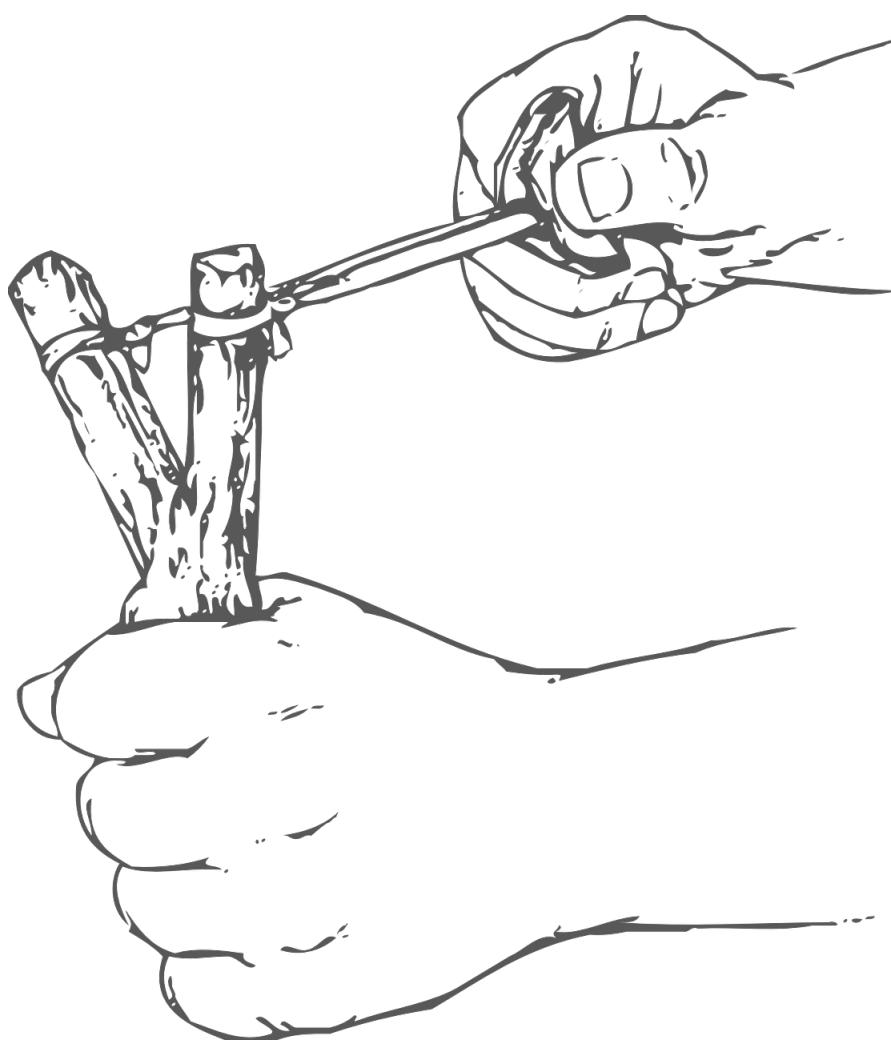


Neural·Pragmatic  
Natural  
**Language**  
Generation

N·P  
**NLG**

# Learning goals

1. understand basic architectures for **grounded LMs**
  - a. focus on neural image captioning
2. critically assess research papers on (grounded) LMs
3. interpret and apply common **evaluation metrics**



# Examples of automatically generated image captions

arranged by human evaluation scores



A group of young people playing a game of frisbee.



A herd of elephants walking across a dry grass field.



Two hockey players are fighting over the puck.



A close up of a cat laying on a couch.



A little girl in a pink hat is blowing bubbles.



A red motorcycle parked on the side of the road.



A refrigerator filled with lots of food and drinks.



A yellow school bus parked in a parking lot.

