

Fundamentos de los Sistemas Operativos (FSO)

Departamento de Informática de Sistemas y Computadoras (DISCA)
Universitat Politècnica de València

Part 3: File systems and I/O

Unit 8

Directories and protection

f SO

DISCA



UNIVERSITAT
POLITÈCNICA
DE VALÈNCIA

- **Goals**
 - To know the concept of directory
 - To understand symbolic and hard links concepts
 - To know the techniques used to manage free disk space
 - To know the standard protection mechanism used in UNIX systems
- **Bibliography**
 - Silberschatz, chapters 10 and 11

- **Directory concept**
- Directory implementation
- Links
- Free disk space management
- Protection

- **File system architecture: User sight**

User libraries (to operate with files)

API with system calls related to files and directories

File operations:

- Open/close
- Read/write
- Seek within a file

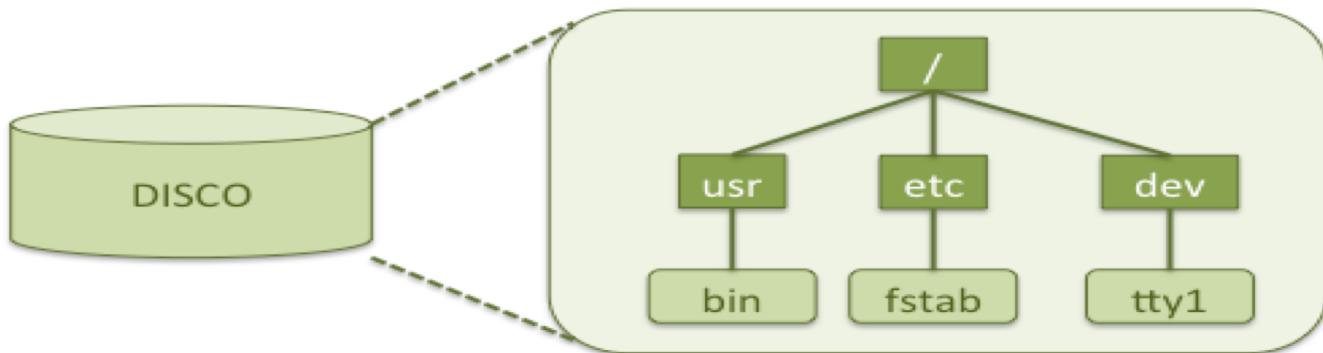
Directory operations:

- Create/remove
- Rename
- Searching
- Navigating through the file system

Hierarchical view

Files and directories have a tree organization

User level
File and directory abstractions



- **A directory is a file**
 - An abstract data file: contains directory entries
 - It is the required element to organize files
- **Goals**
 - To find quickly a file from its associated name
 - To implement a convenient name scheme for users
 - To allow users to organize their files freely
 - To allow owners controlling operations permitted to other users on their files and directories

- **Operation on directories**
 - **Create entry (file or directory)**
 - It requires available space
 - **Remove entry**
 - It frees the disk space allocated to the entry and remove the corresponding directory entry
 - **Search by name**
 - It is performed sequentially
 - **List directory content**
 - It allows to see the directory entries inside the directory
 - **Rename entry**
 - It changes the name field in a directory entry
 - **Navigate the file system**
 - It allows accessing any point inside the directory hierarchy

- Directory concept
- **Directory implementation**
- Links
- Free disk space management
- Protection

- **Directory structure**

Directory -> keeps name to file associations

Flat

➤ All files located inside a unique directory (CP/M)

- Name collision
- File grouping is not allowed

Hierarchical

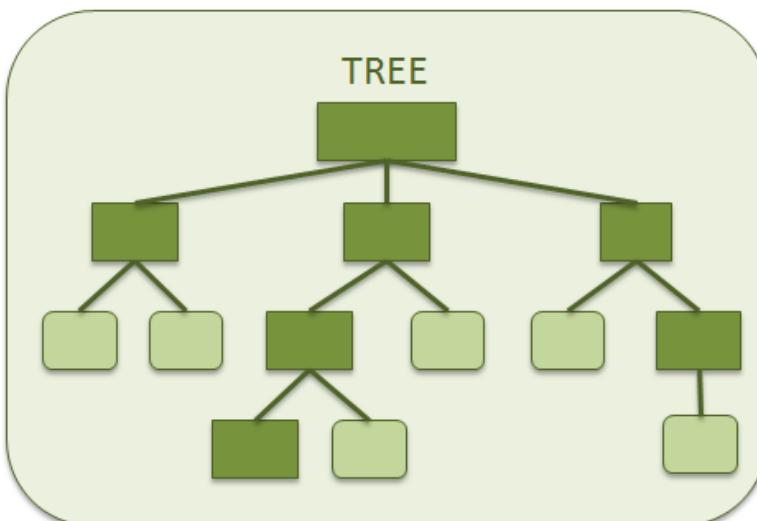
➤ Organization in level (tree or graph) with any depth

