# Synchronization

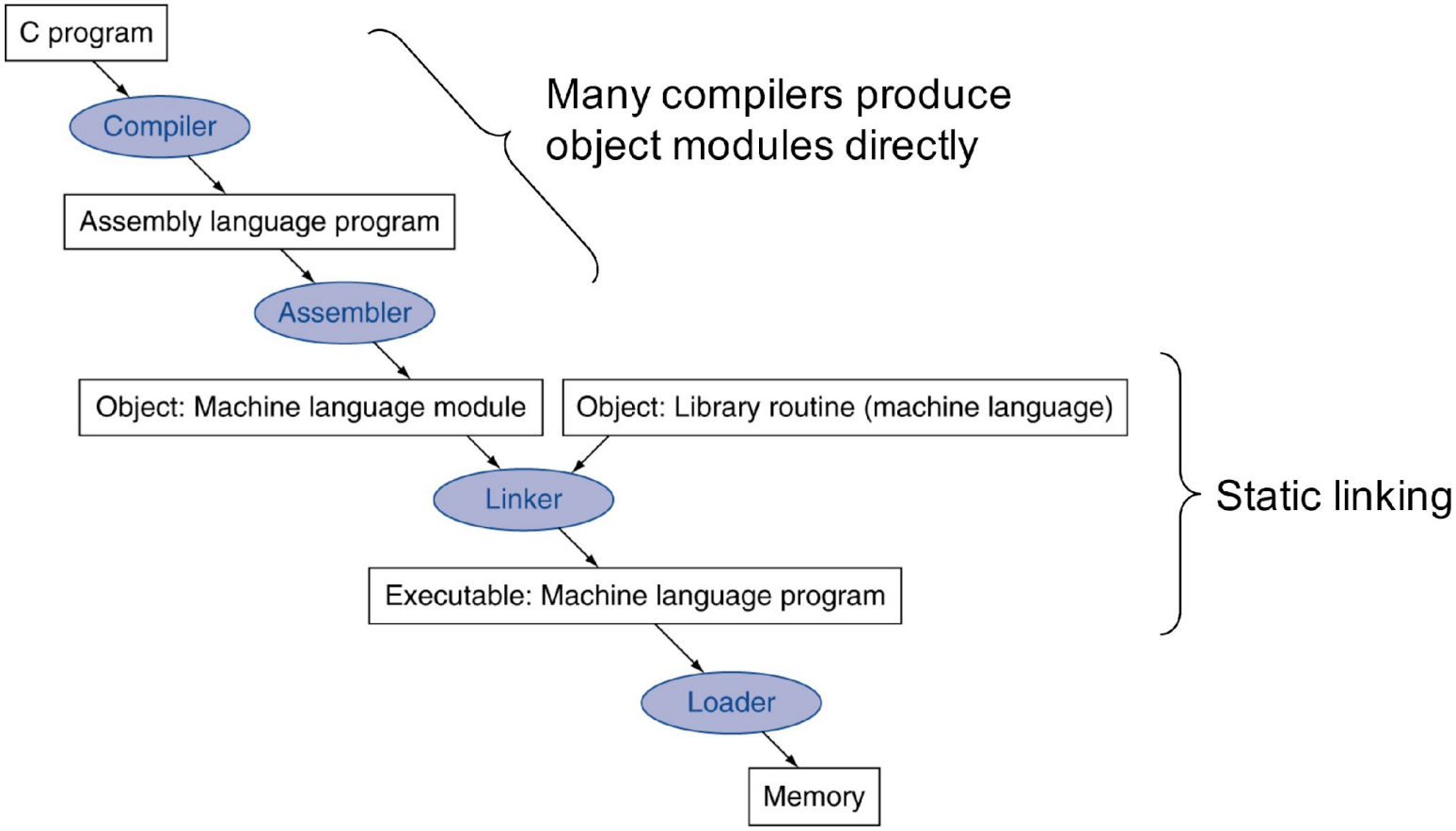
- Two processors sharing an area of memory
  - P1 writes, then P2 reads
  - Data race if P1 and P2 don't synchronize
    - Result depends of order of accesses
- Hardware support required
  - Atomic read/write memory operation
  - No other access to the location allowed between the read and write
- Could be a single instruction
  - E.g., atomic swap of register ↔ memory
  - Or an atomic pair of instructions

# Synchronization in MIPS

- Load linked: `ll rt, offset(rs)`
- Store conditional: `sc rt, offset(rs)`
  - Succeeds if location not changed since the `ll`
    - Returns 1 in rt
  - Fails if location is changed
    - Returns 0 in rt
- Example: atomic swap (to test/set lock variable)

```
try: add $t0,$zero,$s4 ;copy exchange value
     ll  $t1,0($s1)    ;load linked
     sc  $t0,0($s1)    ;store conditional
     beq $t0,$zero,try ;branch store fails
     add $s4,$zero,$t1 ;put load value in $s4
```

# Translation and Startup



# Assembler Pseudoinstructions

- Most assembler instructions represent machine instructions one-to-one
- Pseudoinstructions: figments of the assembler's imagination

```
move $t0, $t1     → add $t0, $zero, $t1
blt $t0, $t1, L   → slt $at, $t0, $t1
                    bne $at, $zero, L
```

