

# Chapter 4

## Codes as Kernels

In  $\mathbb{F}_q^n$ , just as in  $\mathbb{R}^n$ , we can calculate the **dot** (or scalar) **product** of two vectors:  $\mathbf{x} \cdot \mathbf{y} = x_1y_1 + \cdots + x_ny_n$ , and if  $\mathbf{x} \cdot \mathbf{y} = 0$  we say that  $\mathbf{x}$  and  $\mathbf{y}$  are **orthogonal**. (But since we multiply and add mod  $q$ , a non-zero vector  $\mathbf{x}$  can easily have  $\mathbf{x} \cdot \mathbf{x} = 0$ , and so be orthogonal to itself. <sup>1</sup>)

The **kernel** of a linear map  $f : \mathbb{F}_q^n \rightarrow \mathbb{F}_q^m$  is the vectors which it sends to  $\mathbf{0}$ :  $\ker(f) = \{\mathbf{x} \in \mathbb{F}_q^n \mid f(\mathbf{x}) = \mathbf{0}\}$ .

By combining these two ideas we get a new way to specify a code, and to find its minimum distance. We also find a much better algorithm for detecting (and sometimes correcting) errors.

### 4.1 Dual codes

If  $C$  is a code in  $\mathbb{F}_q^n$ , then ‘ $C$  dual’, written  $C^\perp$ , is the space of all vectors in  $\mathbb{F}_q^n$  which are orthogonal to every codeword in  $C$ .

**Definition 4.1.** Let  $C$  be a code in  $\mathbb{F}_q^n$ . Then its **dual**  $C^\perp = \{\mathbf{v} \in \mathbb{F}_q^n \mid \mathbf{v} \cdot \mathbf{u} = 0 \text{ for all } \mathbf{u} \in C\}$ .

But we do not have to check  $\mathbf{v}$  against *every*  $\mathbf{u}$  in  $C$ , one by one.

**Proposition 4.2.** If  $C$  has generator matrix  $G$ , then  $C^\perp = \{\mathbf{v} \in \mathbb{F}_q^n \mid \mathbf{v}G^t = \mathbf{0}\}$ .

*Proof.* The rows of  $G$  are a basis for  $C$ , say  $\{\mathbf{b}_1, \dots, \mathbf{b}_k\}$ . Then certainly we require  $\mathbf{v} \cdot \mathbf{b}_i = 0$  for every  $1 \leq i \leq k$ . But also, since the dot product is linear in the second input (in fact, in both), then if  $\mathbf{u} = u_1\mathbf{b}_1 + \cdots + u_k\mathbf{b}_k$ , we have  $\mathbf{v} \cdot \mathbf{u} = u_1\mathbf{v} \cdot \mathbf{b}_1 + \cdots + u_k\mathbf{v} \cdot \mathbf{b}_k$ . Thus it is enough to check that  $\mathbf{v} \cdot \mathbf{b}_i = 0$  for all the  $\mathbf{b}_i$ . We can do this by checking that

$$\mathbf{v} \cdot G^t = (v_1, \dots, v_n) \begin{pmatrix} | & & | \\ \mathbf{b}_1 & \cdots & \mathbf{b}_k \\ | & & | \end{pmatrix} = (0, \dots, 0) = \mathbf{0}.$$

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<sup>1</sup>Thus the dot product is not (generally) an inner product on  $\mathbb{F}_q$ , so we cannot use  $\mathbf{x} \cdot \mathbf{x}$  as a norm, and we do not have any idea of the length of a vector in  $\mathbb{F}_q^n$ .

□

Multiplying by  $G^t$  is of course a linear map  $f_{G^t} : \mathbb{F}_q^n \rightarrow \mathbb{F}_q^k$ , and the  $\mathbf{v}$ s we want are exactly  $\ker(f_{G^t})$ , or the nullspace of  $G^t$ . Draw a picture of these spaces and maps:  $C$  and  $C^\perp$  are both in  $\mathbb{F}_q^n$ . They will intersect, at least in  $\mathbf{0}$ .  $C$  is the image of the map  $f_G$  coming from  $\mathbb{F}_q^k$ ;  $C^\perp$  is the kernel of the map  $f_{G^t}$  going to  $\mathbb{F}_q^k$ .