- It allows arbitrary grouping
- It allows mounting/unmounting other file systems

- **Hierarchical directory structure**

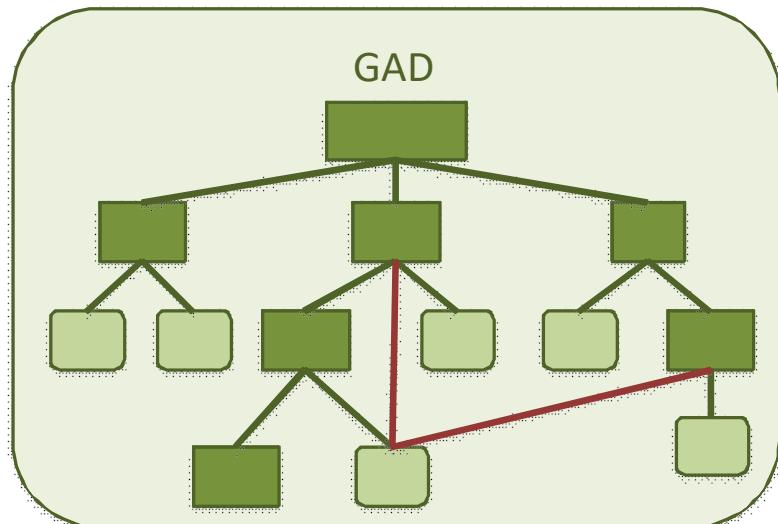
Tree

- Efficient search and grouping
- Only one name/location per entry
- Relative path = path from the actual directory to the entry



Direct acyclic graph

- Tree based structure, but it allows sharing files and directories
- Several names/paths for a given entry
- It doesn't allow cycles



- **Directory content**
 - It is organized as registers named **directory entries**
 - It has a directory entry per element (file or directory) inside the directory
- **Directory entry** Its format depends on the file system
 - UNIX: Name + i-node reference
 - Windows: Name + attributes + reference to data
- **Directory location** in disk
 - Centralized in a disk dedicated area (flat)
 - In files (hierarchical)

- **Directories in FAT (12, 16)**
 - Root directory of fixed size and located in a dedicated area
 - All the other directories are managed as files containing directory entries
 - A directory entry has 32 byte size
 - Name (8) + extension (3)
 - Attributes (i.e. date, time, size, etc.)
 - First data block index (FAT entry)

- **Directories in UNIX systems**

- Directories are implemented as a **file type**
- Data in a directory are structured as a table with two columns: i-node number and name

| Directory entry | i-node number | File name |
|-----------------|---------------|-----------|
|-----------------|---------------|-----------|

- Every directory corresponds to a file
- Entry named “.” corresponds to the working directory (points to itself)
- Entry named “..” corresponds to the parent directory
- i-node number = index to find the i-node in the i-node vector stored in the disk

Root directory entry 

| i-node | File name |
|--------|-----------|
| 1 | . |
| 1 | .. |
| 3 | dev |
| 4 | bin |
| | |

Directory implementation

fso

- **Access by name mechanism**
 - In the disk there is a dedicated part to store the **i-nodes vector**
 - i-node = attributes + file data localization
 - In the i-nodes vector there is an i-node per file
 - Absolute name:
 - File search starts in the root directory
 - The root directory has a fixed i-node (i-node 1 in MINIX)
 - Example: /a/b/c
 - Relative name
 - File search starts in the working directory
 - Example: b/c

