

The Neper/FEPX Framework and its Application to the Study of Intra-grain Orientation Distributions in Deformed Aluminium

Romain Quey¹, Loïc Renversade¹ and Matthew Kasemer²

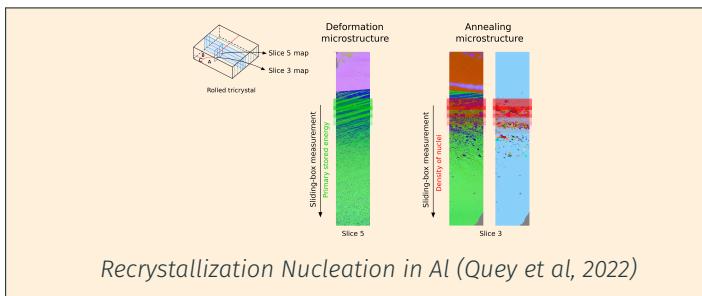
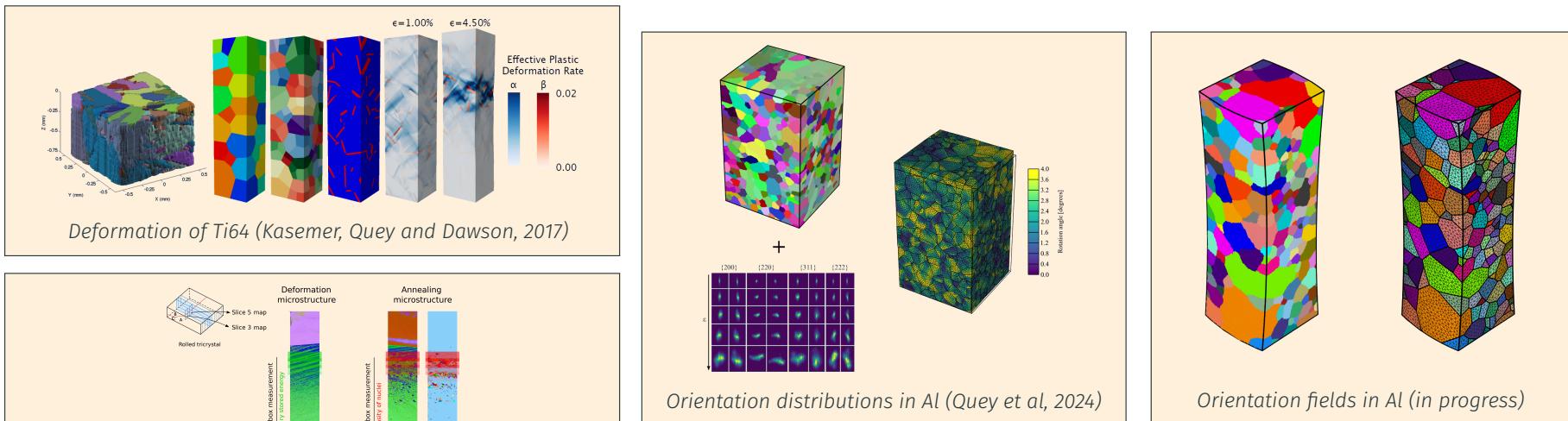
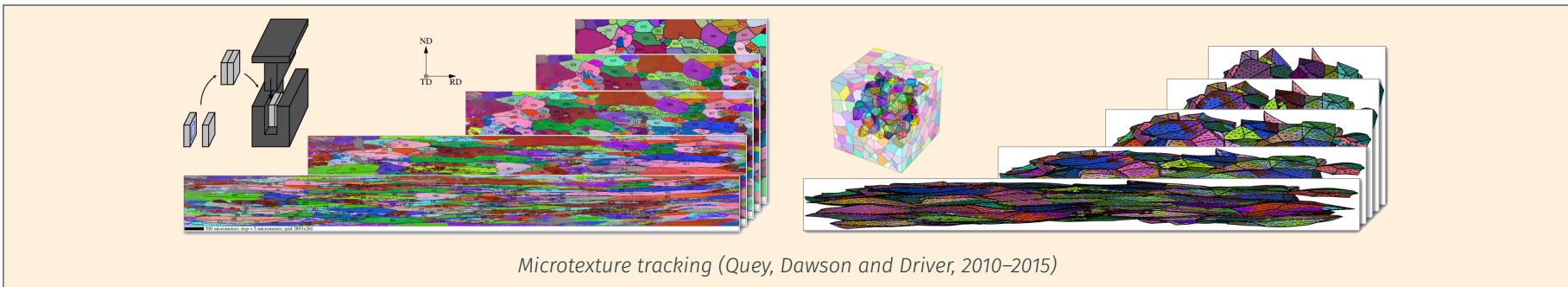
New Opportunities in Diffraction Microscopy Workshop, ESRF, Grenoble, 08–11 January 2024

¹ CNRS, MINES Saint-Étienne, France

² University of Alabama, USA



Scientific Context: Polycrystal Plasticity Studies by Experiment and Simulation, involving Grain Tracking



1a/ Experiment

1b/ Simulation

2/ Post-processing

3/ Analysis

Neper/FEPX

Outline

The Neper/FEPX Framework

Intra-grain Orientation Distributions in Deformed Aluminium (Acta Materialia, 2024)

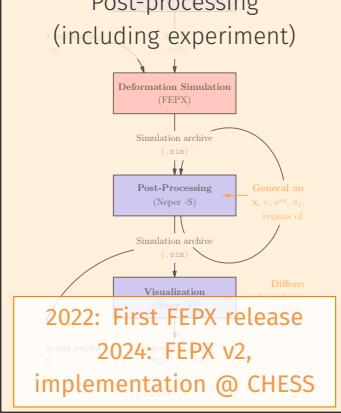
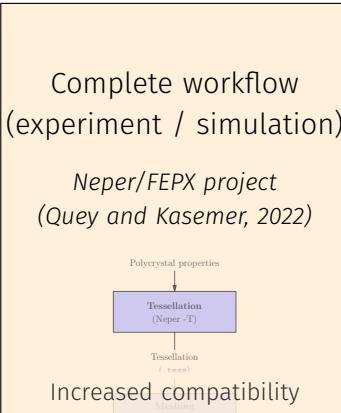
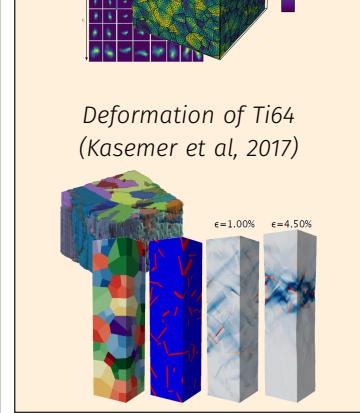
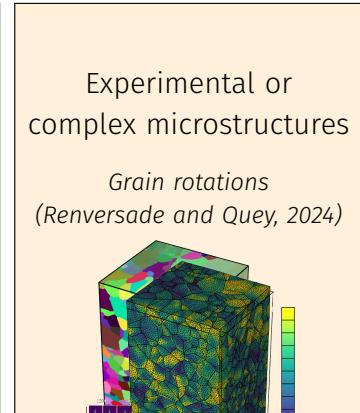
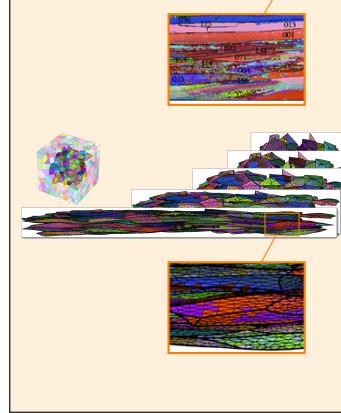
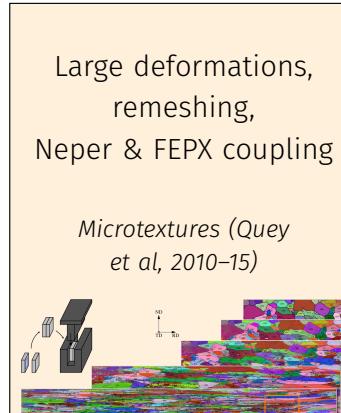
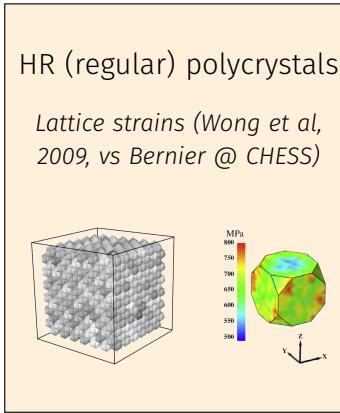
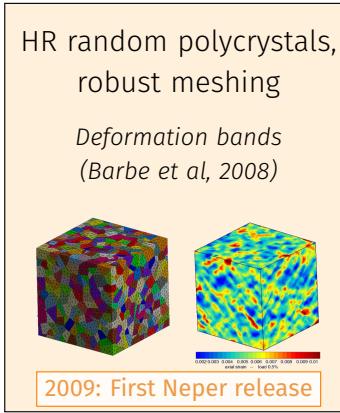
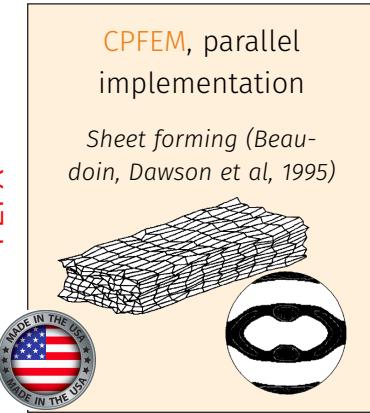
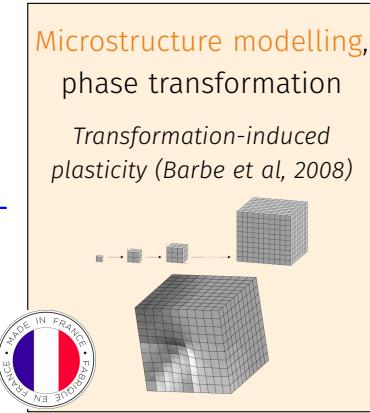
Outline

