

CSCI 5451 Fall 2015

Week 3 Notes

Professor Ellen Gethner

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- ▶ we'll use this as a tool to measure the run time (or possibly memory consumption) with respect to the input.
- ▶ What follows is a very brief review of a subset of Chapter 3 in our textbook.

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- ▶ We write  $f(n) = O(g(n))$ .
- ▶ Note that  $g(n)$  may not provide the best possible upper bound for  $f(n)$ .



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- ▶ I used  $c = 1$  and  $n_0 = 1.$

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- ▶  $\sqrt{2} \leq C \leq 2$ .

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- ▶  $\Rightarrow \lg(n) \geq n^c$ , a contradiction.

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- ▶ That is,  $\Theta(g(n)) =$   
 $\{f(n) : \exists c_1, c_2, n_0 > 0 \text{ such that } 0 \leq c_1 g(n) \leq f(n) \leq c_2 g(n) \forall n \geq n_0\}.$

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- ▶ Unravel the definition of  $\Theta$  and come up with values for  $n_0$ ,  $c_1$ , and  $c_2$ .

# Artist's Interpretation of $O$ , $\Omega$ , and $\Theta$

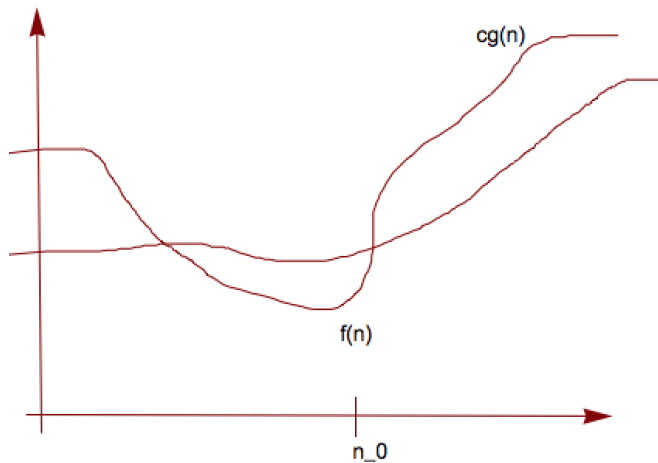


Figure:  $f(n) = O(g(n))$

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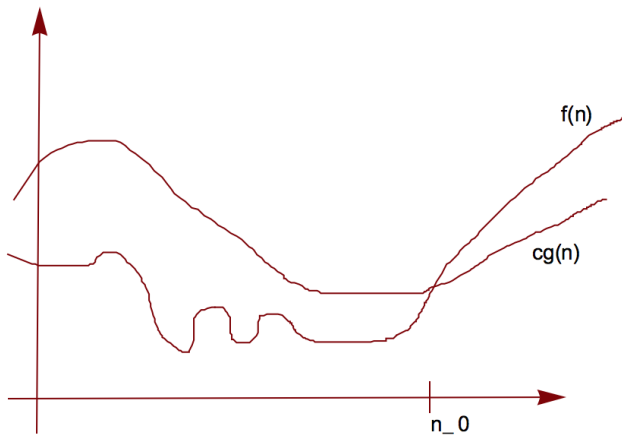


Figure:  $f(n) = \Omega(g(n))$

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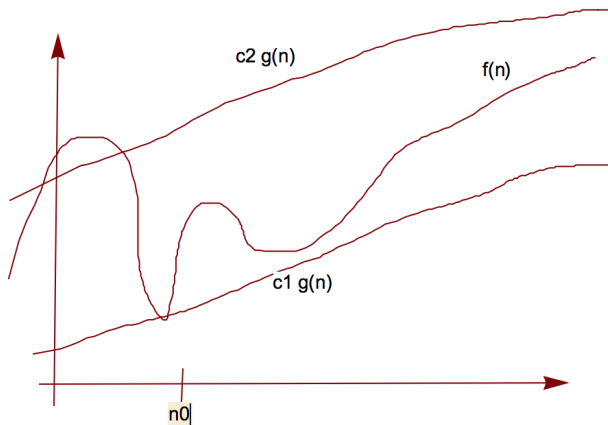


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- ▶ For a familiar example of this scenario, read **2-3** in our text on Mergesort.
- ▶ **Goal.** We want a closed-form asymptotic formula for  $T(n)$ .
- ▶ The final answer will depend on the nature of  $f(n)$ .

## Theorem 4.1

- ▶ **Case 1.** If for some  $\epsilon > 0$  we have  $f(n) = O(n^{\log_b(a)-\epsilon})$  then  $T(n) = \Theta(n^{\log_b(a)})$ .

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- ▶  $T(n) = \Theta(f(n))$ .
- ▶ We will prove Case 1 for the special case that  $n$  is an exact power of  $b$ .

Proof of Case 1 with  $n = b^k$  for some  $k \in \mathbb{Z}^+$ .

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- ▶ Let's use a recursion tree in the analysis of  $T(n)$ .
- ▶ For notational convenience, define  $n_i = \frac{n}{b^i}$ .

