

# Compact binary prefix trees

NEIL A. EDELMAN

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## Abstract

Our prefix-tree, digital-tree, or trie is an ordered set or map with key strings. We build a dynamic index of two-bytes *per* entry, only storing differences in a compact binary radix tree. To maximize locality of reference while minimizing data range while descending the trie, these are grouped together in a forest of fix-sized trees.

## 1 INTRODUCTION

A trie is a tree that stores partitioned sets of strings[1, 2, 3, 4] so that, “instead of basing a search method on comparisons between keys, we can make use of their representation as a sequence of digits or alphabetic characters [directly].[5]” It is necessarily ordered, and allows prefix range queries.

Often, only parts of the key string are important; a radix trie (compact prefix tree) skips past the parts that are not important, as [6]. If a candidate key match is found, a full match can be made with one index from the trie.

For most applications, a 256-ary trie is space-intensive; the index contains many spaces for keys that are unused. Various compression schemes are available, such as re-using a pool of memory[1], reducing our encoding alphabet, or take smaller than 8-bit chunks[2].

We use a combination binary radix trie, described in [7] as the PATRICIA automaton. Rather than being sparse, a Patricia-tree is a packed index. Recursively, it encodes which is next distinguishing bit and how many keys are on the zero path and the one path. This will be a full binary tree with  $order - 1$  branches, for  $order$  keys as leaves.

In practice, we talk about a string always terminated by a sentinel; this is an easy way to allow a string and it's prefix in the same trie[7]. In C, a NUL-terminated string automatically has this property, and is ordered correctly. Keys are sorted in lexicographic order by numerical value, not by any collation algorithm: `strcmp`-order.

## 2 IMPLEMENTATION

### 2.1 Encoding

A binary radix tree and skip values when bits offer no difference is a Patricia trie[7]. This is seen in Figure 1a.

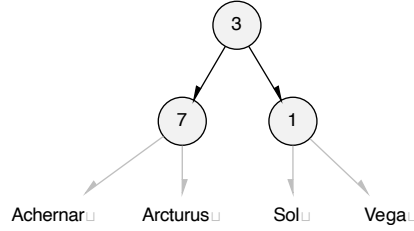
The branches indicate a `do not care` for all the skipped bits. If a query might have a difference in the skipped values, one must also check the final result for agreement with the found value.

Achernar	0	1	0	0	0	0	1	0	1	1	0
Arcturus	0	1	0	0	0	0	1	0	1	1	1
Sol	0	1	0	1	0	0	0	0	0	0	0
Vega	0	1	0	1	0	0	0	1	0	0	1

(a) Bit meaning.

Vakgimbat $\Sigma \text{bit}=0$	
left	skip
1	3 Achernar
0	7 Arcturus
0	1 Sol
	Vega

(b) Memory.



(c) Tree.

Figure 1: A trie with three different views of the data.

## 2.2 Range and locality

After ‘exp(order)’ the trie becomes saturated with ‘n-1’ links? no, every branch could be a link.

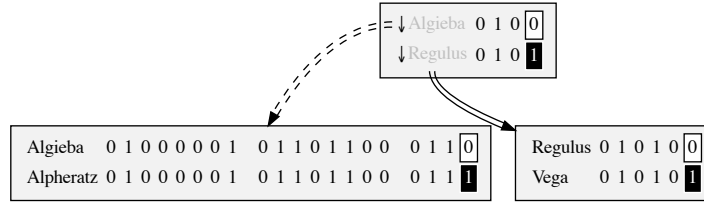
only left and skip are descended in a binary search pattern, the leaf is the result. **skip** depends on the keys we are presented, but the maximum size is ideally  $\text{SIZE\_MAX} * \text{CHAR\_BITS} - 1$  to fit all strings that C uses. **left** is maximal at a graph of all left nodes, where it has ‘order - 2’ value.

thus, being cache-conscious means making left and skip really small.

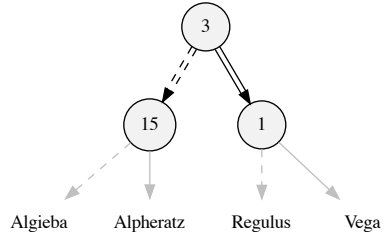
The leaves are lexicographically in-order, but the branches are better served pre-order. That is, reading from low to high, one must test if the current bit is zero or one, and that corresponds directly to the branch going left or right, respectively. An accumulator, *leaf*, is incremented based on this decision until end of the tree.

instead of adding in one big trie, where the data type presents a limit of how big it is, we have pointers to sub-blocks. This is seen in ... This allows us to shrink the data-type significantly; for a non-empty complete binary tree that has ‘n’ leaves (order ‘n’), it has ‘n - 1’ the internal nodes, or branches. The maximum that the left branch count can be is when the right node of the root is a leaf, that is ‘n - 2’. If we set this left-branch maximum to the maximum of the data type, we can use all the range. Practically, we set it to 254 instead of 255; the branches take up 255, and the branch size number is 1, for alignment.