**Proposition 4.3.** *Let  $C$  be a code in  $\mathbb{F}_q^n$ . Then  $C^\perp$  is a code, and if  $\dim(C) = k$ , then  $\dim(C^\perp) = n - k$ .*

*Proof.* Since  $f_{G^t}$  is a linear map, its kernel is a (linear) subspace, and so a (linear) code. The dimension of the kernel is the ‘nullity’ of the map, and we know<sup>2</sup> that for the linear map  $f_{G^t} : \mathbb{F}_q^n \rightarrow \mathbb{F}_q^k$ , we have  $\text{rank} + \text{nullity} = \dim(\mathbb{F}_q^n) = n$ . The rank of the map is the row-rank of  $G^t$ ; in fact row- or column-rank of  $G$  or  $G^t$  are all four equal to  $k$ . So the nullity is  $n - k$ . □

The ‘dual’ idea appears in many different areas of mathematics, but it is usually, as in this case, a ‘self-inverse’ operation:

**Proposition 4.4.** *For  $C \subseteq \mathbb{F}_q^n$ ,  $(C^\perp)^\perp = C$ .*

*Proof.* If  $C$  has basis  $\{\mathbf{u}_1, \dots, \mathbf{u}_k\}$  and  $C^\perp$  has basis  $\{\mathbf{v}_1, \dots, \mathbf{v}_{n-k}\}$ , then we know that for any  $\mathbf{u}_i$  and  $\mathbf{v}_j$  we have  $\mathbf{v}_j \cdot \mathbf{u}_i = 0$ . But this also shows that every  $\mathbf{u}_i \in (C^\perp)^\perp$ , so  $C \subseteq (C^\perp)^\perp$ . By Proposition 4.3 we know that  $\dim((C^\perp)^\perp) = n - (n - k) = k = \dim(C)$ , so they must be equal. □

Suppose  $C$  has generator matrix  $G$  with rows  $\mathbf{u}_1 \dots \mathbf{u}_k$ , how can we find out more about  $C^\perp$ ? We would like to find a basis, and thus a generator matrix for it. The vectors in  $C^\perp$

are those  $\mathbf{v}$  such that  $\mathbf{v}G^t = (v_1, \dots, v_n) \begin{pmatrix} | & & | \\ \mathbf{u}_1 & \cdots & \mathbf{u}_k \\ | & & | \end{pmatrix} = \mathbf{0}$ . As in Section 3.3,  $G^t$  is

not invertible, but we can solve the  $k$  equations  $\mathbf{v} \cdot \mathbf{u}_i = 0$ . Again, one way to do this is to take transposes,  $(G^t)^t \mathbf{v}^t = G \mathbf{v}^t = \mathbf{0}$ , and then row-reduce the augmented matrix  $(G \mid \mathbf{0})$ . Once we have  $G$  in RREF, we can find a basis for  $C^\perp$  from the new, simpler equations.

The following algorithm “automates” this process, working straight from  $G$  in RREF to the basis for  $C^\perp$ .

**Algorithm: Finding a basis for a dual code**

Suppose that  $C$  has a generator matrix  $G = (g_{ij}) \in M_{k,n}(\mathbb{F}_q)$ , and  $G$  is in RREF.

- Let  $L = \{1 \leq j \leq n \mid G \text{ has a leading 1 in column } j\}$ .
- For each  $1 \leq j \leq n$ ,  $j \notin L$ , make a vector  $\mathbf{v}_j$  as follows:
  - \* for  $m \notin L$ : the  $m^{\text{th}}$  entry of  $\mathbf{v}_j$  is 1 if  $m = j$ , 0 otherwise.
  - \*\* Fill in the other entries of  $\mathbf{v}_j$  (left to right) as  $-g_{1j}, \dots, -g_{kj}$ .
- These  $n - k$  vectors  $\mathbf{v}_j$  are a basis for  $C^\perp$ .

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<sup>2</sup>Strictly, in Linear Algebra you only proved this for vector spaces over  $\mathbb{R}$ , but it is true in general.

**Example 30.** Let  $C$  be the code in  $\mathbb{F}_5^7$  with generator matrix

$$G = \begin{pmatrix} 1 & 2 & 0 & 3 & 4 & 0 & 0 \\ 0 & 0 & 1 & 1 & 2 & 0 & 3 \\ 0 & 0 & 0 & 0 & 0 & 1 & 4 \end{pmatrix}$$

To find a basis for  $C^\perp$  we first note that  $G$  is already in RREF, and the leading 1s are in columns 1, 3, and 6. Thus  $L = \{1, 3, 6\}$ , and we make vectors for a basis  $\{\mathbf{v}_2, \mathbf{v}_4, \mathbf{v}_5, \mathbf{v}_7\}$ . Step \* fills in the “non- $L$ ” entries, so that the incomplete vectors look a bit like a standard basis:

$$\begin{aligned} \mathbf{v}_2 &= ( \quad, 1, \quad, 0, 0, \quad, 0) & \mathbf{v}_4 &= ( \quad, 0, \quad, 1, 0, \quad, 0) \\ \mathbf{v}_5 &= ( \quad, 0, \quad, 0, 1, \quad, 0) & \mathbf{v}_7 &= ( \quad, 0, \quad, 0, 0, \quad, 1) \end{aligned}$$