The Neper/FEPX Framework

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Neper/FEPX History

— Neper —



Focus on the French Plan for Open Science

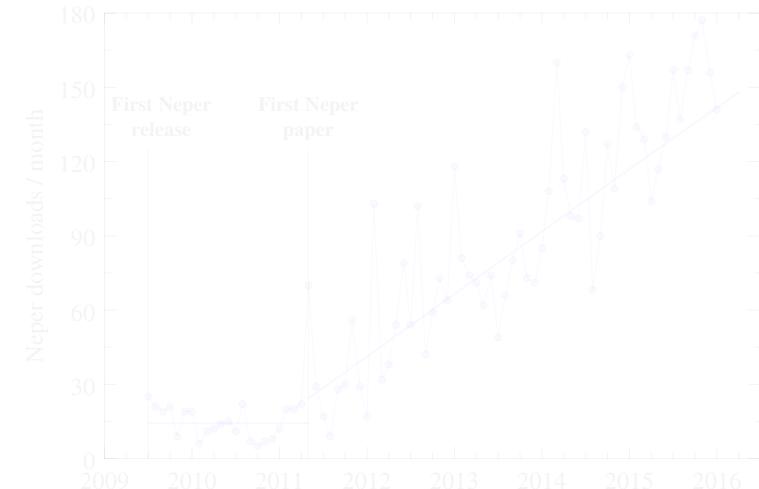
4 Colleges

1. Publications
2. Data
3. Software
4. Practices

Open-Source Software Award (2021, 2023):
Scientific / Documentation / Community

	Crashing Code on GitHub ()	Neper 2020	Neper 2024
Definition	✓		✓
Open-source license	✗	✓	✓
Code reuse	✗	✗	✗
Published	✗	✗	✓
Used in research	✗	✓	✓
Used in other projects	✗	✓	✓
Cited in publications	✗	✓	✓
Publication rate	✗	✓	✓
Forge usage	✓		✓
Development workflow	✗	✗	✓
Test	✗	✓	✓
Code reviewing	✓	✓	✓
Bug tracking	✗	✓	✓
Package	✗	✗	✓
Documentation generation	✗	✗	✓
Static vs need launch	✗	✓	✓
Continuous integration	✗	✓	✓
Reference manual	✗	✓	✓
Example code	✗	✗	✓
Online demos	✗	✓	✓
Multilingual documentation	✗	✗	✓
Developer documentation	✗	✗	✓
Tutorials for new developers	✗	✗	✓
Monolithic code	✗	✓	✓
Standard software	✗	✓	✓
Society impact	✗	✓	✓
Used by companies	✗	✓	✓
Users' workshop, forum, blog, chat	✗	✓	✓
Developer conferences, management	✗	✗	✓
Decision-making	✗	✓	✓
External modules	✗	✓	✓

The screenshot shows the homepage of the 'Ouvrir la science!' website. At the top, there's a navigation bar with links for 'OPEN SCIENCE', 'OUR ACTIONS', 'RESOURCES', 'NEWS', and 'WHO ARE WE?'. Below the navigation is a large yellow header section with the text 'Acting in favour of open and shared scientific research'. Underneath this, there's a paragraph about the French Committee for Open Science ensuring the implementation of the National Open Science Policy, followed by two links: 'Discover the French Plan for Open Science' and 'Read about the Committee's projects'. A small graphic of two stylized figures is also present.



Publishing is very important for a software

Focus on the French Plan for Open Science

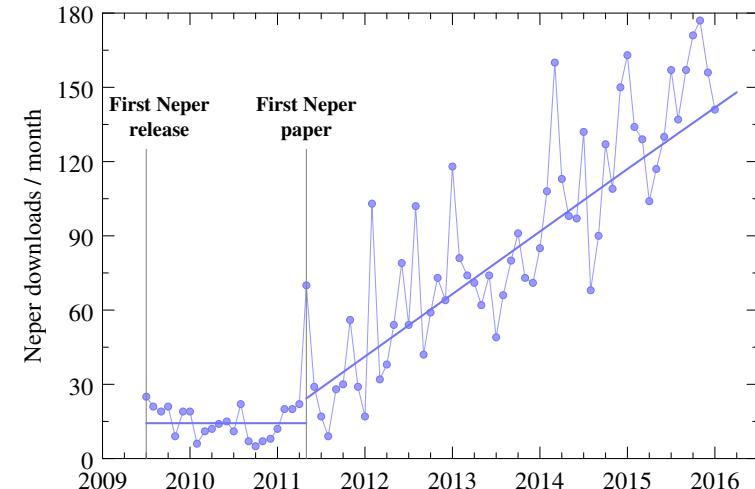
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Scientific / Documentation / Community

	Crashing Code on GitHub (!)	Neper 2020	Neper 2024
Diffusion	✓		
Open-source license	✗		
SaaS mode	✗		
Published	✗		
Used in research	✗		
Used in other projects	✗		
Cited in publications	✗		
Publication list	✗		
Forge used	✓		
Development workflow	✗		
Tests	✗		
Code versioning	✓		
Bug tracking	✗		
Package	✗		
Documentation generation	✗		
Stable vs devel branch	✗		
Continuous integration	✗		
Reference manual	✗		
Example data	✗		
On-line demos	✗		
Multilingual documentation	✗		
Developers' documentation	✗		
Tasks for new developers	✗		
Worldwide use	✗		
Standard software	✗		
Society impact	✗		
Used by companies	✗		
Users' workshop, forum, blog, chat...	✗		
Developers' conferences, management...	✗		
Decision making	✗		
External modules	✗		

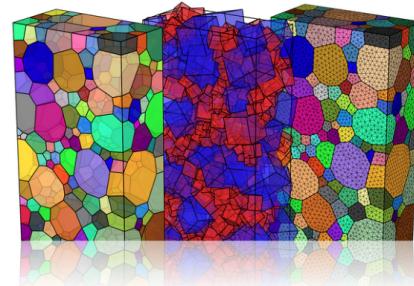
The screenshot shows the homepage of the 'Ouvrir la science!' website. At the top, there's a navigation bar with links for 'OPEN SCIENCE', 'OUR ACTIONS', 'RESOURCES', 'NEWS', and 'WHO ARE WE?'. The main title 'Acting in favour of open and shared scientific research' is displayed prominently in a large, bold, black font. Below the title, a sub-section titled 'What is Open Science?' is visible, featuring a small icon of two people working together and a brief description: 'Guides, recommendations and a glossary to help you learn about Open Science'.



Publishing is very important for a software

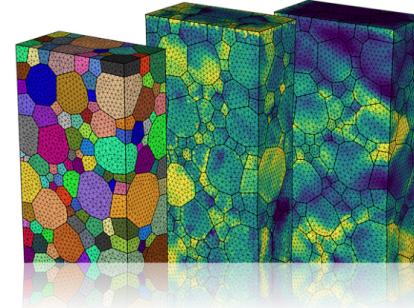
Neper/FEPX Overview

<https://neper.info>



The screenshot shows the Neper software interface. At the top, there's a navigation bar with the Neper logo, version 4.4.1, and a search bar. Below the header, a sidebar contains links to Features, Documentation, Tutorials, Reference Papers, Talks, Applications, Downloads, Community, and Other Resources. The main content area is titled "Neper: Polycrystal Generation and Meshing". It features a large image of a 3D polycrystal model with various colored grains and a surrounding mesh. Below the image is a descriptive text block: "Neper is a free / open source software package for polycrystal generation and meshing. It can be used to generate polycrystals with a wide variety of morphological properties, from very simple morphologies (simple tessellations, grain-growth microstructures, ...) to complex, multiphase or multiscale microstructures that involve grain subdivisions. The resulting tessellations can be meshed into high-quality meshes suitable for finite-element simulations." A note section at the bottom left says: "See also Neper's companion program, FEPX, a finite element software package for polycrystal plasticity. FEPX acts as a simulation tool for Neper." Another note section at the bottom right says: "Neper is developed by Romain Quey at CNRS and Mines Saint-Etienne." A "Next" button is located at the bottom right of the main content area.

<https://fepx.info>



The screenshot shows the FEPX software interface. At the top, there's a navigation bar with the FEPX logo, version 1.3.0, and a search bar. Below the header, a sidebar contains links to Features, Documentation, Example Simulations, Reference Papers, Applications, Downloads, Community, and Other Resources. The main content area is titled "FEPX: Finite Element Polycrystal Plasticity". It features a large image of a 3D polycrystal model with a complex, multi-colored microstructure. Below the image is a descriptive text block: "FEPX is a finite element software package for polycrystal plasticity. It can model both the global and local mechanical behaviors of large polycrystalline aggregates with complex microstructures via a scalable parallel framework." A note section at the bottom left says: "See also FEPX's companion program, Neper, a polycrystal generation and meshing tool. Neper acts as the primary pre- and post-processor for FEPX." Another note section at the bottom right says: "FEPX is currently maintained and developed by the Advanced Computational Materials Engineering Laboratory ACME Lab at The University of Alabama." A "Next" button is located at the bottom right of the main content area.