  - $at (register 1): assembler temporary

# Producing an Object Module

- Assembler (or compiler) translates program into machine instructions
- Provides information for building a complete program from the pieces
  - Header: described contents of object module
  - Text segment: translated instructions
  - Static data segment: data allocated for the life of the program
  - Relocation info: for contents that depend on absolute location of loaded program
  - Symbol table: global definitions and external refs
  - Debug info: for associating with source code

# Linking Object Modules

- Produces an executable image
  1. Merges segments
  2. Resolve labels (determine their addresses)
  3. Patch location-dependent and external refs
- Could leave location dependencies for fixing by a relocating loader
  - But with virtual memory, no need to do this
  - Program can be loaded into absolute location in virtual memory space

# Loading a Program

- Load from image file on disk into memory
  1. Read header to determine segment sizes
  2. Create virtual address space
  3. Copy text and initialized data into memory
     - Or set page table entries so they can be faulted in
  4. Set up arguments on stack
  5. Initialize registers (including $sp, $fp, $gp)
  6. Jump to startup routine
     - Copies arguments to $a0, … and calls main
     - When main returns, do exit syscall

# Dynamic Linking

- Only link/load library procedure when it is called
  - Requires procedure code to be relocatable
  - Avoids image bloat caused by static linking of all (transitively) referenced libraries
  - Automatically picks up new library versions

