

# Peano Axioms, Integers, Rationals

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## 1 Peano Axioms

**Axiom 1:** 1 is a natural number.

**Axiom 2:** If  $n$  is a natural number then  $n$  has a *successor* denote it by  $(n+)$ .

**Axiom 3:** 1 is not a successor of any natural number.

**Axiom 4:** Different natural numbers must have different successors.

**Axiom 5:** (Mathematical Induction) Let  $P(n)$  be any property pertaining to natural number. Suppose  $P(1)$  is true and suppose whenever  $P(n)$  is true then  $P(n+)$  is also true. Then  $P(n)$  is true for every natural number  $n$ .

Through Peano axioms we define Natural numbers. We also have addition in  $\mathbb{N}$ . If  $m, n \in \mathbb{N}$  then  $m + n = ((((((m+)+)+) \dots)+), \text{i.e. } n^{\text{th}} \text{ successor of } n$ .

**Proposition 1.1.** *If  $A \subset \mathbb{N}$  then it has an element which is not a successor of any element in  $A$ .*

**Exercise 1.1.** 1. *Prove that 111...111 (729 ones) is divisible by 729.*

2. *A set of  $n$  points is taken on a circle and each pair is connected by a segment. It happens that no three of these segments meet at the same point. Into how many parts do they divide the interior of the circle?*

3. *Show that  $1 + 3 + \dots + (2n - 1) = n^2$ .*

4. *Show that  $1.2 + 2.3 + \dots + (n - 1).n = (n - 1)n(n + 1)/3$ .*

5. *Show that  $\frac{1}{1.2} + \frac{1}{2.3} + \dots + \frac{(n-1)n}{n} = \frac{n-1}{n}$ .*

6. *Let  $l, k \in \mathbb{N}$ . Show that  $\frac{1}{l(l+k)} + \frac{1}{l(+k)(l+2k)} + \dots + \frac{1}{(l+(n-1)k)(l+nk)} = \frac{n}{l(l+nk)}$ .*

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<sup>1</sup>Part of this note is based on materials from Analysis I by Terrence Tao

## 2 Integers

Define a relation on  $\mathbb{N} \times \mathbb{N}$  as  $(m, n) \sim (p, q)$  if  $m + q = n + p$ . This is an equivalence relation. Denote  $m - n = (m, n)$ . An integer is an expression of the form  $m - n$  where  $m$  and  $n$  belongs to  $\mathbb{N}$ . Two integers are considered to be equal,  $m_1 - n_1 = m_2 - n_2$ , if and only if  $m_1 + n_2 = m_2 + n_1$ .

Let us denote  $\mathbb{Z}$  to be the set of all integers. We have the following well defined addition and multiplications in  $\mathbb{Z}$ .

Add:  $(m - n) + (p - q) = (m + p) - (n + q)$ .

Mult:  $(m - n) \times (p - q) = (mp + nq) - (np + mq)$ .

**Exercise 2.1.** Show that addition and multiplication are well defined.

**Definition 2.2.** If  $m - n$  is an integer then define **negation** of  $m - n$  to be the pair  $(n, m)$ .

**Lemma 2.3.** Let  $x$  be an integer. Then exactly one of the following statement is true.

1.  $x = (m, m)$
2.  $x = (m, n)$  where  $m > n$
3.  $x = (m, n)$  where  $m < n$ .

**Proposition 2.1** (No zero divisors). Let  $m, n \in \mathbb{Z}$  such that  $mn = 0$ . Then either  $m = 0$  or  $n = 0$ .

*Proof.* Let us assume both are not zero. Then considering signs of  $m$  and  $n$  one can conclude  $mn \neq 0$ , by using the fact integers are of three types.  $\square$

## 3 Rational Number

Define a relation on  $\mathbb{Z} \times \mathbb{Z} \cup \{0\}$  as  $(m, n) \sim (p, q)$  if  $mq = np$ . This is an equivalence relation. Denote  $\frac{p}{q} = (p, q)$ . A rational number is an expression of the form  $\frac{p}{q}$  where  $p$  and  $q$  are integers and  $q \neq 0$ . Let us denote  $\mathbb{Q}$  to be the set of rationals. We have addition, multiplication and division for rationals.