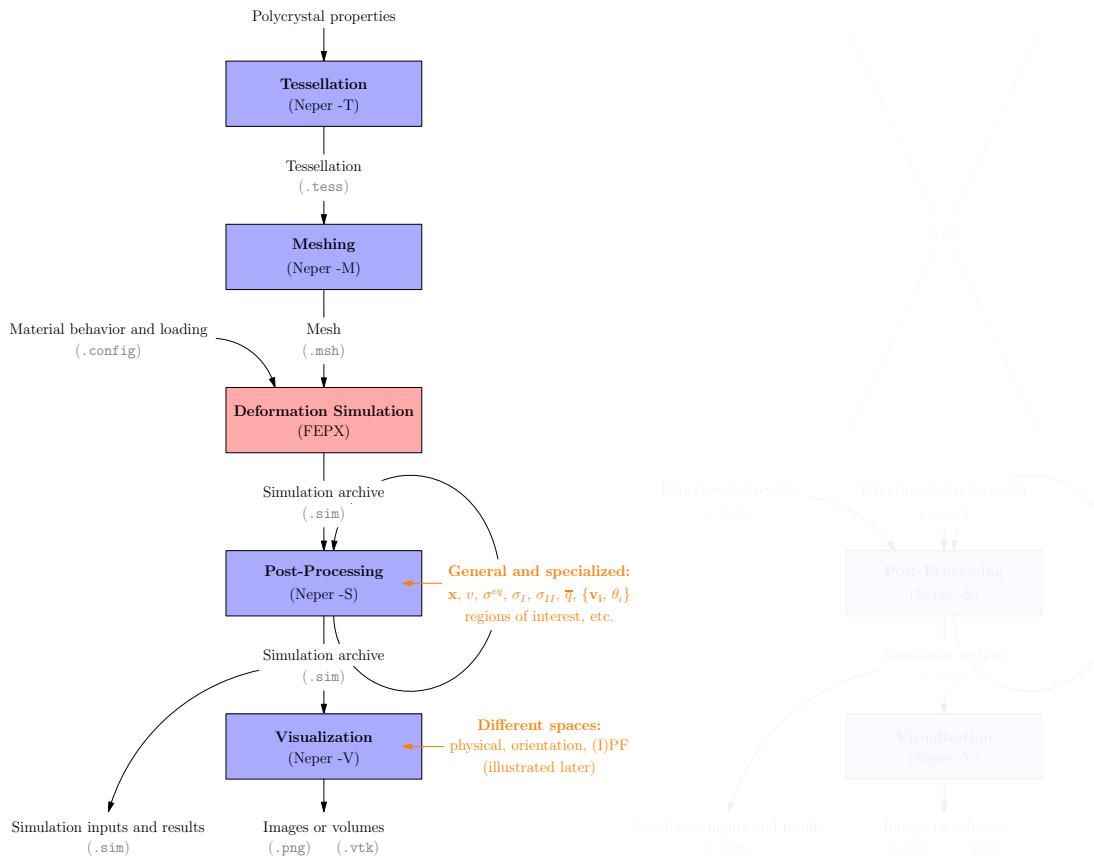
Wide array of resources

Hosted on GitHub (source code, forum, etc.)

Run on personal computer (Neper) / cluster (FEPX)

Easy to install

Simulation (from Experiment)



Experiment

Neper modules / FEPX to run successively

Standalone “concept” file formats

tess: Tessellation/polycrystal file (full info.)

exp: Experimental polycrystal file (full info.)

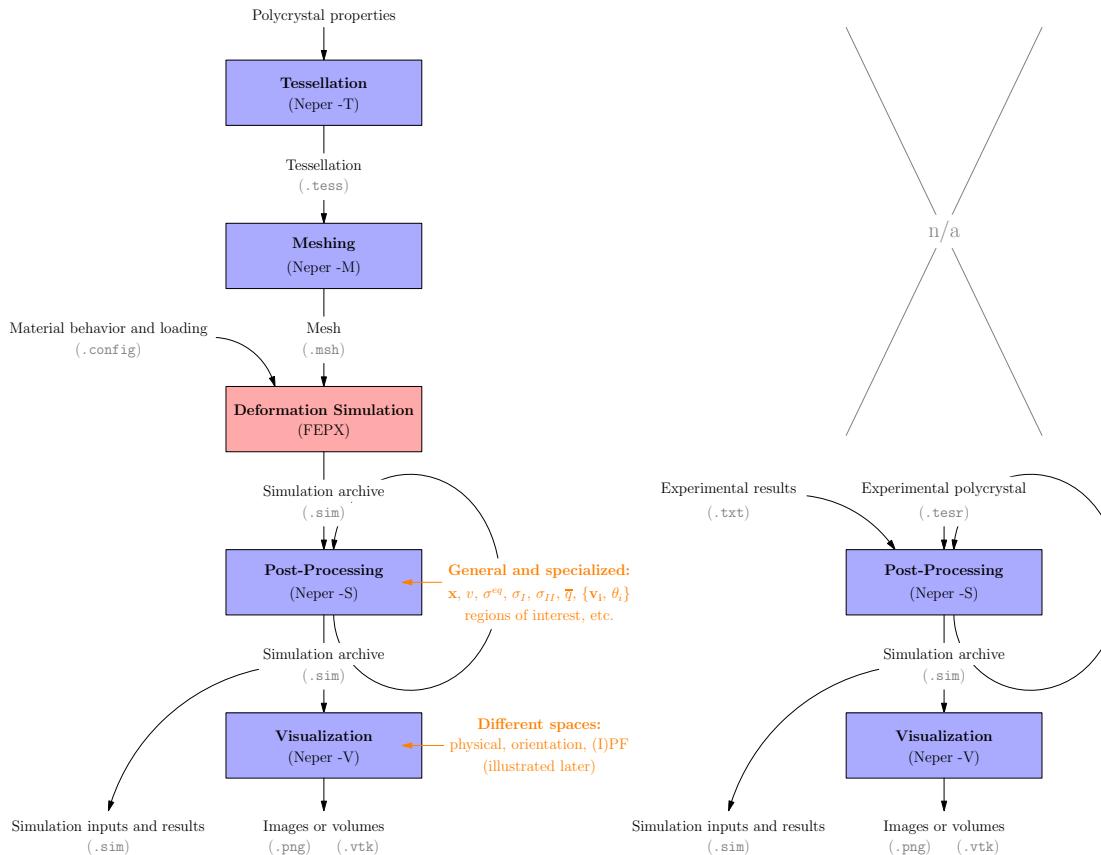
msh: Mesh file (full info.)

config: Material + loading file

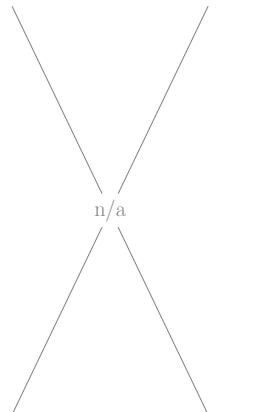
sim: Simulation database

→ fits different needs

Simulation (from Experiment)



Experiment



Neper modules / FEPX to run successively

Standalone “concept” file formats

tess: Tessellation/polycrystal file (full info.)

tesr: Experimental polycrystal file (full info.)

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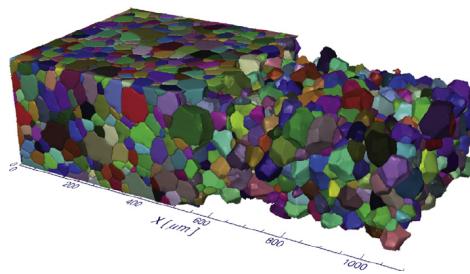
config: Material + loading file

sim: Simulation database

→ fits different needs

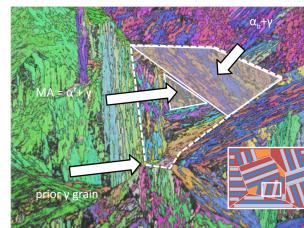
Polycrystalline Microstructures

Single-Scale Microstructures

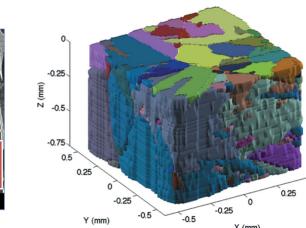


(Rowenhorst et al., 2010)

Multiscale Microstructures

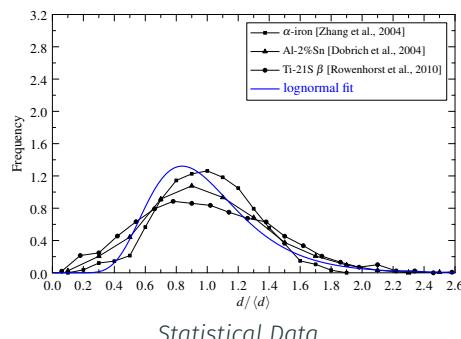


Carbide-free bainitic steel (Hell, 2011)



Lamellar Ti64 & parent β grains (Wielewski et al., 2015)

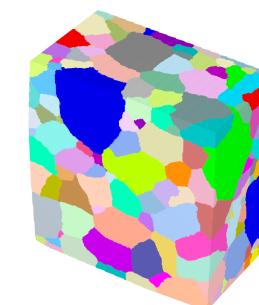
Different Types of Experimental Inputs



Statistical Data



Incomplete Grain Data (3DXRD)



Grain Maps (DCT)

Objective: one general method for all microstructures and inputs

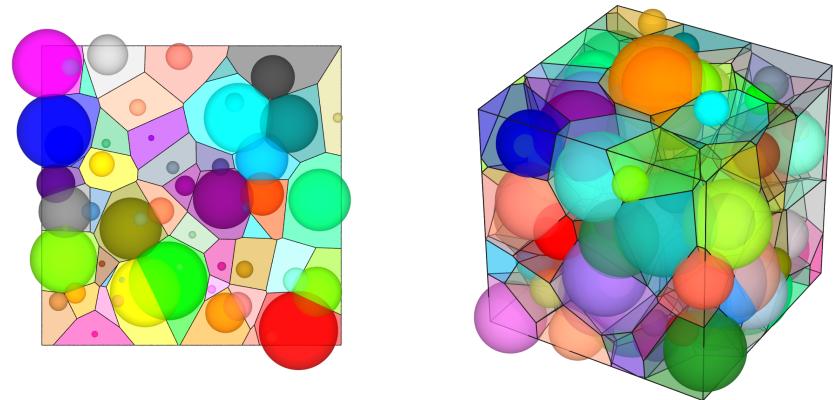
Focus on Laguerre Tessellations (also “Weighted Voronoi” or “Radical”)

Note: Vectorial Geometry

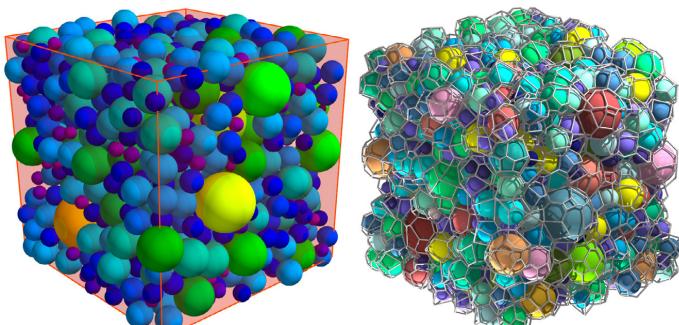
Definition (E. Laguerre, 1834–1886)

- Domain of space, D
 - N seeds, S_i , of positions x_i and weights w_i
 - $C_i = \{P(x) \in D \mid d(P, S_i) < d(P, S_j) \ \forall j \neq i\}$
- $$d(P, S_i) = d_E(P, S_i)^2 - w_i \quad (\text{“Power distance”})$$

In general, the larger the weight, the bigger the cell.
The weight is equivalent to a sphere radius: $w_i = r_i^2$.