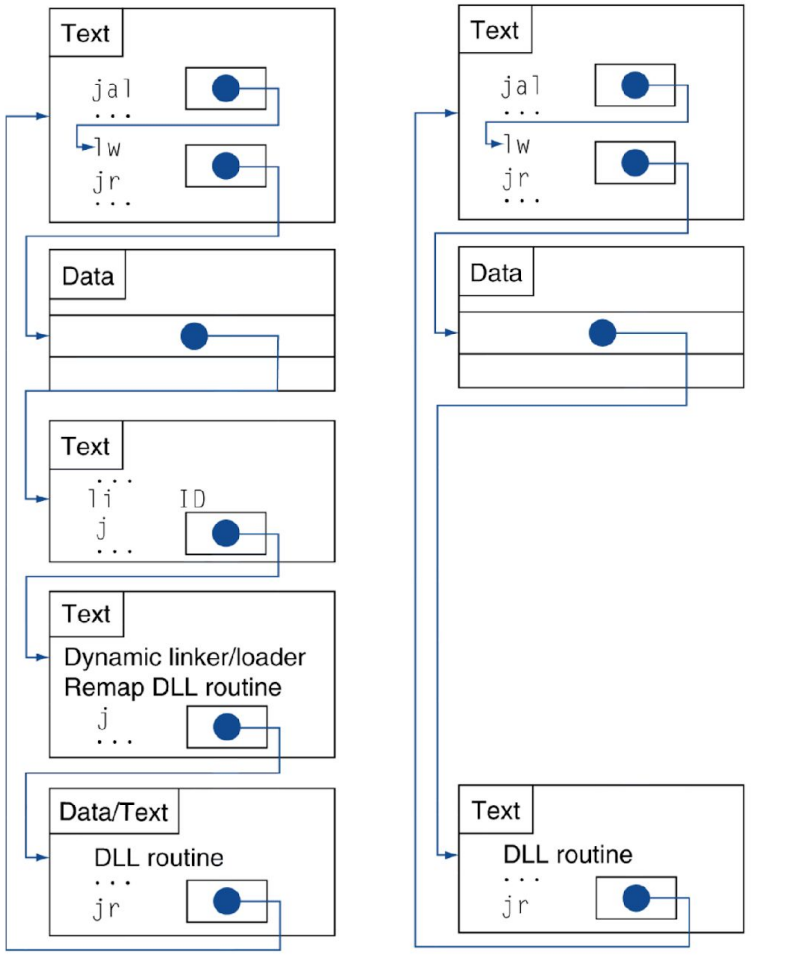
# Lazy Linkage

Indirection table

Stub: Loads routine ID, Jump to linker/loader

Linker/loader code

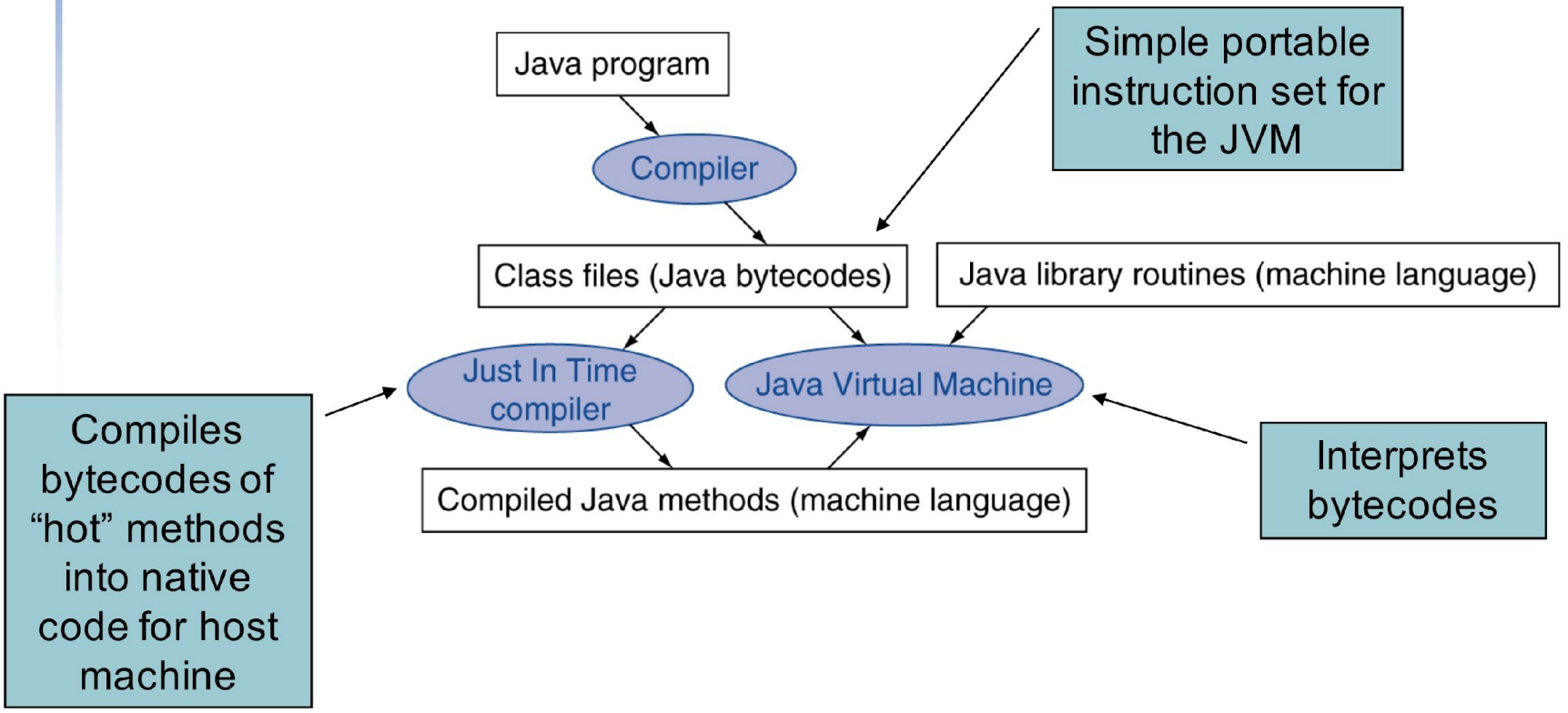
Dynamically mapped code




a. First call to DLL routine

b. Subsequent calls to DLL routine

# Starting Java Applications



# C Sort Example

- Illustrates use of assembly instructions for a C bubble sort function
- Swap procedure (leaf)

```
void swap(int v[], int k)
{
  int temp;
  temp = v[k];
  v[k] = v[k+1];
  v[k+1] = temp;
}
```

  - v in $a0, k in $a1, temp in $t0

# The Procedure Swap

```
swap: sll $t1, $a1, 2    # $t1 = k * 4
      add $t1, $a0, $t1  # $t1 = v+(k*4)
                         #   (address of v[k])
      lw $t0, 0($t1)     # $t0 (temp) = v[k]
      lw $t2, 4($t1)     # $t2 = v[k+1]
      sw $t2, 0($t1)     # v[k] = $t2 (v[k+1])
      sw $t0, 4($t1)     # v[k+1] = $t0 (temp)
      jr $ra             # return to calling routine
```

# The Sort Procedure in C

- Non-leaf (calls swap)

```
void sort (int v[], int n)
{
  int i, j;
  for (i = 0; i < n; i += 1) {
    for (j = i - 1;
         j >= 0 && v[j] > v[j + 1];
         j -= 1) {
      swap(v,j);
    }
  }
}
```

  - v in $a0, k in $a1, i in $s0, j in $s1

# The Procedure Body

```
        move $s2, $a0           # save $a0 into $s2
        move $s3, $a1           # save $a1 into $s3
        move $s0, $zero         # i = 0
for1tst: slt  $t0, $s0, $s3     # $t0 = 0 if $s0 ≥ $s3 (i ≥ n)
        beq  $t0, $zero, exit1  # go to exit1 if $s0 ≥ $s3 (i ≥ n)
        addi $s1, $s0, -1       # j = i - 1
for2tst: slti $t0, $s1, 0       # $t0 = 1 if $s1 < 0 (j < 0)
        bne  $t0, $zero, exit2  # go to exit2 if $s1 < 0 (j < 0)
        sll  $t1, $s1, 2        # $t1 = j * 4
        add  $t2, $s2, $t1      # $t2 = v + (j * 4)
        lw   $t3, 0($t2)        # $t3 = v[j]
        lw   $t4, 4($t2)        # $t4 = v[j + 1]
        slt  $t0, $t4, $t3      # $t0 = 0 if $t4 ≥ $t3
        beq  $t0, $zero, exit2  # go to exit2 if $t4 ≥ $t3
        move $a0, $s2           # 1st param of swap is v (old $a0)
        move $a1, $s1           # 2nd param of swap is j
        jal  swap               # call swap procedure
        addi $s1, $s1, -1       # j -= 1
        j    for2tst            # jump to test of inner loop
exit2:  addi $s0, $s0, 1        # i += 1
        j    for1tst            # jump to test of outer loop
```