Describes without errors

Describes with minor errors

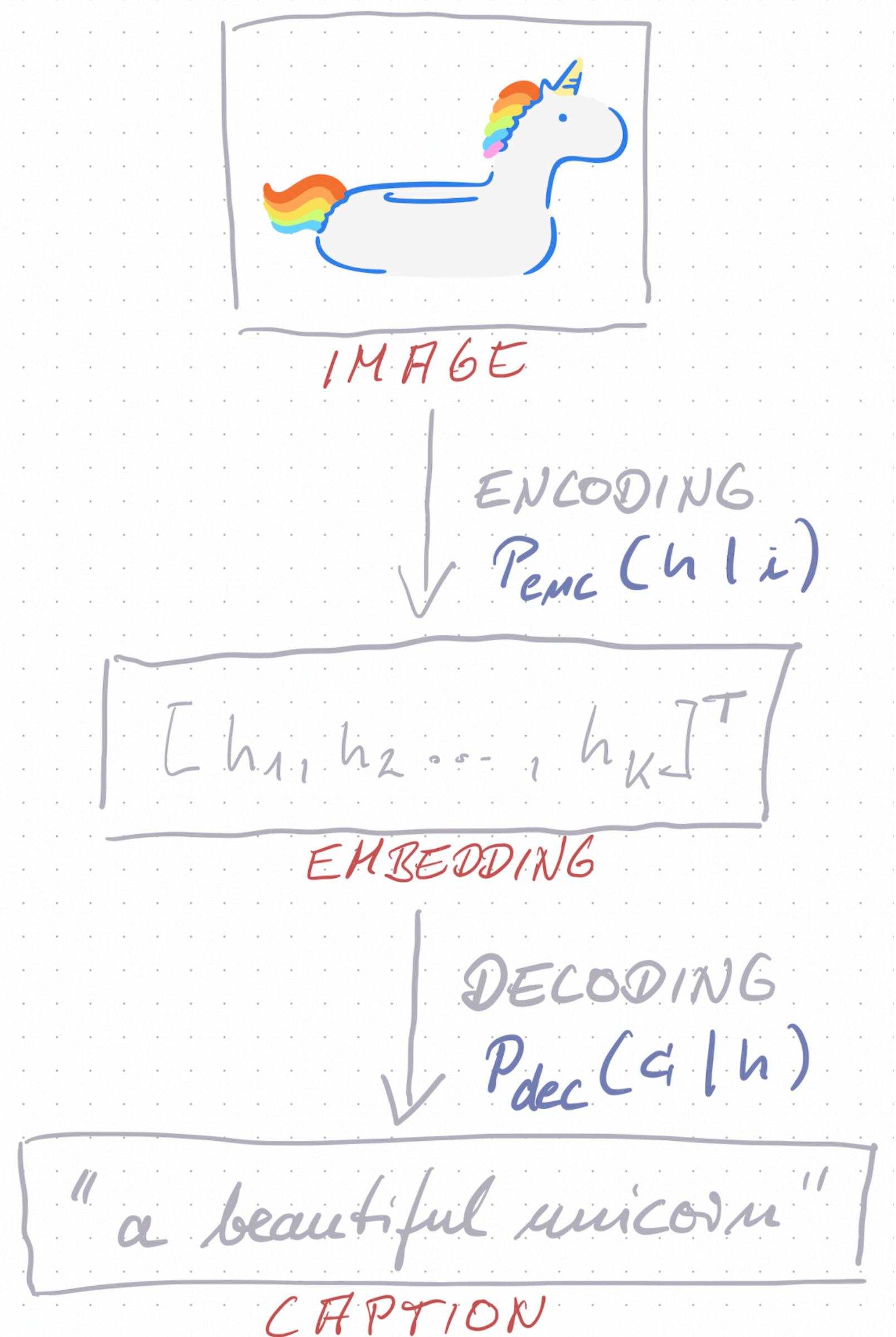
Somewhat related to the image

Unrelated to the image

# Encoder-decoder architectures

for grounded language modeling

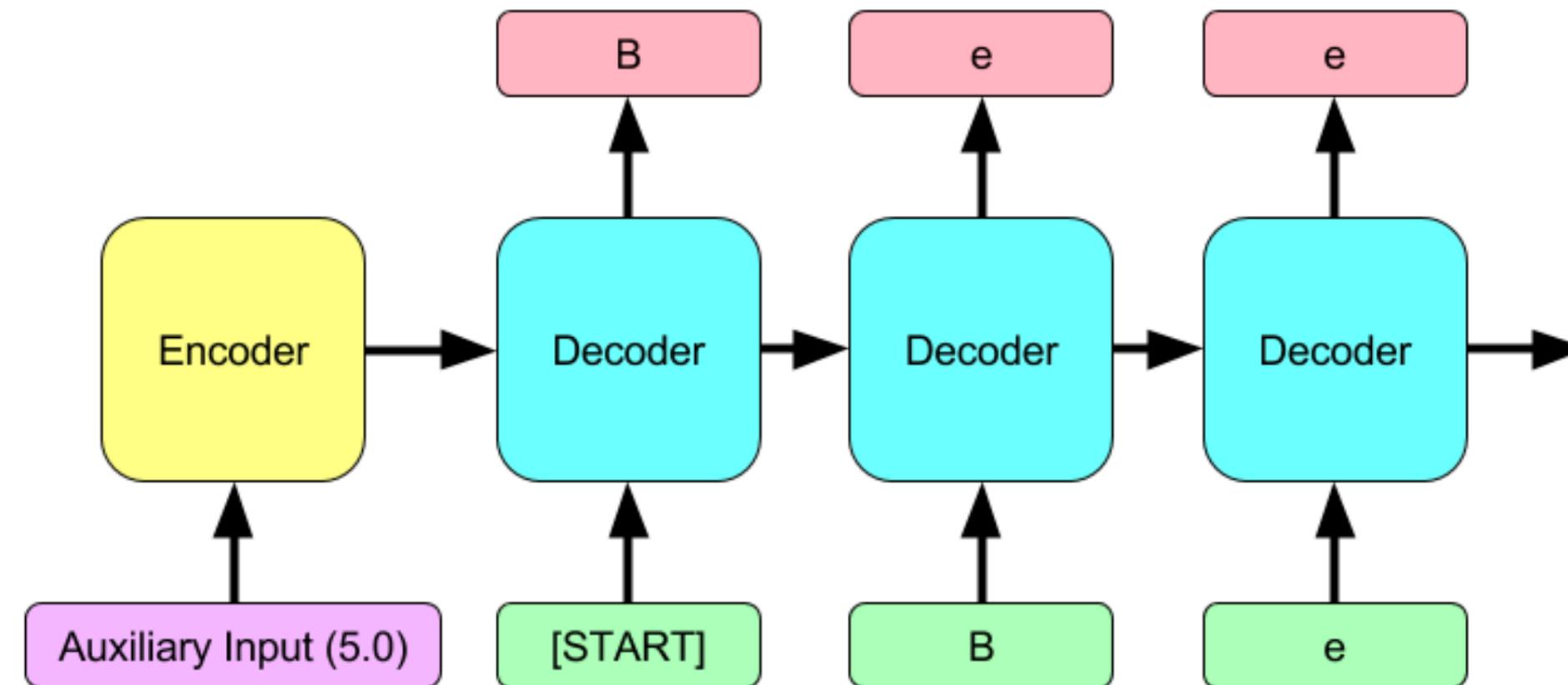
- ▶ training data: pairs  $\langle i, c \rangle$  of image & caption
  - $c = w_1 \dots w_n$
- ▶ objective: approximate true  $P(c | i)$
- ▶ “classical” approach:
  - image  $\rightarrow$  objects, relations  $\rightarrow$  “classical” NLP
- ▶ neural approach: encoder-decoder architecture
  - encoder:  $P_{enc}(h | i)$ 
    - image embedding (RNN, CNN, ...)
  - decoder:  $P_{dec}(c | h)$ 
    - (causal) language model (RNN, LSTM, ...)