While elements remain
If it is a directory
Check permissions,
Localize element in the directory,
Get the i-node
Return final i-node

| i-node | File name |
|--------|-----------|
| 6 | . |
| 1 | .. |
| 10 | b |

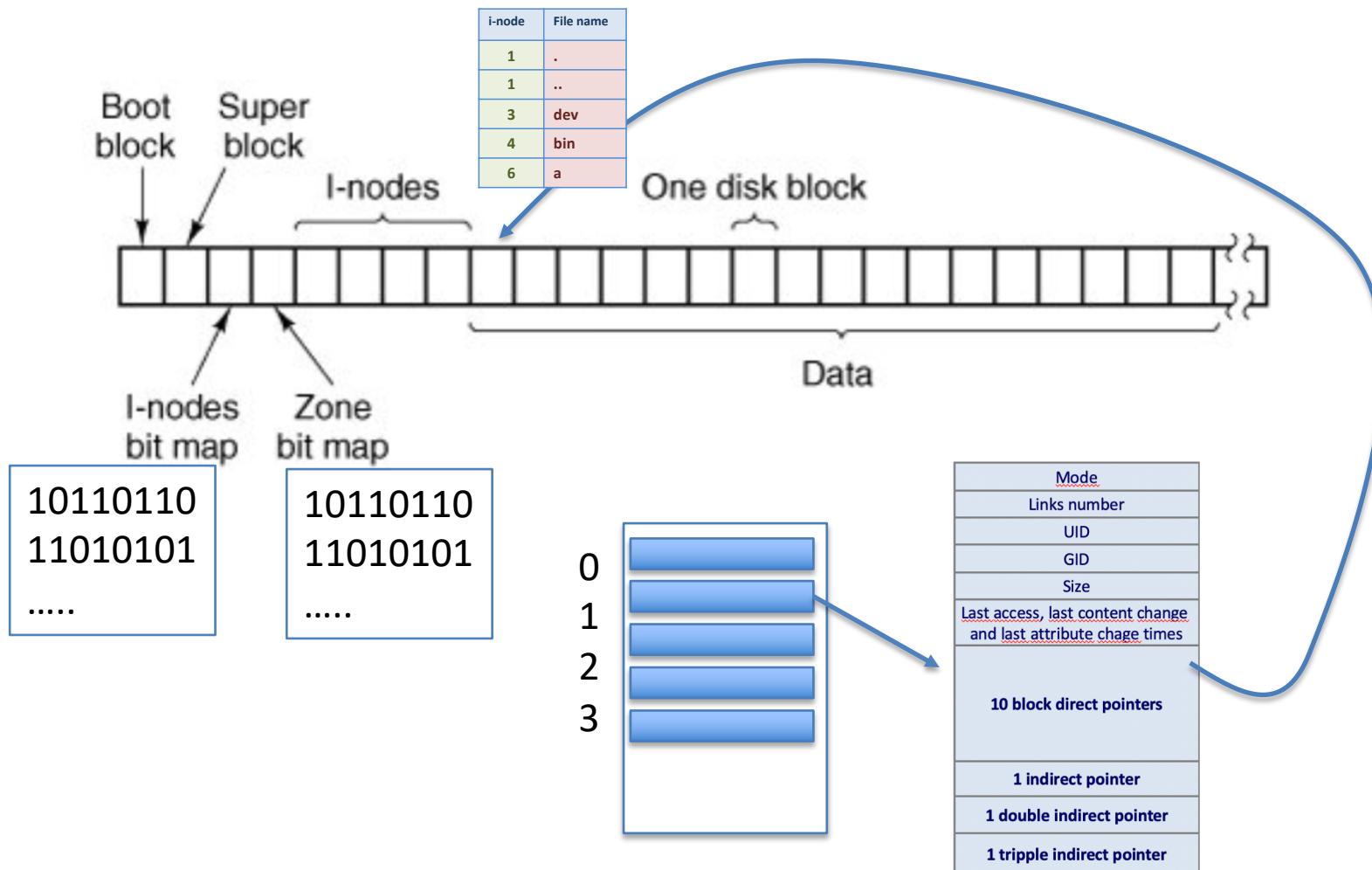
| i-node | File name |
|--------|-----------|
| 1 | . |
| 1 | .. |
| 3 | dev |
| 4 | bin |
| 6 | a |

| i-node | File name |
|--------|-----------|
| 10 | . |
| 6 | .. |
| 20 | c |

Directory implementation

fso

- Disk structure



Directory implementation

fso

```
# tune2fs -l /dev/sda1 | grep -i inode
Filesystem features:      has_journal ext_d
Inode count:              65536
Free inodes:              65200
Inodes per group:         8192
Inode blocks per group:   512
First inode:              11
Inode size:               256
Journal inode:            8
Journal backup:           inode blocks
```

