

DESIGN AND ANALYSIS OF ALGORITHMS (DAA)

07-03-2023

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ALGORITHM:

↳ finite instructions

↳ executed in a specific order.

13-03-23

PROGRAM EFFICIENCY:

minimizing the resources while doing intended task.

FACTORS INFLUENCING PROGRAM EFFICIENCY:

→ Problem being solved \Rightarrow either problem is understandable or can be solved.

- Programming Language =
- Compiler, Computer hardware.
- Programmer ability
- Programmer effectiveness.
- Algorithm. ✓

Program to print first 10 natural numbers.

for (int i=1 ; i ≤ 10 ; i++) {

Algorithm name.

SOUT(i);

Pre condition

}

Post condition

Algorithm's body.

ALGO: To print a number.

SFC Table.

Pre : -

Post: Print a number.

1) Input i →

2) Output i →

End Algo: To print a number.

Steps	Frequency	S * P Count
1	*	1
1	*	+ 1
Total Steps =	2	

O(1).

ALGO: To print 10 numbers.

Pre :

Post: Output 10 numbers.

1) i ← 1

2) For i ← 1 to 10

3) Output i

4) End for i

End Algo: To print 10 numbers.

S	P	C
1	1	1
1	10	10
Total Steps =	11	O(1)



Algo: To print n numbers

Pre:

Post: Output n numbers.

- 1) $i \leftarrow 1$
- 2) For $i \leftarrow 1$ to n .
- 3) Output i .
- 4) End For i .

End Algo: To print n numbers.

S	F	C
1	1	1
1	N	N

$$\text{Total steps } [1 + N] = N.$$

$\Theta(N)$.

Algo: Bubble sort an array.

Pre: Given unsorted array.

Post: Sorted array.

- 1) For $i \leftarrow 1$ to 10 .
- 2) For $j \leftarrow 1$ to $10-i-1$
- 3) If ($arr[j] > arr[j+1]$)
- 4) Swap $arr[j] \leftrightarrow arr[j+1]$.
- 5) End If
- 6) End For j .
- 7) End For i .

End Algo: End bubble sort.

S	F	C
4	100	400
1	100	100

$$\text{Total steps} = 500$$

$\Theta(1)$.

4	N^2	$4N^2$
1	N^2	N^2
		$\frac{5N^2}{5}$

$\Theta(N^2)$.

For $i \leftarrow 1$ to n
 For $j \leftarrow 1$ to N .
 =.
 End for j
 End for i

$\Theta(N^2)$.

For $k \leftarrow 1$ to N
 End for k

$\Theta(N^2 + N)$

$\Theta(N^2)$

higher order

\times

~~STEPS~~

$$5 \times 2 = 10$$

$$2^2 = 4$$

Insertion Sort

ALGO: Insertion Sort.

PRE: Unsorted list

POST: Sorted list.

- 1) Mark 1st element as sorted
 - 2) For each unsorted el. x .
 - 3) extract the el. x .
 - 4) for $j \leftarrow$ last sorted to 0th el.
 - 5) if current el. $j > n$.
 - 6) move sorted el. to right by 1.
 - 7) end if.
 - 8) break loop by insert x here
- a) end for each.

End ALGO: Insertion sort.

$O(N)$ → Best case

$O(N^2)$ → Worst case.

Asymptotic Notation:

- most significant terms are preferred.
- Big Oh Notation, O (Upper-bound)
- Big Omega Notation, Ω (Lower-bound).
- Big Theta Notation, Θ . (Average-bound).

Insertion Sort

$O(N^2)$

$\Theta(N^2)$

$\Omega(N)$

Saad

Asymptotic Analysis

You've to find the bounds of an algorithm.

Growth of a function

$$y = mx + c \quad \text{Linear} \quad \text{order of } n$$

$$an^2 + bn + c = O \quad \text{Quadratic} \quad \text{order of } n^2$$

Types of Algorithm

① Probabilistic Algorithm.

e.g. Randomize Quick Sort.

② Heuristic Algorithm

③ Approximate Algorithm.

Space & Time.

input size in its composition.

Pseudo code

→ Experimental Studies of an Algo.

Run the program with varying input sizes in composition.

→ Complexity through Theoretical Analysis..

↳ pseudo code / high-level description of an algo.

↳ running time for input size n .

↳ all possible inputs

↳ evaluating speed of an algo independent of hardware / software environment.

→ Asymptotic Analysis

Big Oh → worst case / upper bound.

Omega → best case / lower bound.

Theta → average bound.

Representations.

constant $O(1)$

$O(\log n)$

$O(n)$

$O(n \log n)$

$O(n^2)$

$O(n^3)$

$O(2^n)$ exponential

$O(n!)$ factorial

Introducing log:

27-03-21

$$\log_2(8) = 3$$

$$a^x = y$$

$$\log_a(y) = x$$

Merge Sort: $O(n \log n)$

Insertion Sort: $O(n^2)$

$\log_2 n$

Running Time: $T(n)$

$$\sum_{j=1}^n j = \frac{n(n+1)}{2}$$

Steps	Frequency	Cost	maximum from an array.
c_1	1	c_1	
c_2	$n/1$	$c_2(n/1)$	
c_3	$n-1$	$c_3(n-1)$	
c_4	$n-1$	$c_4(n-1)$	last two
c_5	1	c_5	

$$\begin{aligned}
 T(n) &= c_1 + c_2(n/1) + c_3(n-1) + c_4(n-1) + c_5 \\
 &= c_1 + nc_2 + nc_3 - c_3 + nc_4 - c_4 + c_5 \\
 &= c_1 + n(c_2 + c_3 + c_4) - c_3 - c_4 + c_5 \rightarrow f(n) \\
 &= n \rightarrow g(n)
 \end{aligned}$$

Asymptotic Analysis.
Types of Analysis:

Saad

ASYMPTOTIC NOTATION

30-03-23

$$f(n) = n^4 + 100n^2 + 10n + 50$$

$$g(n) = n^4$$

crossover point.

$$f(n) = O(g(n))$$

$$f(n) = O(n^4)$$

$$f(n) \leq g(n)$$

plot $f(n) \leq g(n)$
on desmos.

Growth of a function $\rightarrow O(n)$ or $O(n^2)$.

05-03-23

Running time $\rightarrow O(f(n))$

Asymptotic analysis \rightarrow running time performance.

for best, average or worst case.

Crossover point tells that at this certain point, an algorithm's performance is changing!

crossover
point of
two algos



$$f(n) = 4n^2 + 2n + 6.$$

we have $g(n) = n^2$.

$$f(n) \leq O(g(n)) \rightarrow \text{worst case. } O$$
$$\boxed{4n^2 + 2n + 6 \leq O(n^2)}$$

$$f(n) \geq g(n) \rightarrow \text{best case. } \Omega$$
$$f(n) = g(n) \rightarrow \text{average case. } \Theta$$

order of growth, slope in displacement tells the performance of algs.

$$f(n) = 2n^2 + n.$$

$$2n^2 + n \leq c g(n^2).$$

$$2n^2 + n \leq 3n^2 \quad \text{let } c=3.$$

$$n \leq 3n^2 - 2n^2$$

$$n \leq n^2 \quad \div \text{ by } n$$

$$1 \leq n$$

$$n \geq 1 \quad \checkmark$$

Show that $30n+8$ is $O(n)$. $30n+8 = O(g(n))$.

$$30n+8 \leq c g(n)$$

$$30n+8 \leq cn \quad \text{let } c=31$$

$$30n+8 \leq 31n$$

$$8 \leq 31n - 30n$$

$$8 \leq n$$

$n \geq 8$. when $n > 8$, then $31n$ algo will work better.

8 is a crossover point.

$$2n^2 = O(n^3)$$

$$2n^2 \leq cn^3 \quad \div \text{ by } n^2$$

$$2 \leq cn \quad \text{we've } c=1$$

$$2 \leq n$$

$$\text{or } n \geq 2.$$

$$1 < \lg n < \sqrt{n} < n < n \log n < n^2 < n^3 \dots < 2^n < 3^n \dots < n^n$$

Example:

Find upper bound of running time of quadratic funct.

$$f(n) = 3n^2 + 2n + 4.$$

$$f(n) \leq c \times g(n).$$

$$3n^2 + 2n + 4 \leq c \times g(n).$$

$$3n^2 + 2n + 4 \leq 3n^2 + 2n^2 + 4n^2.$$

$$3n^2 + 2n + 4 \leq 9n^2.$$

for all $n \geq 1$:

$$3n^2 + 2n + 4 \leq 9n^2.$$

$$3n^2 + 2n + 4 \leq 9n^2$$

$$\text{So, } g(n) = n^2; \frac{\text{slope}}{c} = 9; \frac{\text{crossover point}}{n_0} = 1$$

$$4n^2 + 3n \quad 5n^2$$

$$3n \quad 5n^2 - 4n^2$$

$$\frac{3n}{n} \quad \frac{n^2}{n}$$

$$3 > n$$

$$n \leq 3$$

Tabular

$$3n^2 + 2n + 4 \leq c \cdot g(n)$$

$$\text{for } n=1 \Rightarrow 2(1)+4 \leq (1)^2 \Rightarrow c \leq 1 \times$$

$$3n^2 + 2n + 4 \leq 4n^2$$

$$n=2 \Rightarrow 2(2)+4 \leq (2)^2 \Rightarrow 8 \leq 4 \times$$

$$2n+4 \leq 4n^2 - 3n^2$$

$$n=3 \Rightarrow 2(3)+4 \leq (3)^2 \Rightarrow 10 \leq 9 \times$$

$$2(n+2) \leq n^2$$

$$\boxed{n=4} \Rightarrow 2(4)+4 \leq (4)^2 \Rightarrow 12 \leq 16 \checkmark$$

$$2n+4 \leq n^2.$$

$$\text{So, } g(n) = n^2, c=4 \text{ at } n_0 = 4$$

crossover point.