Common Use: Dense Sphere Packing



(Chen and Zhao, 2022) for a powder

However, Laguerre Tessellations are General

(Lautensack, 2007)

Every normal tessellation of \mathbb{R}^3 is a Laguerre tessellation



Laguerre tessellations
=

general parameterization of (convex-grain) polycrystals

(N grains require $4N$ uncorrelated parameters)

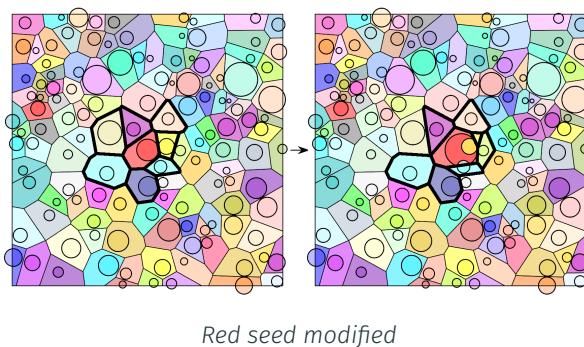
Optimization Problem

- Variables: for each seed, 3 coordinates + 1 weight ($4 \times N$)
- Objective function: application dependent (grain size distributions, grain centroids, ...)
- Nature: Non-linear, unknown gradient, large-scale, local

Resolution

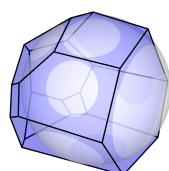
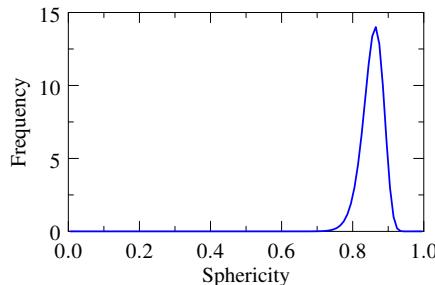
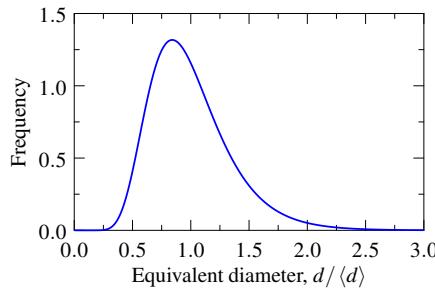
- Optimization algorithm from the literature (Subplex, from NLOpt)
- Tessellation algorithm: cell-based, with update

General optimization
↓
Retained Laguerre tessellation generality



Any (convex-grain) polycrystal can be generated given proper definition of the objective function

Microstructure Properties



Initial Solution: Voronoi Tessellation

x_i : random

w_i : constant = $\langle r \rangle^2$

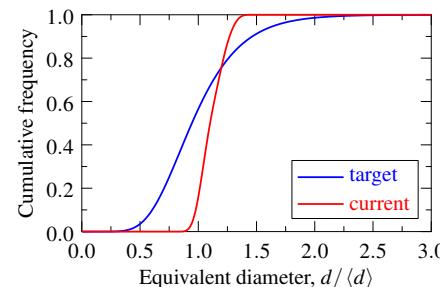
Objective Function

Adaptation of the Anderson-Darling test (1952)

For each variable:

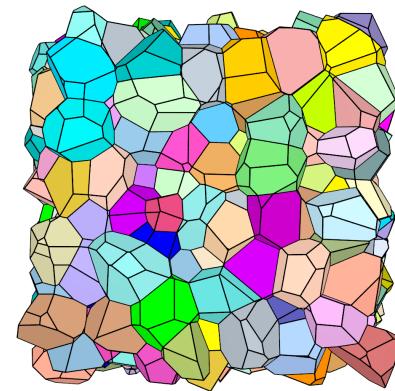
$$O = \int_{-\infty}^{+\infty} \frac{(F_s^*(x) - F_s(x))^2}{F_s(x) (1 - F_s(x))} dx$$

$$F_s^{(*)}(x) = F^{(*)} \circ S, S: \text{normal distribution}$$

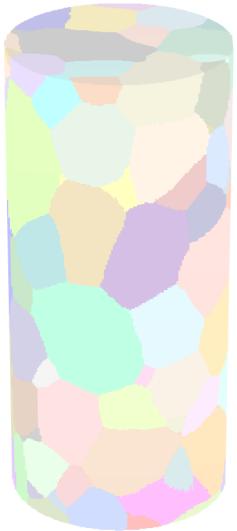


$$\text{All variables } O = \sqrt{O_{\text{size}}^2 + O_{\text{sphericity}}^2}$$

Microstructure



Microstructure Properties



DCT data → ff-3DXRD data

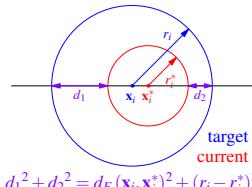
Grain centroids and volumes → spheres
(courtesy H. Proudhon)

Initial Solution

$$\mathbf{x}_i = \text{grain centroid}$$

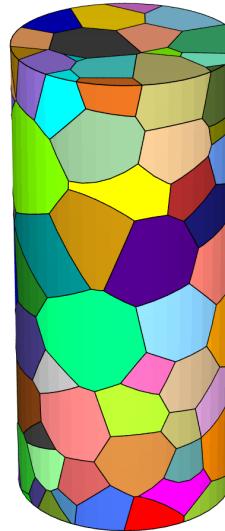
$$w_i = (\text{grain radius})^2$$

Objective Function



$$\mathcal{O} = \frac{1}{N \langle d \rangle} \sum_i (d_1^2 + d_2^2)$$

Microstructure



Initial solution:

$$\mathcal{O} = 0.0149$$



Final solution:

$$\mathcal{O} = 0.00263$$

Microstructure Properties



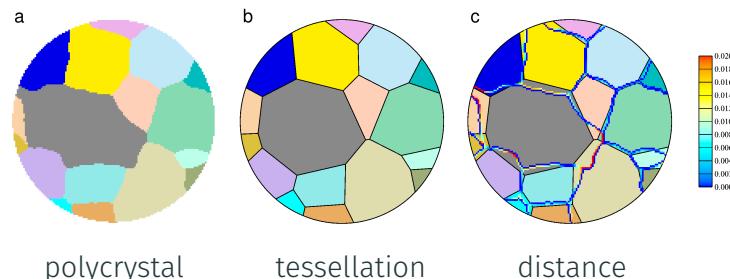
DCT polycrystal
(courtesy H. Proudhon)

Initial Solution

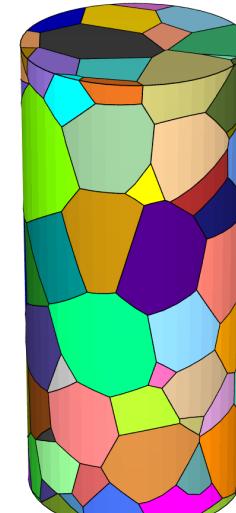
$$x_i = \text{grain centroid}$$

$$w_i = (\text{grain radius})^2$$

Objective Function



Microstructure



Final solution:
5.6% difference

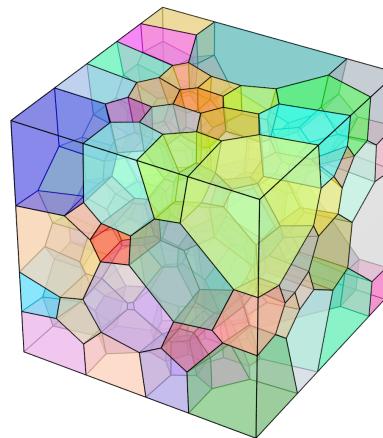
$$\mathcal{O} = \frac{2}{n_v \langle d \rangle} \sum_{i=1}^N \sum_{v_k \in G_i^b} d_E(v_k, C_i)^2$$

Particularly interesting for 1/ convex grains (or approximation acceptable), 2/ large polycrystals and 3/ noisy data

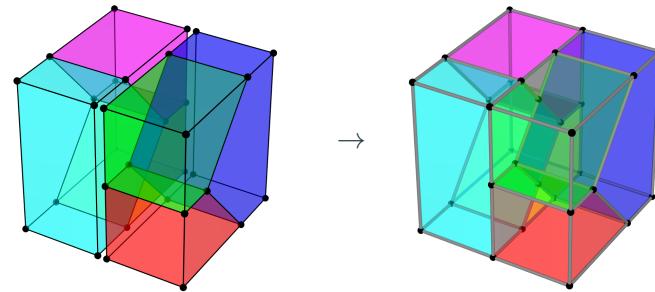
Multiscale Microstructures using Nested (Laguerre) Tessellations

Principle: Replicating Material's Processing (Example of Bainitic Steel)

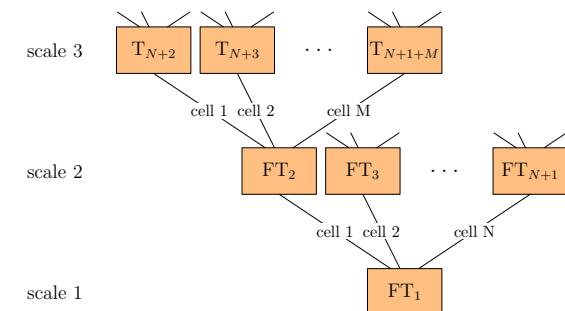
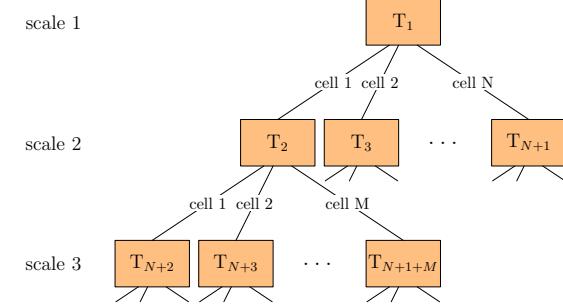
- Scale 1: grain-growth statistics, random orientations
- Scale 2, in each cell:
 - Morphology: seeds on GBs + Voronoi tessellation
 - Orientations: KS, NW relationships, ...
- Scale 3, in each cell: lamellae