Inode size 256
Blocks 4096
N. Inodes /block = 16

Root directory

| | |
|----|-----|
| 1 | . |
| 1 | .. |
| 4 | bin |
| 7 | dev |
| 14 | lib |
| 9 | etc |
| 6 | usr |
| 8 | tmp |

Looking up
usr yields
i-node 6

I-node 6
is for /usr

| |
|-----------------------|
| Mode size times |
| 132 |

I-node 6
says that
/usr is in
block 132

Block 132
is /usr
directory

| | |
|----|------|
| 6 | • |
| 1 | .. |
| 19 | dick |
| 30 | erik |
| 51 | jim |
| 26 | ast |
| 45 | bal |

/usr/ast
is i-node
26

I-node 26
is for
/usr/ast

| |
|-----------------------|
| Mode size times |
| 406 |

I-node 26
says that
/usr/ast is in
block 406

| | |
|----|--------|
| 26 | • |
| 6 | .. |
| 64 | grants |
| 92 | books |
| 60 | mbox |
| 81 | minix |
| 17 | src |

/usr/ast/mbox
is i-node
60

The steps in looking up */usr/ast/mbox*

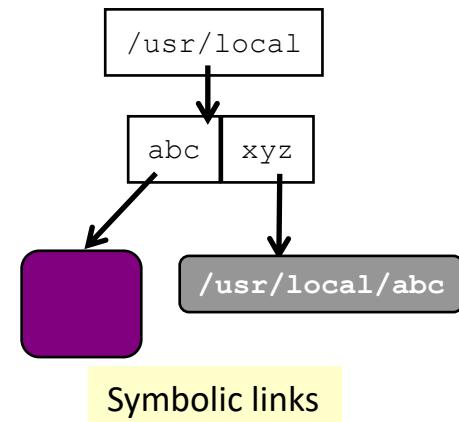
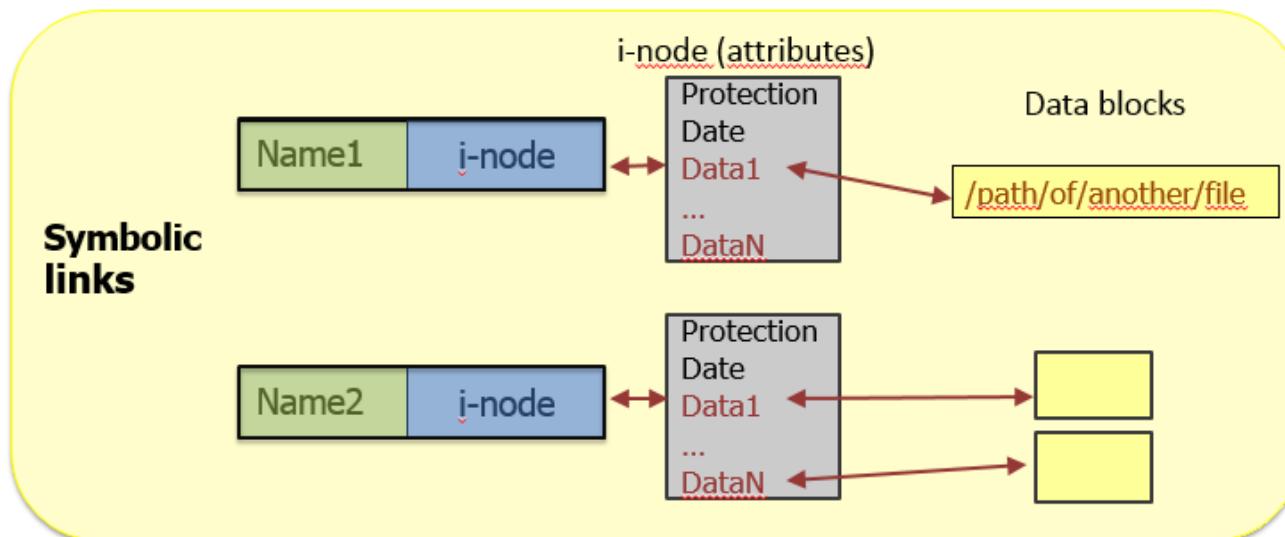
- A file system must be **mounted** before it can be accessed
 - A unmounted file system is mounted at a **mount point**
-
- **A USB has its own hierarchy (tree)**
 - **In some OSs it is added (mounted) in the system as a new device D: E: F: ...**
 - **In Unix systems it is added in a mount point. Driver is /dev/sda (b, c, e, ...)**
 - **By default it is mounted in /media/user/usbName**

```
# /etc/fstab: static file system information.
#
# file system  mount point   type  options      dump  pass
/dev/sda1      /boot        ext4  defaults      1     2
/dev/sda5      /            ext4  defaults      1     1
/dev/sda6      /home        ext4  defaults      0     0
/dev/sda7      /storage     ext4  defaults      1     2
/dev/sda8      swap         swap  defaults      0     0
```