Then step \*\* uses the corresponding columns to complete the vectors. For example, since column 7 is  $(0, 3, 4)$ , we complete  $\mathbf{v}_7$  with the additive inverses of these: 0, 2, and 1. So we have

$$\begin{aligned} \mathbf{v}_2 &= (3, 1, 0, 0, 0, 0, 0) & \mathbf{v}_4 &= (2, 0, 4, 1, 0, 0, 0) \\ \mathbf{v}_5 &= (1, 0, 3, 0, 1, 0, 0) & \mathbf{v}_7 &= (0, 0, 2, 0, 0, 1, 1) \end{aligned}$$

△

Notice that, since  $G$  is in RREF, in column  $j$  all the entries after the  $j^{\text{th}}$  will be 0. This is why, in step \*\*, we find that  $\mathbf{v}_j$  is all zeros after the  $j^{\text{th}}$  entry (which is the 1 from step \*).

We will not write out a formal proof that this algorithm works: it is a straightforward calculation but involves a lot of notation. But, having found your  $\mathbf{v}_j$ , it is easy to check they are indeed a basis: Firstly, step \* ensures that each  $\mathbf{v}_j$  has a 1 in column  $j$ , where all the others have 0, so the vectors are linearly independent. Secondly, to see they are in  $\ker(f_{G^t})$ , check that each  $\mathbf{v}_j G^t = \mathbf{0}$ . This shows why we do step \*\*: everything cancels out just right. Since we know that  $\dim(\ker(f_{G^t})) = n - k$ , this proves we have a basis.

We can now make a generator-matrix  $H$  for  $C^\perp$ , by taking the  $\mathbf{v}_j$ , in order, as rows. In general,  $H$  is not in RREF, but we can row-reduce it if necessary. As in Section 3.4, if  $G$  is in standard form, the process is even easier:

**Proposition 4.5.** *If  $C \subseteq \mathbb{F}_q^n$  has generator-matrix  $G = (I_k \mid A)$ , then a generator-matrix for  $C^\perp$  is  $H = (-A^t \mid I_{n-k})$ .*

Again this is fiddly to prove in general, but becomes obvious with examples; this  $H$  is exactly the generator-matrix for  $C^\perp$  produced by the algorithm above. Again,  $H$  can be row-reduced to RREF, but not necessarily to standard form.

## 4.2 Check-matrices

In the last section we showed that  $C^\perp = \{\mathbf{v} \in \mathbb{F}_q^n \mid \mathbf{v}G^t = \mathbf{0}\}$ , where  $G$  is a generator-matrix for  $C$ . But if we then find  $H$ , a generator-matrix for  $C^\perp$ , it is also true that

$C = (C^\perp)^\perp = \{\mathbf{v} \in \mathbb{F}_q^n \mid \mathbf{v}H^t = \mathbf{0}\}$ . This is a very useful new way to specify any linear code.

**Definition 4.6.** Let  $H \in M_{n-k,n}(\mathbb{F}_q)$  have linearly independent rows, and let  $C = \{\mathbf{v} \in \mathbb{F}_q^n \mid \mathbf{v}H^t = \mathbf{0}\}$ . Then  $H$  is a **check-matrix** for  $C$ .

The name makes sense: we use  $H$  (or, in practice, its transpose) to ‘check’ whether  $\mathbf{v}$  is in  $C$  or not. Notice that the rank of the map  $f_{H^t}$  is the rank of the matrix  $H^t$ , which is  $n - k$ . So the dimension of the code  $C$  defined in this way, which is the nullity of  $f_{H^t}$ , is  $n - (n - k) = k$ .

**Proposition 4.7.** If the code  $C$  has generator-matrix  $G$  and check-matrix  $H$ , then  $C^\perp$  has check-matrix  $G$  and generator-matrix  $H$ .

*Proof.* Suppose  $\dim(C) = k$ . Then  $G$  has  $k$  rows, and  $H$  has  $n - k$  rows. Also, by Proposition 4.3,  $\dim(C^\perp) = n - k$ .

The rows of  $G$  are linearly independent, and by Prop. 4.1 we know that  $C^\perp = \{\mathbf{v} \in \mathbb{F}_q^n \mid \mathbf{v}G^t = \mathbf{0}\}$ , so  $G$  is a check-matrix for  $C^\perp$ .

