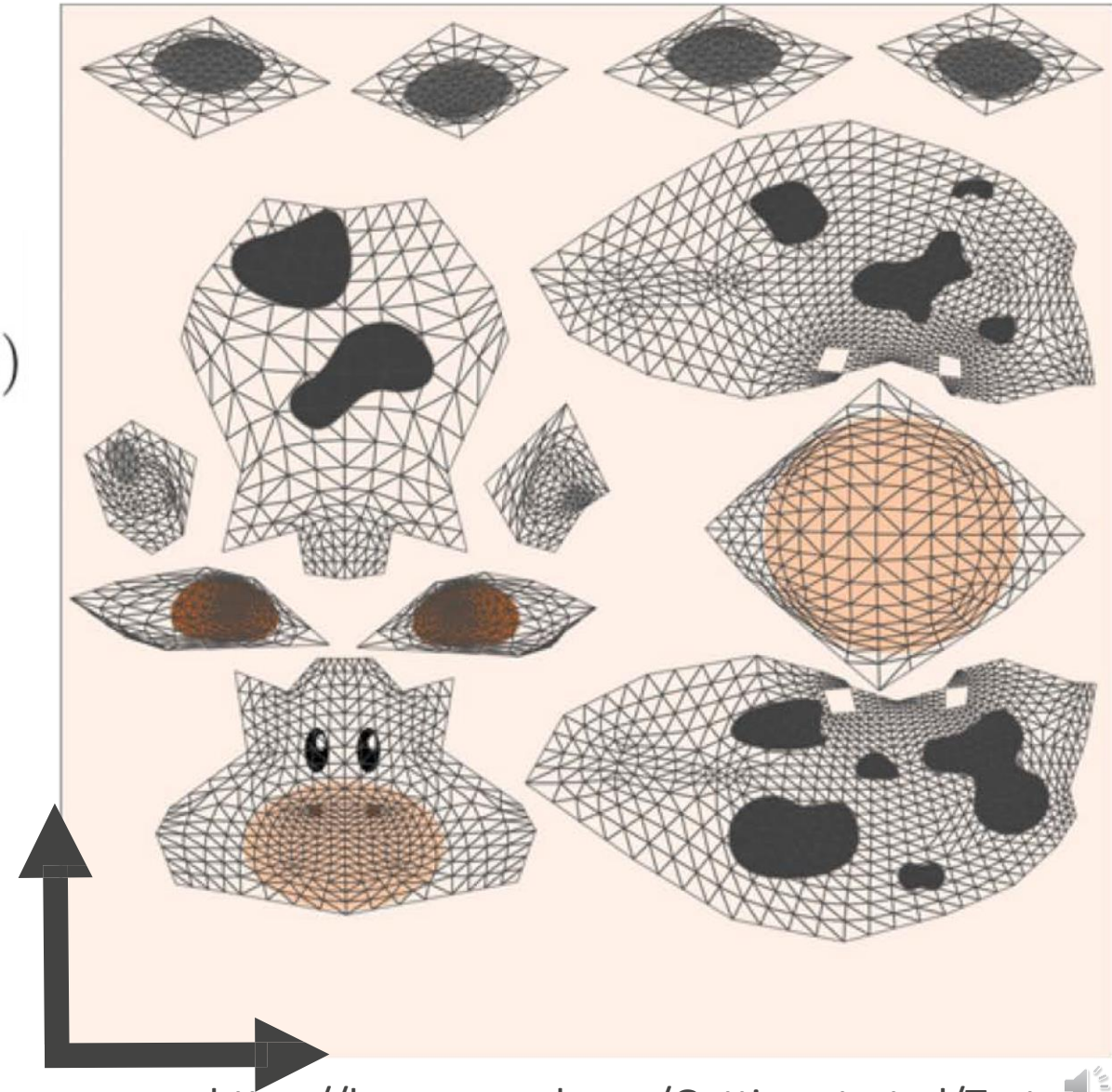
# Fragment Shader



# Texture coordinates



$$\begin{aligned}\phi : S &\rightarrow T \\ &: (x, y, z) \mapsto (u, v)\end{aligned}$$