- Directory concept
- Directory implementation
- **Links**
- Free disk space management
- Protection

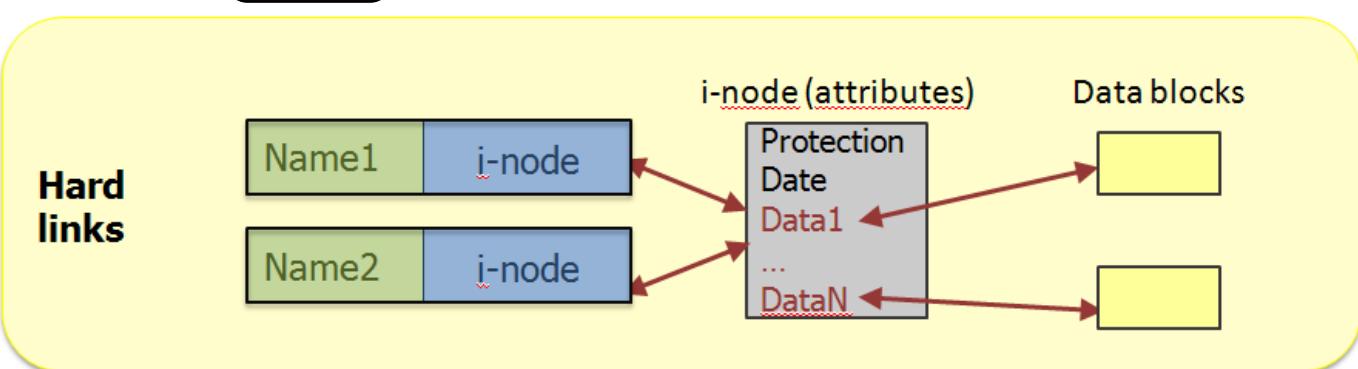
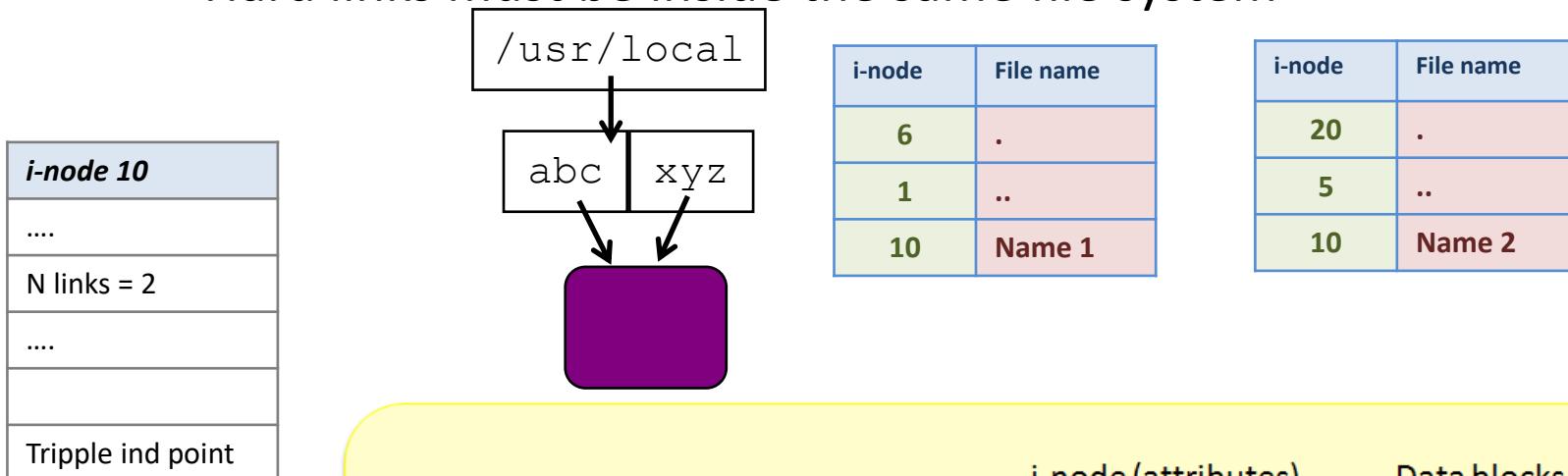
• Symbolic links