Example.

Find upper bound of running time of quadratic function.

$$f(n) = 2n^3 + 4n + 5.$$

$$\textcircled{1} \quad f(n) \leq c \times g(n)$$

$$\textcircled{2} \quad f(n) \leq c \times g(n)$$

$$2n^3 + 4n + 5 \leq c \times g(n) \xrightarrow{n^3}$$

$$2n^3 + 4n + 5 \leq c \times n^3$$

$$2n^3 + 4n + 5 \leq 2n^3 + 4n^3 + 5n^3$$

$$2n^3 + 4n + 5 \leq 3n^3$$

$$2n^3 + 4n + 5 \leq 11n^3. \quad (\text{for all } n \geq 1)$$

$$4n + 5 \leq 3n^3 - 2n^3$$

$$\text{So, } g(n) = n^3, c = 11 \text{ at } n_0 = 1$$

$$\text{for } n=1 \Rightarrow 4(1)+5 \leq (1)^3 \Rightarrow 11 \leq 1 \times$$

Highest coefficient method.

$$n=2 \Rightarrow 4(2)+5 \leq (2)^3 \Rightarrow 13 \leq 8 \times$$

$$\boxed{n=3} \Rightarrow 4(3)+5 \leq (3)^3 \Rightarrow 17 \leq 27$$

$$\text{So, } g(n) = n^3, c = 3 \text{ at } n_0 = 3 \text{ using}$$

Least coefficient over point
Gunkai point

$$1 < \log n < \sqrt{n} < n < n \log n < n^2 < n^3 < \dots < 2^n < 3^n < \dots < n^n$$

$$f(n) = \log n^2, \quad g(n) = \log n + 5$$

$$= 2 \log n$$

$$\log n \quad \log(n),$$

$$f(n) = O(g(n)).$$

$$f(n) = n, \quad g(n) = \log n^2, 2 \log n$$

$$n \geq \log n$$

$$f(n) = \Omega(g(n)). \quad X$$

$$f(n) = \log \log n, \quad g(n) = \log n$$

$$\log \log n < \log n$$

$$f(n) = O(g(n)).$$

$$f(n) = n, \quad g(n) = \log^2 n$$

$$n > \log \log n$$

$$f(n) = \Omega(g(n)).$$

$$f(n) = n \log n + n, \quad g(n) = \log n$$

$$n(\log n + 1) > \log n$$

$$f(n) = \Omega(g(n)).$$

$$f(n) = 10, \quad g(n) = \log 10$$

$$10 \quad \log 10$$

$$f(n) = \Theta(g(n))$$

$$f(n) = 2^n, \quad g(n) = 10n^2$$

$$2^n > n^2$$

$$f(n) = \Omega(g(n)).$$

$$f(n) = 2^n, \quad g(n) = 3^n$$

$$2^n < 3^n$$

$$f(n) = O(g(n))$$

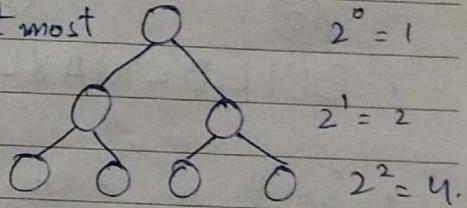
Lecture - 3: Heap, Heap Sort, Priority Queues. 13-04-23

Special Types of Trees.

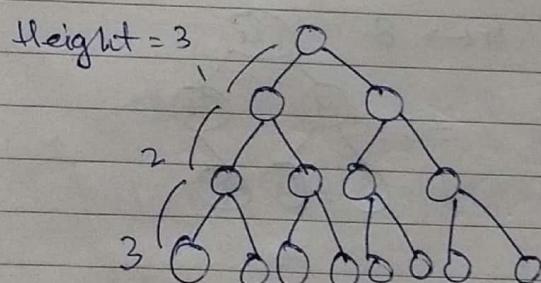
- Binary Tree at most 2 child nodes.
- Full Binary tree each node is either a leaf or has degree exactly 2. (2 child).
- Complete Binary Tree all leaves are on same level
- Height of a node no. of edges on the longest path from root to node.
- Level of a node length of a path from node down to leaf.
- Useful Properties.
 - At most 2^l nodes at level l of B-T

• A B-T with height d has at most $2^{d+1} - 1$ nodes.

$$2^{2+1} - 1 = 7 \text{ nodes}$$



• A B-T with 'n' nodes has height at least $\lceil \lg n \rceil$.



HEAP DATA STRUCTURE.

• A heap is a nearly complete B.T
 Structural → internal nodes have degree 2.
 Properties → all levels are full, except possibly the last one, which is filled from left to right.

Order (heap) property:

for any node x $\text{Parent}(x) \geq x$.

root is the maximum element of heap.

Heap Types.

- Max Heap: largest element at root (by default).
- Min Heap: smallest element at root.

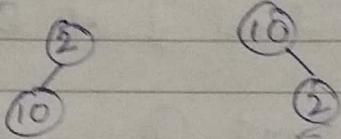
Max: $A[\text{Parent}[i]] \geq A[i]$ Min: $A[\text{Parent}[i]] \leq A[i]$

Adding / Deleting Nodes.

- new nodes are inserted at the bottom level.
(left to right).
- nodes are removed from bottom level.
(right to left).

Operations on Heaps.

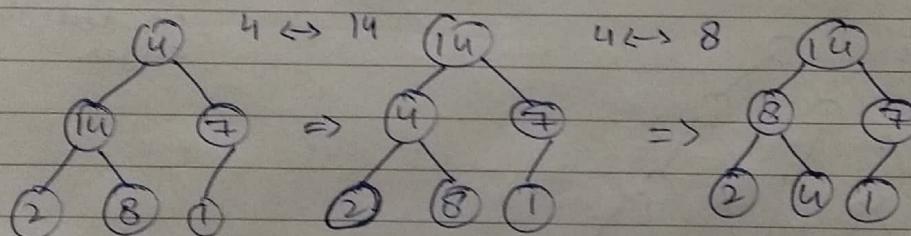
- MAX-HEAPIFY:** maintain / restore the max-heap property



- BUILD-MAX-HEAP:** create a max-heap from an unordered array.

- Sort an array in place

Maintaining the Heap Property.



19 - 04 - 23.

Array Representation of Heaps.

→ Root of heap $\Rightarrow A[1]$.

→ Left child of $A[i] \Rightarrow A[2i]$.

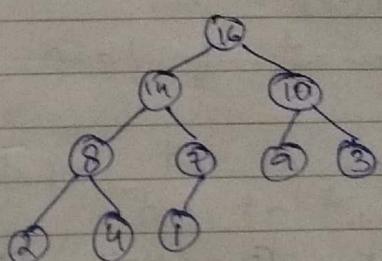
→ Right child of $A[i] \Rightarrow A[2i+1]$

→ Parent of $A[i] \Rightarrow A[\lfloor i/2 \rfloor]$

→ Heap size $\leq \text{length}[A]$.

→ The elements in the subarray $A[\lfloor \frac{n}{2} \rfloor + 1 \dots n]$

are leaves



Array Representation

16	14	10	8	7	9	3	2	4	1
1	2	3	4	5	6	7	8	9	10

$\rightarrow A[6 - 10]$ are leaves

Heap Types:

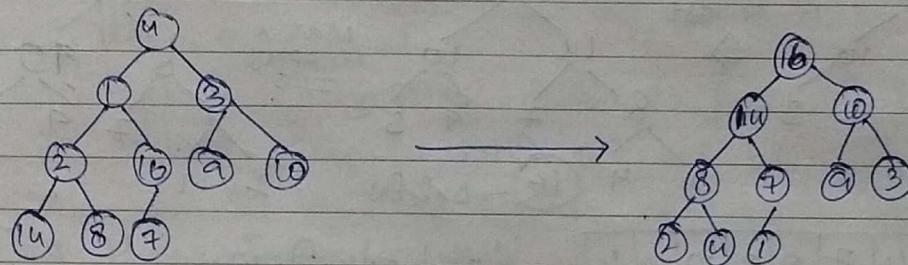
- By Default : Max Heap. Parent will be $>$ child.
- Min heap : child will be $>$ parent.

Max-Heapify Running Time

$$O(h) \text{ or } O(\lg n) \quad \because h = \lg n$$

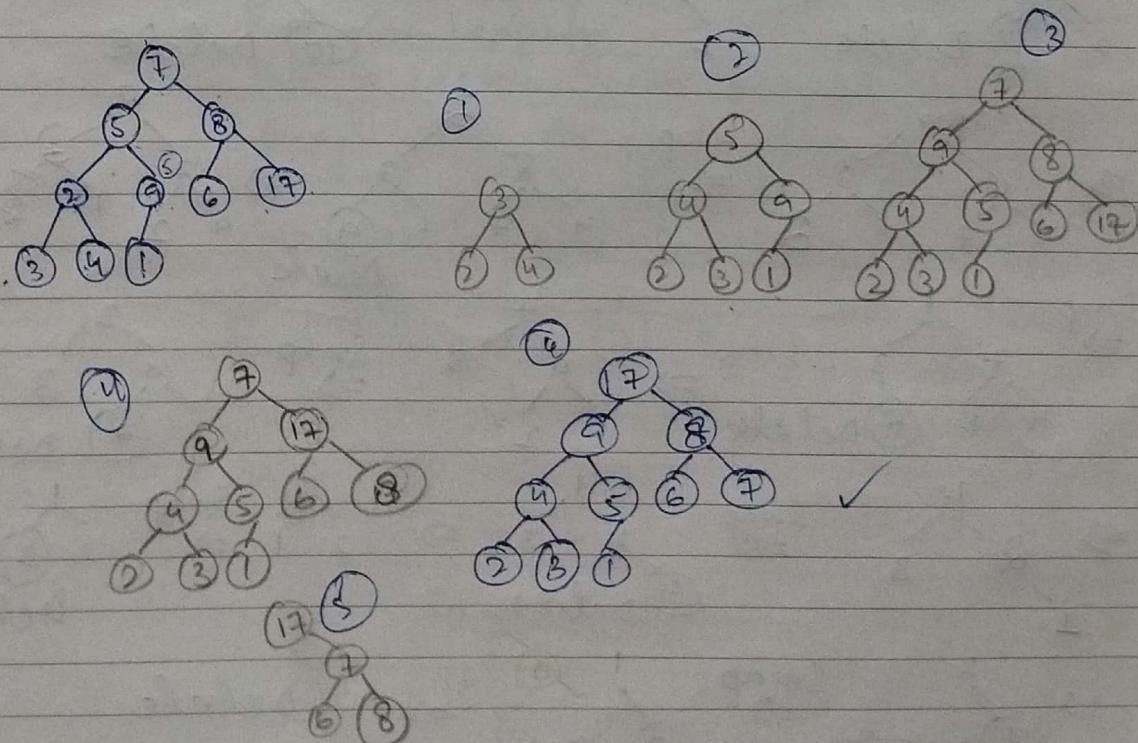
Build Max Heap.

