

# COMS3261: Computer Science Theory

Fall 2013

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Lecture 9, 10/2/13

## Context-Free Languages

- Defined originally by Chomsky in 1950's along with context-free grammars for natural language processing
- Then applied to specify programming languages – BNF syntax; led to automation of parsing, compilation
- Will talk about two types of representations:
  - **Context-Free Grammars**: Recursive definition of sets of strings  
(e.g. recall recursive definition of regular expressions)
  - **Pushdown Automata**

## Example: Palindromes

- Recursive (inductive) definition of palindromes over alphabet  $\{0,1\}$  (similar for arbitrary alphabet)
- **Basis:**  $\varepsilon$ , 0, 1 are palindromes
- **Induction (recursion):** If  $w$  is a palindrome then  $0w0$  and  $1w1$  are also palindromes
- (implicit rule: Nothing else is a palindrome)

## Context-free Grammar for Palindromes

- $S \rightarrow \varepsilon$
  - $S \rightarrow 0$
  - $S \rightarrow 1$
  - $S \rightarrow 0S0$
  - $S \rightarrow 1S1$
- } productions  
(rules)

head  $\rightarrow$  body

**terminals:** 0,1 (the alphabet  $\Sigma$  )

**variables (nonterminals) :** S (in general many)

**start symbol (variable):** S

grammar defines what strings S represents

## Derivation of strings

- Start with the symbol S, and derive other strings by using productions as rewriting rules replacing an occurrence of a head by the body of a production, until it is no more possible

- Example:  $S \Rightarrow 1S1 \Rightarrow 10S01 \Rightarrow 10001$   
 $\underbrace{\quad}_{S \rightarrow 0S0} \quad \underbrace{\quad}_{S \rightarrow 0}$

## Example: English frags

- $S \rightarrow NP VP$  (Sentence = Noun-Phrase Verb-Phrase)
- $NP \rightarrow A N$  (Noun-Phrase = Article Noun)
- $VP \rightarrow V NP$  (Verb-Phrase = Verb Noun-Phrase)
- $A \rightarrow a \quad A \rightarrow the$
- $N \rightarrow child \quad N \rightarrow dog$
- $V \rightarrow likes \quad V \rightarrow sees$
- a child sees a dog
- the child likes the dog

## Formal Definition

- Context-free grammar  $G = (V, T, P, S)$
- $V$  = set of variables
- $T$  = set of terminals (= alphabet )
- $P$  = set of productions: rules of form  
variable  $\rightarrow$  string in  $(V \cup T)^*$
- $S$  = start symbol (in  $V$ )
- Notational shorthand convention: can combine productions with same head with a  $|$  separating the bodies
- $S \rightarrow \varepsilon \mid 0 \mid 1 \mid 0S0 \mid 1S1$

## Typographical conventions

- Variables: capital
- Terminals: lower case in beginning of alphabet, digits
- Strings of variables and terminals: Greek letters
- Terminal strings: English lower case letters towards end of alphabet (x,y,z,w,..)

## Derivations of a CFG

- **Derivation:** Start with start symbol  $S$ , and derive other strings by using productions as rewriting rules replacing an occurrence of a head by the body of a production
- **Example:**  $S \Rightarrow 1S1 \Rightarrow 10S01 \Rightarrow 10001$   
 $\underbrace{\quad}_{S \rightarrow 0S0} \quad \underbrace{\quad}_{S \rightarrow 0}$

Generally, if  $\alpha, \beta \in (V \cup T)^*$  and  $A \rightarrow \gamma \in P$  then  $\alpha A \beta \Rightarrow_G \alpha \gamma \beta$   
 (  $\alpha A \beta$  **derives**  $\alpha \gamma \beta$  ) Usually omit subscript  $G$  if clear.

**Context-free:** can replace  $A$  regardless of context

$\Rightarrow^*$  : reflexive transitive closure of  $\Rightarrow$ : derives in 0, 1 or more steps

- Example:  $S \Rightarrow^* S$ ,  $S \Rightarrow^* 10001$

## Language of CFG

- **Sentential forms:** strings of  $(V \cup T)^*$  derived from  $S$
- **Language of a CFG  $G$ :**  
 $L(G) = \{ w \in T^* \mid S \Rightarrow_G^* w \}$   
 = set of terminal strings that can be derived from start symbol  $S$

## Proof of correctness of a CFG

Proof that example grammar G has  $L(G) = \{\text{palindromes}\}$

1.  $w$  palindrome  $\Rightarrow w$  in  $L(G)$

By induction on length of  $w$ :

$|w| = 0$  or  $1 \Rightarrow w = \varepsilon, 0, 1$  and then  $S \Rightarrow w$

$|w| \geq 2 \Rightarrow$  first and last letter are same  $\Rightarrow w = 0x0$  or  $w = 1x1$   
and  $x$  also a palindrome.

Since  $x$  is shorter,  $S \Rightarrow^* x$  by induction hypothesis.

Therefore  $S \Rightarrow 0S0 \Rightarrow^* 0x0$  and  $S \Rightarrow 1S1 \Rightarrow^* 1x1$ .

2.  $w$  in  $L(G) \Rightarrow w$  palindrome:

Similar, by induction on length of a derivation.

## More Examples

1.  $\{0^n 1^n \mid n \geq 0\}$

$S \rightarrow \varepsilon \mid 0S1$

2.  $\{a^n b^n c^m d^m \mid n, m \geq 0\}$

$S \rightarrow L \mid R$

$L \rightarrow \varepsilon \mid aLb$

$R \rightarrow \varepsilon \mid cRd$

## More Examples

3. All strings over  $\{a,b\}$ , i.e.  $\{a,b\}^*$

$S \rightarrow \varepsilon \mid aS \mid bS$

4. All nonempty strings over  $\{a,b,0,1\}$  that start with a letter  
(cf. identifiers in a programming language)

i.e.,  $(a+b)(a+b+0+1)^*$ , eg. aab01a

$I \rightarrow a \mid b \mid Ia \mid Ib \mid I0 \mid I1$

- Every regular language has a context-free grammar  
i.e. **Regular languages**  $\subseteq$  **Context-free languages**  
(will do as HW via grammars; will show later via automata)

## Example: Arithmetic expressions

- $T = \{ a, b, 0, 1, +, *, (, ) \}$
- $V = \{ E, I \}, S = E$
- Productions  
 $E \rightarrow I \mid E+E \mid E * E \mid (E)$   
 $I \rightarrow a \mid b \mid Ia \mid Ib \mid I0 \mid I1$

## Leftmost, Rightmost Derivations

- A sentential form may have many occurrences of variables, we can replace any one of them
- **Leftmost derivation:** replace always the leftmost variable
- $E \Rightarrow E+E \Rightarrow E*E+E \Rightarrow I*E+E \Rightarrow a*E+E \Rightarrow a*I+E \Rightarrow a*b+E \Rightarrow a*b+I \Rightarrow a*b+a$
- **Rightmost derivation:** replace always the rightmost variable
- $E \Rightarrow E+E \Rightarrow E+I \Rightarrow E+a \Rightarrow E*E+a \Rightarrow E*I+a \Rightarrow E*b+a \Rightarrow I*b+a \Rightarrow a*b+a$
- **Left / right sentential form**