The rows of  $H$  are orthogonal to every codeword in  $C$ , so they are in  $C^\perp$ . They are also linearly independent, and there are  $n - k$  of them, so they form a basis for  $C^\perp$ .  $\square$

The relationships among a code, its dual, and their respective generator- and check-matrices can be clarified by drawing pictures of the spaces and maps involved. They can also be very usefully summarised in the following table:

	$C$	$C^\perp$
Generator-matrix	$G$	$H$
Check-matrix	$H$	$G$

In the last section we discussed an algorithm which finds the basis of a dual space. So it finds  $H$  from  $G$ . But this means it also finds a check-matrix for  $C$  from its generator-matrix. Or, if we are given the check-matrix  $H$  for  $C$ , we can regard  $H$  as a generator-matrix for  $C^\perp$ , and then use the same algorithm to find a generator-matrix for  $C = (C^\perp)^\perp$ . So we can use the algorithm to move either horizontally or vertically on the table; for this reason we can call it “the  $G \leftrightarrow H$  algorithm”.

If the matrix you have (either  $G$  or  $H$ ) is in standard form  $(I_k \mid A)$ , the simpler algorithm of Proposition 4.5 can also be used to find the other one. Moreover, if we have  $H$  or  $G$  in form  $(A \mid I_k)$ , we can regard it as a check-matrix corresponding, by Proposition 4.5, to a generator matrix of form  $(I_{n-k} \mid -A^t)$ . (See Q47) For this reason,  $(A \mid I_k)$  can be regarded as standard form for check-matrices. But since every check-matrix for a code  $C$  is also a generator-matrix for  $C^\perp$  this could be confusing; it seems best to specify each time whether we mean standard form  $(I_k \mid A)$  or standard form  $(A \mid I_k)$ .

**Example 31.** Let  $C = \{\mathbf{v} \in \mathbb{F}_2^5 \mid \mathbf{v}H^t = \mathbf{0}\}$ , with the single-row check-matrix  $H = (1\ 1\ 1\ 1\ 1)$ . Then the codewords of  $C$  are  $\mathbf{c} = (c_1, \dots, c_5)$  such that  $c_1 + \dots + c_5 = 0$ , so those with even weight. Thus  $H$  performs a simple “parity check”; to make a codeword we can choose 0 or 1 freely for any four of the entries, but the final entry must make the weight even. To find a basis for this code, since  $H$  is in standard form  $(I_1 \mid A)$ , we can use Proposition 4.5 and write down a generator-matrix  $G_1 = (A^t \mid I_4)$ . (For a binary code,  $A = -A$ .) But  $H$  is also in form  $(A \mid I_1)$ , so  $G_2 = (I_4 \mid A^t)$  is another generator matrix.

$$\text{In fact, } G_2 = \begin{pmatrix} 1 & 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 1 \end{pmatrix} \text{ is the RREF form of } G_1 = \begin{pmatrix} 1 & 1 & 0 & 0 & 0 \\ 1 & 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 & 1 \end{pmatrix}. \quad \triangle$$

What if  $C = \{\mathbf{v} \in \mathbb{F}_q^n \mid \mathbf{v}A^t = \mathbf{0}\}$ , but  $A \in M_{m,n}(\mathbb{F}_q)$  does not have linearly independent rows? Or perhaps we do not know whether its rows are independent or not? It is still true that  $C = \ker(f_{A^t})$ , and we might call  $A$  an “acting check-matrix” for  $C$  - it is doing the checking job, but it may not be fully qualified. Then, also, the rows of  $A$  are a spanning set for  $C^\perp = \{\mathbf{v}A \mid \mathbf{v} \in \mathbb{F}_q^m\} = \text{im}(f_A)$ , but may not be a basis. We could similarly call  $A$  an “acting generator-matrix” for  $C^\perp$ .

Of course, using a check-matrix (or an acting check-matrix) to define a code is only a convenient new notation for a very familiar idea. You are familiar with defining a subspace using equations in the coordinates.

**Example 32.** If  $H = \begin{pmatrix} 1 & 2 & 3 \\ 0 & 4 & 1 \end{pmatrix} \in M_{2,3}(\mathbb{F}_5)$ , and  $C = \{\mathbf{v} \in \mathbb{F}_5^3 \mid \mathbf{v}H^t = \mathbf{0}\}$ , then  $C = \{(v_1, v_2, v_3) \in \mathbb{F}_5^3 \mid v_1 + 2v_2 + 3v_3 = 0 \text{ and } 4v_2 + v_3 = 0\}$ .  $\triangle$

To solve such sets of equations, you would manipulate them in ways which correspond to elementary row operations on the check-matrix. This confirms that (as with generator-matrices) row-reducing a check-matrix for a code  $C$  gives another check-matrix for  $C$ .