- UNIX terminology (Windows 7 also supports them by means of **mklink** command)
- A is a symbolic link file that links with file B
 - One A's attribute indicates that it is a link
 - The OS interprets A data as a path to access file B
 - The OS redirects read and write operations on A to B
 - The access permissions that apply are the ones from B
- B can be located in another file system from A (i.e. remotely mounted)
- What happens if file B is deleted or moved to another location?
 - In some systems (i.e. MacOS) the OS itself corrects the link path
 - In other systems (i.e. Linux) the link becomes orphan and no longer works
- Deleting A doesn't affect to B



• Hard links

- Two or more directory entries contain the same i-node number
 - A file can be accessed from several paths (names)
- Every i-node keeps a counter with the number of directory entry that reference to it
 - The file (data + i-node) is deleted only when its last reference is deleted
- Hard links must be inside the same file system



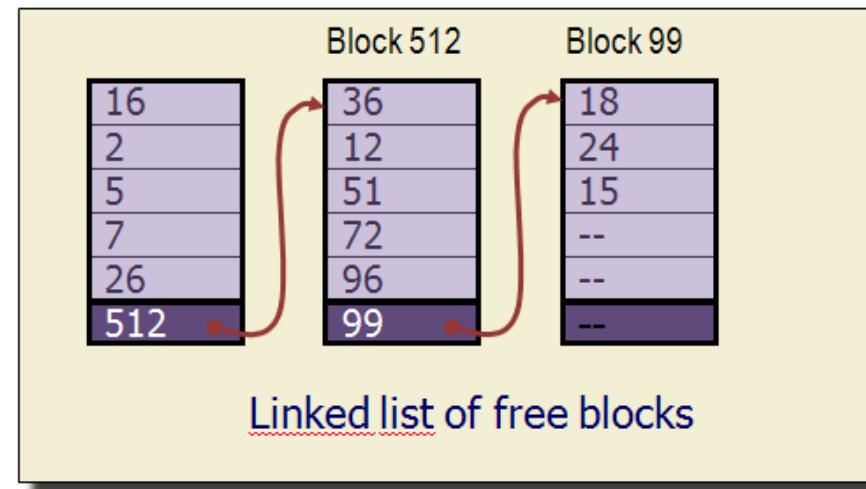
- Directory concept
- Directory implementation
- Links
- **Free disk space management**
- Protection

Free disk space management

fso

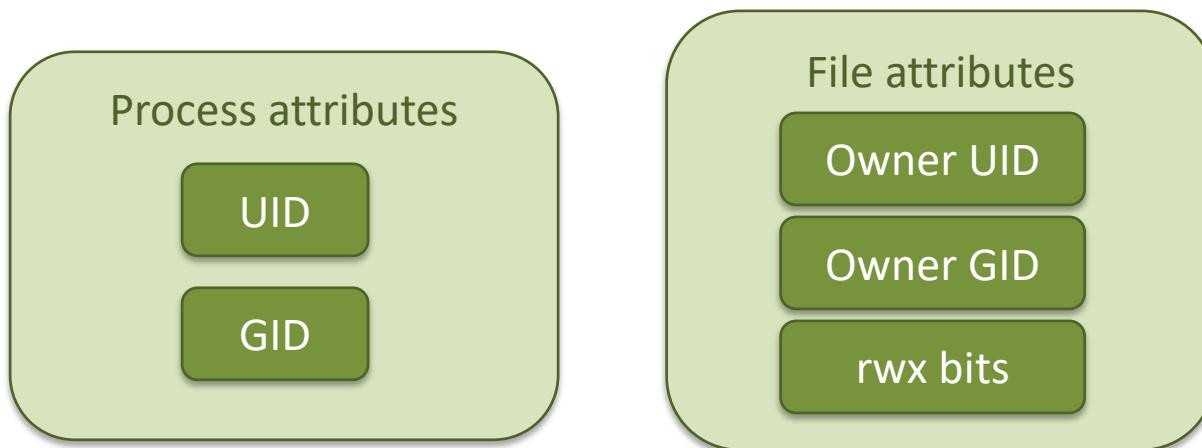
- Disk space is seen as a **blocks vector**
- At every moment the OS has to be able to know which ones are free
 - Any block doesn't work
 - Contiguity is required for efficiency sake
 - **Bit map**
 - The state for every block is represented by one bit (i.e. 1 value means free)
 - It is stored in a dedicated disk area
 - It allows efficient searching of consecutive blocks
 - **Linked list**
 - In a specific disk location it is maintained the index to the first free block
 - Every free block point to the next
 - **Grouping**
 - Free blocks are represented by means of a index block list
 - It eases inserting/extracting blocks, but it is difficult to look for contiguity