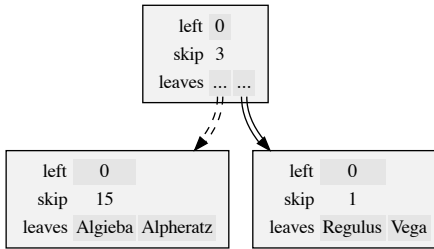
This is a fixed-maximum size trie within a B-tree. Because of overlapping terminol-



(a) Bit view.



(b) Logical view.



(c) Memory view.

Figure 2: Split the 3-trie to put four items.

ogy, some care must be used in describing the structure. We use the notion that a trie is a forest of trees. In B-tree terminology, nodes, are now trees, such that a complete path through the forest always visits the same number of trees. However, since it's always the root instead of the middle item, we are not guaranteed fullness. Depending on the implementation, a complete path might be truncated; for example, `So1` might require less than `Betelgeuse`, because it's shorter.[ref b-tree]

## 2.3 Trees

Patricia trie is a full binary tree. Non-empty. Issues with having zero, one, zero, one, zero, items always needing to allocate memory. Fixme: store the order instead of the branches.

## 2.4 Structure in Memory

Like a node in a B-trie, a tree structure is a contiguous chunk. As an example, refer to Figure ?? . A tree whose *size*, the number of nodes, is composed of internal nodes, *branch*, and external nodes, *leaf*;  $size = branch + leaf$ . As a non-empty complete binary tree,  $leaf = branch + 1 \rightarrow size = 2branch + 1$ . We arrange the branches pre-order in an array, thus, forward read in topologically sorted order. If the number of branches remaining is initialized to  $b = branch$ , we can make the tree semi-implicit by storing the *left* branches and calculating  $right = b - left$ . When  $b = 0$ , the accumulator,  $l$ , is the position of the leaf. This is a succinct encoding[?]. Programme.

The above wastes half of *left*'s dynamic range, in the best case, because branches that are  $e$  from the end will have at most  $left = e$  (such that the end will always be zero): a tree with all left branches.

Separate the leaves, which are pointers to data or to another tree, from the branches, which are decision bits in the key string passed to look up. Only one leaf lookup is performed per tree.

## 2.5 Machine Considerations

The data has been engineered for maximum effectiveness of the cache in reading and traversing. That is, the tree structure and the string decisions have been reduced to each a byte and placed at the the top of the data structure of the tree. Always forward in memory. The size of this sub-structure should be a multiple of the cache line size, while also maximizing the dynamic range of *left*; a trie (also a B-tree) of order 256 is an obvious choice.[8]

## 2.6 Running Time

[9]  $\log n == m$ .

The ' $\mathcal{O}(\log n)$ ' running time is only when the trie has bounds on strings that are placed there. Worst-case  $\{a, aa, aaa, aaaa, \dots\}$ . The case where the trie has strings that are bounded, as the tree grows, we can guarantee . . .

Tries are a fairly succinct encoding at low numbers, but at high numbers, the internal trees add up. In the limit, with bounded strings, we need  $n \log_{\text{order}} n$  to store  $n$  items? However, practically, if one sets the order high enough, this is not an issue?

## 2.7 Limits

The skip value is limited by its range; in this case, 255 bits. For example, this trie is valid,  $\{\text{dictionary}\}$ , as well as,  $\{\text{dictator}, \text{dictionary}, \text{dictionaries}\}$ , but one can't transition to,  $\{\text{dictionary}, \text{dictionaries}\}$ , because it is too long a skip value. There are several modifications that would allow this, but they are out of this scope. (This is not true;  $8 \times 8 = 64$ ;  $8 \times 32 = 256$ .)

Insertion: Any leaf on the sub-tree queried will do; in this implementation, favours the left side.

on split, do we have to go locally and see if we can join them?

we don't need to store any data if the leaf-trees are different from the branch-trees?

*trie : root, height*  
*branchtree : bsize, +branchtree, branch[o-1], leaf[o]*  
*leafree : bsize, ?, branch[o-1], is, recursive, mp[o/8], leaf[o] or leafree : bsize, ?, branch[o-1], leaf[o] skip, uniondata, trie or leafree : bsize, ?, branch[o-1], leaf[o] 32 : skip, 32 : height, 64 : root/64 : data*

ASCII and other encodings don't prioritize splitting the glyphs evenly between bits, therefore with a uniform distribution of strings, it's very unlikely that the trie will be balanced. On our trie, this results in wasted space. j- now doesn't

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