## 4.3 Syndrome Decoding

In medicine, a “syndrome” is a collection of symptoms or characteristics which occur together. They are often apparently unrelated, but are assumed to have a single cause; over the last few decades, a genetic cause has been identified for many syndromes.

Similarly, the “syndrome” of a received word is useful evidence as to what error it may have suffered. We find the syndrome using the check-matrix. Thus, just as a generator-matrix makes it easy for a sender to encode a message, a check-matrix can help a receiver to decode a received word.

**Definition 4.8.** Suppose a code  $C$  has check-matrix  $H$ , so  $C = \{\mathbf{x} \in \mathbb{F}_q^n \mid \mathbf{x}H^t = \mathbf{0}\}$ . For any received word  $\mathbf{y}$ , its **syndrome** is  $S(\mathbf{y}) = \mathbf{y}H^t$ .

Thus  $S(\mathbf{y}) = \mathbf{0}$  if and only if  $\mathbf{y}$  is a codeword. In this case we assume that it is in fact the one which was sent and no error-vector was added. In this way, the syndrome detects errors.

But a non-zero syndrome can also help to correct errors, by helping us to guess an error which is likely to have occurred. We know that  $f_{H^t} : \mathbb{F}_q^n \rightarrow \mathbb{F}_q^{n-k}$  is a linear map. So if  $\mathbf{y} = \mathbf{c} + \mathbf{e}$ , where  $\mathbf{c} \in C$ , then  $S(\mathbf{y}) = S(\mathbf{c}) + S(\mathbf{e}) = \mathbf{0} + S(\mathbf{e}) = S(\mathbf{e})$ . So the syndrome of the received word is the same as that of the error-vector  $\mathbf{e}$ . The syndrome is able to ignore the codeword and just “pick out” the error.

Unfortunately knowing  $S(\mathbf{e})$  does not tell us  $\mathbf{e}$ , because the syndrome map  $f_{H^t}$  is not injective: two different errors can have the same syndrome. The following algorithm associates each possible syndrome with a single, likely, error-vector.

### Algorithm: Syndrome decoding

Let  $C$  be a  $q$ -ary  $[n, k]$  code, with check matrix  $H \in M_{n-k, n}(\mathbb{F}_q)$ , so  $C = \{\mathbf{x} \in \mathbb{F}_q^n \mid \mathbf{x}H^t = \mathbf{0}\}$ .

### Construction of a syndrome look-up table

1. List the elements of  $\mathbb{F}_q^n$  in non-decreasing order of weight.
2. Set up a table with two columns: **syndrome**  $S(\mathbf{x})$  | **error-vector**  $\mathbf{x}$ .
3. Let  $\mathbf{x}$  be the next element in the list and calculate  $S(\mathbf{x})$ .
4. If  $S(\mathbf{x})$  is in the syndrome column already, do nothing.  
If it is not, write a new row:  $S(\mathbf{x})$  |  $\mathbf{x}$ .
5. Repeat (3) and (4) until you have  $q^{n-k}$  rows.

**Decoding** (error ‘correction’) Having received a word  $\mathbf{y}$ ,

1. Compute  $S(\mathbf{y}) = \mathbf{y}H^t$ .
2. Find  $S(\mathbf{y})$  in the syndrome column.
3. Find the error-vector  $\mathbf{x}$  that is in the same row.
4. Decode  $\mathbf{y}$  to  $\mathbf{y} - \mathbf{x}$ .

**Example 33.** Let  $C_1 = \{\mathbf{x} \in \mathbb{F}_2^4 \mid \mathbf{x}H^t = \mathbf{0}\}$ , where  $H = \begin{pmatrix} 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \end{pmatrix}$  is a check-matrix for  $C_1$ . We calculate syndromes, starting with words of weight 0, then 1, then 2:  $S(0,0,0,0) = (0,0)$ ,  $S(1,0,0,0) = (1,0)$ ,  $S(0,1,0,0) = (1,0)$ ,  $S(0,0,1,0) = (0,1)$ ,  $S(0,0,0,1) = (0,1)$ ,  $S(1,1,0,0) = (0,0)$ ,  $S(1,0,1,0) = (1,1)$ ,  $S(1,0,0,1) = (1,1) \dots$

Omitting the repeated syndromes, we make the following look-up table:

Syndrome $S(\mathbf{x})$	Error-vector $\mathbf{x}$
(0,0)	(0,0,0,0)
(1,0)	(1,0,0,0)
(0,1)	(0,0,1,0)
(1,1)	(1,0,1,0)

We can stop here, as we have  $2^{4-2}$  rows; equivalently, we have every possible syndrome.