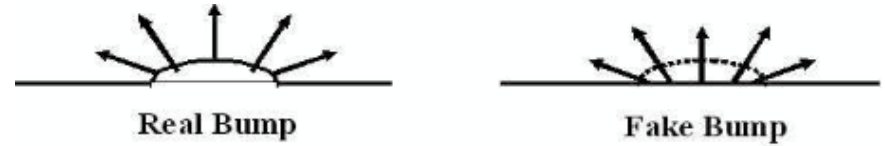
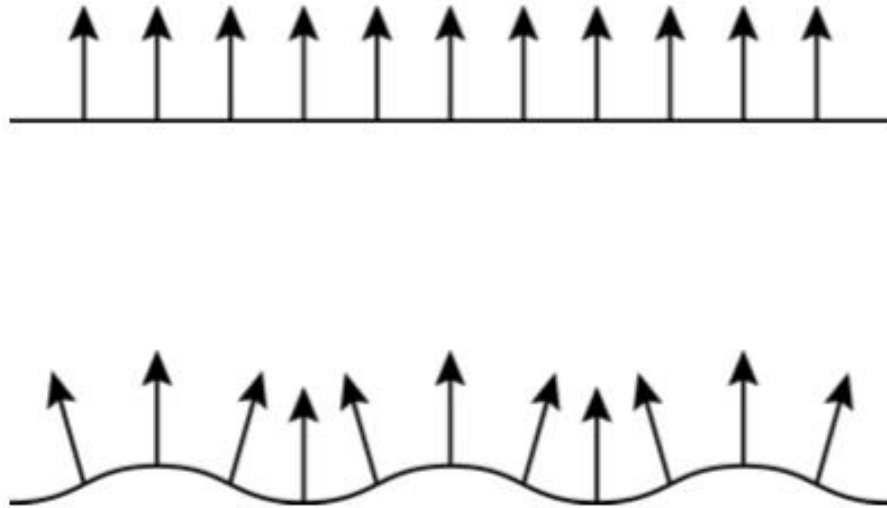




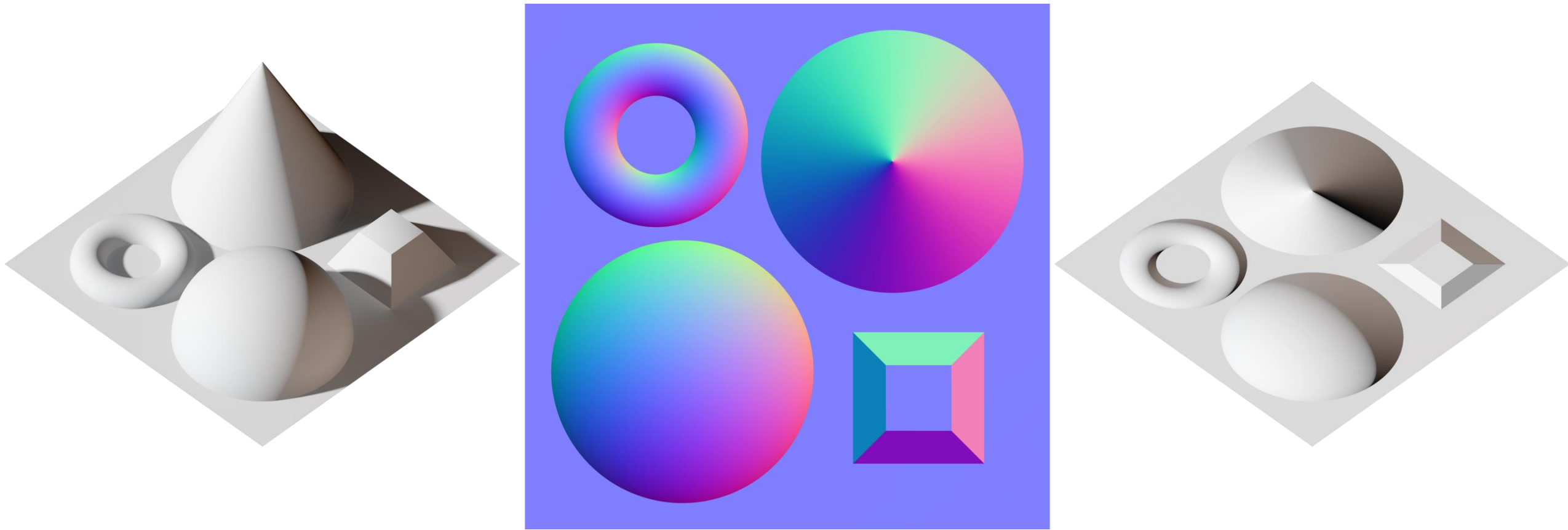
# Bump | Normal Mapping

One of the reasons why we apply texture mapping:

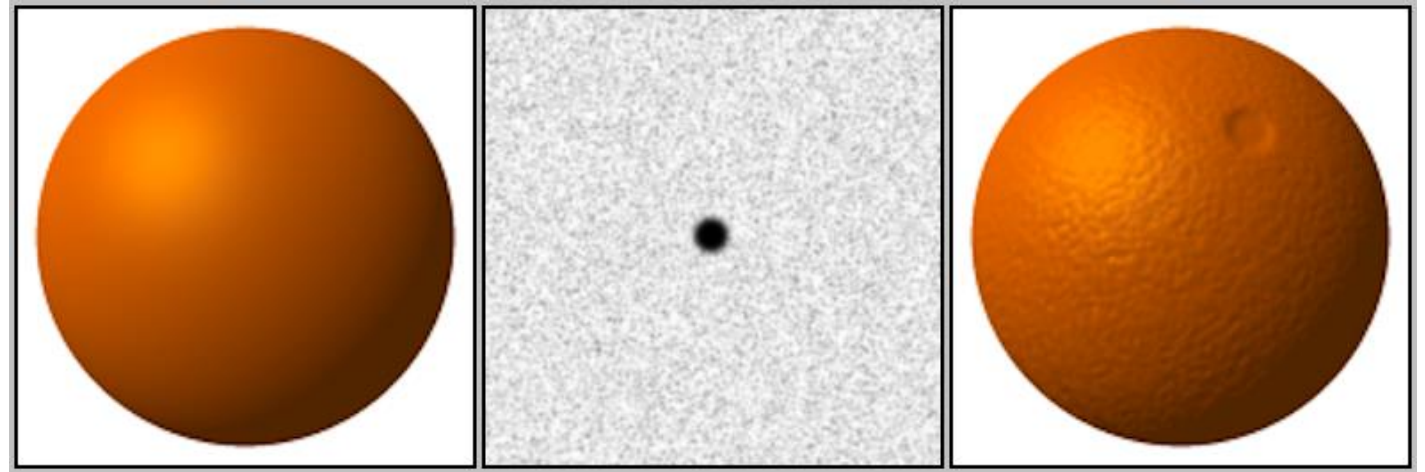
Real surfaces are hardly flat but often rough and bumpy. These bumps cause (slightly) different reflections of the light.



# Normal Mapping



# Bump Mapping



Bump map  $B(u,v)$

Compute the perceived surface normal for the surface displaced by the bump value  $B(u,v)$  along its normal  $n(u,v)$ .

Displaced surface point  $p_d(u,v) = p(u,v) + B(u,v) * n(u,v)$

Displaced surface normal  $n_d(u,v) = p'^u_d(u,v) \times p'^v_d(u,v)$



# Bump Mapping

Compute the perceived surface normal for the surface displaced by the bump value  $B(u,v)$  along its normal  $n(u,v)$ .

Displaced surface point  $p_d(u,v) = p(u,v) + B(u,v) * n(u,v)$

Displaced surface normal  $n_d(u,v) = p'_u(u,v) \times p'_v(u,v)$

$B(u,v)$  is small

$$p'_u(u,v) = p'^u(u,v) + B'^u(u,v) * n(u,v) + \cancel{B(u,v) * n'^u(u,v)}$$

$$n_d = (p'^u + B'^u n) \times (p'^v + B'^v n) \quad // \text{ not showing } (u,v)$$

$$n_d = p'^u \times p'^v + p'^u \times n B'^v + B'^u n \times p'^v + B'^u B'^v n \times n$$

$$n_d = n + B'^v (p'^u \times n) + B'^u (n \times p'^v)$$

$B'$  can be precomputed by finite difference and stored as a texture.

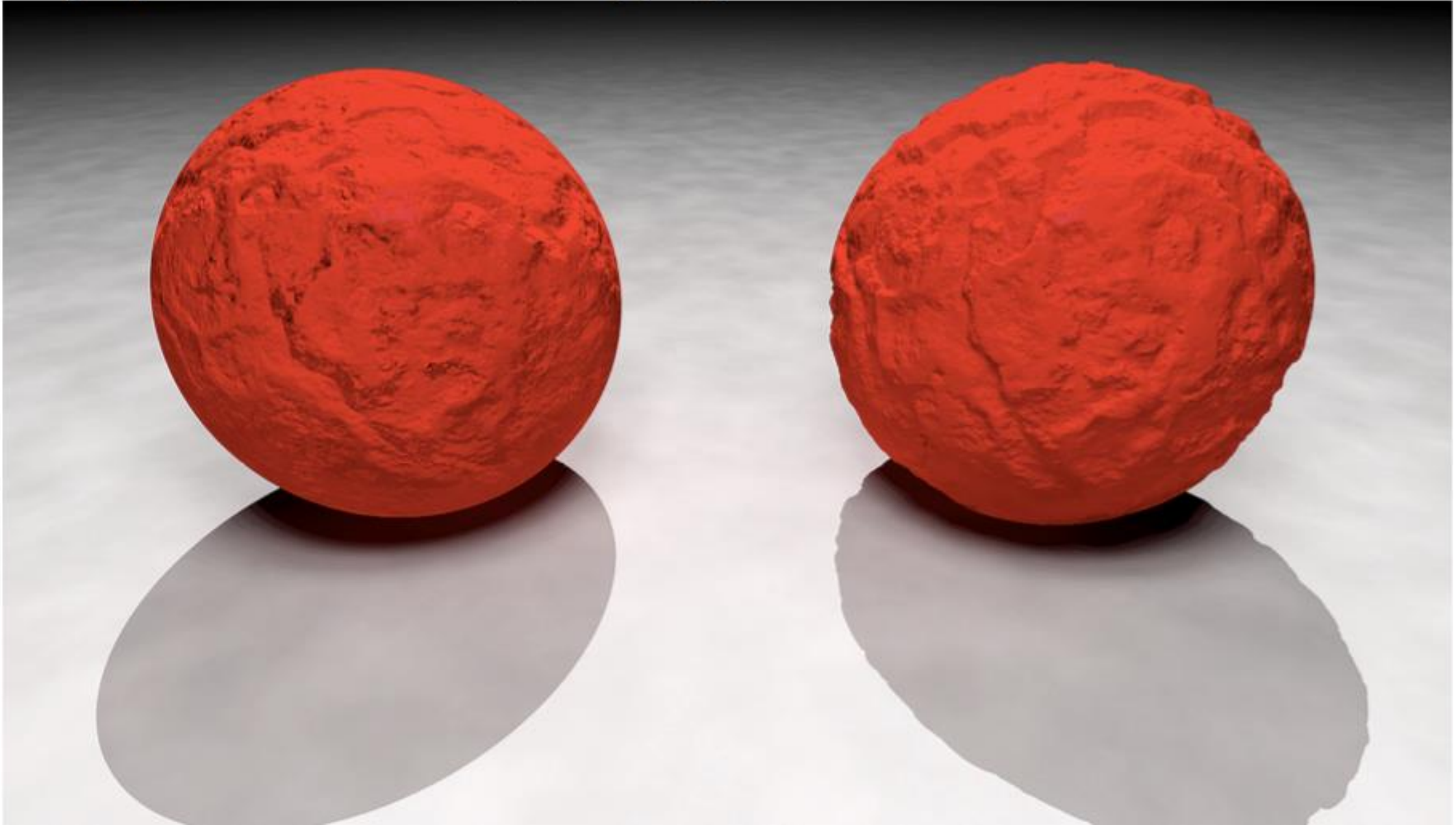
$p'$  can be computed analytically or by finite difference.