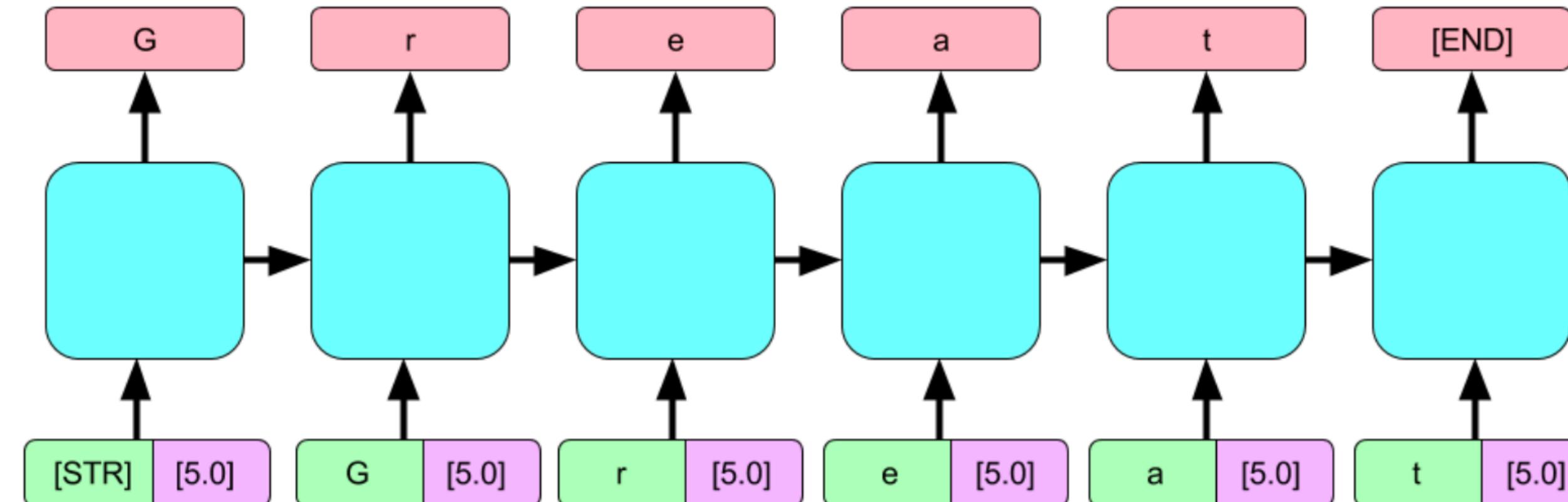
# Where to supply the encoding?

initially or repeatedly

initial supply