Before Meshing: Flattening



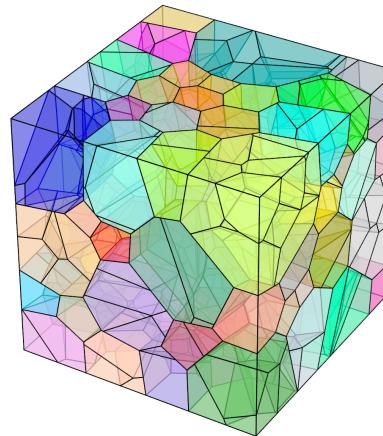
Flattening of a 2-scale tessellation



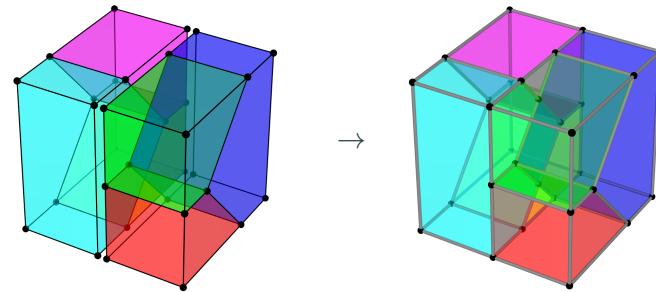
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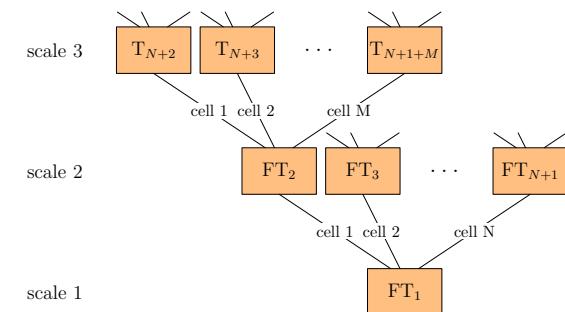
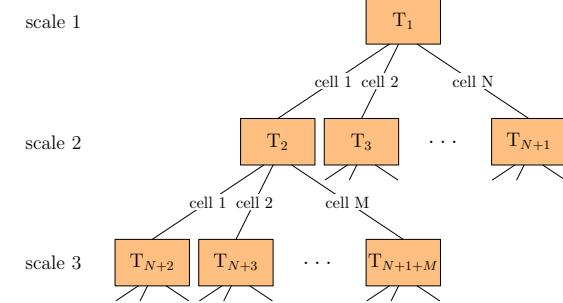
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Before Meshing: Flattening



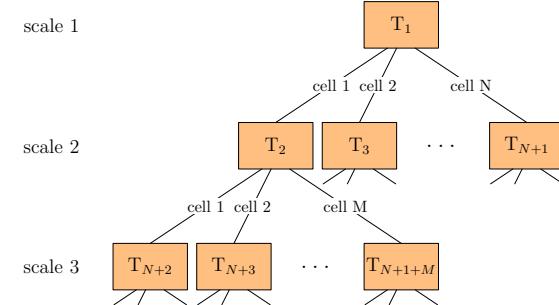
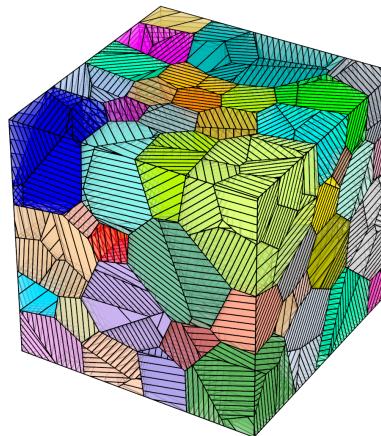
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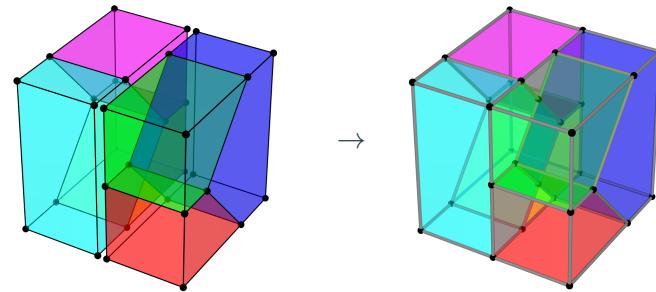
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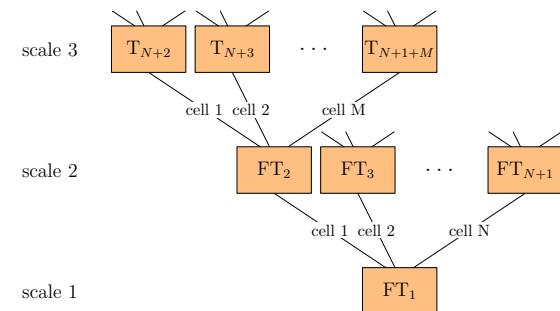
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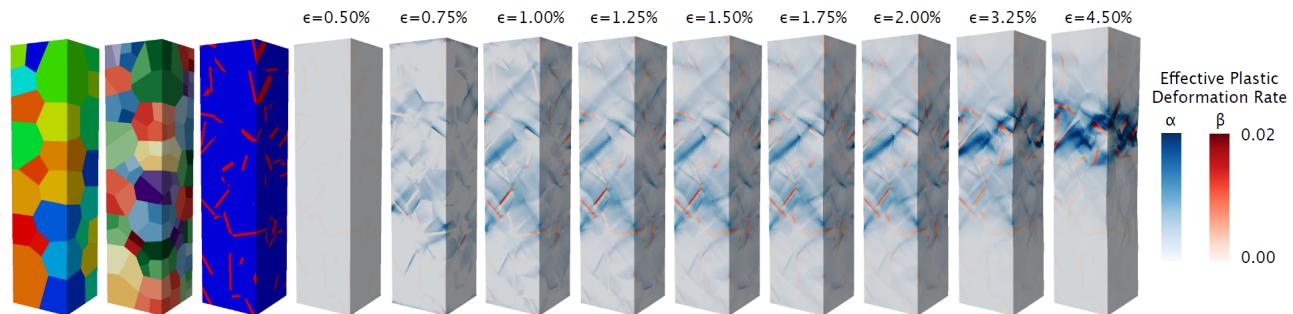
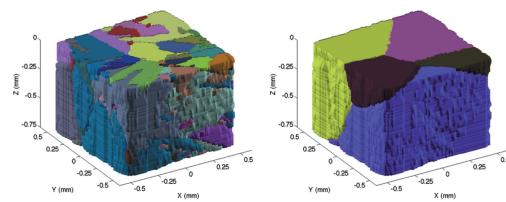
Before Meshing: Flattening



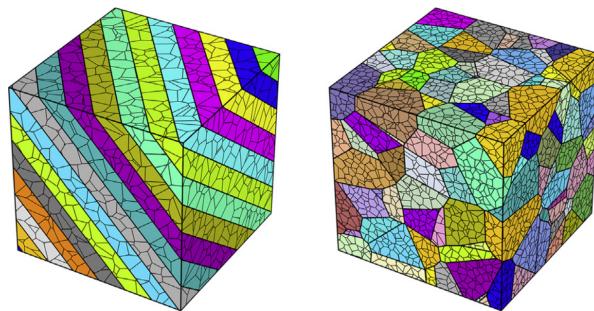
Flattening of a 2-scale tessellation



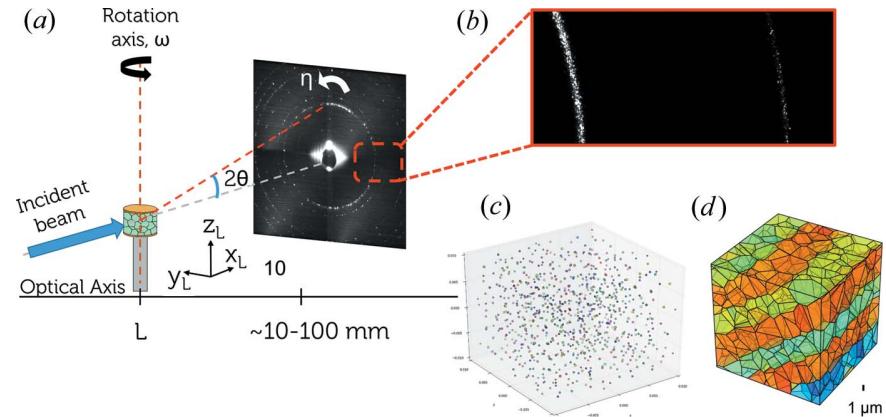
Examples of Multiscale Tessellations



Deformation of Ti64 (Kasemer et al, 2017)

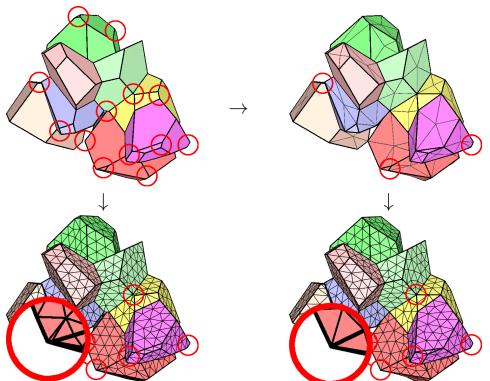


(Left) Sedimentary rocks, (right) intra-grain cracking path (Ghazvinian et al, 2014)

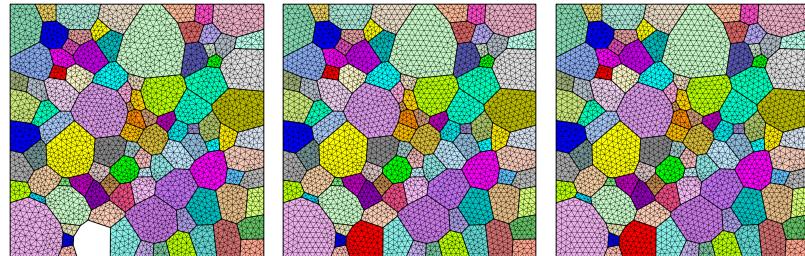


Subgrain structures (Kutsal, Poulsen et al, 2022), ID03

Regularization: $\epsilon = 1\text{--}3\% \rightarrow \epsilon = 30\text{--}40\%$