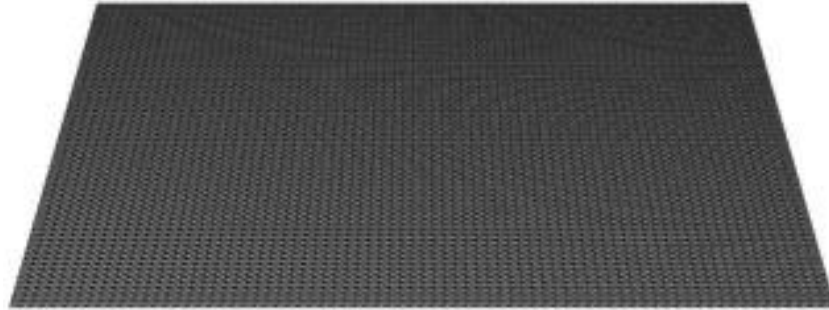


# Bump | Normal Mapping vs. Geometry

Major problems with bump mapping: silhouettes and shadows



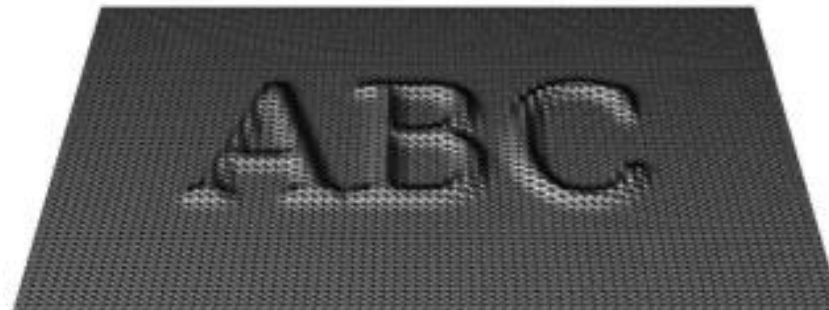
# Displacement (Bump) Mapping



ORIGINAL MESH



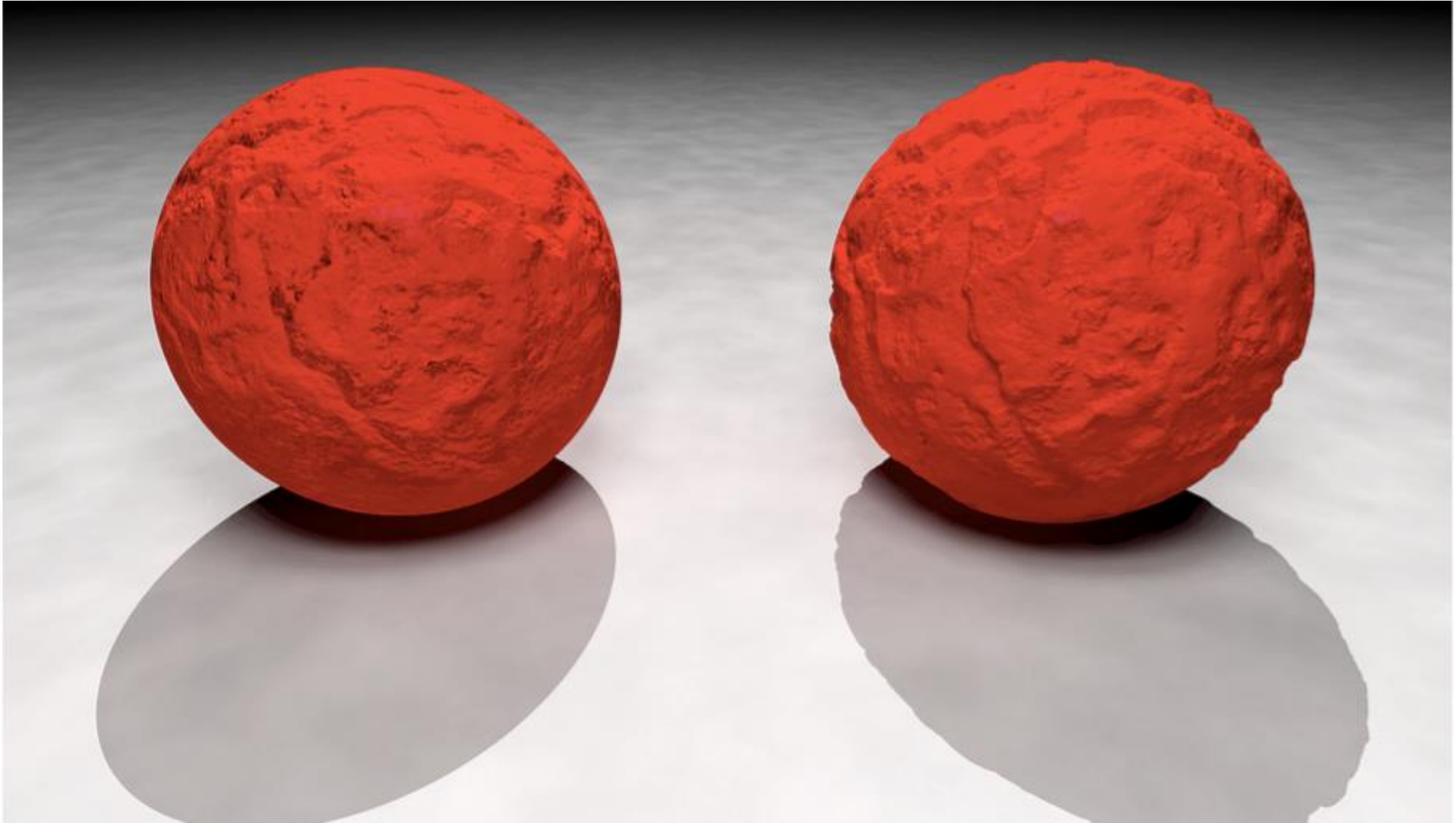
DISPLACEMENT MAP



MESH WITH DISPLACEMENT



