

# COMS3261: Computer Science Theory

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## Halting Problem

- **Input:** (Code of) Turing machine  $M$ , input string  $w$
- **Question:** Does  $M$  halt on input  $w$ ?
- **Halting problem is undecidable**
- The language  $L_h = \{ \langle M, w \rangle \mid \text{TM } M \text{ halts on input } w \}$  is r.e. but not recursive.
- To show  $L_h$  is r.e.: Simulate  $M$  on input  $w$  (like the universal machine) and accept if  $M$  halts.
- To show it is not recursive: Show that the complementary language  $L_h^c = \{ \langle M, w \rangle \mid \text{TM } M \text{ does not halt on input } w \}$  is not r.e.
- Reduction from the complement of the universal language,  $L_u^c = \{ \langle M, w \rangle \mid \text{TM } M \text{ does not accept } w \}$

$$L_u^c \leq L_h^c$$

Given an input  $x = \langle M, w \rangle$  for the first problem, compute an input  $f(x) = \langle M', w' \rangle$  for the second problem such that

$$x \in L_u^c \Leftrightarrow f(x) \in L_h^c$$

- $M'$  = modification of the TM  $M$  where whenever  $M$  halts at a rejecting state for some tape symbols (i.e. does not have a transition),  $M'$  instead loops forever; and whenever  $M$  is at an accepting state,  $M'$  halts.
- $w' = w$

For any input  $w$ ,  $M'$  follows the same computation as  $M$ , and

- if  $M$  accepts then  $M'$  halts
- if  $M$  does not accept then  $M'$  runs forever

## Emptiness

- $L_e = \{ M \mid L(M) = \emptyset \}$  not RE
- $L_{ne} = \{ M \mid L(M) \neq \emptyset \}$  RE but not recursive
- Proof:
  - $L_{ne}$  is RE: **Nondeterministic TM** that accepts  $L_{ne}$ : Guess a string  $w$ , i.e. nondeterministically add one more symbol to  $w$  or terminate it. Then verify that  $M$  accepts  $w$ .
  - **Deterministic TM**: Consider the enumeration of all strings. Compute in rounds 1, 2, ....
  - Round  $i$ : Simulate  $M$  for  $i$  steps on each of the first  $i$  strings.
  - If  $M$  accepts some string, say  $w_i$ , and takes  $n$  steps, then TM will accept in round  $\max(i, n)$

## Emptiness ctd

- $L_{ne}$  is not recursive ( $L_e$  not RE)
- Reduce  $L_u$  to  $L_{ne}$  ( $L_u^c$  to  $L_e$ )
- Given  $M, w$  compute a TM  $M'$  such that  $M$  accepts  $w$  iff  $L(M') \neq \emptyset$ .
- $M'$ : takes input  $x$ , which it ignores and just simulates  $M$  on  $w$ ; if  $M$  accepts  $w$ , then  $M'$  accepts  $x$ , if not, then not.
- $M$  accepts  $w \Rightarrow L(M') = \Sigma^*$
- $M$  does not accept  $w \Rightarrow L(M') = \emptyset$

## Comparing 2 TMs

- $EQ = \{ \langle M_1, M_2 \rangle \mid L(M_1) = L(M_2) \}$  is not RE and neither is its complement:  $INEQ = \{ \langle M_1, M_2 \rangle \mid L(M_1) \neq L(M_2) \}$
- Proof: Both parts by reduction from  $L_u^c$
- Same reduction from  $L_u^c$  as for emptiness:
- Given  $M, w$ , let  $M'$  be the TM that for any input  $x$ , it ignores it, runs  $M$  on  $w$  and accepts if  $M$  accepts
- 1.  $EQ$  not RE:  $M$  does not accept  $w$  iff  $\langle M', N \rangle \in EQ$  where  $N$  is the TM that accepts  $\emptyset$ .
- 2.  $INEQ$  not RE.  $M$  accepts  $w$  iff  $\langle M', N' \rangle \in EQ$  where  $N'$  is the TM that accepts  $\Sigma^*$ ,  
i.e.  $M$  does not accept  $w$  ( $\langle M, w \rangle \in L_u^c$ ) iff  $\langle M', N' \rangle \in INEQ$