Add:  $\frac{m}{n} + \frac{p}{q} = \frac{mq + pn}{nq}$ .

Mult:  $\frac{m}{n} \times \frac{p}{q} = \frac{mp}{nq}$ .

Div:  $\frac{m}{n} / \frac{p}{q} = \frac{mq}{np}$ ,  $p \neq 0$ .

Let  $x, y \in \mathbb{Q}$  we say that  $x > y$  if  $x - y > 0$  and  $x < y$  if  $y > x$ . Also,  $x \geq y$  iff either  $x > y$  or  $x = y$ , similarly we can define  $x \leq y$ .

**Proposition 3.1.** Let  $x, y \in \mathbb{Q}$  then exactly one of the three statements is true: (i)  $x > y$  (ii)  $x = y$  (iii)  $x < y$ .

**Definition 3.1** (Absolute Value). Let  $x \in \mathbb{Q}$  define

$$|x| = \begin{cases} x & \text{if } x \geq 0 \\ -x & \text{if } x < 0. \end{cases}$$

(Distance) Let  $x, y \in \mathbb{Q}$  define  $d(x, y) = |x - y|$ .

### Proposition 3.2.

Let  $x, y, z \in \mathbb{Q}$ . Then following statements holds.

1. (Non-degeneracy)  $d(x, y) \geq 0$ . Also  $d(x, y) = 0 \iff x = y$ .
2. (Symmetry)  $d(x, y) = d(y, x)$ .
3. (Traingle Inequality)  $d(x, y) \leq d(x, z) + d(y, z)$ .

### 3.1 Differences between $\mathbb{Z}$ and $\mathbb{Q}$

1. Each integer has a *successor*. However, this is not true in  $\mathbb{Q}$ . As let  $x, y \in \mathbb{Q}$  and  $x < y$  then there exists a  $z \in \mathbb{Q}$  such that  $x < z < y$ .
2.  $\mathbb{Q}$  is a field but  $\mathbb{Z}$  is not.
3. For  $x \in \mathbb{Q}$  and  $0 < \epsilon \in \mathbb{Q}$  define  $B_\epsilon(x) = \{y \in \mathbb{Q} : d(y, x) < \epsilon\}$ . If  $0 < \epsilon < 1$  and  $x \in \mathbb{Z}$  then then the set  $B_\epsilon(x) \cap \mathbb{Z} = \emptyset$ . However, for  $x \in \mathbb{Q}$  we have  $B_\epsilon(x) \cap \mathbb{Q} \neq \emptyset$ .
- 4.

**Definition 3.2.** Let  $A \subset \mathbb{Q}$ . We say that  $A$  is **bounded above** if there exists a  $M \in \mathbb{Q}$  such that  $x \leq M$  for all  $x \in A$ . Similarly,  $A$  is **bounded below** if there exists a  $N \in \mathbb{Q}$  such that  $x \geq N$  for all  $x \in A$ .  $A$  is said to be **bounded** if it is both bounded above as well as bounded below.

$M$  is called an **upper bound** for  $A$ . Similarly  $N$  is called a **lower bound**.

**Proposition 3.3.** Let  $A \subset \mathbb{Z}$  and bounded above. There exists  $N \in A$  such that  $N \leq M$  for any upper bound of  $A$ .

Similarly, for  $A \subset \mathbb{Z}$  and bounded below. There exists  $N \in A$  such that  $N \geq M$  for any other lower bound of  $A$ .

We do not have this property in  $\mathbb{Q}$ !!

**Proposition 3.4.** There exists a set  $A \subset \mathbb{Q}$  which is bounded above with the property that any  $\alpha \in A$  of  $A$  there is a  $\beta \in A$  such that  $\beta > \alpha$ .