# Bump | Normal Mapping vs. Displacement Mapping





# Perlin Noise



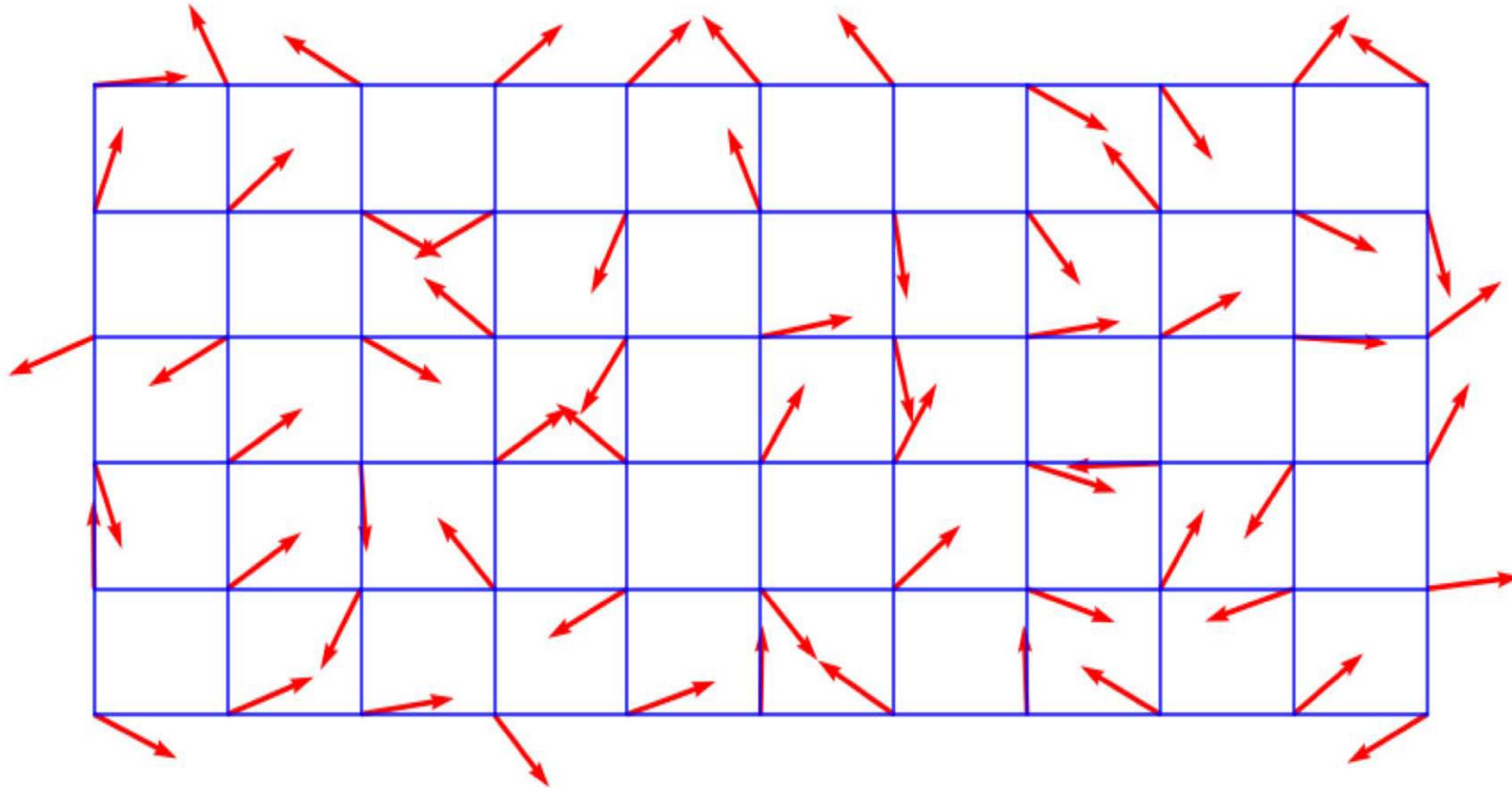
## Properties of noise:

- well-defined everywhere in space.
- It randomly varies between -1 and 1.
- Its frequency is band-limited.

## Gradient Noise in 1D:

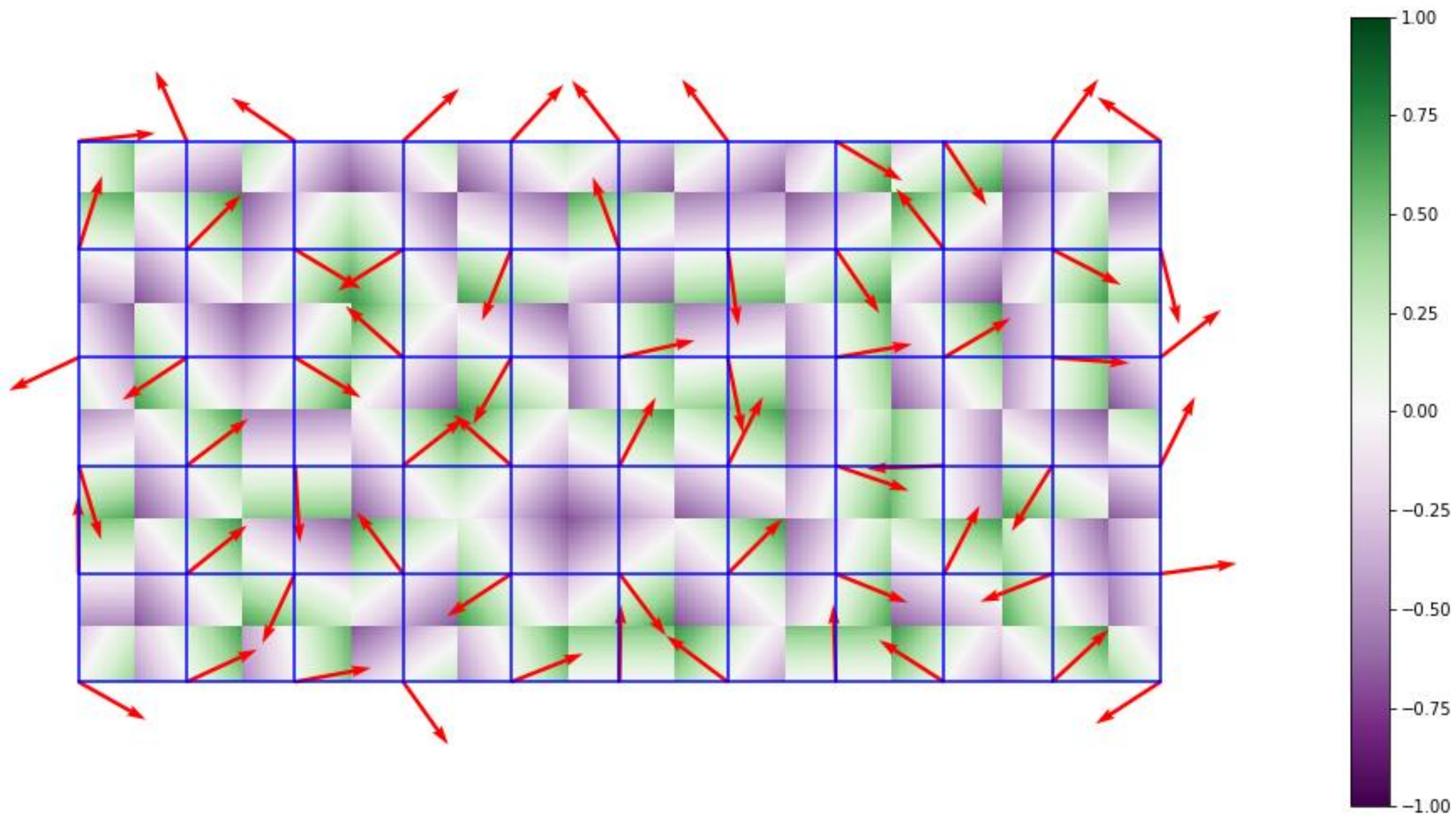
- Define a pseudo-random gradient direction  $\mathbf{d}_i$  at each integer point  $i$ .
- The value at a point  $\mathbf{x}$  relative to an integer neighbour  $i$  is  $(\mathbf{x}-i) \cdot \mathbf{d}_i$ .
- Smoothly interpolate between neighboring values for  $\mathbf{n}(\mathbf{x})$ .