Now suppose we receive  $\mathbf{y}_1 = (1, 1, 0, 1)$ . Then  $S(\mathbf{y}_1) = (0, 1)$ , so the table says that the error-vector was  $(0, 0, 1, 0)$ , and we decode to  $(1, 1, 0, 1) - (0, 0, 1, 0) = (1, 1, 1, 1) = \mathbf{c}_1$ . Similarly,  $\mathbf{y}_2 = (0, 1, 0, 0)$  decodes to  $\mathbf{c}_2 = (1, 1, 0, 0)$ .  $\triangle$

By the theory, both these  $\mathbf{c}_i$  should be in  $C_1$ ; we can check this by finding  $S(\mathbf{c}_i)$ . We could also use the “ $G \leftrightarrow H$  algorithm” to find a generator matrix  $G$  for  $C$ . Surprisingly, we find that  $G = H$ , so  $C_1 = C_1^\perp$ ;  $C_1$  is ‘self-dual’<sup>3</sup>. So this is actually the code for which, in Section 2.3, we made this decoding array:

$(0, 0, 0, 0)$	$(1, 1, 0, 0)$	$(0, 0, 1, 1)$	$(1, 1, 1, 1)$
$(1, 0, 0, 0)$	$(0, 1, 0, 0)$	$(1, 0, 1, 1)$	$(0, 1, 1, 1)$
$(0, 0, 1, 0)$	$(1, 1, 1, 0)$	$(0, 0, 0, 1)$	$(1, 1, 0, 1)$
$(1, 0, 1, 0)$	$(0, 1, 1, 0)$	$(1, 0, 0, 1)$	$(0, 1, 0, 1)$

We see that the  $\mathbf{c}_i$  are in the top row, which lists the code. Also, the left-hand column of the array matches the error-vector column of the look-up table; these are the (guessed) errors we will subtract. And certainly this array gives the same decoding as the look-up table for  $(1, 1, 0, 1)$  and  $(0, 0, 1, 0)$ . We can also see a examples of the following:

**Proposition 4.9.** *Two words are in the same row of a decoding array if and only if they have the same syndrome.*

*Proof.* In general, finding the two words in the array (see below) expresses them as  $\mathbf{y}_1 = \mathbf{c}_1 + \mathbf{x}_1$  and  $\mathbf{y}_2 = \mathbf{c}_2 + \mathbf{x}_2$ , with  $\mathbf{c}_1 \in C$ , and we know already that  $S(\mathbf{y}_1) = S(\mathbf{x}_1)$  and  $S(\mathbf{y}_2) = S(\mathbf{x}_2)$ .

$\mathbf{0}$	$\mathbf{c}_2$	$\mathbf{c}_1$
$\mathbf{x}_1$		$\mathbf{y}_1$
$\mathbf{x}_2$	$\mathbf{y}_2$	

If  $\mathbf{y}_1$  and  $\mathbf{y}_2$  are in the same row, then  $\mathbf{x}_1 = \mathbf{x}_2 = \mathbf{x}$ , so  $S(\mathbf{y}_1) = S(\mathbf{y}_2) = S(\mathbf{x})$ .

Conversely, if  $S(\mathbf{y}_1) = S(\mathbf{y}_2)$  then  $S(\mathbf{y}_1 - \mathbf{y}_2) = S(\mathbf{y}_1) - S(\mathbf{y}_2) = \mathbf{0}$ , so  $\mathbf{y}_1 - \mathbf{y}_2 = \mathbf{c} \in C$ . Then  $\mathbf{y}_1 = \mathbf{y}_2 + \mathbf{c} = \mathbf{x}_2 + \mathbf{c}_2 + \mathbf{c}$ . Since  $\mathbf{c}_2 + \mathbf{c} \in C$ , it must be in the top row, so  $\mathbf{y}_1$  is in  $\mathbf{x}_2$ ’s row.  $\square$

In effect, syndrome decoding is just a more efficient way to do array decoding; without either making or searching through the array, finding  $S(\mathbf{y})$  tells us which row of the array  $\mathbf{y}$  would be on. So it follows from Proposition 2.10 that syndrome decoding, also, is nearest-neighbour decoding. (We can also prove this directly: Q53)

As with the array, there is some choice in the construction of the syndrome look-up table; it comes in the initial ordering of the words of  $\mathbb{F}_q^n$ . If this is different, we may get a different column of error-vectors to subtract, which will certainly result in different decoding of some words.

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<sup>3</sup>This could not happen over  $\mathbb{R}$ .