4 | 1 | 3 | 2 | 16 | 9 | 10 | 14 | 8 | 7 |



Running time of Build Max Heap $O(n \lg n)$.

17 | 5 | 8 | 2 | 9 | 6 | 17 | 3 | 4 | 11 . $n/2 \rightarrow 5$

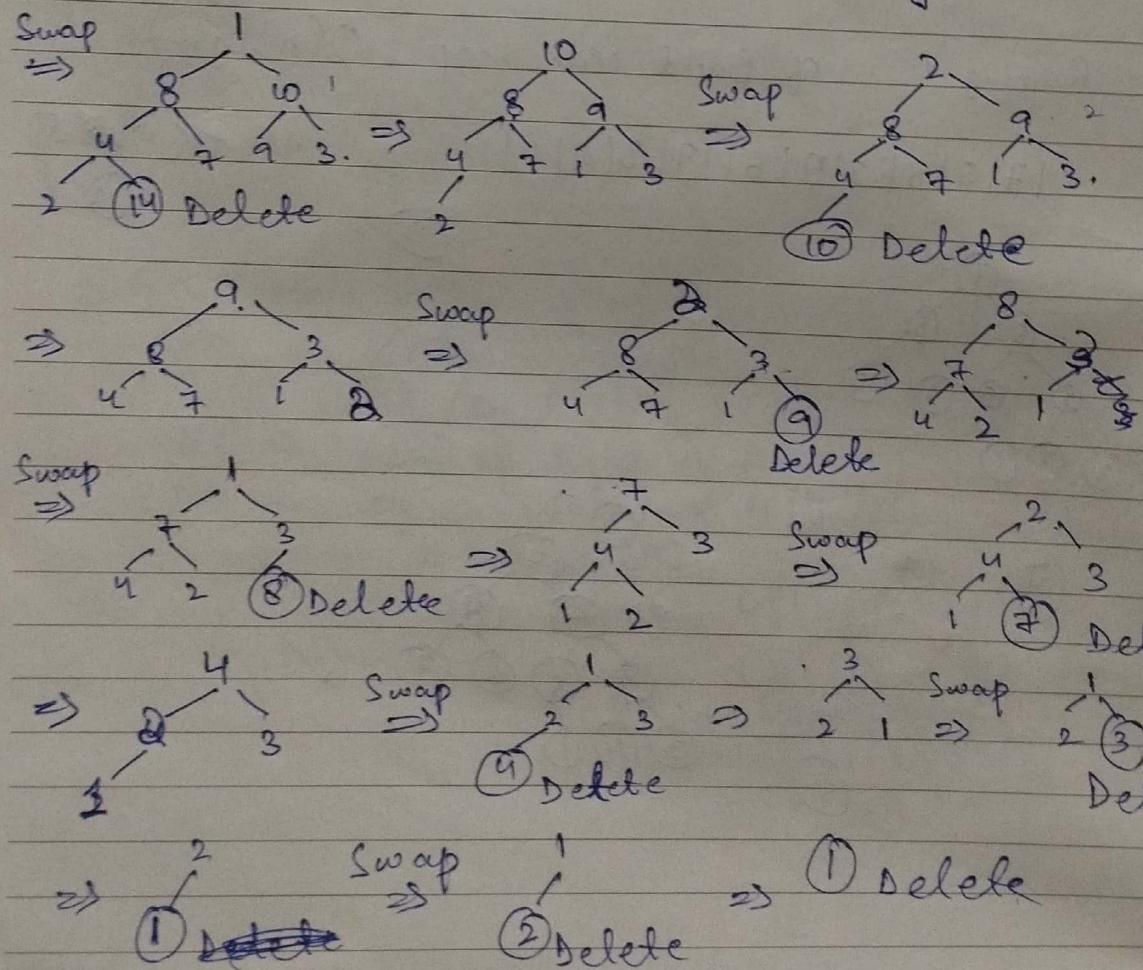
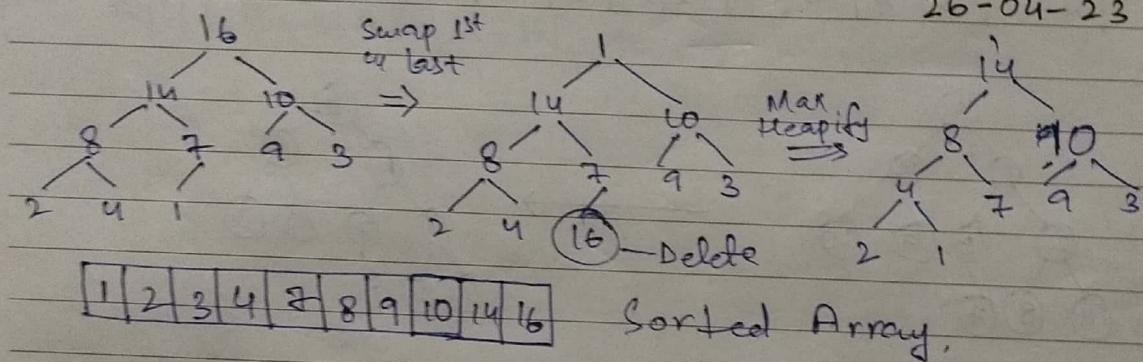


"Sardi Ae Dunya Yaraan^{be} char ton ^{NB}"

Heapsort:

The night agent.

- Goal:
 - Sort an array using heap representation.
 - Idea:
 - Build a max heap from the given array.
 - Swap parent w/ last leaf node.
 - Delete last leaf w/ store
 - Build a max heap



Running time : $O(n \lg n)$. worst case
 $O(n \lg n)$. average case
 $\Omega(n \lg n)$. best case

PRIORITY QUEUES

Properties:

- Each element is associated with a value (priority).
- The key with the highest (or lowest) priority is extracted first.

Operations:

Priority queues can be implemented through heap.

1. Heap-Max: Returns parent element $\Rightarrow O(1)$.

2. Heap-Extract-Max:

- change parent as last leaf, remove last leaf
 - max-heapify will set the heap again.
- $\Rightarrow O(\lg n)$. \Rightarrow due to max heap as else is constant.

3. Heap-Increase-Key..

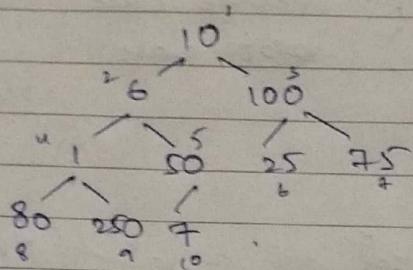
Goal: Increases the key (priority) of an element in the heap.

Idea,

- Increment the required element's priority.
- + Run max-heapify.
- Then to restore heap property, compare parent value to child value & swap if needed. (It is from down to up) and max-heapify goes from up to down. There's a difference.

Running time : $O(\lg n)$.

10, 6, 100, 1, 50, 25, 75, 80, 250, 7.

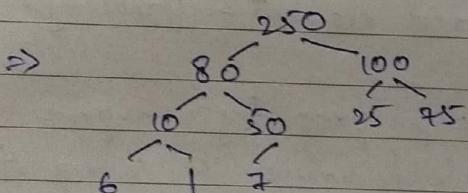
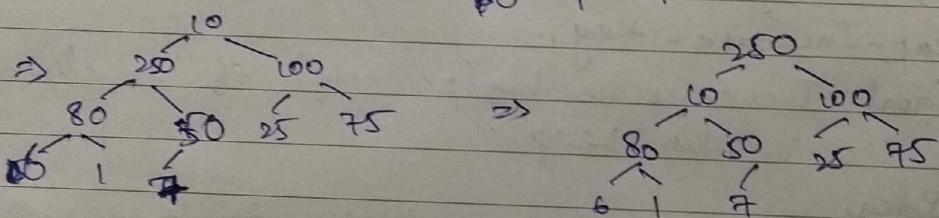
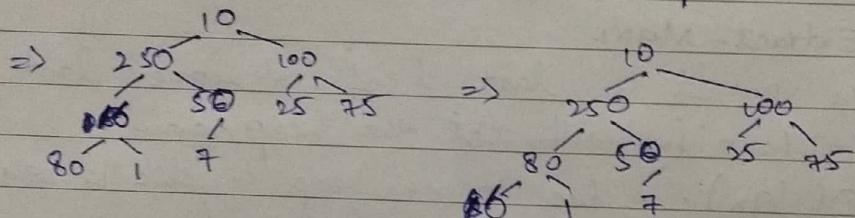
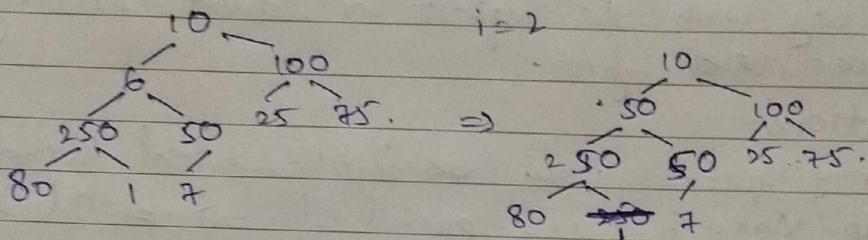