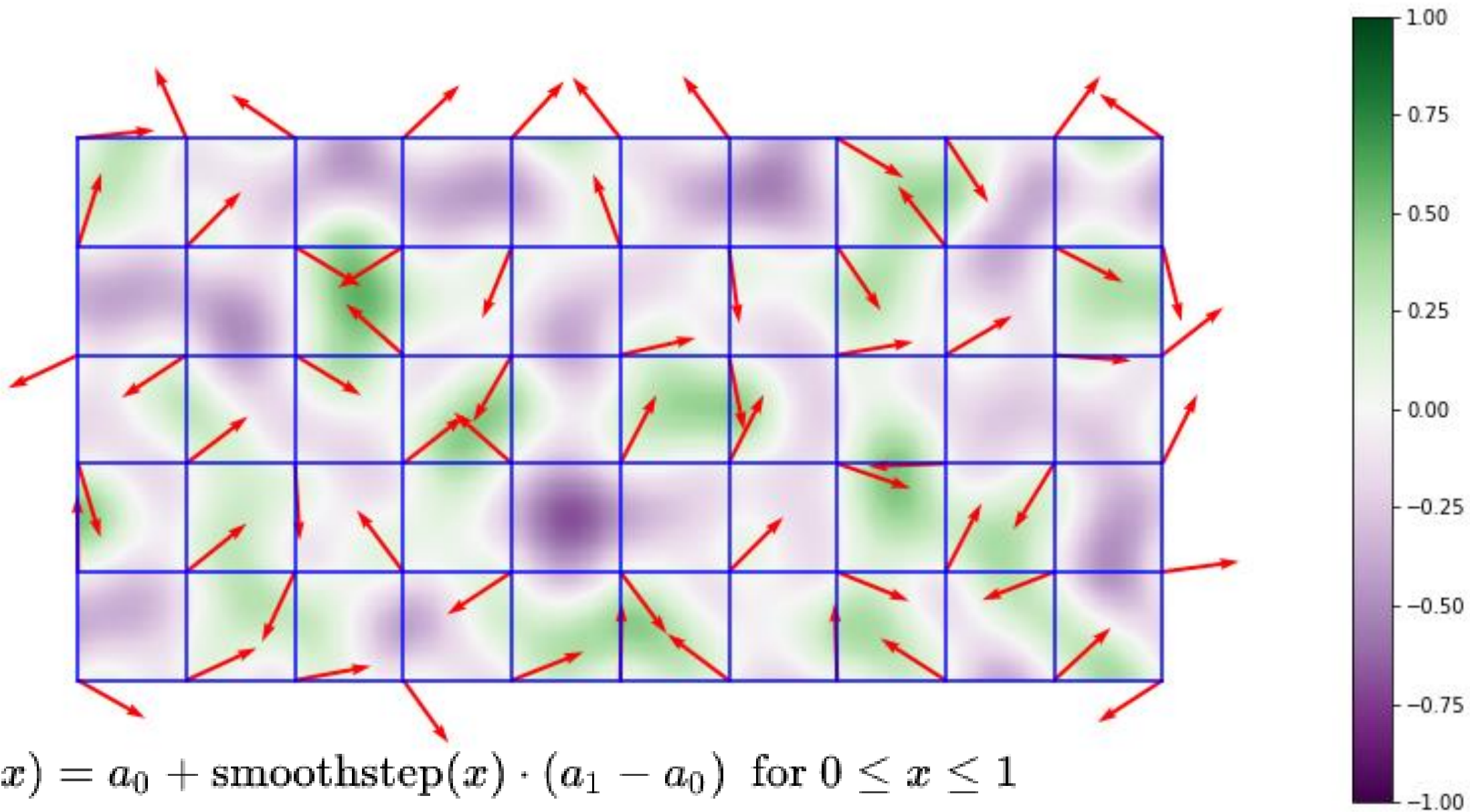


[https://en.wikipedia.org/wiki/Perlin\\_noise](https://en.wikipedia.org/wiki/Perlin_noise)

<https://www.scratchapixel.com/