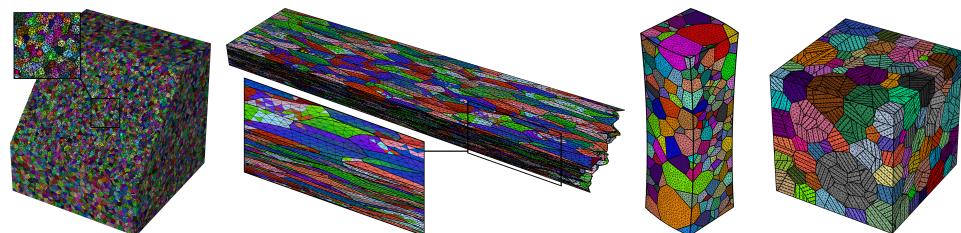
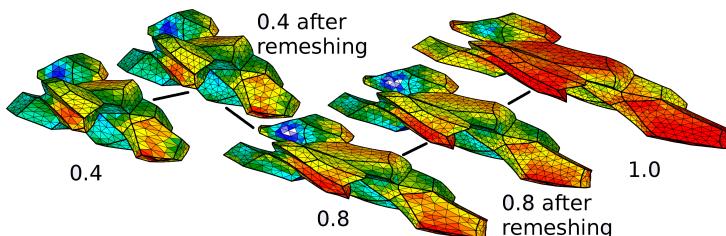


Multimeshing: $N \simeq 1000$ grains $\rightarrow N = 100,000$ grains

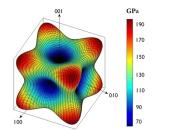
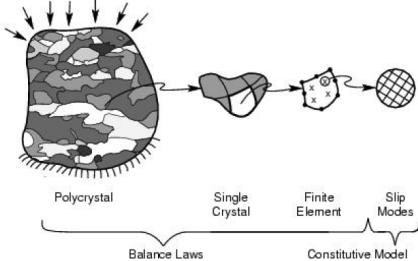


Mesher 1 (Delaunay), Mesher 2 (Frontal), Multimeshing (60% mesher 1, 40% mesher 2)

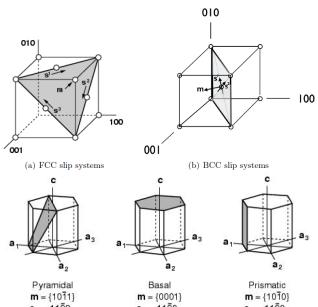
Remeshing: $\epsilon = 30\text{--}40\% \rightarrow \epsilon > 100\%$



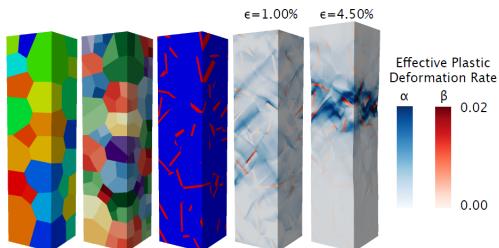
Principle



Crystal elasticity



Anisotropic plasticity



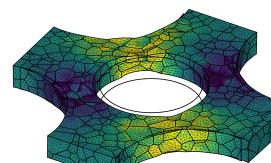
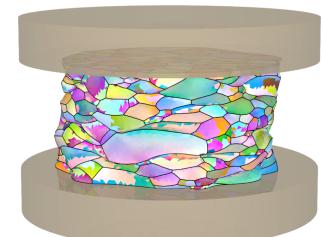
Can simulate deformation of polycrystals with 1000+ grains discretized 10^6 nodes/elements to small or large plastic strain routinely

Specifics

- Elasto-viscoplastic behavior

$$\dot{\gamma}^\alpha = \dot{\gamma}_0 \left(\frac{|\tau^\alpha|}{g^\alpha} \right)^{1/m} \operatorname{sgn}(\tau^\alpha)$$

- + Different hardening models (isotropic, anisotropic, precipitation-based, cyclic, etc.)
- Multiphase (cubic, hexagonal, tetragonal)
- General or RVE-type loadings
- Nonlinear kinematics for large strains and large rotations
- State variable evolution for lattice orientation and slip strengths
- Standard and advanced outputs

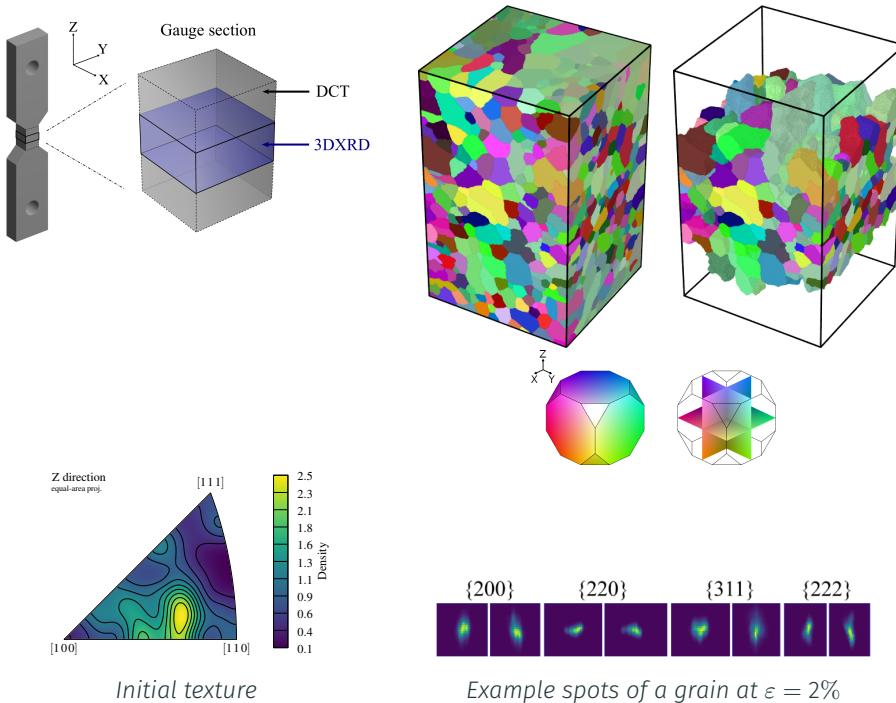
300
240
180
120
60
0
-60
-120
-180
-240
-300
MPa (1200x960)

Outline

The Neper/FEPX Framework

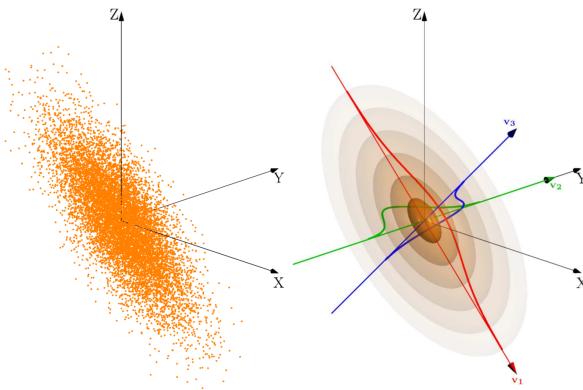
Intra-grain Orientation Distributions in Deformed Aluminium (Acta Materialia, 2024)

Sample and Analysis at ESRF / ID11



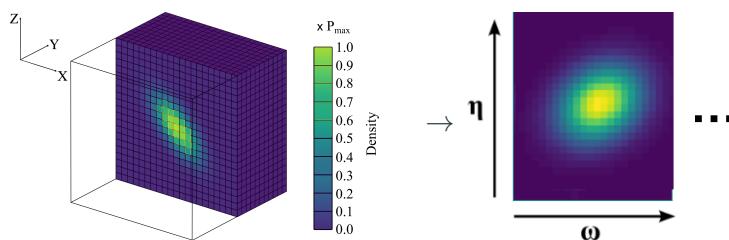
- Aluminium alloy (Al0.3Mn), $\bar{d} = 200 \mu\text{m}$
- Uniaxial tension to $\varepsilon = 1.0, 1.5, 2.0, 2.5$ and 4.5%
- DCT at initial state, ~ 2000 grains
 - ~ Initial microstructure
- 3DXRD at deformed states, ~ 700 grains
 - ~ Spot shapes (azimuthal projection)

Reduced ODF



$$P(\mathbf{w}) = \prod_{i=1}^3 \frac{1}{\sqrt{2\pi\theta_i^2}} \exp\left(-\frac{(\mathbf{w} \cdot \mathbf{v}_i)^2}{2\theta_i^2}\right)$$

Forward Modelling

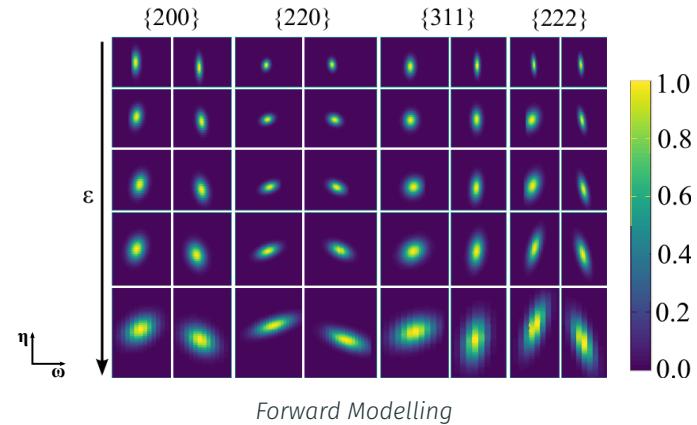
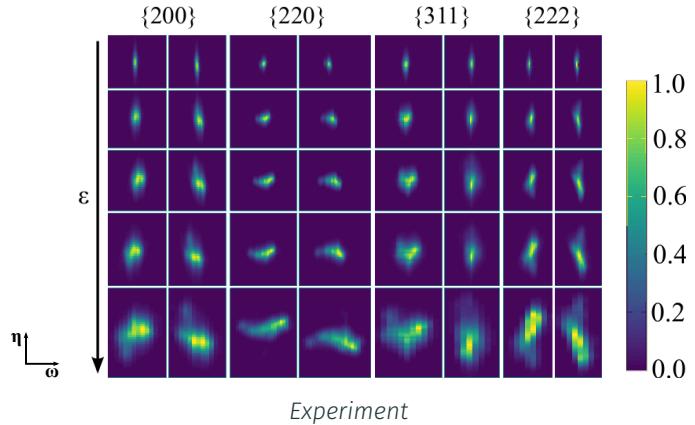


$$r = \frac{\sum_{i,j} (l_{\text{exp}}^{ij} - l_{\text{exp}}) (l_{\text{gen}}^{ij} - l_{\text{gen}})}{\sqrt{\sum_{i,j} (l_{\text{exp}}^{ij} - l_{\text{exp}})^2} \sqrt{\sum_{i,j} (l_{\text{gen}}^{ij} - l_{\text{gen}})^2}},$$