Move params

Outer loop

Inner loop

Pass params & call

Inner loop

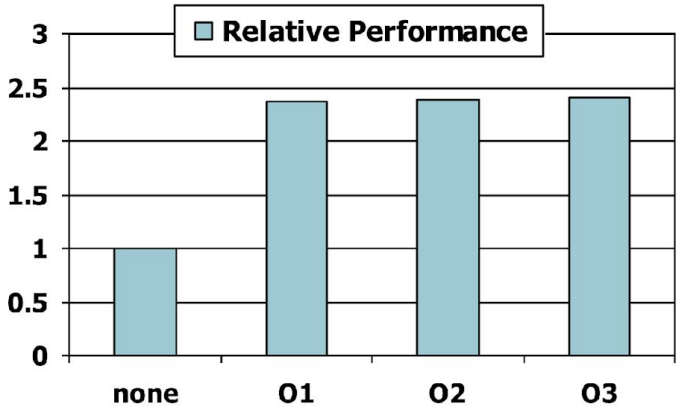
Outer loop

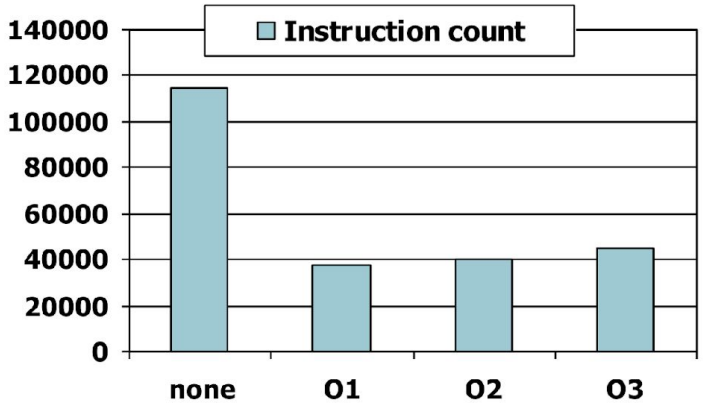
# The Full Procedure

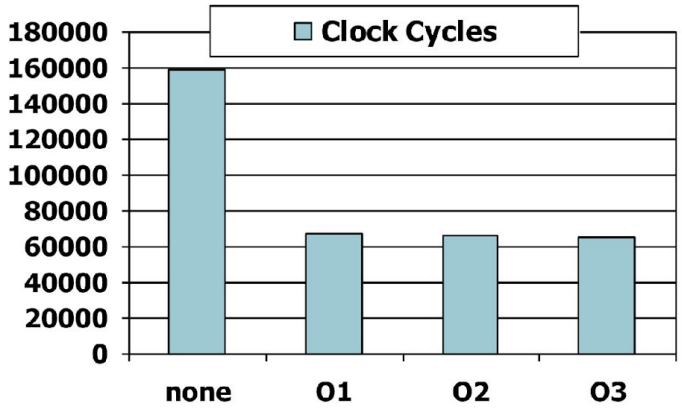
```
sort:   addi $sp,$sp, -20      # make room on stack for 5 registers
        sw $ra, 16($sp)        # save $ra on stack
        sw $s3,12($sp)         # save $s3 on stack
        sw $s2, 8($sp)         # save $s2 on stack
        sw $s1, 4($sp)         # save $s1 on stack
        sw $s0, 0($sp)         # save $s0 on stack
        …                      # procedure body
        …
        exit1: lw $s0, 0($sp)  # restore $s0 from stack
        lw $s1, 4($sp)         # restore $s1 from stack
        lw $s2, 8($sp)         # restore $s2 from stack
        lw $s3,12($sp)         # restore $s3 from stack
        lw $ra,16($sp)         # restore $ra from stack
        addi $sp,$sp, 20       # restore stack pointer
        jr $ra                 # return to calling routine
```