# Proof of Case 1 with $n = b^k$ for some $k \in \mathbb{Z}^+$

Level

Recursion Tree

Work Done

0th level

$f(n)$

$a^0 f(n)$

1st level

$f(n_1)$

$f(n_1)$

$f(n_1)$

$a^1 f(n_1)$

2nd level

$f(n_2)$

$f(n_2)$

$f(n_2)$

$f(n_2)$

$f(n_2)$

$f(n_2)$

$f(n_2)$

$f(n_2)$

$f(n_2)$

$a^2 f(n_2)$

i-th level

$f(n_i)$

$f(n_i)$

$f(n_i)$

$f(n_i)$

$\vdots$

$f(n_i)$

$f(n_i)$

$f(n_i)$

$f(n_i)$

$a^i f(n_i)$

k-th level

$f(1)$

$f(1)$

$f(1)$

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$\vdots$

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- ▶ At the bottom of the tree there are  $a^k$  constant time (ie  $\Theta(1)$ ) operations to be performed.
- ▶ How much work is done at the remaining  $k - 1$  levels?

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- ▶  $= b^{\log_b(a^k)-k\epsilon}$
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- ▶ It then follows that when we replace  $n$  with  $n_j = \frac{b^k}{b^j} = b^{k-j}$  in  $(*)$ , we have
- ▶  $f(n_j) = O(a^{k-j} b^{-(k-j)\epsilon})$
- ▶  $= O(a^{k-j} b^{j\epsilon} b^{-k\epsilon})$ .

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- ▶ But  $\sum_{j=0}^{k-1} (b^\epsilon)^j = \frac{b^{\epsilon k} - 1}{b^\epsilon - 1}$  because the summation is a finite geometric series.

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- ▶ How can we recognize such problems?

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1. **Optimal Substructure.** The best solution of the Big Problem is composed of the best solutions of smaller problems of the same kind.
2. **Overlapping Subproblems.** Solving the Big Problem recursively involves solving the same little problem over and over.

# Big Example 1: The Knapsack Problem

- ▶ **The Problem.** You are given an integer  $K$  (size of your knapsack) and  $n$  items of varying sizes such that the  $i$ th item has size  $k_i$ .



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- ▶ Find a subset of these items whose sizes sum to  $K$ , or else determine if no such subset exists.
- ▶ **Set-up and Notation.**
  - ▶ Let  $P(n, K)$  denote the original problem ( $n$  items and knapsack of size  $K$ )
  - ▶ Assume that the  $n$  items along with their sizes  $k_1, k_2, \dots, k_n$  are given as input.
  - ▶ Let  $P(i, k)$  denote the problem with the first  $i$  items and a knapsack of size  $k$ .

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# Algorithmic Solution to the Knapsack Problem

## ► **Algorithm Sacksack**

- List out all subsets of the  $n$  items,
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- Exponential in  $n$ . Why?



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- ▶ and we simply choose not to pack the  $n$ th item, and we are done.

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- ▶ the  $(i, k)$ th entry contains information about the solution of  $P(i, k)$ .
- ▶ If we want to know the actual subset of items to be packed, we can add a field to the  $(i, k)$ th entry that indicates whether or not item  $i$  is to be packed.



## Algorithm Knapsack( $S, K$ )

**Input.**  $S$ , a  $1 \times n$  array storing item sizes  $k_1, \dots, k_n$  and  $K$ , the size of the knapsack. So  $S[i] = k_i$ .

**Output.**  $P$ , a 2-dimensional array such that

$$\begin{cases} P[i, k].\text{exist} = \text{true} & \text{if } \exists \text{a solution to } P(i, k) \\ P[i, k].\text{belong} = \text{true} & \text{if the } i\text{th item is to be packed} \end{cases}$$

## Algorithm Knapsack, continued

- ▶ **begin**
- ▶  $P[0, 0].exist = \text{true}$
- ▶ **for**  $k = 1$  **to**  $K$  **do**
- ▶  $P[0, k].belong = \text{false}$
- ▶ **for**  $i = 1$  **to**  $n$  **do**
- ▶     **for**  $k = 0$  **to**  $K$  **do**
- ▶          $P[i, k].exist = \text{false}$  (*default value*)
- ▶         **if**  $P[i - 1, k].exist = \text{true}$  **then**
- ▶              $P[i, k].exist = \text{true}$
- ▶         **else if**  $k - S[i] \geq 0$  **then**
- ▶             **if**  $P[i - 1, k - S[i]].exist = \text{true}$  **then**
- ▶                  $P[i, k].exist = \text{true}$
- ▶                  $P[i, k].belong = \text{true}$
- ▶ **end**

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- ▶ each of which is computed in constant time from two other entries in the array
- ▶  $\Rightarrow$  the total run time for the decision problem is  $O(nK)$ , which is better than exponential in general.
- ▶ Tracing back through the array  $P[n, K]$  to find the valid “belong” elements takes time  $O(n)$ .

# Next

We'll do another dynamic programming example next week, and then move on to greedy algorithms.