Kaixun Hua

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PROFESSIONAL EXPERIENCE

University of South Florida, Tampa, FL

08/2023 – Current

Assistant Professor, Department of Industrial and Management Systems Engineering Research Expertise: Interpretable Machine Learning and Large-scale Global Optimization

University of British Columbia, Vancouver, BC

07/2020 - 05/2023

Postdoctoral Fellow, Institute of Applied Mathematics

Advisor: Yankai Cao

Research Topic: Next Generation Training Algorithms with Deterministic Global Optimality

University of Massachusetts Boston, Boston, MA

09/2013 - 05-2019

Graduate Research Assistant

 ${\bf Insurance Quotes}, \, {\bf Cambridge}, \, {\bf MA}$

05/2015 - 08-2015

Machine Learning Engineer

EDUCATION

University of Massachusetts Boston, Boston, MA

09/2013 - 05/2019

Ph.D., Computer Science
Thesis: Clusterability, Model Selection and Evaluation

Cornell University, Ithaca, NY

09/2012 - 08/2013

M.Eng., Systems Engineering

Advisor: Hsiao-Dong Chiang

Advisor: Dan A. Simovici

Thesis: A Study on State Estimation of Power System with Uniform Distributed Residuals

Shanghai Jiao Tong University, Shanghai, China

09/2008 - 08/2012

B.Sci., Electrical and Computer Engineering

HONORS & AWARDS

- NeurIPS 2022 Scholar Award (Total Award Amount: \$3,200)
- INFORMS 2022 Data Mining Best Paper Award (Theoretical track, 1/25)
- PICS Scholar (Pacific Institute for Climate Solutions)
- ICML 2022 Participation Grant (Total Award Amount: \$2.850)
- Best Presentation Award (4th BC Universities Systems and Control Meeting, 2021)
- Outstanding Research Award (Doctoral Dissertation, UMass Boston)
- Annual Research Symposium Competition (1st Place '18, 3rd Place '16, UMass Boston)
- The Third Prize Scholarship (2011-2012, Shanghai Jiao Tong University)
- Dean's List (2010-2012, University of Michigan-Shanghai Jiao Tong University Joint Institute)

CONFERENCE PUBLICATIONS

- 1. [ECAI 2023] Liu, K. Qiang, J. Li, Y. Yuan, Y. Zhu, Y. Hua, K. (2023). Multilingual Lexical Simplification via Paraphrase Generation. *European Conference on Artificial Intelligence*, accepted.
- 2. [NeurIPS 2022] Hua, K., Ren, J., & Cao, Y. (2022). A Scalable Deterministic Global Optimization Algorithm for Training Optimal Decision Tree. Advances in Neural Information Processing Systems, 35, 8347-8359.
- 3. [NeurIPS 2022] Ren, J.*, Hua, K.*, & Cao, Y. (2022). Global Optimal K-Medoids Clustering of One Million Samples. Advances in Neural Information Processing Systems, 35, 982-994. (Co-first Author)

- 4. [ICML 2022] Shi, M.*, Hua, K.*, Ren, J., & Cao, Y. (2022). Global Optimization of K-Center Clustering. In *International Conference on Machine Learning* (pp. 19956-19966). PMLR. (Co-first Author)
- [ICML 2021] Hua, K., Shi, M., & Cao, Y. (2021). A Scalable Deterministic Global Optimization Algorithm for Clustering Problems. In *International Conference on Machine Learning* (pp. 4391-4401). PMLR.
- 6. [MMCTSE 2019] Simovici, D. A. & Hua, K. (2019). Data Ultrametricity and Clusterability. In Journal of Physics: Conference Series (Vol. 1334, No. 1, p. 012002). IOP Publishing.
- [SYNASC 2018] Hua, K., & Simovici, D. A. (2018). Dual Criteria Determination of the Number of Clusters in Data. In 2018 20th International Symposium on Symbolic and Numeric Algorithms for Scientific Computing (pp. 201-208). IEEE.
- 8. [ICNSC 2016] Hua, K., & Simovici, D. A. (2016). Long-lead Term Precipitation Forecasting by Hierarchical Clustering-based Bayesian Structural Vector Autoregression. In 2016 IEEE 13th International Conference on Networking, Sensing, and Control (pp. 1-6). IEEE.