repeated supply





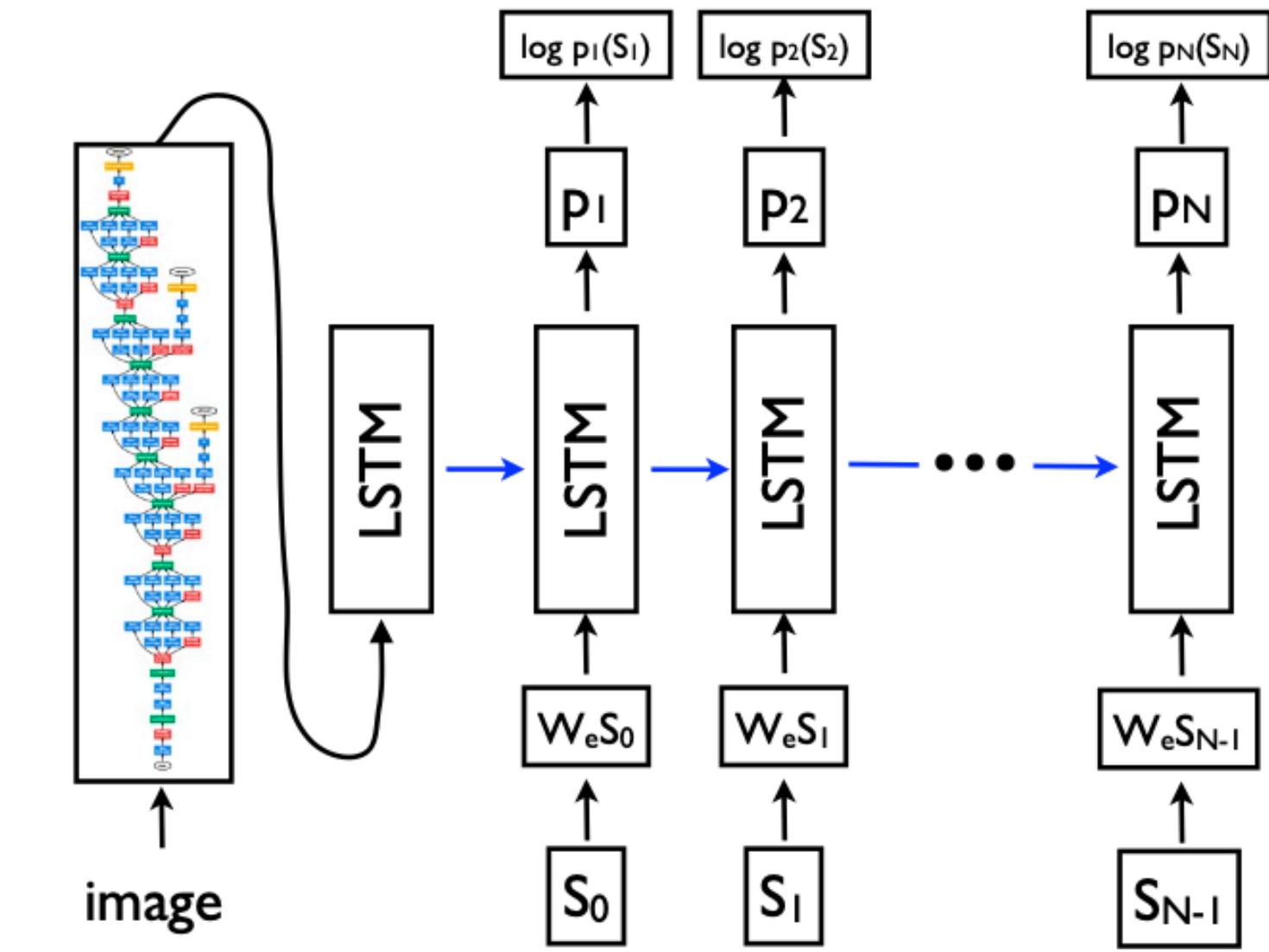
# “Show & Tell: A Neural Image Caption Generator”