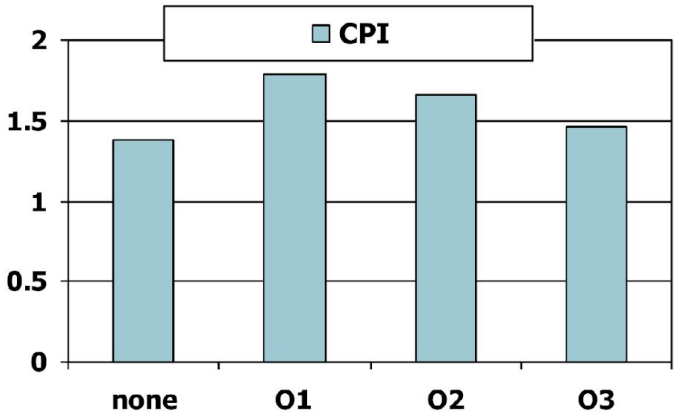
# Effect of Compiler Optimization

Compiled with gcc for Pentium 4 under Linux

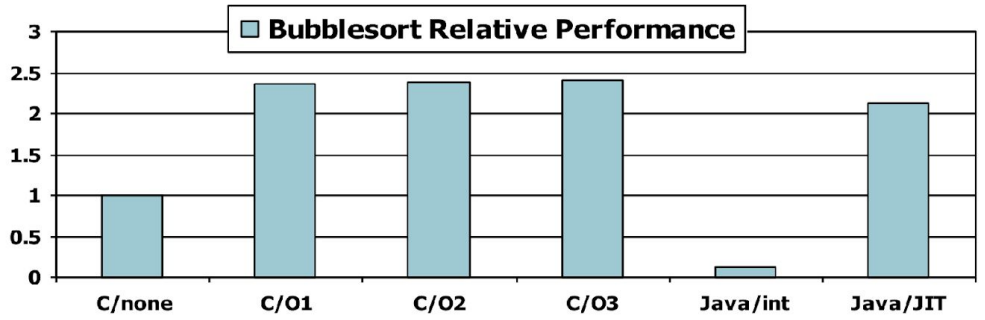


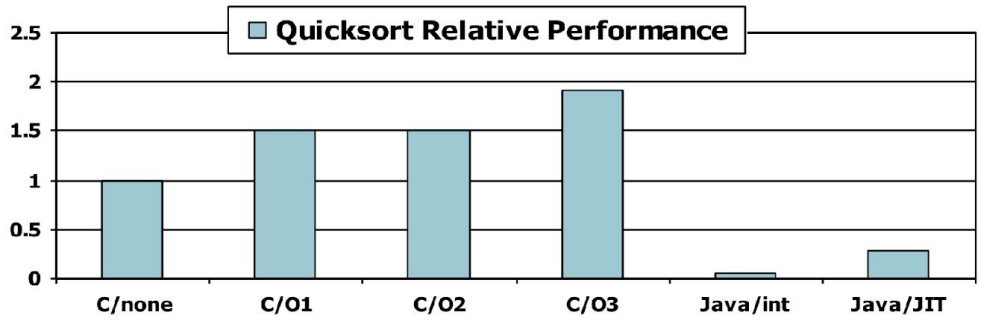



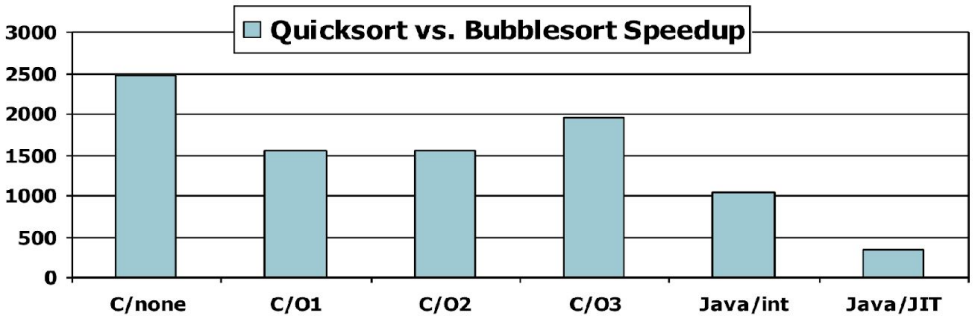



# Effect of Language and Algorithm



# Lessons Learnt

- Instruction count and CPI are not good performance indicators in isolation
- Compiler optimizations are sensitive to the algorithm
- Java/JIT compiled code is significantly faster than JVM interpreted
  - Comparable to optimized C in some cases
- Nothing can fix a dumb algorithm!

# Arrays vs. Pointers

- Array indexing involves
  - Multiplying index by element size
  - Adding to array base address
- Pointers correspond directly to memory addresses
  - Can avoid indexing complexity

# Example: Clearing and Array

```
clear1(int array[], int size) {
  int i;
  for (i = 0; i < size; i += 1)
    array[i] = 0;
}
```

```
clear2(int *array, int size) {
  int *p;
  for (p = &array[0]; p < &array[size];
       p = p + 1)
    *p = 0;
}
```

```
       move $t0,$zero   # i = 0
loop1: sll $t1,$t0,2    # $t1 = i * 4
       add $t2,$a0,$t1  # $t2 =
                        #   &array[i]
       sw $zero, 0($t2) # array[i] = 0
       addi $t0,$t0,1   # i = i + 1
       slt $t3,$t0,$a1  # $t3 =
                        #   (i < size)
       bne $t3,$zero,loop1 # if (…)
                           # goto loop1
```

```
       move $t0,$a0     # p = & array[0]
       sll $t1,$a1,2    # $t1 = size * 4
       add $t2,$a0,$t1  # $t2 =
                        #   &array[size]
loop2: sw $zero,0($t0)  # Memory[p] = 0
       addi $t0,$t0,4   # p = p + 4
       slt $t3,$t0,$t2  # $t3 =
                        #(p<&array[size])
       bne $t3,$zero,loop2 # if (…)
                           # goto loop2
```

# Comparison of Array vs. Ptr

- Multiply “strength reduced” to shift
- Array version requires shift to be inside loop
  - Part of index calculation for incremented i
  - c.f. incrementing pointer
- Compiler can achieve same effect as manual use of pointers
  - Induction variable elimination
  - Better to make program clearer and safer