$$\left\lceil \frac{\lfloor n/2 \rfloor + 1}{2} \right\rceil \dots n.$$

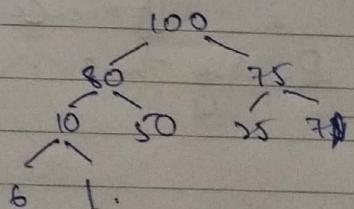
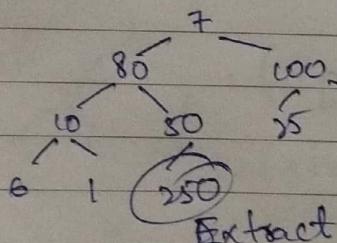
$$\frac{10}{2} + 1 = 6 - 10 \Rightarrow \text{leaves}$$

$i=5$ no change
 $i=4$. swap.
 $i=3$ no change
 $i=2$

$\rightarrow 1$
 build max
 heap.

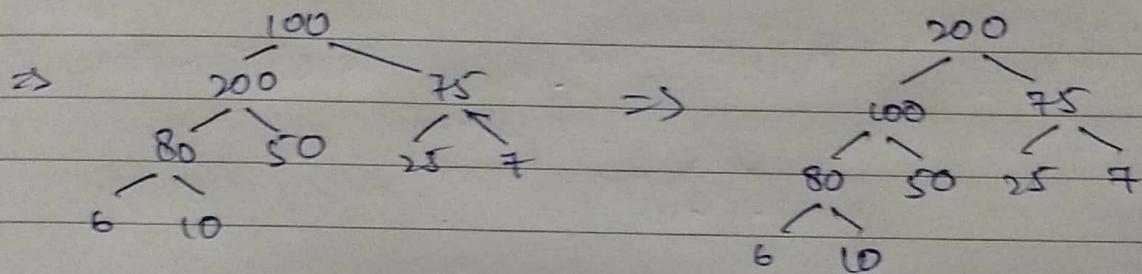
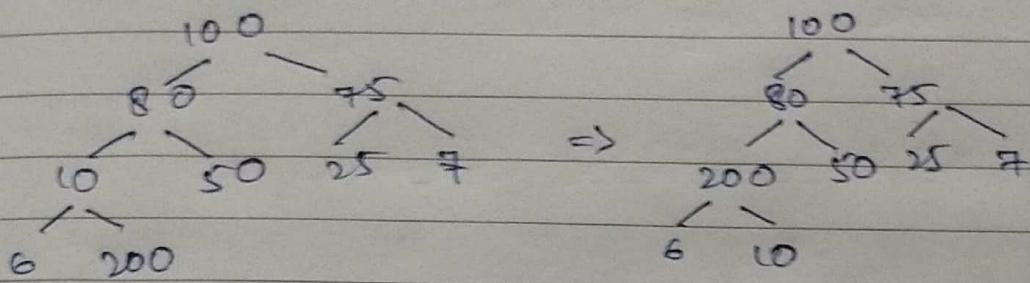


Heap - Extract - Max.



Heap - Increase - Key

1 → 200



Max - Heap - Insert .

- Add a node with value - ∞ .
- Run Heap-Increase Key.
running-time $O(\log n)$.

Lecture-4: RECURRENCE AND SORTING FUNCTION

• MATHEMATICAL INDUCTION:

- $s(n) \Rightarrow$ Successor function; $n \Rightarrow$ natural number.
- ↳ provides next coming natural number.
- n always starts from 0, & a successor function will give next natural number.

• Principle of Induction:

Let P be a claim or a property of natural numbers. Suppose P holds for zero, then P holds for any natural number n , then the successor $n+1$ holds. If these three are true, the claim P is true for all natural numbers.

• Principle of Induction is a way to prove that a certain property holds for every natural number.

- To prove, show that it

→ Show that it holds for 0.

→ Show that it holds for some number n .

→ Show that it holds for $n+1$.

These steps are is "proof by induction".

- ↳ base case (for 0).

↳ induction step.

↳ temporary n , assume that P holds for n .

↳ Show that P holds for $n+1$

the assumption that P holds for n → inductive hypothesis.

- induction → induce → adding → then $\textcircled{n+1}$ → induction

- hypothesis → we've to prove the assumption in H is hypothesis.

• technique to prove the statement $s(n)$ is true for every natural number n . (no matter how large).

• Proof

↳ Basis Step: $n=1$ (prove, it is true)

↳ Inductive Step: assume $s(n)$ is T & prove $s(n+1)$ is T for all $n \geq 1$.

- Find case n "within" case $n+1$.

Example ::

Claim: $1 + 2 + \dots + n = \frac{n(n+1)}{2}$ — (A).

Base Step ::

$$n=1.$$

$$1 = \frac{1(1+1)}{2} \Rightarrow \frac{1(2)}{2} = 1.$$

$$n=1, \text{ true.}$$

Assume that (A) is true for any $\in \mathbb{Z}^+ \setminus k \Rightarrow n=k$

Assume: $1 + 2 + \dots + k = \frac{k(k+1)}{2}$ — (B).

Inductive Step

Based on B, if B is true \Rightarrow then A is also true for $k+1$.

$$1 + 2 + \dots + k + k+1 = \frac{(k+1)(k+1+1)}{2}.$$

$$1 + 2 + \dots + k + k+1 = \frac{(k+1)(k+2)}{2} — (C)$$

Adding $k+1$ on both sides of eq(B).

$$1 + 2 + \dots + k + k+1 = \frac{k(k+1)}{2} + (k+1).$$

$$1 + 2 + \dots + k + k+1 = \frac{k^2 + k + 2k + 2}{2}.$$

$$1 + 2 + \dots + k + k+1 = \frac{k^2 + 3k + 2}{2}.$$

$$1 + 2 + \dots + k + k+1 = \frac{k^2 + 2k + k + 2}{2} \Rightarrow \frac{k(k+2) + 1(k+2)}{2}$$

$$1 + 2 + \dots + k + k+1 = \frac{(k+1)(k+2)}{2} — \text{proved.}$$

which is equals to eq (C)

Therefore, the sum of first $k+1$ integers is $(k+1)(k+2)/2$.
 Conclusion: By induction, we've shown that the claim is true for all +ve integers.

* Since we've proved, we don't have to use loops
we can use this mathematical formula.

RECURRENCES AND RUNNING TIME:

→ Recurrence Relation is for the recursive functions/ algorithms to find out their time complexity.

$$T(n) = T(n-1) + n \quad T(n) \Rightarrow \text{running time}$$

- Recurrences arise when an algorithm contains recursive calls to itself.
- We get recurrence relationship of a recursive algorithm
- Substitution method, Iteration method, Master theorem, these are the methods to find out time complexities of a recursive algorithms w.r.t. with that we can find bounds of the algorithms.
- Running time of the Algorithm.
 - Solve the recurrence.
 - a clear formula
 - by solving it for n , we'll find bands.

Examples

(1) $T(n) = T(n-1) + n \quad \Theta(n^2)$.

Recursive algorithm that loops through the input to eliminate one item

(2) $T(n) = T(n/2) + c \quad \Theta(\lg n)$.

Recursive algorithm that halves the input

(3) $T(n) = T(n/2) + n \quad \Theta(n)$.

halves the input but must examine every input.

(4) $T(n) = 2T(n/2) + 1 \quad \Theta(n)$.

splits the input to halves & does a constant amount of work.

Recurrent Algorithms.

→ BINARY-SEARCH:

for an ordered array A, finds if x (element to be searched) is in the array A [lowest ... highest].

Analysis of BINARY-SEARCH:

Algo: BINARY-SEARCH(A, lo, hi, x).

if ($lo > hi$) $\leftarrow c_1$

return FALSE.

mid $\leftarrow \lfloor (lo+hi)/2 \rfloor \leftarrow c_2$

if ($x = A[mid]$) $\leftarrow c_3$

return TRUE.

if ($x < A[mid]$)

BINARY-SEARCH(A, lo, mid-1, x). $\leftarrow \text{size: } n/2$

if ($x > A[mid]$)

BINARY-SEARCH(A, mid+1, hi, x). $\leftarrow \text{size: } n/2$

$$\bullet T(n) = c + T(n/2)$$

↳ running time for an array of size n.

• at every recursive call, array is halved.

The Iteration Method:

→ Convert the recurrence into a summation and try to bound it using a known series.

↳ iterate recurrence until initial condition is found.

↳ use back-substitution to express the recurrence in terms of n in boundary condition.

Method. (Example: Recurrence Relation for Binary Search)

$$T(n) = c + T(n/2)$$

$$T(1) = 1$$

$$T(n) = c + T(n/2) \quad : T(n/2) = c + T(n/2/2)$$

$$= c + (c + T(n/4)) = c + T(n/4)$$

$$= c + c + T(n/4) \quad : T(n/4) = c + T(n/4/2)$$

$$= c + c + (c + T(n/8)) = c + T(n/8)$$

$$= c + c + c + T(n/8)$$



$$T(n) = c + c + c + T(n/2)$$

as is being add k times

$$T(n) = ck + T(n/2^k)$$

Assume: $n = 2^k$ Since in each applying log on both side. recursion it is

$\log n = \log 2^k$ \circlearrowleft n is being divided

$\log n = k \log 2$

$\log n = k$

$$\textcircled{1} \Rightarrow T(n) = ck + T(2^k/2^k)$$

" = $c \log n + T(1)$, $\therefore T(1) = 1$.