*Proof.* Consider  $A = \{x \in \mathbb{Q} : x^2 < 2\}$ . Clearly 2 is an upper bound of  $A$ , so  $A$  is bounded above. Let  $\alpha \in A$ . Let  $\beta = \alpha + \frac{2-\alpha^2}{\alpha+2}$ . Clearly,  $\beta > \alpha$  and  $\beta \in \mathbb{Q}$ . Also,  $\beta^2 - 2 = \frac{2(\alpha^2-2)}{(\alpha+2)^2} < 0$ .  $\square$

### 3.2 Striking Similarity between $\mathbb{Z}$ and $\mathbb{Q}$

There exists a bijection from  $\mathbb{Z}$  to  $\mathbb{Q}$ .

### 3.2.1 Cardinality

Cardinality of a set  $A$  is the number of elements of  $A$ , we denote it by  $|A|$ . It can be finite and it can be infinite. If there exists a bijection  $f : A \rightarrow B$  then we say that  $|A| = |B|$ .

**Definition 3.3.** We say that a set  $A$  is countable if there exists a bijection  $f : N \rightarrow A$  where  $N \subseteq \mathbb{N}$  is finite or  $N = \mathbb{N}$ . In the first case we say that  $A$  is finite and in the later case we say  $A$  is countably infinite.

**Example 3.4.**  $\mathbb{Z}$  is countable. Define  $f : \mathbb{N} \rightarrow \mathbb{Z}$  as

$$f(n) = \begin{cases} \frac{n}{2} & \text{if } n \text{ is even} \\ -\frac{n-1}{2} & \text{if } n \text{ is odd.} \end{cases}$$

**Example 3.5.** Suppose  $A \subsetneq \mathbb{N}$  and infinite then  $|A| = |\mathbb{N}|$ .

*Proof.* Let  $a_1$  is the smallest element of  $A$ ,  $a_2$  be the smallest element of  $A \setminus \{a_1\}$ ,  $a_3$  be the smallest element of  $A \setminus \{a_1, a_2\}$  and so on. So we have  $a_1 < a_2 < a_3 < \dots$ . If  $a \in A$  consider  $\{n \in A : n \leq a\}$  this set is finite say  $k$  elements then  $a = a_k$ . So the map  $f(l) = a_l$ ,  $l \in \mathbb{N}$  will give us the required bijection.  $\square$

**Example 3.6.** Finite union of countable sets is countable.

*Proof.* If all of them are finite then nothing to prove. Let  $A_1$  and  $A_2$  be two countably infinite set. Then there exists bijections  $\phi_1 : 2\mathbb{N} \rightarrow A_1$  and  $\phi_2 : (2\mathbb{N} + 1) \rightarrow A_2$ . Combining these two bijections we easily get bijection from  $\mathbb{N}$  onto  $A_1 \cup A_2$ .  $\square$

What about countable union? Let  $A_1, A_2, \dots$  be countable sets. To avoid repetition let  $B_1 = A_1$  and  $B_i = A_i \setminus \cup_{k=1}^{i-1} A_k$ . Each  $B_i$  is countable so list its elements as  $b_{i,1}, b_{i,2}, \dots$  and  $A = \cup_{i \geq 1} A_i = \cup_{i \geq 1} B_i$ . Consider the map  $f : A \rightarrow \mathbb{N} \times \mathbb{N}$  as  $f(b_{i,j}) = (i, j)$  is one-one. Thus  $|A| \leq |\mathbb{N} \times \mathbb{N}|$ . Following proposition will tell us it is countable.

**Proposition 3.5.** The set  $\mathbb{N} \times \mathbb{N}$  is countable.

*In particular, countable union of countable sets is countable.*

*Proof.* Define  $f : \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$  as  $f(m, n) = 2^{m-1}(2n - 1)$ . Observe  $2^{m-1}(2n - 1) = 2^{p-1}(2q - 1)$  if and only if  $m = p$  and  $n = q$ . For onto consider  $l \in \mathbb{N}$  then either  $l$  is odd or even. If it is odd then  $l = 2p - 1$  for some  $p \in \mathbb{N}$  consider  $m = 1$  and  $n = p$ . If even then it can be factorized into powers of 2 and an odd number.  $\square$

**Corollary 3.7.**  $|\mathbb{N}| = |\mathbb{Z}| = |\mathbb{Q}|$ .

Does there exists an uncountable set? i.e does there exists an infinity bigger than the countable infinity.