lessons/procedural-generation-virtual-worlds/perlin-noise-part-2/perlin-noise>

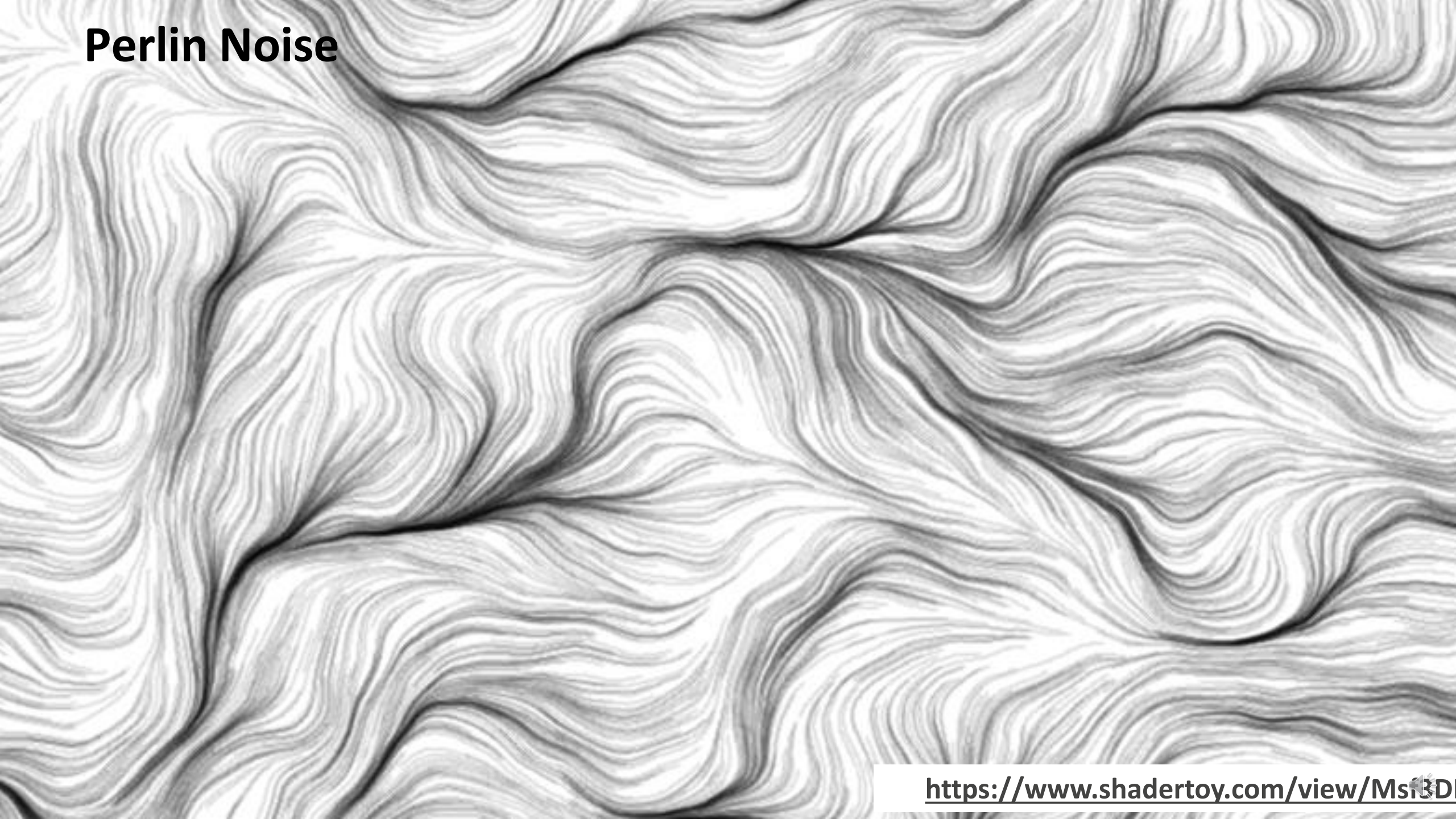








# Perlin Noise





Next: Animation