" = $c \log n + 1$

$$T(n) = \log n$$

$$\textcircled{O}(\log n)$$

Example 2:

$$T(n) = n + 2T(n/2), \quad T(n/2) = \frac{n}{2} + 2T(n/4)$$

$$= n + 2\left(\frac{n}{2} + 2T(n/4)\right)$$

$$= n + n + 4T(n/4), \quad T(n/4) = \frac{n}{4} + 2T(n/8)$$

$$= n + n + 4\left(\frac{n}{4} + 2T(n/8)\right)$$

$$= n + n + n + 8T(n/8)$$

$$= nk + 2^k T(n/2^k) \quad \text{assume } n = 2^k$$

$n = 2^k$ log on b/s.

$$\log n = \log 2^k$$

$$\log n = k \log 2$$

$$\log n = k$$

$$\rightarrow \textcircled{1} \Rightarrow T(n) = nk + 2^k T(1)$$

$$T(n) = nk + 2^k T(1), \quad \therefore T(1) = 1$$

$$T(n) = n \log n + n T(1), \quad \text{in } k = \log n$$

$$T(n) = n \log n + n$$

$$\textcircled{O}(n \log n)$$

Example 3:

$$T(n) = 2T(n/2) + 4, \quad T(n/2) = 2 \cdot T(n/4) + 1$$

$$= 2(2 \cdot T(n/4) + 1) + 1$$

$$= 4T(n/4) + 2 + 1 \quad T(n/4) = 2T(n/8) + 1$$

$$= 4(2T(n/8) + 1) + 2 + 1$$

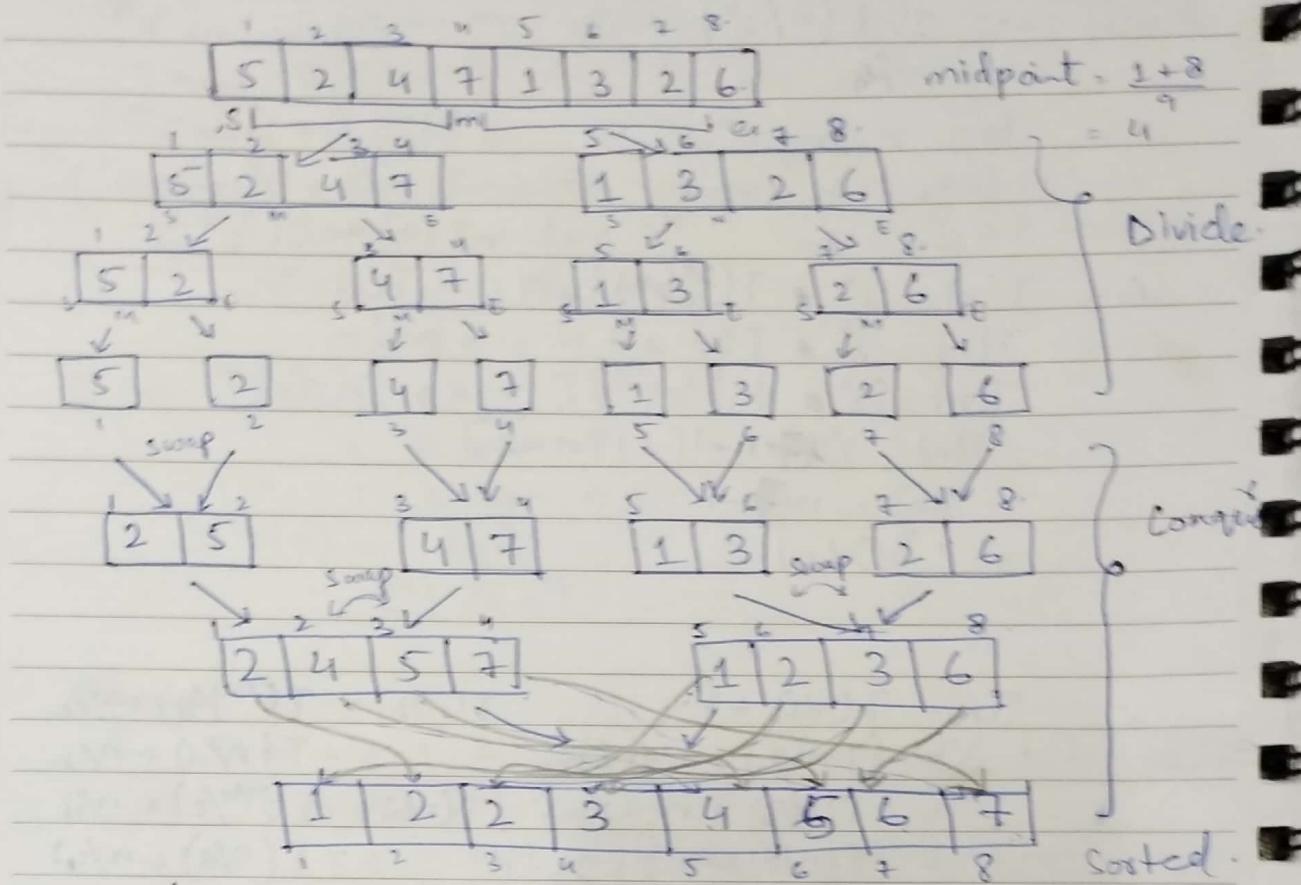
$$= 8T(n/8) + 4 + 2 + 1$$

$$\begin{aligned}
 &= 2^k T\left(\frac{n}{2^k}\right) + 7 \quad \text{①. assume } n = 2^k \\
 n &= 2^k \\
 \log n &= \log 2^k \\
 \log n &= k \log 2 \\
 \log n &= k \\
 \text{①} \Rightarrow T(n) &= 2^k \cdot T\left(\frac{2^k}{2^k}\right) + 7 \cdot n T(1) + \underbrace{7}_{n-1} \\
 T(n) &= 2^k \cdot T(1) + 7 \cdot n T(1) + n-1 \\
 T(n) &= (2^k \cdot 1) + 7 \cdot n T(1) + n-1 \\
 T(n) &= 2^k + n-1 \quad \because n = 2^k \\
 T(n) &= n+n-1 \\
 &\quad O(n).
 \end{aligned}$$

$$\begin{aligned}
 T(n) &= T\left(\frac{n}{2}\right) + n. \quad T\left(\frac{n}{2}\right) = T\left(\frac{n/2}{2}\right) + \frac{n}{2}. \\
 &= \left(T\left(\frac{n}{4}\right) + \frac{n}{2}\right) + n. \quad = T\left(\frac{n}{4}\right) + \frac{n}{2} \\
 &= T\left(\frac{n}{4}\right) + \frac{n}{2} + n. \quad T\left(\frac{n}{4}\right) = T\left(\frac{n/4}{2}\right) + \frac{n}{4}. \\
 &= \left(T\left(\frac{n}{8}\right) + \frac{n}{4}\right) + \frac{n}{2} + n. \quad = T\left(\frac{n}{8}\right) + \frac{n}{4} \\
 &= T\left(\frac{n}{8}\right) + \frac{n}{4} + \frac{n}{2} + n. \\
 &= T\left(\frac{n}{2^k}\right) + \frac{n}{2^{k-1}} + \frac{n}{2^{k-2}} + \dots + \frac{n}{2} + n \quad \text{assume } n = 2^k \\
 n &= 2^k \\
 \log n &= \log 2^k \\
 \log n &= k \log 2 \\
 \log n &= k \\
 \text{①} \Rightarrow T(n) &= T\left(\frac{n}{2^k}\right) + \frac{n}{2^{k-1}} + \frac{n}{2^{k-2}} + \dots + \frac{n}{2} + n \\
 T(n) &= T\left(\frac{2^k}{2^k}\right) + n\left(\frac{1}{2^{k-1}} + \frac{1}{2^{k-2}} + \dots + \frac{1}{2} + 1\right) \\
 T(n) &= T(1) + n(1+1) \\
 T(n) &= 1 + 2n \\
 T(n) &= 2n+1 \\
 &\quad O(n).
 \end{aligned}$$

MERGE-SORT

11-05-23.



Analyzing Merge Sort.

Algo: MERGE-SORT(A, s, e).

if start < end:

$\rightarrow C_1$

mid = start + (end - start) / 2.

$\rightarrow C_2$

MERGE-SORT(A, start, mid).

$\rightarrow n/2$

MERGE-SORT(A, mid+1, end)

$\rightarrow n/2$

MERGE(A, start, mid, end).