| Bit map | | | | | | | |
|---------|---|---|---|---|---|---|---|
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |



- Directory concept
- Directory implementation
- Links
- Free disk space management
- Protection

- **Protection concept**
 - Mechanism used to control process access to system resources
- **How is protection implemented in UNIX systems?**
 - It is based on comparing process attributes with file attributes and then determining if the operation is allowed



- **Process protection attributes**
 - **User identifier**
 - Real UID (rUID) identifier of the process creator user
 - Effective UID (eUID) identifier of the process executable file owner
 - **Group identifier**
 - Real GID (rGID) identifier of the rUID user group
 - Effective GID (eGID) identifier of the eUID user group
- **File protection attributes**
 - **Permission bits:** 9 permission bits organized in three sets: owner, group and others
 - **Sample formats:** `rwxr_xr_x`, `0755`, `04755`, `rwsr_xr_x`
 - Interpretation:
 - **Regular files:** read, write and execution
 - **Directories:** list content (r), create or remove entries (w), move to subdirectories (x)
 - **Special:** read and write
 - SETUID and SETGID bits

- Attributes assignment

- A file receives its attributes from the process that creates it

ownerUID = UID

ownerGID = GID

- The process receives its attributes thanks to the inheritance mechanism and the information stored in /etc/passwd

Name : password : UID : GID : description : HOME : shell

- A process can change its UID and GID when calling to exec() on a file with SETUID or SETGID set

- If the executable file has its SETUID bit set then the eUID becomes the file “ownerUID”

- If the executable file has its SETGID bit set then the eGID becomes the file “ownerGID”

- **Example**

```
-rwsr—r-x 1 felip users 17 Jan 29 09:34 arxi1
```

```
-rwxr-sr-x 1 felip users 223 Jan 29 09:34 arxi2
```

- **UNIX protection rules**

When a process tries accessing a file the following rule sequence is applied:

- 1) If process eUID=0 (root), no checking is performed and the file access is allowed.
→ Except execution permission that must be set at least for one domain
- 2) If process eUID is the same as the file owner then the owner permissions are checked.
- 3) Else if process eGID is the same as the file owner group then the group permissions are checked.
- 4) Otherwise the other permissions are checked

→ Notice that after checking one permission set no others are checked

```
if UID = 0
then
    permission granted
else
    if eUID = ownerUID
    then
        permission granted
        according to user set
        rws rwx r-x
    else
        if eGID = ownerGID
        then
            permission granted
            according to group set
            rws rwx r-x
        else
            permission granted
            according to other set
            rws rwx r-x
```

- **Example:**
- **\$ ls -l**

```
total 7
-rwsr-xr-x 1 felip users 17 Jan 29 09:34 ejec1
-rwxr-sr-x 1 felip users 223 Jan 29 11:03 ejec2
-rw------- 1 felip users 5120 Jan 29 12:00 datos
```

- File **datos** can only be accessed (read and write) by processes started by user **felip**
- Executable file **ejec1** has its SETUID bit set (look at **s** in owner permissions) and execution permission for group and other. That allows that when another user will start **ejec1** the process created will have **felip** as eUID and then it will be able to read and write into file **datos**

Fundamentos de los Sistemas Operativos (FSO)

Departamento de Informática de Sistemas y Computadoras (DISCA)

Universitat Politècnica de València

Part 3: File systems and I/O

Unit 8

Directories and protection

f SO

DISCA



UNIVERSITAT
POLITÈCNICA
DE VALÈNCIA