Vinyals et al. (2015)

# Neural Caption Generator

Vinyals et al. (2015)

- ▶ encoder:
  - CNN
  - pretrained on ImageNet
- ▶ decoder:
  - LSTM, (hidden layer size: 512)
  - initialized with random embeddings
- ▶ decoding strategies:
  - pure sampling
  - beam search (beam size 20)
- ▶ training specs:
  - objective function: surprisal
$$-\log P(c \mid i) = -\sum \log(w_{i+1} \mid w_{1:i}, c)$$
  - vanilla gradient descent



initial supply of image embedding

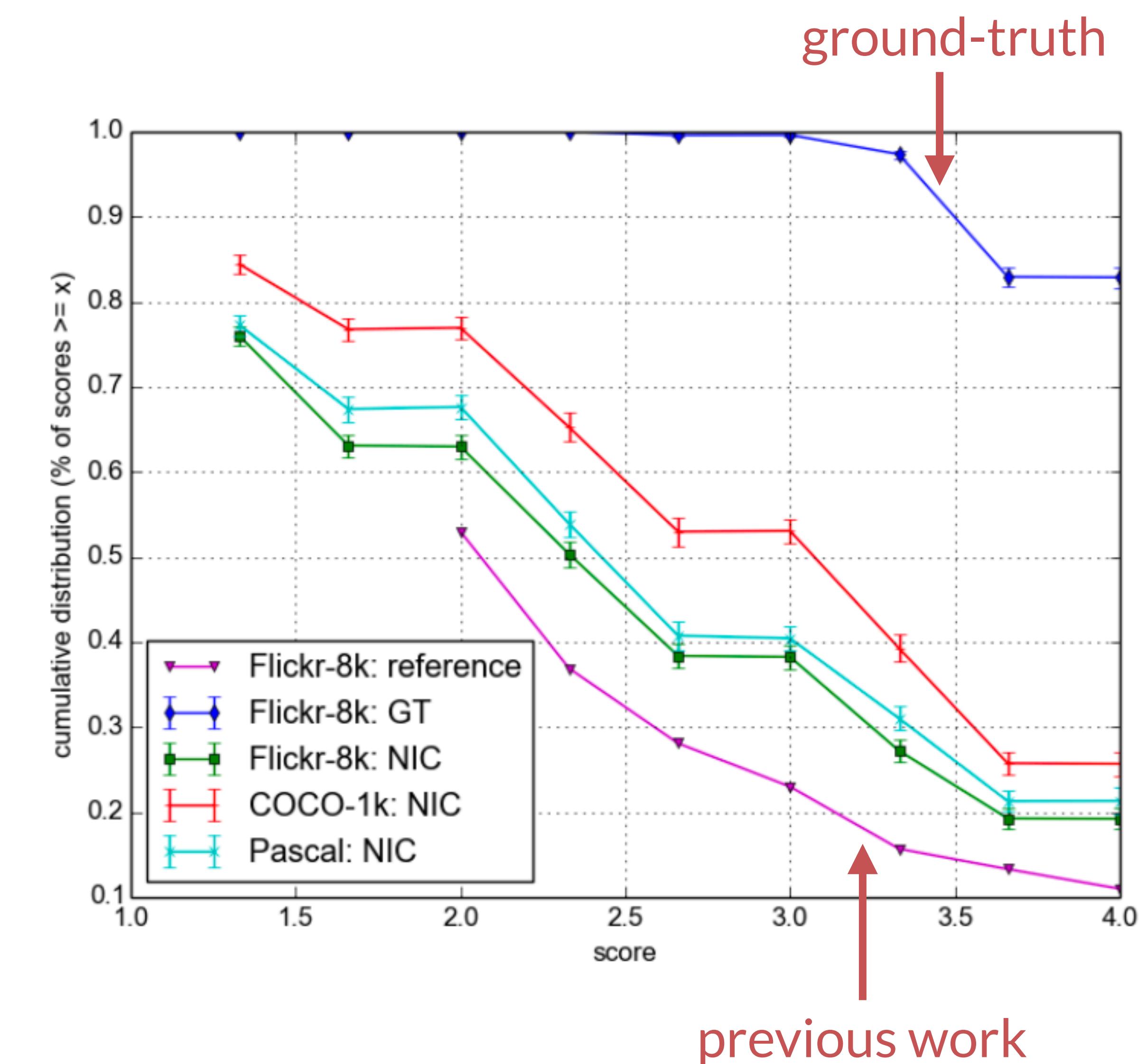
| Dataset name        | size  |        |       |
|---------------------|-------|--------|-------|
|                     | train | valid. | test  |
| Pascal VOC 2008 [6] | -     | -      | 1000  |
| Flickr8k [26]       | 6000  | 1000   | 1000  |
| Flickr30k [33]      | 28000 | 1000   | 1000  |
| MSCOCO [20]         | 82783 | 40504  | 40775 |
| SBU [24]            | 1M    | -      | -     |

data sets & their split sizes

# Human Evaluation

Vinyals et al. (2015)

- ▶ each image rated by two human rater
- ▶ scale from 1 to 4
- ▶ images paired with model-generated captions or a ground-truth caption from the data set



# Evaluation metrics

Vinyals et al. (2015)

- ▶ perplexity
  - used only for model comparison and tracking training progress
- ▶ BLEU-n
  - co-occurrence on n-grams between generated and reference sequences (Papineni et al., 2002)
  - correlates well with human quality judgements
  - easy to compute but may depend on tokenizer (what counts as a word)
- ▶ METEOR
  - based on harmonic mean of unigram precision and recall (Banerjee & Lavie 2005)
  - intended as improvement over BLEU
  - matching target and output via exact matching, synonymy, stem-identity ...

| Metric           | BLEU-4      | METEOR      | CIDER       |
|------------------|-------------|-------------|-------------|
| NIC              | <b>27.7</b> | <b>23.7</b> | <b>85.5</b> |
| Random           | 4.6         | 9.0         | 5.1         |
| Nearest Neighbor | 9.9         | 15.7        | 36.5        |
| Human            | 21.7        | 25.2        | 85.4        |

Table 1. Scores on the MSCOCO development set.

- ▶ CIDE
  - specific to image captioning (Vedantam 2014)
  - score each caption to set of ground-truth reference captions
  - use only stem/root forms
  - score based on:
    - how often n-gram is present in reference set
    - how often it occurs in any other reference set