$\rightarrow O(n)$

$$\begin{aligned}
 T(n) &= 2T(n/2) + O(n). & 2T(n/2) &= 2T(n/2) + O(n) \\
 &= 2(2T(n/4) + O(n/2)) + O(n). & T(n/2) &= 2T(n/4) + O(n) \\
 &= 4T(n/4) + 2O(n/2) + O(n) & \therefore 2O(n/2) &\Rightarrow O(n/2) + O(n) \\
 &= 4T(n/4) + O(n) + O(n). & \Rightarrow O(\frac{n}{2} + \frac{n}{2}) &\Rightarrow O(\frac{n}{2}) \\
 &= 4(2T(n/8) + O(n/4)) + O(n) + O(n). & \Rightarrow O(\frac{n}{2}) &\Rightarrow O(n) \\
 &= 8T(n/8) + 4O(n/4) + O(n) + O(n) \\
 T(n) &= 8T(n/8) + O(n) + O(n) + O(n)
 \end{aligned}$$

in each recursion $\Rightarrow 2^k$ (array is being divided in 2^k)

$$k = 2^3$$

$$T(n) = 2^k T\left(\frac{n}{2^k}\right) + O(n) + O(n) + O(n).$$

$$T(n) = 2^k T\left(\frac{n}{2^k}\right) + kO(n).$$

assuming $n = 2^k$, $\log n = k$.

$$T(n) = n T\left(\frac{n}{2}\right) + \log n \quad O(n)$$

$$T(n) = n(1) + O(n \lg n)$$

$$T(n) = \Theta(n) + O(n \lg n).$$

$$T(n) = O(n \lg n)$$

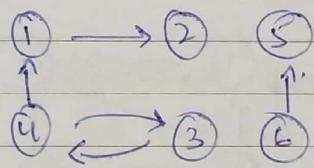
Time complexity for Merge-Sort $\approx O(n \lg n)$.

GRAPHS

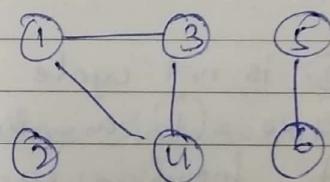
17-05-23

- Set of nodes (vertices) with edges (links) b/w them.
 - $G(V, E)$
 - Applications: Maps, Schedules, Computer Networks, Hypertext, Circuits.

Directed Graphs (digraphs).



Undirected graphs.



- uni-directional.

- in-degree of v :
edges entering v

- out-degree of v .
edges leaving v .

- v is adjacent to u

- degree of v.

- edges incident on
v

- v

Complete graph:

A graph with an edge b/w each pair of vertices
(at least)

Subgraph:

A graph (V', E') such that $V' \subseteq V$ & $E' \subseteq E$

Path from v to w :

A sequences of vertices $\langle v_0, v_1, \dots, v_k \rangle$ such that
 $v_0 = v$ & $v_k = w$.

Length of a path

Number of edges in the path

In case of loop, 1 is added to both indegree
in out-degree $\Rightarrow \text{v2}$

w is reachable from v .

If there is a path from v to w , then destination is
reachable from source.

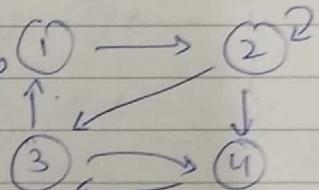
Simple path

all the vertices in path are distinct (trivial def.)

Cycles.

A path from $\langle v_0, v_1, \dots, v_k \rangle$ forms a cycle if
 $v_0 = v_k$ (v_0 = source & v_k = destination) & $k \geq 2$

② is not cycle it is a self-loop
if $v_0 = 1$ & $v_k = 1$.
it forms a cycle



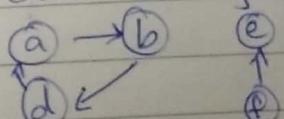
Acyclic graph

A graph without any cycles.

Connected & Strongly Connected.

Directed

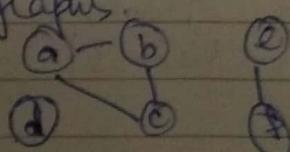
- Strongly connected:
every two vertices are
reachable from each other
- Strongly connected components
all possible strongly conne-
cted subgraphs.



$\{a, b, c, d\}, \{e\}, \{f\}$

Undirected

- connected:
every pair of vertices
is connected by paths.
- connected components.
all possible connected
graphs.



$\{a, b\}, \{c\}, \{d\}, \{e, f\}$

Graph Representation.

o Adjacency-List Representation.

Properties of Adjacency-List Representation:

- Memory required $\Theta(V+E)$.
- Preferred when The graph is sparse, $|E| \ll |V|^2$
- Disadvantage.. searching costly.
- Time to determine $(u,v) \in E \Rightarrow O(\text{degree}(u))$,

o Adjacency-Matrix Representation.

Properties.

- Memory Required $\Theta(V^2)$.
- Preferred when \Rightarrow The graph is dense $|E|$ is closed to $|V|^2$.
- Disadvantage.
- Time to determine $\Rightarrow O(1)$. if $(u,v) \in E$.
- Time to list all vertices adjacent to u $O(V)$.

Weighted Graph:

In weighted graph, each edge has weight that is a real number. $w(u,v)$.

$$w: E \rightarrow \mathbb{R}$$

Shortest Path Problems

Input:

Directed Graph $\Rightarrow G(V,E)$.

Weight Function $\Rightarrow w: E \rightarrow \mathbb{R}$.

Weight of path $P := \langle v_0, v_1, \dots, v_k \rangle$

$$w(P) = \sum_{i=1}^k w(v_{i-1}, v_i).$$

Shortest path

$$\delta(u,v) = \min$$

Variants.

- Single-source shortest path.

$G(V, E) \Rightarrow$ find a shortest path from a given source node to every other node.

- Single-destination.

Inverse of single-source.

- Single-pair.

Shortest path from u to v.

- All pairs shortest path

shortest path for every pair of nodes.

Negative Weight Edges.

18-05-23

Algorithms:

- ① Dijkstra's:

Negative weights are not allowed.

- ② Bellman-Ford.

Negative weights are allowed.

Negative cycles are not allowed.

Operations in both

- Initialization

- Relaxation.

Shortest-Path Notations.

For each $v \in V$

$\delta(s, v)$: shortest path weight.

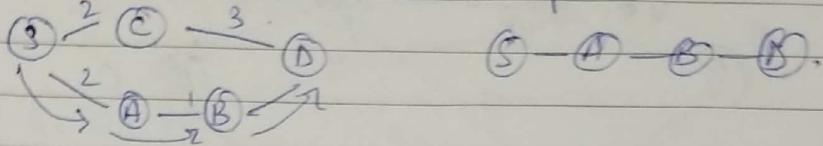
$d[v]$: shortest-path weight estimate

- Initially, $d[v] = \infty$ (a bigger value),

- $d[v] = \delta(s, v)$.

- $\pi[v]$: predecessor of v on a shortest path from parent of the vertex, we've to remember.
if no $\pi(v) = \text{NIL}$.

π induces in a tree, shortest-path tree.



$$\infty + \infty = \notin \infty$$

Initialization.

- ① Initialize - Single - Source;

for each $v \in V$, put every distance to a node to ∞ , make their parents null as the parent of a node will be defined when we'll find shortest distance for the nodes (in lastly the distance of source node will be zero).

Relaxation Step:

- ② Relaxing an edge (u, v) , we'll test whether the shortest path can be improved from u to v .
if $d[v] > d[u] + w(u, v)$, $\rightarrow \text{RELAX}(u, v, w)$.
improve the shortest pat.
 $d[v] \leftarrow d[u] + w(u, v)$. [distance updated].
 $\pi[v] \leftarrow u$ (parent set)

Dijkstra's Algorithm:

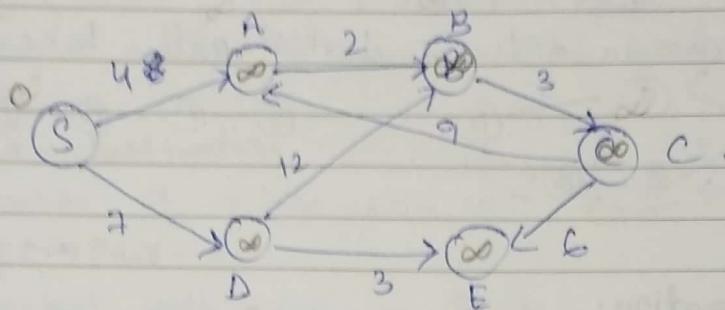
- Single-source shortest path problem.
 - No -ve weight edges: $w(u, v) \geq 0, \forall (u, v) \in E$
- Min-heap will be used.

Dijkstra (G, w, s):

1. INITIALIZE-SINGLE-SOURCE(v, s) $\leftarrow \Theta(V)$.
2. $S \leftarrow \emptyset$
3. $Q \leftarrow V[G] \leftarrow \Theta(V)$ build min-heap
4. while $Q \neq \emptyset \leftarrow \text{Extract } O(V) \text{ times}$ $\leftarrow O(V \lg V)$
5. do $u \leftarrow \text{EXTRACT-MIN}(Q) \leftarrow O(\lg V)$
6. $S \leftarrow S \cup \{u\}$ (Addit.)
7. for each vertex $v \in \text{Adj}[u] \leftarrow O(E)$ $\leftarrow O(E \lg V)$
8. do $\text{RELAX}(u, v, w)$
9. UPDATE & (DECREASE-KEY) $\leftarrow O(\lg V)$

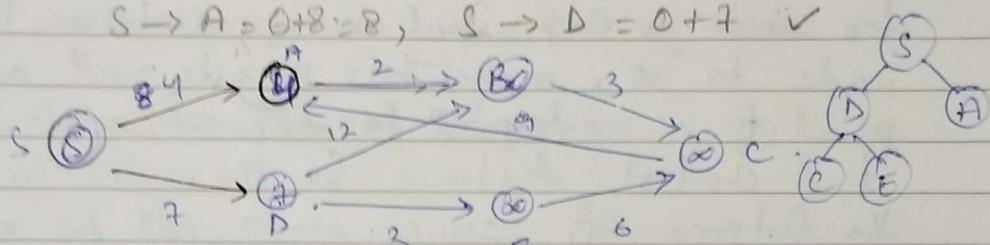
Activity.

- Apply Dijkstra Algorithm on the given graph.