**Example 34.** Let  $C_2 = \{\mathbf{x} \in \mathbb{F}_3^3 \mid \mathbf{x}H^t = 0\}$ , where  $H = \begin{pmatrix} 1 & 0 & 2 \\ 0 & 1 & 2 \end{pmatrix}$  is a check-matrix for  $C_2$ . Then this is one possible syndrome look-up table:

Syndrome $S(\mathbf{x})$	Error-vector $\mathbf{x}$
(0,0)	(0,0,0)
(1,0)	(1,0,0)
(2,0)	(2,0,0)
(0,1)	(0,1,0)
(0,2)	(0,2,0)
(2,2)	(0,0,1)
(1,1)	(0,0,2)
(1,2)	(1,2,0)
(2,1)	(1,0,2)

Here we have used every possible  $\mathbf{x}$  of weight 1, so the order in which we considered them did not matter. But the last two lines could instead be:

Syndrome $S(\mathbf{x})$	Error-vector $\mathbf{x}$
(2,1)	(0,2,1)
(1,2)	(2,0,1)

We can conclude that any error-vector of weight  $\leq 1$ , but only some errors of weight 2, will be correctly identified and subtracted. Which errors of weight 2 are correctly subtracted, and which are not, depends on which table we use. For this reason we might decide to practice incomplete decoding: cut the table short, and if we receive a word with syndrome (1,2) or (2,1) ask for retransmission.  $\triangle$

Looking back to  $C_1$ , we see that the table lists only some  $\mathbf{x}$ 's of weight 1, so we cannot be sure of reliably correcting even error-vectors of weight 1. But we knew this:  $d(C_1) = 2$ , so by Proposition 1.7 we will detect a single symbol-error, but nearest-neighbour decoding may not correct it.

On the other hand, using Proposition 4.5 (or by guessing and checking) we find that  $C_2 = \{(0, 0, 0), (1, 1, 1), (2, 2, 2)\}$ , so  $d(C_2) = 3$  and we can indeed reliably correct one symbol-error, but not two. Equivalently we know that for this code, spheres  $S(\mathbf{c}, 1)$  around the codewords are disjoint, but the  $S(\mathbf{c}, 2)$  intersect. (Q24 and 25 consider alternative arrays for this code.)

The examples we've discussed so far have all been for binary or ternary codes. For codes over a larger alphabet, the number of rows in a syndrome table can get quite large. However, since the syndrome is a linear map on  $\mathbb{F}_q^n$ , we have  $S(\lambda\mathbf{y}) = \lambda S(\mathbf{y})$  for any non-zero  $\lambda \in \mathbb{F}_q$  – we can see this explicitly in Example 34 above.

For codes with  $q > 2$ , we can therefore define a *reduced* syndrome table, where we only add new syndromes to our table if they aren't of the form  $\lambda S(\mathbf{x})$ , for any non-zero  $\lambda \in \mathbb{F}_q$ , and any  $S(\mathbf{x})$  already in our table. To decode a received word  $\mathbf{y}$ , we then calculate  $S(\mathbf{y})$  as normal, but now we need to find the row such that  $\lambda S(\mathbf{y})$  is in the first column, for some non-zero  $\lambda$  which we need to calculate. We then decode  $\mathbf{y}$  to  $\mathbf{y} - \lambda\mathbf{x}$ , where  $\mathbf{x}$  is the error vector in the corresponding row of our table. See Q52 for an example of this idea.



## 4.4 Minimum distance from a check-matrix

In the last section,  $d(C)$  turned out to be relevant to the reliability of our syndrome look-up table. But to find it, we had first to find the words of the code. We will now establish a way to get  $d(C)$  directly from a check-matrix, which links up many of the ideas so far.

In fact, it only needs to be an “acting check-matrix”. We start with the following:

**Lemma 4.10.** *For some  $A \in M_{m,n}(\mathbb{F}_q)$ , let  $C = \{\mathbf{x} \in \mathbb{F}_q^n \mid \mathbf{x}A^t = \mathbf{0}\}$ . Then:  
 There are  $d$  columns of  $A$  which are linearly dependent  
 $\iff$  there is some codeword  $\mathbf{c} \in C$  with  $0 < w(\mathbf{c}) \leq d$ .*

*Proof.* Let the columns of  $A$  be  $\mathbf{a}_1, \dots, \mathbf{a}_n$ .