JOURNAL PUBLICATIONS

- 1. Ren, J., **Hua, K.**, Trajano, H., & Cao, Y. (2023). Global Optimal Explainable Models for Biorefining. Computer Aided Chemical Engineering (Vol. 52, pp. 1339-1346). Elsevier.
- 2. Li, Y., **Hua, K.**, & Cao, Y. (2022). Using Stochastic Programming to Train Neural Network Approximation of Nonlinear MPC Laws. *Automatica*, 146, 110665.
- 3. Li, Y., Wang, Y., Chen, Y., Lu, Y., **Hua, K.**, Ren, J., Mozafari, G., Lu, Q. & Cao, Y., (2022). Deep-Learning-Based Predictive Control of Battery Management for Frequency Regulation. *Industrial & Engineering Chemistry Research*, 61(24), 8432-8442.
- 4. Chen, Q., Zuo, L., Wu, C., Li, Y., **Hua, K.**, Mehrtash, M., & Cao, Y. (2022). Optimization of compressor standby schemes for gas transmission pipeline systems based on gas delivery reliability. *Reliability Engineering & System Safety*, 221, 108351.
- Mehrtash, M., Mozafari, G., Hua, K., & Cao, Y. (2022). Stochastic Optimal Device Sizing Model for Zero Energy Buildings: A Parallel Computing Solution. *IEEE Transactions on Industry Applications*, 58(3), 3275-3284.
- 6. Xu, Z., Lian, J., Wang, R., Qiu, Y., Song, T., & Hua, K. (2022). Development of Method for Assessing Water Footprint Sustainability. *Water*, 14(5), 694.(Co-Corresponding Author)
- 7. Xu, Z., Lian, J., Bin, L., **Hua, K.**, Xu, K., & Chan, H. Y. (2019). Water Price Prediction for Increasing Market Efficiency Using Random Forest Regression: A Case Study in the Western United States. *Water*, 11(2), 228. (Co-Corresponding Author).
- 8. Simovici, D. A., Vetro, R., & **Hua, K.** (2017). Ultrametricity of dissimilarity spaces and its significance for data mining. In *Advances in Knowledge Discovery and Management* (pp. 141-155). Springer, Cham.
- Scheffner, I., Hua, K., Simovici, D., Abeling, T., Haller, H., & Gwinner, W. (2016). Prediction of Patient Survival After Kidney Transplantation: Construction, Validation and Evaluation of Decision Models Using Data Mining Approaches. In American Journal of Transplantation (Vol. 16, pp. 572-573).

MANUSCRIPTS UNDER PEER-REVIEW

- 1. **Hua, K.**, Ren, J., Ji, C., & Cao, Y. Deep Optimal Decision Tree with Global Optimality. In preparation for *Journal of Machine Learning Research*.
- 2. **Hua, K.**, Ren, J., Ji, C., & Cao, Y. GO-Clustering.jl: A Julia Package for Global Optimal Centroid-based Clustering. In preparation for *INFORMS Journal on Computing*.
- 3. **Hua, K.**, Ren, J., Shi, M., & Cao, Y. Global Optimal K-means Clustering. In preparation for *IEEE Transactions on Pattern Analysis and Machine Intelligence*.
- Ren, J., Hua, K., & Cao, Y. Global Optimal K-center Clustering of One Billion Samples. In preparation for Operation Research.

- 5. Okamoto, M., **Hua, K.**, Ren, J., & Cao, Y. Fast Model Predictive Control for Scheduling Using Mixture Density Networks, In preparation for *Automatica*.
- 6. Xiao, D.*, **Hua, K.***, Pal, S., Cao, Y., & Murphy, T. BrainSformer: a Scalable Transformer-based Framework for Motion Synthesis from Neural Decoding of Cortex-wide Spatiotemporal Dynamics. In preparation for *Nature Methods*. (**Co-first Author**)