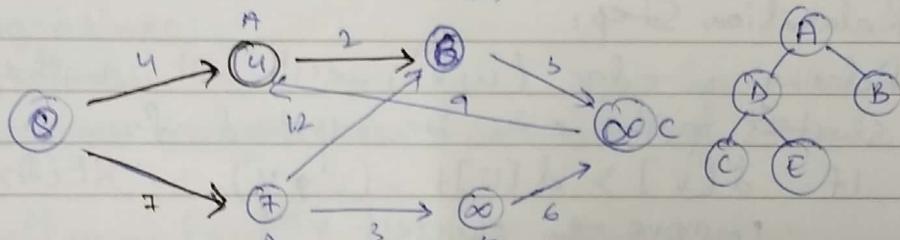


$$S = \{S\} \quad Q = \{A, B, C, D, E\}$$

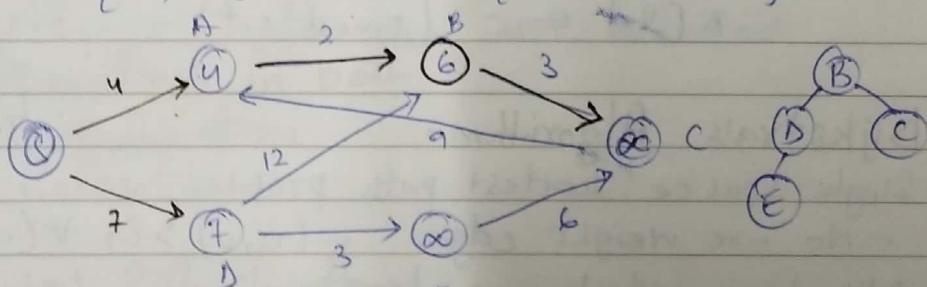
$$S \rightarrow A = 0 + 4 = 4, \quad S \rightarrow D = 0 + 7 \quad \checkmark$$



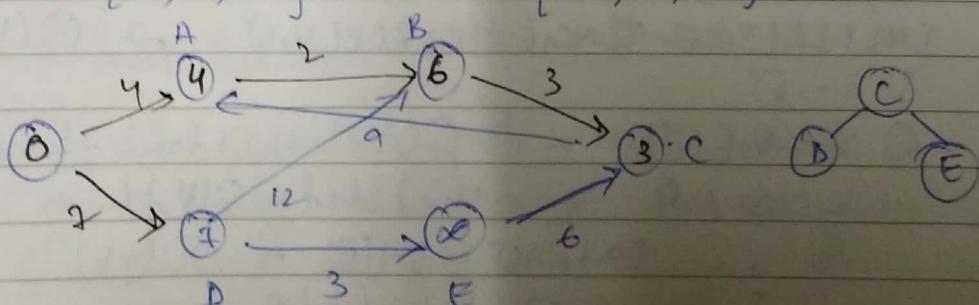
$$S = \{S, A\} \quad Q = \{B, C, D, E\}$$



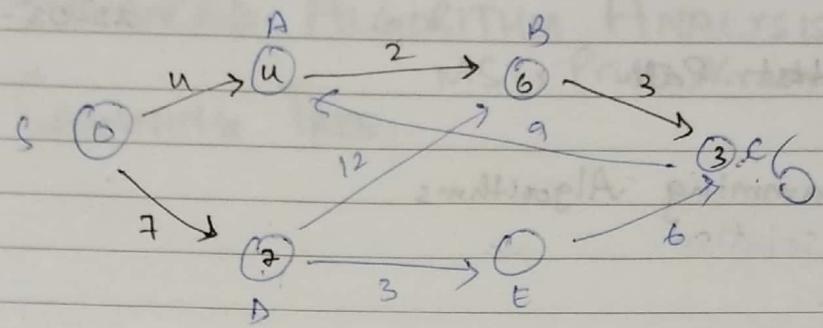
$$S = \{S, A, B\} \quad Q = \{C, D, E\}$$



$$S = \{S, A, B, C\} \quad Q = \{D, E\}$$



$$S = \{S, A, B, C, D\} \quad Q = \{E\}$$



$$S = \{S, A, B, C\}$$

24-05-23

Running time of Dijkstra

$$\frac{V \log V \times E \log V}{VE \cdot \lg^2 V \cdot V}$$

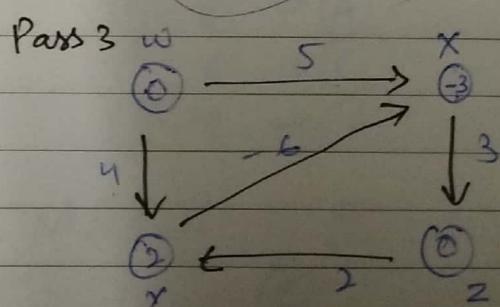
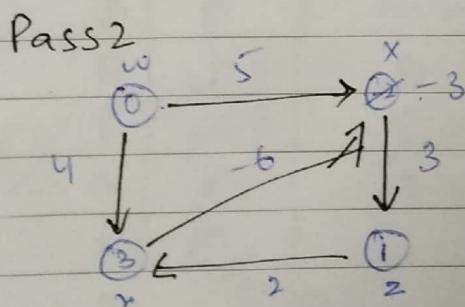
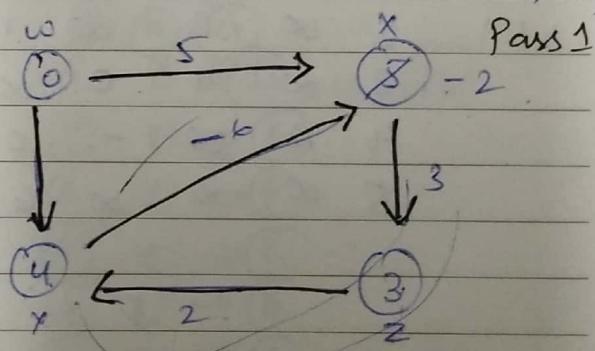
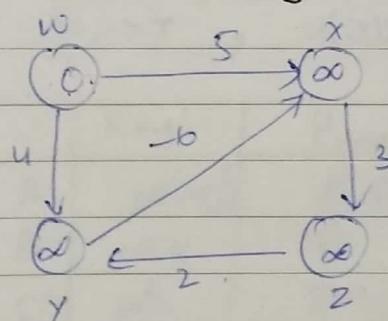
BELLMAN - FORD ALGORITHM:

This algorithm can handle negative weights as well.

Running time $\Rightarrow O(VE)$.

Activity.

$$E: (w, x), (w, y), (x, z), (y, x), (z, y)$$



All-Pairs Shortest Path

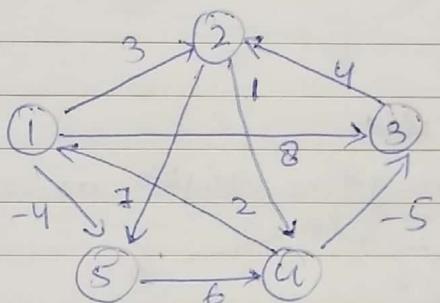
Dynamic Programming Algorithms

- Optimum solution
- Recursion
- Back tracking

①

FLOYD-WARSHALL ALGORITHM (FOR A.P.S.P.).

- directed graph
- runs in $O(V^3)$.
- -ve weights are handled but not -ve cycles.



	1	2	3	4	5
1	0	3	8	∞	-4

$$\Delta(0) = \begin{matrix} 1 & 2 & 3 & 4 & 5 \\ 1 & 0 & 3 & 8 & \infty & -4 \\ 2 & \infty & 0 & \infty & 1 & 7 \\ 3 & \infty & 4 & 0 & \infty & \infty \\ 4 & 2 & \infty & -5 & 0 & \infty \\ 5 & \infty & \infty & \infty & 6 & 0 \end{matrix}, \pi(0)$$

ADVANCED ALGORITHM ANALYSIS

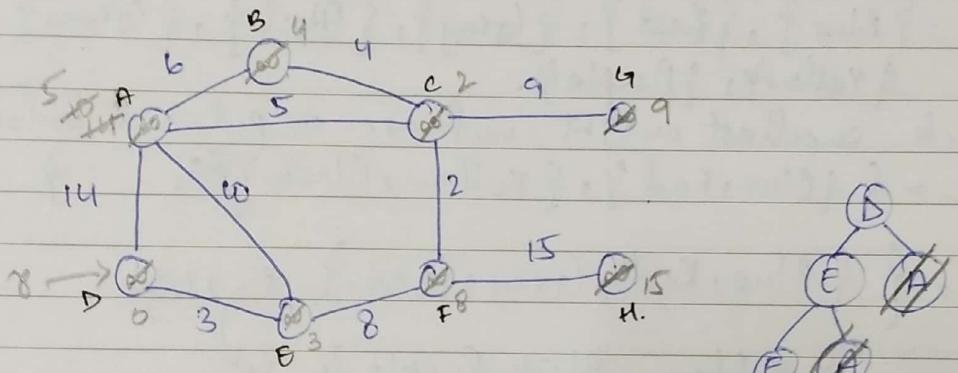
31-5-23.

MST, Prims, Kruskal.

SPANNING TREE..

Minimum Spanning Tree.

Prim's Algorithm. \Rightarrow Ends any cycle in the graph.
 $\text{MST}(G, w, s)$ // $G \Rightarrow$ graph, $w \Rightarrow$ weights, $s \Rightarrow$ random source.



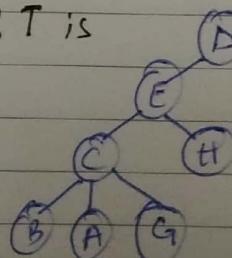
$$Q = \{A, B, C, D, E, F, G, H\}$$

$(D) \rightarrow s$, $u = s = D$.

