CSCI 5451 Fall 2015 Week 13 Notes

Professor Ellen Gethner

November 9, 2015

▶ The story began in 1971 with Steve Cook's Theorem¹...

¹http://4mhz.de/cook.html

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Preliminaries²

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- 3. The party is over!
- 4. A nondeterministic algorithm has all the operations that a deterministic one has, but also has a new operation called nd-choice.
- 5. Operation nd-choice is associated with a fixed number of choices such that at each choice, the algorithm follows a different computation path.

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- ➤ **Visualization.** Imagine the process of running the nondeterministic algorithm as taking place on a directed graph:
- you walk from vertex to vertex along directed edges;
- ▶ at some vertices, namely the nd-choice ones, you will have to decide which way to go.



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 - Given an input x, it is possible to convert each nd-choice encountered during the execution of the algorithm to a real choice so that
 - ▶ the outcome of the algorithm will be to accept x if and only if $x \in L$.

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- **Example.** Consider the division algorithm: given nonnegative integers a, b with $b \le a$, let L be the set of all instances of pairs of non-negative integers (q, r) such that a = bq + r and 0 < r < b.

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- Otherwise the algorithm outputs DO NOT ACCEPT.

Run time and new class of problems

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- ▶ The run time for the whole nondeterministic algorithm is the worst case run time over all inputs $x \in L$ (ie, everything that leads to **ACCEPT**).
- ▶ **Definition.** The class of problems for which there exists a nondeterministic algorithm whose run time is polynomial in the size of the input is called **NP**.

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- ▶ For example, if we let P be the set of deterministic polynomial-time algorithms, then clearly $P \subset NP$ (why?).
- **Challenge.** Is P = NP?
- ► Nobody knows!

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- ▶ More Explanation. A problem X is called NP hard if every problem in the set NP is polynomially reducible to x.

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- ► **Giant Implication:** if any single problem in P is proved to be in NP, then P=NP !!!
- ► Moral of the story. Researchers continue collecting NP complete and NP hard problems. The list continues to grow...

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- Remember Steve Cook and that he started the show with nondeterministic algorithms?
- His theory would have no substance if there were no NP complete problems;
- his great breakthrough came in 1971 when he proved that the Satisfiability Problem (or SAT for short) was NP complete and that was the first such problem so proved.

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- ► That is, we do arithmetic (mod 2) to determine the value of S, which is, a priori, 0 or 1.

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- $(1+1+\overline{0})(\overline{1}+1+0)(\overline{1}+\overline{1}+\overline{0})=1\times 1\times 1=1.$

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- ▶ The idea of the proof that SAT is NP complete is, briefly, that
 - 1. we can guess at a truth assignment and check in polynomial time to see of the outcome of S is 1; if so, then SAT \in NP.
 - Then it remains to show that SAT is NP hard, the proof of which is nontrivial and uses the idea of a Turing Machine⁵.

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- ▶ **Tool.** 3SAT is NP complete (3SAT is a CNF expression in which all clauses have exactly three variables).

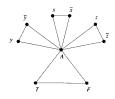
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- ▶ **Theorem.** Graph 3-colorability is NP complete.

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- ▶ **Tool.** 3SAT is NP complete (3SAT is a CNF expression in which all clauses have exactly three variables).
- ▶ **Theorem.** Graph 3-colorability is NP complete.
- ▶ **Idea of Proof.** We will reduce the 3SAT problem to the 3-colorability problem, but first note that if we guess at a 3-coloring of a graph G we can check it's validity in $O(E) = O(V^2)$ -time. Why?

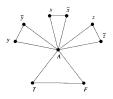
Reduction to 3SAT

▶ From a CNF expression, we construct a graph as follows. The three colors are T, F, and A.



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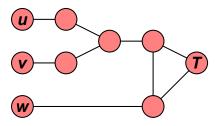
► From a CNF expression, we construct a graph as follows. The three colors are *T*, *F*, and *A*.



▶ In general, for a CNF expression S that has k variables, there will be k+1 triangles at this stage.

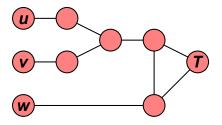
Attach a widget to each clause

Next, attach the following widget to each clause U + V + W in expression S.



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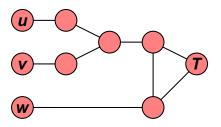
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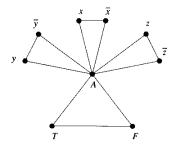
▶ **Facts.** The widget graph above is locally 3-colorable and forces one of u, v, or w to be assigned T.

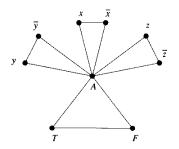
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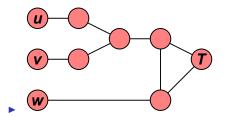


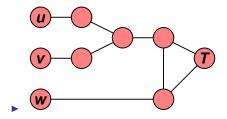
- **Facts.** The widget graph above is locally 3-colorable and forces one of u, v, or w to be assigned T.
- ► The above fact is important since otherwise *S* would never be satisfiable.



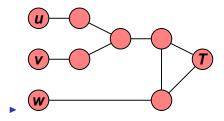


▶ Each of x, \overline{x} , y, \overline{y} , z, and \overline{z} will never be colored A because all are adjacent to a vertex colored A.

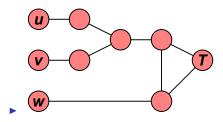




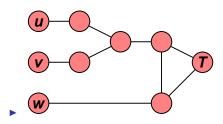
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- Conclusion. We have constructed an infinite family of 3-colorable graphs whose coloring reduces to a known NP-complete problem.
- ▶ That is, vertex 3-colorability is NP-complete.
- ▶ **Exercise.** Create the entire graph associated with the example $S = (x + y + \overline{z})(\overline{x} + y + z)(\overline{x} + \overline{y} + \overline{z})$.

Next Week

The Art Gallery Problem