Consider  $T(\mathbb{N}) = \{f : \mathbb{N} \rightarrow \{0, 1\}\}$ . What is the cardinality of  $T(\mathbb{N})$ ?

**Proposition 3.6.** No bijection from  $\mathbb{N}$  to  $T(\mathbb{N})$

*Proof.* Let  $\phi : \mathbb{N} \rightarrow T(\mathbb{N})$  be a one-one map. Denote  $\phi(n) = f_n$ . Define  $h(n) = \begin{cases} 1 & \text{if } f_n(n) = 0 \\ 0 & \text{if } f_n(n) = 1. \end{cases}$ . Certainly  $h \in T(\mathbb{N})$ . If  $\phi$  is onto then there exists a  $l \in \mathbb{N}$  such that  $\phi(l) = h$ . Then,  $h(l) = 1 \Rightarrow f_l(l) = 0 \Rightarrow \phi(l) = 0$ . Similarly if  $h(l) = 0 \Rightarrow f_l(l) = 1 \Rightarrow \phi(l) = 1$ . Hence a contradiction.  $\square$

**Corollary 3.8.**  $|\mathbb{N}| < |\mathcal{P}(\mathbb{N})|$  and  $\mathcal{P}(\mathbb{N})$  is not countable.

*Proof.* Considering the map  $x \mapsto \{x\}$  we get  $|\mathbb{N}| \leq |\mathcal{P}(\mathbb{N})|$ . Now consider the bijection  $\psi : \mathcal{P}(\mathbb{N}) \rightarrow T(\mathbb{N})$  by  $\psi(B) = \chi_B$ . To show it is onto, let  $f \in T(\mathbb{N})$  define  $B = \{n \in \mathbb{N} : f(n) = 1\}$ . □

In fact the following more general statement is true.

**Theorem 3.9.** Let  $A$  be any set then  $|A| < |\mathcal{P}(A)|$ .

*Proof.* If  $|A| < \infty$  then  $|\mathcal{P}(A)| = 2^{|A|}$ . Assume  $A$  is an infinite set. Suppose there exists a bijection  $f : A \rightarrow \mathcal{P}(A)$ . Consider  $B = \{x : x \notin f(x)\}$ . Clearly  $B \in \mathcal{P}(A)$ . If  $f$  is bijection then there exists a  $y \in A$  such that  $f(y) = B$ . Now either  $y \in B$  or  $y \notin B$ . If  $y \in B$  then  $y \in f(y)$  a contradict. If  $y \notin B$  then  $y \in f(y) = B$ . Hence  $f$  cannot be a bijection. So,  $|A| < |\mathcal{P}(A)|$ . □

### 3.3 Cantor-Schröder-Bernstein

**Theorem 3.10.** Let  $X$  and  $Y$  be two sets. If  $|X| \leq |Y|$  and  $|Y| \leq |X|$  then  $|X| = |Y|$ .

*Proof.* (Sketch) Let  $M \subset Y$  and  $N \subset X$  such that there exist bijections  $f : X \rightarrow M$  and  $g : Y \rightarrow N$ . Let  $x \in X$ . If  $x \in g(Y)$  we consider  $g^{-1}(x)$  call it *first ancestor* of  $x$ . If  $g^{-1}(x) \in M$  then we consider  $(f^{-1}g^{-1})(x)$ . Call it *second ancestor*. Continue the process of identifying ancestry of every  $x$ . Now there are three cases

1.  $x$  has infinitely many ancestors. Denote those  $x$  as  $X_i$ .
2.  $x$  has even number of ancestor(s) (0 is an even number!) Denote those  $x$  as  $X_e$ .
3.  $x$  has odd numbers of ancestors. Denote those  $x$  as  $X_o$ .

Clearly,  $X = X_i \cup X_e \cup X_o$ . Define  $F : X \rightarrow Y$  as 
$$F(x) = \begin{cases} f(x) & \text{if } x \in X_i \cup X_e \\ g^{-1}(x) & \text{if } x \in X_o. \end{cases}$$

$F$  is a bijection □