$(D) \rightarrow s$, $u = D = D$

Key	Parent
A	14, 10
B	6, 4
C	5
D	NULL
E	3
F	8
G	9
H	15

MST is

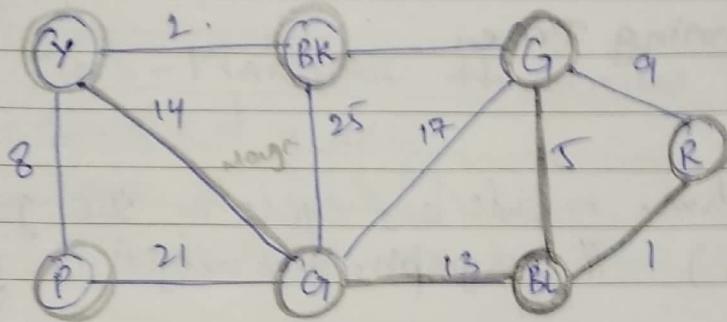


$O(E \log V)$.

Kruskal's Algorithm

Kruskal()

→ Makeset() → yeh haan vertex ko ek alg set me skh raha hai.



Sets = {Blue}, {Red}, {Grey}, {Black}, {Green},
{Yellow}, {Purple}.

check smallest weight vertices. or put in E order.

E = {{Blue, Red}, {Yellow, Black}, ..., }.

T = {{Blue, Red, Grey, Green}, {Yellow, Black, Purple}}. ↪ Merge.



Sets = $\{\text{Blue}\}, \{\text{Red}\}, \{\text{Gray}\}, \{\text{Black}\}, \{\text{Green}\}$
 $\{\text{Yellow}\}, \{\text{Purple}\}$.
 check smallest weight vertices. α_1 put in E order.
 $E = \{\text{Blue}, \text{Red}\}, \{\text{Yellow}, \text{Black}\}, \dots\}$.

$$T = \{ \begin{array}{l} \{\text{Blue}, \text{Red}, \text{Grey}, \text{Green}\} \\ \{\text{Yellow}, \text{Black}, \text{Purple}\} \end{array} \} \rightarrow \text{Merge}.$$

$\{\text{Yellow}, \text{Black}, \text{Purple}\}$

- Disjoint Data Set:
- Union - find data structure.

07-06-23.

Union find algorithm:

- Make set: Make sets which contains 1 element.
- Find set: $\{\text{A}\}, \{\text{B}\}, \{\text{C}\}, \{\text{D}\}, \{\text{E}\}, \{\text{F}\}, \{\text{G}\}$.
- Find set: Find $\{\text{F}\}$ find $\{\text{G}\}$.
- Union

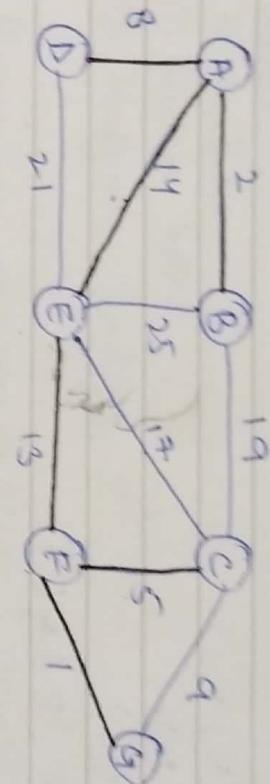
$$\text{Union}(\{\text{F}\} \cup \{\text{G}\})$$

$\{\text{F}, \text{G}\}$

$\text{Union}(\text{Find set}(\{\text{A}\}), \text{Find set}(\{\text{B}\}))$.

- Union by height
- Union by weight

Kruskal Algorithm



Make Set $\{\{A\}, \{B\}, \{C\}, \{D\}, \{E\}, \{F\}, \{G\}\}$

$E = \{\{F, G\}, \{A, B\}, \{A, D\}, \{F, C\}, \{A, D\}, \{C, G\}, \{E, F\}, \{A, E\}, \{C, E\}, \{B, C\}, \{D, E\}, \{B, E\}\}$

$\{A\}, \{B\}, \{C\}, \{D\}, \{E\}, \{F\}, \{G\}$

-

$\{A\} \quad \{F\} \quad \{A, B\}, \{C\}, \{D\}, \{E\}, \{F\}, \{G\}$

$\{A\} \quad \{F\} \quad \{A, B\}, \{C\}, \{D\}, \{E\}, \{F\}, \{G\}$

$\{A, B\}, \{D\}, \{E\}, \{F\}, \{G\}$

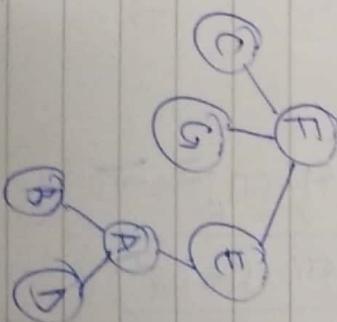
$\{A\} \quad \{F\} \quad \{A, B\}, \{D\}, \{E\}, \{F\}, \{G\}$

$\{A, B, D\}, \{E\}, \{F\}, \{C, G\}$

$\{A\} \quad \{F\} \quad \{A, B, D\}, \{E\}, \{F\}, \{C, G\}$

$\{A, B, D\}, \{F, C, G\}$

$\rightarrow (\text{Union by Weight})$



$\{A, B, C\}, \{D, E\}$

Running Time

- Make set $O(V)$
- Find set $O(E)$
- Union $O(V)$
- Set edges $O(E \log E)$

DYNAMIC PROGRAMMING.

- Factorial
- Fibonacci Series

Longest Common Sequence.

For X , find longest common subsequence in Y .

Brute - Force

For every subsequence of X , check whether it is a subsequence of Y .

A recursive solution

Case 1: $x_i = y_j$

$$x_i = \langle A, B, D, E \rangle$$

$$y_j = \langle Z, B, E \rangle.$$

$$c[i, j] = c[i-1, j-1] + 1.$$

Like diagonal me $i-1$

	x_i	y_j	B	D	C	A	B	A
1	A	O	O	O	O	O	O	O
2	B	O	O	O	O	O	O	O
3	C	O	O	O	O	O	O	O
4	B	O	O	O	O	O	O	O
5	D	O	O	O	O	O	O	O
6	A	O	O	O	O	O	O	O
7	B	O	O	O	O	O	O	O

Length = 4

$X = \langle A, B, C, B, D, A, B \rangle$

$Y = \langle B, D, C, A, B, A \rangle$

Running Time

Make set $O(V)$.

Find set $O(E)$.

Union $O(V)$

Set edges $O(E \log E)$

DYNAMIC PROGRAMMING.

- Factorial
- Fibonacci Series

Longest Common Sequence.

For X , find longest common subsequence in Y .

Brute-force

For every subsequence of X , check whether it is a subsequence of Y .

A recursive solution:

Case 1: $x_i = y_i$

$x_i = \langle A, B, D, E \rangle$

$y_i = \langle Z, B, E \rangle$.

$c[i, y] = c[i-1, j-1] + 1$.

\hookrightarrow use diagonal $m+1$

	0	1	2	3	4	5	6	
0	x_i	y_j	B	D	C	A	B	A
1	A	O	O	O	O	O	O	O
2	B	O	$\nearrow 1$	$\nwarrow 1$	$\nearrow 1$	$\nwarrow 1$	$\nearrow 1$	$\nwarrow 1$
3	C	O	$\nearrow 1$	$\nwarrow 1$	$\nearrow 1$	$\nwarrow 1$	$\nearrow 1$	$\nwarrow 1$
4	B	O	$\nearrow 1$	$\nwarrow 1$	$\nearrow 1$	$\nwarrow 1$	$\nearrow 1$	$\nwarrow 1$
5	D	O	$\nearrow 1$	$\nwarrow 2$	$\nearrow 2$	$\nwarrow 2$	$\nearrow 2$	$\nwarrow 2$
6	A	O	$\nearrow 1$	$\nwarrow 2$	$\nearrow 2$	$\nwarrow 3$	$\nearrow 3$	$\nwarrow 3$
7	B	O	$\nearrow 1$	$\nwarrow 2$	$\nearrow 2$	$\nwarrow 3$	$\nearrow 3$	$\nwarrow 4$

Length = 4

$X = \langle A, B, C, B, D, A, B \rangle$
 $Y = \langle B, D, C, A, B, A \rangle$

Presentation

3 Members.

① Quick Sort

② Huffman Coding. ✓

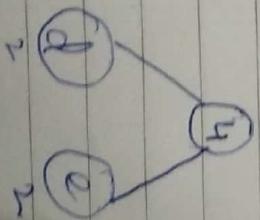
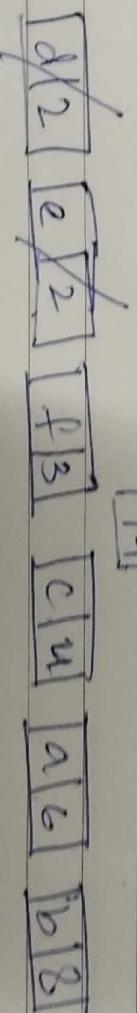
Algorithm uses pseudo code, example, complexity.
 ↓
 applications

Huffman (C)

 $n = C.length$ $Q = C$.for $i=1$ to $n-1$ z, u, y $x = ExtractMin(Q)$ $y = ExtractMin(Q)$ $z.left = x$ $z.right = y$ $z.freq = u.freq + y.freq$ Insert (Q, z) .
 return Extract-Min(Q).

g.

[14]



Recurrence Relations

Binary Search

Merge Sort

Graphs

Shortest Path Problems.

→ Dijkstra's Algorithm

→ Bellman-Ford Algorithm

→ Floyd-Warshall's Algorithm.

Trees

Minimum Spanning Tree.

→ Prim's Algorithm

→ Kruskal's Algorithm. (Disjoint Data Structure)

Longest Common Subsequence → Dynamic Programming

Quick Sort. →

Huffman Coding → Greedy