# ARM & MIPS Similarities

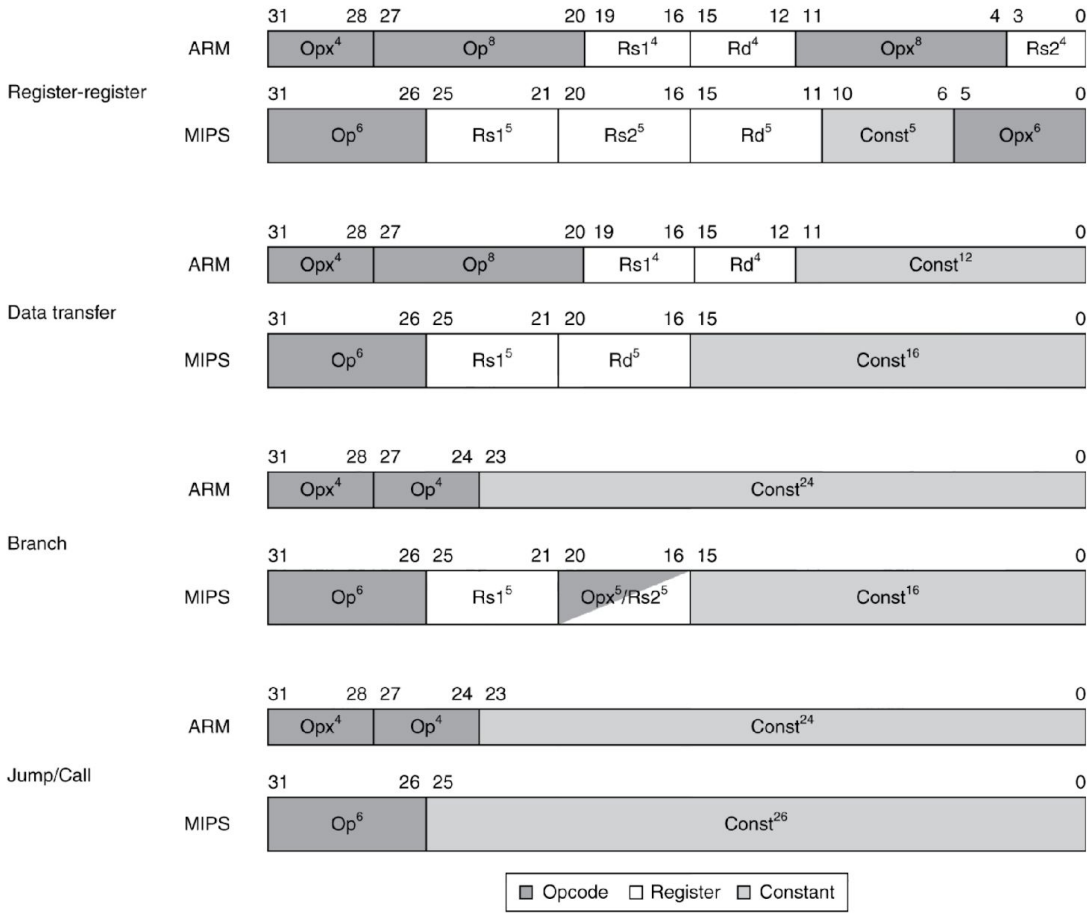
- ARM: the most popular embedded core
- Similar basic set of instructions to MIPS

| | ARM | MIPS |
|---|---|---|
| Date announced | 1985 | 1985 |
| Instruction size | 32 bits | 32 bits |
| Address space | 32-bit flat | 32-bit flat |
| Data alignment | Aligned | Aligned |
| Data addressing modes | 9 | 3 |
| Registers | 15 × 32-bit | 31 × 32-bit |
| Input/output | Memory mapped | Memory mapped |

# Compare and Branch in ARM

- Uses condition codes for result of an arithmetic/logical instruction
  - Negative, zero, carry, overflow
  - Compare instructions to set condition codes without keeping the result
- Each instruction can be conditional
  - Top 4 bits of instruction word: condition value
  - Can avoid branches over single instructions

# Instruction Encoding



# The Intel x86 ISA

- Evolution with backward compatibility
  - 8080 (1974): 8-bit microprocessor
    - Accumulator, plus 3 index-register pairs
  - 8086 (1978): 16-bit extension to 8080
    - Complex instruction set (CISC)
  - 8087 (1980): floating-point coprocessor
    - Adds FP instructions and register stack
  - 80286 (1982): 24-bit addresses, MMU
    - Segmented memory mapping and protection
  - 80386 (1985): 32-bit extension (now IA-32)
    - Additional addressing modes and operations
    - Paged memory mapping as well as segments