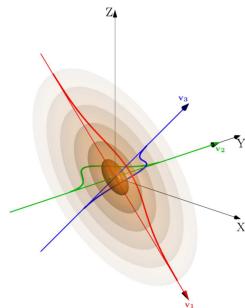
$$R = \frac{1}{N} \sum_{k=1}^N r_k$$

see also (Hansen et al, 2009)
19

Spots (Azimuthal Projection)



End Result = Orientation Distribution

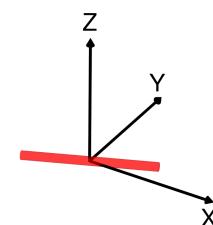
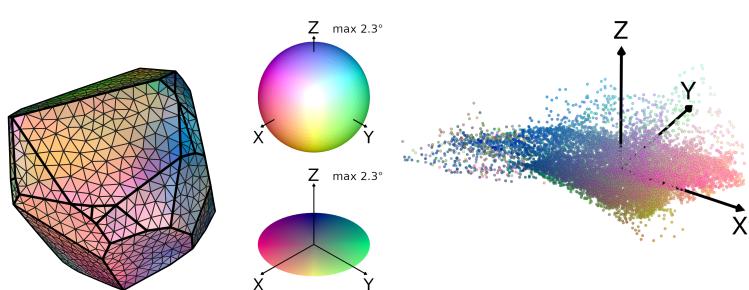
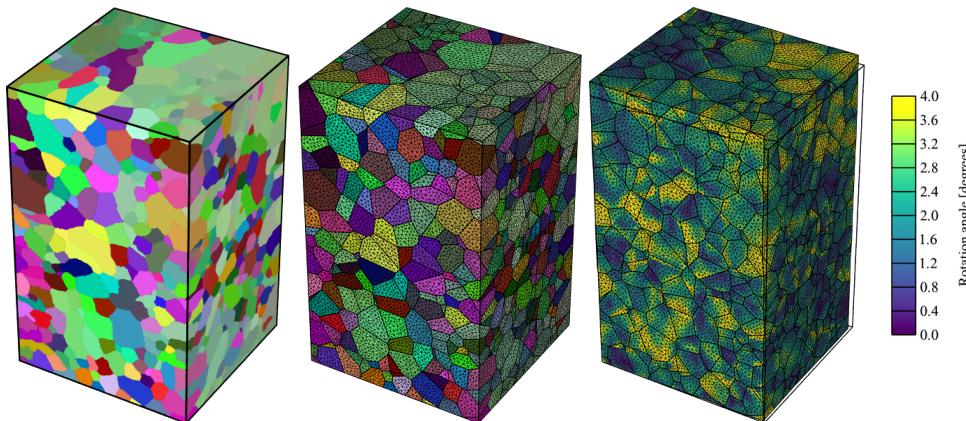


$$\mathbf{v}_1 = \begin{bmatrix} 0.96 \\ 0.06 \\ -0.26 \end{bmatrix} \quad \mathbf{v}_2 = \begin{bmatrix} 0.03 \\ 0.94 \\ -0.34 \end{bmatrix} \quad \mathbf{v}_3 = \begin{bmatrix} 0.27 \\ 0.33 \\ -0.90 \end{bmatrix}$$

$$\theta_1 = 0.56^\circ \quad \theta_2 = 0.25^\circ \quad \theta_3 = 0.15^\circ$$

Metrics

- Angular extent (“GOS”): $\bar{\theta} = \sqrt{2/\pi} (\theta_1^p + \theta_2^p + \theta_3^p)^{1/p}$, $p = 1.58$
- Anisotropy factor: $\theta_a = \theta_1 / \sqrt[3]{\theta_1 \theta_2 \theta_3}$ (≥ 1)
- Preferential disorientation axis: \mathbf{v}_1



Crystal Behaviour

- $\{111\} \langle 110 \rangle$ systems

$$\cdot \dot{\gamma}^\alpha = \dot{\gamma}_0 \left| \frac{\tau^\alpha}{g^\alpha} \right|^{\frac{1}{m}} \operatorname{sgn}(\tau^\alpha)$$

$$\text{with } \dot{g}^\alpha = h_0 \left(\frac{g_s - g^\alpha}{g_s - g_0} \right)^{n'} \dot{\gamma}$$

$$\text{and } \dot{\gamma} = \sum_\alpha |\dot{\gamma}^\alpha|$$

$$\dot{\gamma}_0 = 1, m = 0.03, h_0 = 47 \text{ MPa}, n' = 2.6, \\ g_0 = 6 \text{ MPa}, g_s = 455 \text{ MPa}$$

Results

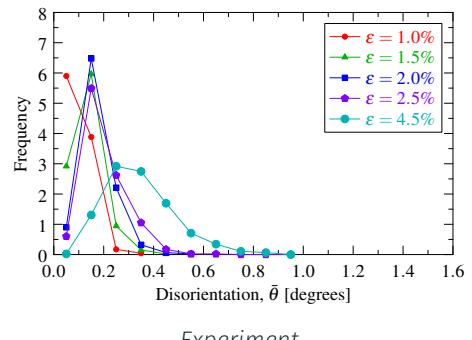
(Glez and Driver, 2001) (Barton and Dawson, 2001)

$$S = \frac{1}{N} \sum_\alpha (\mathbf{w}^\alpha \otimes \mathbf{w}^\alpha)$$

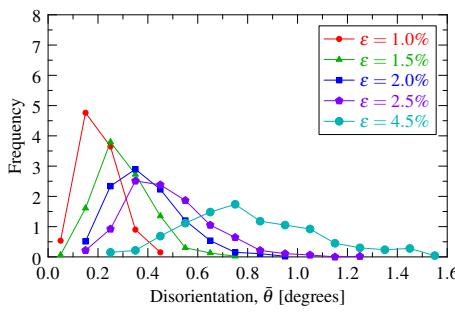
$$S = \begin{pmatrix} \lambda_1 & 0 & 0 \\ 0 & \lambda_2 & 0 \\ 0 & 0 & \lambda_3 \end{pmatrix}$$

in $(\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3)$ with $\lambda_1 \geq \lambda_2 \geq \lambda_3$

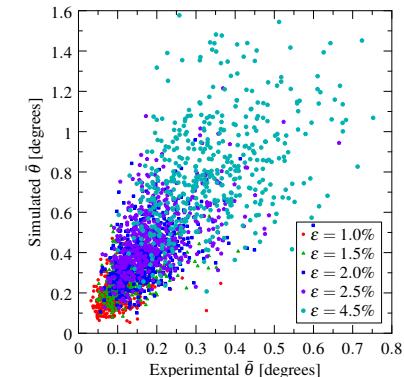
$\rightarrow \bar{\theta}, \theta_a$ and \mathbf{v}_1

Angular Extent ($\bar{\theta}$)

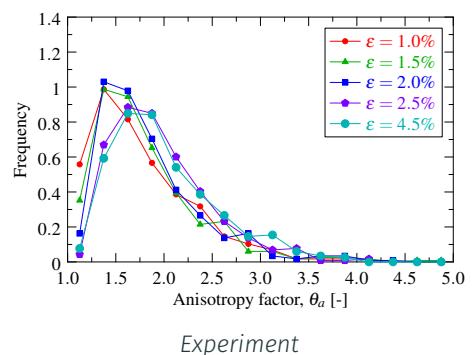
Experiment



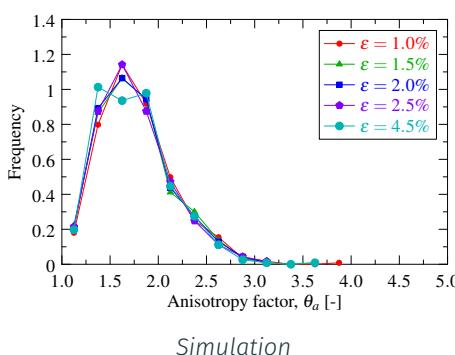
Simulation



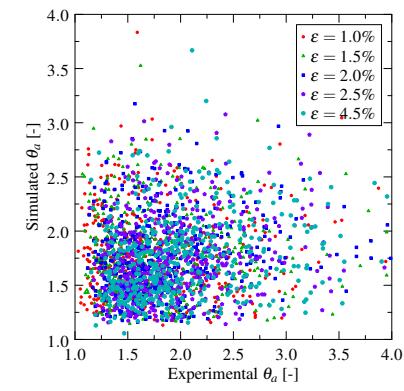
Grain-by-grain comparison

Anisotropy (θ_a)

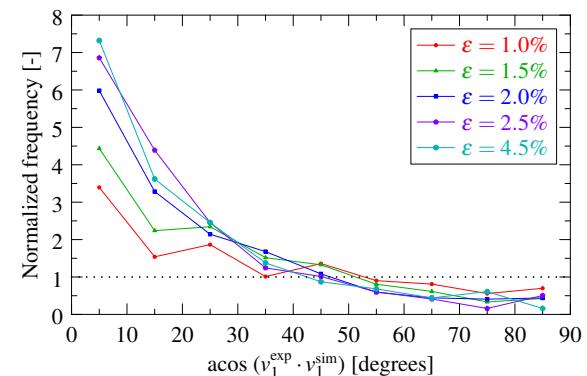
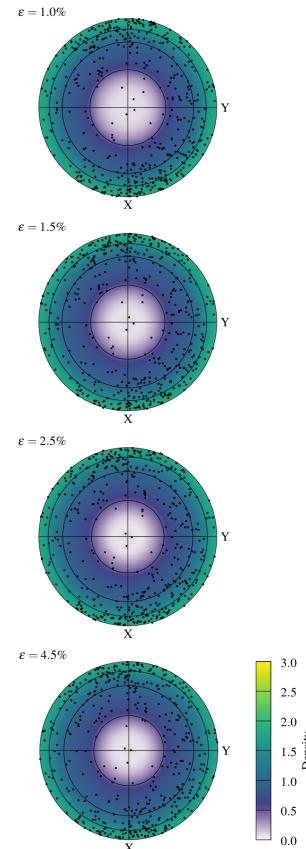
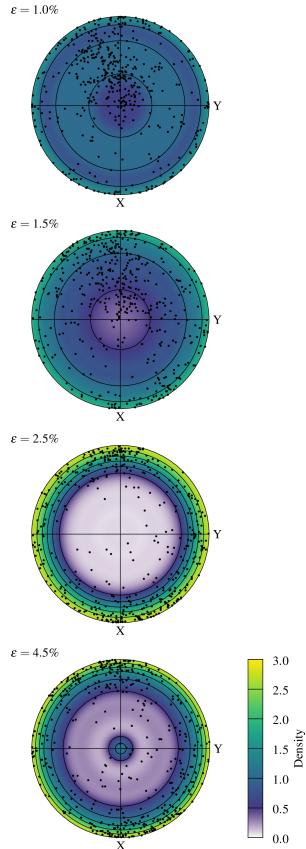
Experiment