## Implications for Programs

- TM's a formal stand-in for programs.
- General-purpose programming languages (C, C++, Java...) are **Turing-complete** (can compute anything that TMs can)
- **Similar questions for programs, as for TMs, are undecidable** e.g. Does a program halt on a given input? Does it halt on all inputs? Does it print "hello, world"? Does it ever execute a particular statement?, Does a variable ever become 0? ...
- **2-Counter machines** are equivalent to TMs, so undecidable questions hold for 'simple' programs that use just one enumerated variable (for the state) and two integer variables (for the counters) with operations ++, -- and test for 0.

## Properties of languages

- A property of RE languages = a set of recursively enumerable languages
- A property is **trivial** if it is either empty (no RE language satisfies it) or is all RE languages; otherwise **nontrivial**
- **Examples of nontrivial properties:**
  - " $=\emptyset$ ", " $\neq\emptyset$ "
  - " $=\{0,1\}^*$ "
  - "regular", "nonregular"
  - "CFL"
  - "finite", "infinite"
  - "contains  $\epsilon$ "
  - "contains 001"

## Rice's Theorem

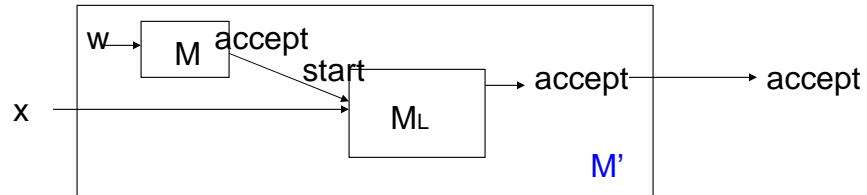
- **Rice's Theorem:** For every nontrivial property  $P$  of RE languages,  $L_P = \{ \langle M \rangle \mid L(M) \text{ satisfies } P \}$  is undecidable
- **Note (important):** Theorem concerns a property of the language of the TM, not of the TM itself.  
e.g. TM  $M$  has 100 states, is a property of the TM (not the language) and is actually decidable
- The theorem tells us that  $L_P$  nonrecursive. This implies that either  $L_P$  is not recursively enumerable, or its complement is not r.e. or neither (because if both  $L_P$  and its complement were r.e. then  $L_P$  would be recursive). However, the theorem as stated does not say which of these is the case.

## Proof of Rice's Theorem

### Reduction from $L_u$

- Assume  $\emptyset$  does not satisfy  $P$ , otherwise consider the complementary property  $P^c$  and  $L_{P^c}$
- **Reduction from  $L_u$  to  $L_P$**
- Let  $L$  be a (non  $\emptyset$ ) RE language that satisfies  $P$  and  $M_L$  a TM that accepts  $L$ .
- Given  $M, w$  input for  $L_u$ , construct TM  $M'$  ( $=M'_{(M,w)}$ ) such that  $M$  accepts  $w$  iff  $L(M')$  satisfies  $P$ .
- In particular,  $(M, w) \in L_u \Rightarrow L(M') = L$ , satisfies  $P$
- $(M, w) \notin L_u \Rightarrow L(M') = \emptyset$ , does not satisfy  $P$
- $L_u^c$  is not RE  $\Rightarrow$  Proof shows  $L_P^c$  is not RE if  $\emptyset$  does not satisfy  $P$ , and  $L_P$  is not RE if  $\emptyset$  satisfies  $P$

## Proof of Rice's Theorem



- $M'$  : Run  $M$  on  $w$ .
- If  $M$  accepts  $w$ , then { run  $M_L$  on input  $x$ ;  
if  $M_L$  accepts  $x$ , then accept, else reject }
- $M'$  rejects if  $M$  rejects on  $w$  (may run forever) or  $M_L$  rejects  $x$
- $(M, w) \in L_u \Rightarrow L(M') = L \Rightarrow L(M')$  satisfies  $P$
- $(M, w) \notin L_u \Rightarrow L(M') = \emptyset \Rightarrow L(M')$  does not satisfy  $P$