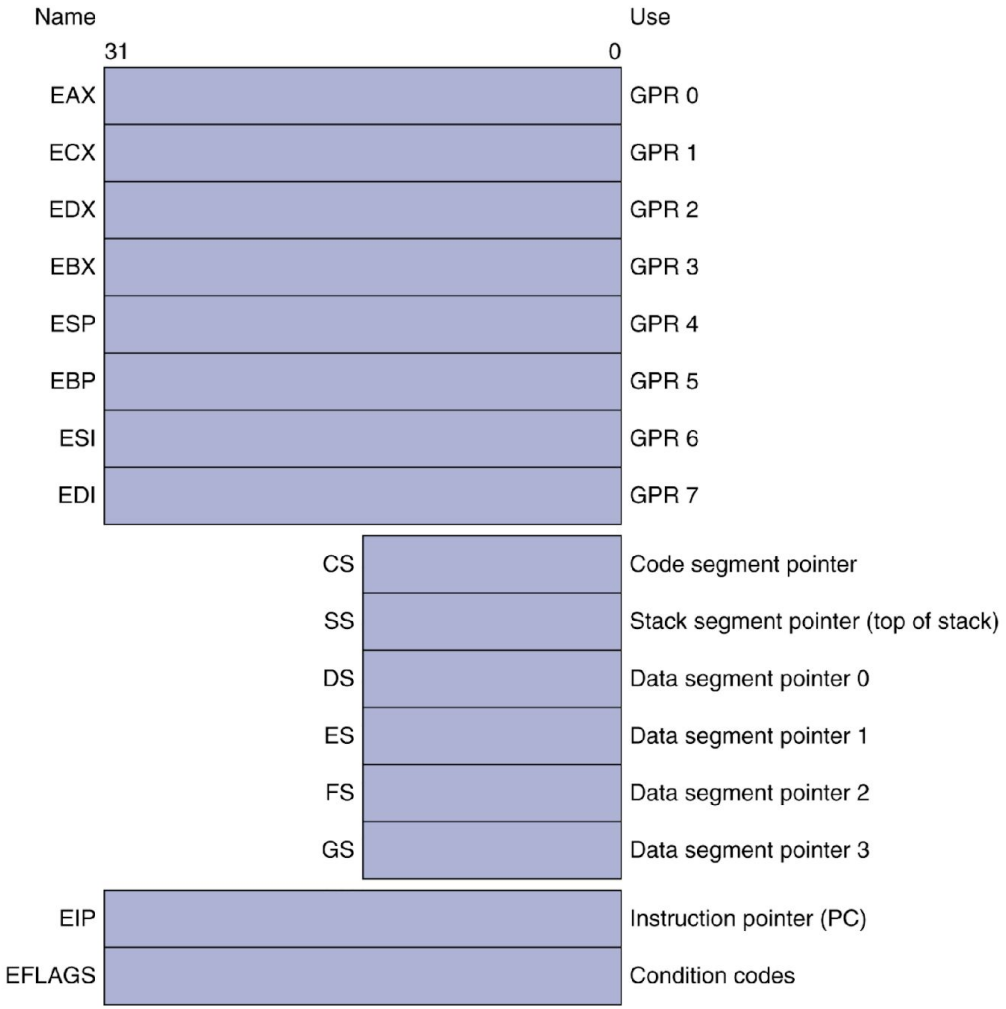
# The Intel x86 ISA

- Further evolution…
  - i486 (1989): pipelined, on-chip caches and FPU
    - Compatible competitors: AMD, Cyrix, …
  - Pentium (1993): superscalar, 64-bit datapath
    - Later versions added MMX (Multi-Media eXtension) instructions
    - The infamous FDIV bug
  - Pentium Pro (1995), Pentium II (1997)
    - New microarchitecture (see Colwell, *The Pentium Chronicles*)
  - Pentium III (1999)
    - Added SSE (Streaming SIMD Extensions) and associated registers
  - Pentium 4 (2001)
    - New microarchitecture
    - Added SSE2 instructions

# The Intel x86 ISA

- And further…
  - AMD64 (2003): extended architecture to 64 bits
  - EM64T – Extended Memory 64 Technology (2004)
    - AMD64 adopted by Intel (with refinements)
    - Added SSE3 instructions
  - Intel Core (2006)
    - Added SSE4 instructions, virtual machine support
  - AMD64 (announced 2007): SSE5 instructions
    - Intel declined to follow, instead…
  - Advanced Vector Extension (announced 2008)
    - Longer SSE registers, more instructions
- If Intel didn't extend with compatibility, its competitors would!
  - Technical elegance ≠ market success

# Basic x86 Registers



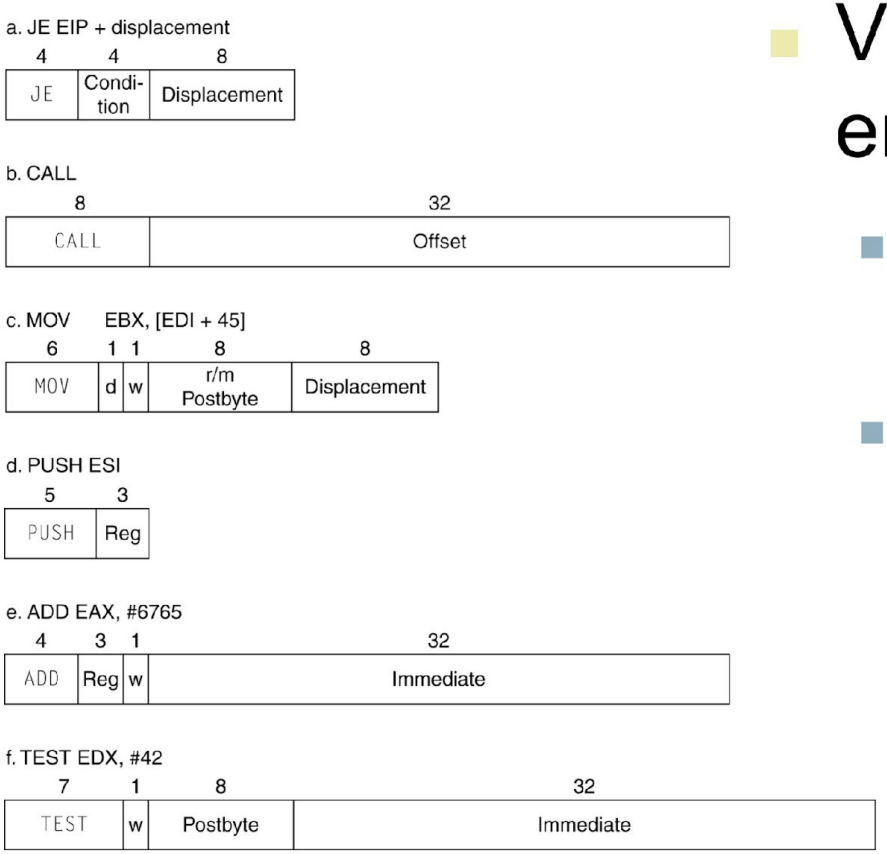
# Basic x86 Addressing Modes

- Two operands per instruction

| Source/dest operand | Second source operand |
|---|---|
| Register | Register |
| Register | Immediate |
| Register | Memory |
| Memory | Register |
| Memory | Immediate |