$\implies$  Suppose we have  $d$  linearly dependent columns,  $\mathbf{a}_{i_1}, \dots, \mathbf{a}_{i_d}$ . This means there exist  $\lambda_1, \lambda_2, \dots, \lambda_d$  in  $\mathbb{F}_q$ , not all 0, such that  $\lambda_1 \mathbf{a}_{i_1} + \dots + \lambda_d \mathbf{a}_{i_d} = \mathbf{0}$ . Now let  $\mathbf{c}$  be a word with  $\lambda_j$  in position  $i_j$ , 0 elsewhere. Then  $0 < w(\mathbf{c}) \leq d$ . But also, when multiplying  $\mathbf{c}A^t$ , each  $\lambda_j$  picks out row  $i_j$  of  $A^t$ , so

$$\mathbf{c}A^t = (0, \dots, 0, \lambda_1, 0, \dots, 0, \lambda_d, 0, \dots, 0) \begin{pmatrix} \vdots & & \\ - & \mathbf{a}_{i_1} & - \\ \vdots & & \\ - & \mathbf{a}_{i_d} & - \\ \vdots & & \end{pmatrix} = \lambda_1 \mathbf{a}_{i_1} + \dots + \lambda_d \mathbf{a}_{i_d} = \mathbf{0}.$$

So  $\mathbf{c} \in C$ .

$\impliedby$  If  $\mathbf{c} = (c_1, c_2, \dots, c_n) \in C$ , and  $0 < w(\mathbf{c}) \leq d$ , we know that  $c_1 \mathbf{a}_1 + \dots + c_n \mathbf{a}_n = \mathbf{c}A^t = \mathbf{0}$ , and that between 1 and  $d$  of the  $\mathbf{c}_i$  are non-zero. If we choose  $c_{i_1}, \dots, c_{i_d}$  to include all the non-zero  $c_i$ , then we still have  $c_{i_1} \mathbf{a}_{i_1} + \dots + c_{i_d} \mathbf{a}_{i_d} = \mathbf{0}$ , with not all  $c_i = 0$ . Thus  $\mathbf{a}_{i_1}, \dots, \mathbf{a}_{i_d}$  are linearly dependent.  $\square$

**Example 35.** Let  $C = \{\mathbf{x} \in \mathbb{F}_7^5 \mid \mathbf{x}A^t = \mathbf{0}\}$ , where  $A = \begin{pmatrix} 3 & 1 & 1 & 4 & 1 \\ 2 & 2 & 5 & 1 & 4 \\ 6 & 3 & 5 & 0 & 2 \end{pmatrix} \in M_{3,5}(\mathbb{F}_7)$ .

Because  $(0, 1, 2, 0, 4) \begin{pmatrix} 3 & 2 & 6 \\ 1 & 2 & 3 \\ 1 & 5 & 5 \\ 4 & 1 & 0 \\ 1 & 4 & 2 \end{pmatrix} = (0, 0, 0)$ , we know two things:

- $(0, 1, 2, 0, 4) \in C$ , so  $C$  contains a codeword of weight 3.
- $1(1, 2, 3) + 2(1, 5, 5) + 4(1, 2, 4) = (0, 0, 0)$ , so  $A$  has 3 columns which are linearly dependent.

$\triangle$

**Theorem 4.11.** *For some  $A \in M_{m,n}(\mathbb{F}_q)$ , let  $C = \{\mathbf{x} \in \mathbb{F}_q^n \mid \mathbf{x}A^t = \mathbf{0}\}$ . Then there is some set of  $d(C)$  columns of  $A$  which are linearly dependent, but any  $d(C) - 1$  columns of  $A$  are linearly independent.*

*Proof.* For a linear code, by Proposition 2.7  $d(C) = \min\{w(\mathbf{c}) \mid \mathbf{c} \in C, \mathbf{c} \neq \mathbf{0}\}$ . So we know:

- There is some  $\mathbf{c} \in C$  with  $w(\mathbf{c}) = d(C)$ . So by Lemma 4.10 there are  $d(C)$  columns which are linearly dependent.
- There is no  $\mathbf{c} \in C$  with  $w(\mathbf{c}) \leq d(C) - 1$ . So by Lemma 4.10 there is no set of  $d(C) - 1$  columns which are linearly dependent.

□

This theorem is mostly used in reverse: We find the number  $d$  such that  $A$  has a set of  $d$  dependent columns, but no smaller such sets. Then we conclude that  $d$  is the minimum distance of the code. One can remember the theorem as something like “ $d(C)$  is the size of a smallest set of linearly dependent columns in the check-matrix”.

**Example 36.** For the code  $C$  in the example above, we have found that columns 2, 3 and 5 are linearly dependent. But this only tells us that  $d(C) \leq 3$ . To be sure that  $d(C) = 3$ , we need also to check that there are no linearly dependent pairs of columns, that is, no column is a multiple of another. For many of the  $\binom{5}{2}$  pairs this is easy: its zero means that column 4 is not a multiple of any other, and (since they are not identical) the top entry 1 in columns 2, 3, and 5 means they cannot be multiples of each other. It remains to check that column 1 is not a multiple of column 2, 3 or 5. It is not, so  $d(C) = 3$ .  $\triangle$