SELECTED ORAL PRESENTATIONS

- 1. **Hua, K.**, Ren, J., & Cao, Y. "A Scalable Deterministic Global Optimization Algorithm for Training Optimal Decision Tree." UBC Postdoc Research Day, Vancouver, BC, December 2022.
- 2. Hua, K., Ren, J., & Cao, Y. "A Scalable Deterministic Global Optimization Algorithm for Training Optimal Decision Tree." The 36th Conference on Neural Information Processing Systems (NeurIPS 2022), New Orleans, LA, November 2022.
- 3. Ren, J., **Hua, K.**, & Cao, Y. "Global Optimal K-Medoids Clustering of One Million Samples." The 36th Conference on Neural Information Processing Systems (NeurIPS 2022), New Orleans, LA, November 2022.
- 4. Ren, J., **Hua, K.**, & Cao, Y. "A Scalable Global Optimization Algorithm for K-Medoids Clustering." The 72nd Canadian Chemical Engineering Conference (CCEC 2022), Vancouver, BC, October 2022.
- 5. **Hua, K.**, Ren, J., & Cao, Y. "A Deterministic Global Optimization Algorithm for Training Optimal Decision Tree on Large Datasets." The 17th INFORMS Workshop on Data Mining and Decision Analytics, Indianapolis, IN, October 2022 (INFORMS Data Mining Best Theoretical Paper Award).
- 6. Shi, M., Hua, K., Ren, J., & Cao, Y. "Global Optimization of K-Center Clustering." Spotlight, The 39th International Conference on Machine Learning (ICML 2022), Baltimore, MD, July 2022. (Virtual)
- 7. **Hua, K.** "Trustworthy Machine Learning and Its Recent Progress." CS105, Guest Lecture, University of Massachusetts Boston, Boston, MA, December 2021. (Virtual)
- 8. **Hua, K.**, Mozafari, G. & Cao, Y. "Tutorial for Julia and JuMP." BC Hydro, Vancouver, BC. November 2021. (Virtual)
- Hua, K. & Cao, Y. "A Scalable Global Optimization Algorithm for Stochastic Nonlinear Programs." Conference of the International Federation of Operational Research Societies (IFORS 2021), Seoul, South Korea, August 2021. (Virtual)
- 10. **Hua, K.** & Cao, Y. "A Scalable Deterministic Global Optimization Algorithm for Clustering Problems." 4th BC Universities Systems and Control Meeting. University of Victoria, Victoria, BC, August 2021. (Best Presentation Award) (Virtual)
- 11. **Hua, K.** & Cao, Y. "A Scalable Deterministic Global Optimization Algorithm for Clustering Problems." SIAM Conference on Optimization (OP21), Spokane, WA, USA, July 2021. (Virtual)
- 12. **Hua, K.**, Shi, M., & Cao, Y. "A Scalable Deterministic Global Optimization Algorithm for Clustering Problems." Spotlight, The 38th International Conference on Machine Learning (ICML 2021), Vienna, Austria, July 2021. (Virtual)
- 13. **Hua, K.** "Improving Auto-parts Production Process with Large-scale Machine Learning Algorithm." Ningbo TIJ Automotive Parts Co., Ltd, Zhejiang, China. June 2021.
- 14. **Hua, K.** & Cao, Y. "A Global Optimization Algorithm for Clustering Problem." Canadian Mathematical Society, Montreal, QC, December 2020. (Virtual)
- 15. Simovici, D. A. & **Hua**, **K**. "Clusterability and Model Selection." Bigwood System Inc., Cornell Business & Technology Park, Ithaca, NY. August 2019.
- Hua, K. "Application of Machine Learning in Power System Industry." State Grid Corporation of China, Shanghai, China. July 2018.
- 17. **Hua, K.** "Data Ultrametricity and Clusterability." Annual Research Symposium, Department of Computer Science, University of Massachusetts Boston, Boston, MA, May 2018.
- 18. **Hua, K.** "On Finding Natural Clustering Structures in Data." Annual Research Symposium, Department of Computer Science, University of Massachusetts Boston, Boston, MA, May 2016.

TEACHING EXPERIENCE

• Teaching Assistant: CS110 Introduction to Computing (Python) University of Massachusetts Boston, Boston, MA	09/2015 - 06/2019
• Teaching Assistant: CS420 Introduction to the Theory of Computation University of Massachusetts Boston, Boston, MA	09/2014 - 06/2015
• Teaching Assistant: CS240 Programming in C University of Massachusetts Boston, Boston, MA	09/2013 - 06/2014
• Teaching Assistant: VE311 Electronic Circuits Shanghai Jiao Tong University, Shanghai, China	05/2012 - 08/2012

RESEARCH MENTORING

• Doctoral Students:

- Xiushang Wu, University of South Florida, 2023 present
- Jiayang Ren, University of British Columbia, 2021 2022
- Chengjie Li (visiting scholar), University of British Columbia/SCAU (China), 2021 2022;
- Luo Zhao (visiting scholar), University of British Columbia/HEU (China), 2021 2022;
- Ziyao Xu, Tianjing University (China), 2019-2020.

• Master Students:

- Mingfei Shi, University of British Columbia, 2020 2022;
- Qinyu Zhu, University of British Columbia, 2020.

• Undergraduate Students:

- Shraman Pal, University of British Columbia/IIT Kharagpur (India), 2022;
- Abhishek Ramesh Gopalan, University of British Columbia/IIT Madras (India), 2022;
- Nusair Islam, University of British Columbia, 2022.

SCIENTIFIC COMMUNITY AND OUTREACH ACTIVITIES

• Manuscript Review:

- International Conference on Machine Learning (ICML)
- Conference on Neural Information Processing Systems (NeurIPS)
- International Conference on Learning Representations (ICLR)
- International Conference on Knowledge Discovery and Data Mining (KDD)
- The Conference on Information and Knowledge Management (CIKM)
- European Conference on Artificial Intelligence (ECAI)
- Knowledge and Information System
- Artificial Intelligence
- Automatica
- Connection Science
- IEEE Internet of Things Journal
- ACM Transactions on Multimedia Computing, Communications, and Applications

• Conference Organization:

- Session Chair, Artificial Intelligence and Machine Learning in Process Systems Engineering, Canadian Chemical Engineering Conference (CCEC), 2022
- Session Chair, Data Driven Analytics, Controls, and Optimization (Tuesday AM2), Canadian Chemical Engineering Conference (CCEC), 2022

- Session Chair, Data Driven Analytics, Controls, and Optimization (Wednesday AM2), Canadian Chemical Engineering Conference (CCEC), 2022

$\bullet\,$ Member Affiliation:

- Association for Computing Machinery (ACM), Since 2021
- Society for Industrial and Applied Mathematics (SIAM), Since 2021
- The Institute for Operations Research and the Management Sciences (INFORMS), Since 2021
- Association for Information Systems (AIS), Since 2021
- Institute of Electrical and Electronics Engineers (IEEE), Since 2016