- Memory addressing modes
  - Address in register
  - Address = $R_{base}$ + displacement
  - Address = $R_{base} + 2^{scale} \times R_{index}$ (scale = 0, 1, 2, or 3)
  - Address = $R_{base} + 2^{scale} \times R_{index}$ + displacement

# x86 Instruction Encoding




- Variable length encoding
  - Postfix bytes specify addressing mode
  - Prefix bytes modify operation
    - Operand length, repetition, locking, …

# Implementing IA-32

- Complex instruction set makes implementation difficult
  - Hardware translates instructions to simpler microoperations
    - Simple instructions: 1–1
    - Complex instructions: 1–many
  - Microengine similar to RISC
  - Market share makes this economically viable
- Comparable performance to RISC
  - Compilers avoid complex instructions

# ARM v8 Instructions

- In moving to 64-bit, ARM did a complete overhaul
- ARM v8 resembles MIPS
  - Changes from v7:
    - No conditional execution field
    - Immediate field is 12-bit constant
    - Dropped load/store multiple
    - PC is no longer a GPR
    - GPR set expanded to 32
    - Addressing modes work for all word sizes
    - Divide instruction
    - Branch if equal/branch if not equal instructions

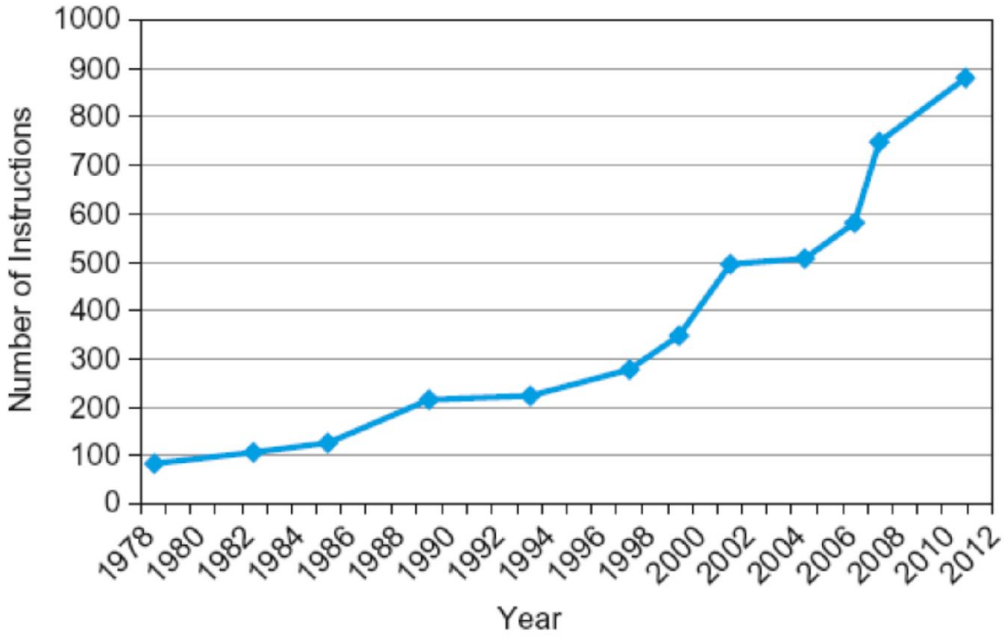
# Fallacies



- Powerful instruction ↛ higher performance
  - Fewer instructions required
  - But complex instructions are hard to implement
    - May slow down all instructions, including simple ones
  - Compilers are good at making fast code from simple instructions
- Use assembly code for high performance
  - But modern compilers are better at dealing with modern processors
  - More lines of code ↛ more errors and less productivity

# Fallacies

- Backward compatibility ⇒ instruction set doesn't change
  - But they do accrete more instructions




x86 instruction set

# Pitfalls

- Sequential words are not at sequential addresses
  - Increment by 4, not by 1!
- Keeping a pointer to an automatic variable after procedure returns
  - e.g., passing pointer back via an argument
  - Pointer becomes invalid when stack popped

# Concluding Remarks



- Design principles
  1. Simplicity favors regularity
  2. Smaller is faster
  3. Make the common case fast
  4. Good design demands good compromises
- Layers of software/hardware
  - Compiler, assembler, hardware
- MIPS: typical of RISC ISAs
  - c.f. x86

# Concluding Remarks

- Measure MIPS instruction executions in benchmark programs
  - Consider making the common case fast
  - Consider compromises

| Instruction class | MIPS examples | SPEC2006 Int | SPEC2006 FP |
|---|---|---|---|
| Arithmetic | add, sub, addi | 16% | 48% |
| Data transfer | lw, sw, lb, lbu, lh, lhu, sb, lui | 35% | 36% |
| Logical | and, or, nor, andi, ori, sll, srl | 12% | 4% |
| Cond. Branch | beq, bne, slt, slti, sltiu | 34% | 8% |
| Jump | j, jr, jal | 2% | 0% |