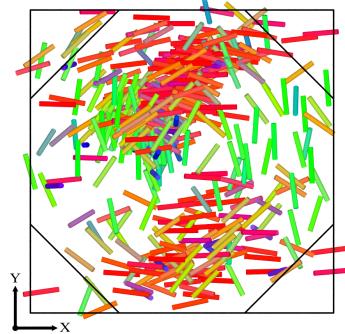
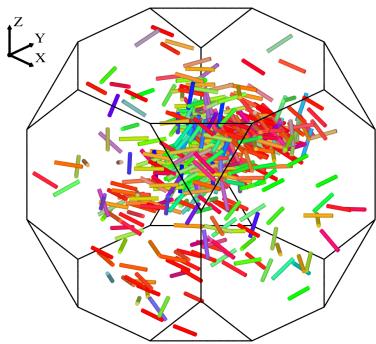


Simulation

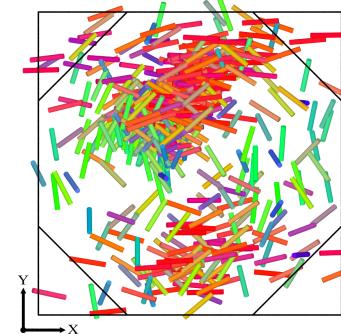
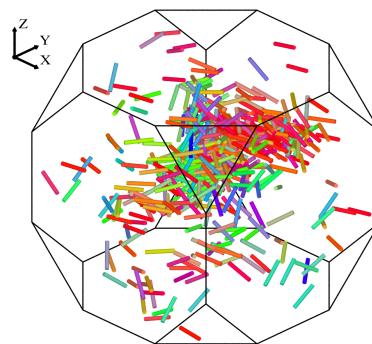


Grain-by-grain comparison

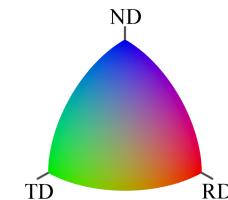
*Grain-by-grain comparison*



Experiment



Simulation



Summary of the Results

Angular extent ($\bar{\theta}$):	regular increase, simulation goes faster	good correlation
Anisotropy factor (θ_a):	similar, self-similar distributions	no correlation
Preferential disorientation axis (v_1):	similar RD-TD distribution	good correlation

↝ First-order agreement between experiment and simulation (cross-validation)

To Go Further

- **Option #1: Improve the agreement between experiment and simulation**
 - Experiment: microstructure reconstruction, reduced ODF reconstruction, ...
 - Simulation: microstructure meshing, material model (slip law, slip parameters, interaction matrix, ...) ...
- **Option #2: Learn from the current level of agreement (especially on v_1)**

Simulation particularly useful (v_1 and σ , $\dot{\gamma}^\alpha$, τ^α , etc.)

 - How does the preferential disorientation axis (v_1) relate to deformation (slip)?
 - What controls the preferential disorientation axis (v_1)?

Summary of the Results

Angular extent ($\bar{\theta}$):	regular increase, simulation goes faster	good correlation
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$$F = V^* R^* F^P$$

$$V^* = I + \varepsilon^e$$

$$\tau = \mathbb{C} : \varepsilon^e$$

$$\tau = \det(I + \varepsilon^e) \sigma$$

$$\hat{L}^P = \dot{\hat{F}}^P \hat{F}^{P-1}$$

$$\hat{L}^P = \hat{D}^P + \hat{W}^P$$

$$\hat{D}^P = \sum_{\alpha} \dot{\gamma}^{\alpha} \hat{P}^{\alpha}$$

$$\hat{W}^P = \dot{R}^* R^{*T} + \sum_{\alpha} \dot{\gamma}^{\alpha} \hat{Q}^{\alpha}$$

$$\dot{\gamma}^{\alpha} = \dot{\gamma}_0 \left| \frac{\tau^{\alpha}}{g^{\alpha}} \right|^{\frac{1}{m}} \operatorname{sgn}(\tau^{\alpha})$$

$$\tau^{\alpha} = \hat{P}^{\alpha} : \tau$$

$$\dot{g}^{\alpha} = h_0 \left(\frac{g_s - g^{\alpha}}{g_s - g_0} \right) \dot{\gamma}, \quad \text{where } \dot{\gamma} = \sum_{\alpha} |\dot{\gamma}^{\alpha}|$$

$$\varepsilon^e = 0, \quad \hat{W}^P = 0, \quad R^* = I$$

$$\frac{\partial \dot{r}^*}{\partial \sigma_v} = - \sum_{\alpha} \frac{\partial \dot{\gamma}^{\alpha}}{\partial \tau^{\alpha}} (t^{\alpha} \otimes p^{\alpha})$$

with $\frac{\partial \dot{\gamma}^{\alpha}}{\partial \tau^{\alpha}} = \frac{\dot{\gamma}_0}{m g^{\alpha}} \left| \frac{\tau^{\alpha}}{g^{\alpha}} \right|^{\frac{1}{m}-1}$

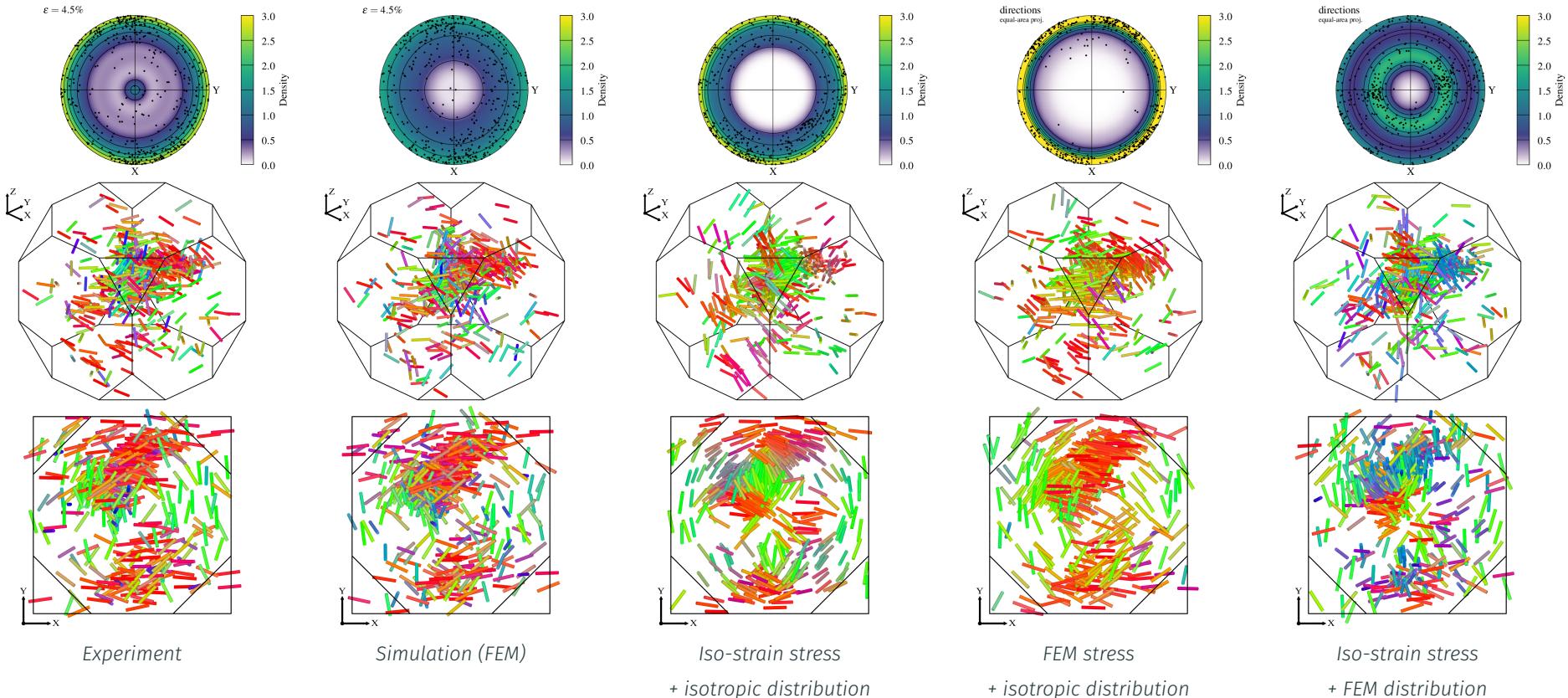
(1)

$$\frac{\partial \dot{r}^*}{\partial \sigma_v} = U S V^T$$
(2)

$\frac{\partial \dot{r}^*}{\partial \sigma_v}$ can be (i) evaluated for different (nominal) stresses and (ii) associated to different stress distributions

Influence of the Stress (Distribution) on the Preferential Disorientation Axis

(Renversade and Quay, 2024)



Preferential disorientation axis sensitive to average grain stress, not stress distribution

Conclusions

Neper/FEPX

- Convergence between two “established” codes
- Complete workflow, especially for experiment-simulation comparisons (`.tesr`, `.sim`, etc.)

Application to Intra-Grain Orientation Distributions

- Various approximations made along the way, in both experiment and simulation...
- 1st-order agreement between experiment and simulation (validation)
- Simulation results (stresses, slip rates, strengths, etc.) used to go further
- Preferential disorientation axis sensitive to stress, not so much to stress distribution

Example of how experiment and simulation can be used
to improve our understanding of material deformation