

# CS 600.226: Data Structures

## Michael Schatz

Oct 3 2018  
Lecture 15. Graphs



# Agenda

- 1. ***Questions on HW4***
- 2. ***Recap on Trees***
- 3. ***Graphs***

# Assignment 4: Due Friday Oct 5 @ 10pm

<https://github.com/schatzlab/datastructures2018/blob/master/assignments/assignment04/README.md>

## Assignment 4: Stacking Queues

**Out on:** September 28, 2018

**Due by:** October 5, 2018 before 10:00 pm

**Collaboration:** None

**Grading:**

Packaging 10%,

Style 10% (where applicable),

Testing 10% (where applicable),

Performance 10% (where applicable),

Functionality 60% (where applicable)

## Overview

The fourth assignment is mostly about stacks and dequeues. For the former you'll build a simple calculator application, for the latter you'll implement the data structure in a way that satisfies certain performance characteristics (in addition to the usual correctness properties).

# Assignment 4: Due Friday Oct 5 @ 10pm

<https://github.com/schatzlab/datastructures2018/blob/master/assignments/assignment04/README.md>

## Problem 1: Calculating Stacks (50%)

Your first task is to implement a basic RPN calculator that supports integer operands like 1, 64738, and -42 as well as the (binary) integer operators +, -, \*, /, and %. Your program should be called `Calc` and work as follows:

- You create an empty `Stack` to hold intermediate results and then repeatedly accept input from the user. It doesn't matter whether you use the `ArrayList` or the `ListStack` we provide, what does matter is that those specific types appear only once in your program.
- If the user enters a ***valid integer***, you ***push*** that integer onto the stack.
- If the user enters a ***valid operator***, you ***pop*** two integers off the stack, ***perform*** the requested operation, and ***push*** the result back onto the stack.
- If the user enters the symbol ? (that's a question mark), you ***print*** the current state of the stack using its `toString` method followed by a new line.
- If the user enters the symbol . (that's a dot or full-stop), you ***pop*** the top element off the stack and ***print*** it (only the top element, not the entire stack) followed by a new line.
- If the user enters the symbol ! (that's an exclamation mark or bang), you exit the program.

# Assignment 4: Due Friday Oct 5 @ 10pm

<https://github.com/schatzlab/datastructures2018/blob/master/assignments/assignment04/README.md>

```
$ java Calc  
?  
[]  
10  
?  
[10]  
20 30  
?  
[30, 20, 10]  
*  
?  
[600, 10]  
+  
?  
[610]  
.   
610  
!  
$
```

```
$ java Calc  
? 10 ? 20 30 ? *  
? + ? . !  
[]  
[10]  
[30, 20, 10]  
[600, 10]  
[610]  
610  
$
```

# Assignment 4: Due Friday Oct 5 @ 10pm

<https://github.com/schatzlab/datastructures2018/blob/master/assignments/assignment04/README.md>

## Problem 2: Hacking Growable Deques (50%)

Your second task is to implement a generic `ArrayDeque` class as outlined in lecture. As is to be expected, `ArrayDeque` must implement the `Deque` interface we provided on github.

- Your implementation must be done in terms of an array that grows by doubling as needed. It's up to you whether you want to use a basic Java array or the `SimpleArray` class you know and love; just in case you prefer the latter, we've once again included it on the github directory for this assignment. Your initial array must have a length of one slot only! (Trust us, that's going to make debugging the "doubling" part a lot easier.)
- Your implementation must support all `Deque` operations except insertion in (worst-case) constant time; insertion can take longer every now and then (when you need to grow the array), but overall all insertion operations must be constant amortized time as discussed in lecture.
- You should provide a `toString` method in addition to the methods required by the `Deque` interface. A new deque into which 1, 2, and 3 were inserted using `insertBack()` should print as [1, 2, 3] while an empty deque should print as []

# Assignment 4: Due Friday Oct 5 @ 10pm

<https://github.com/schatzlab/datastructures2018/blob/master/assignments/assignment04/README.md>

## Bonus Problem (5 pts)

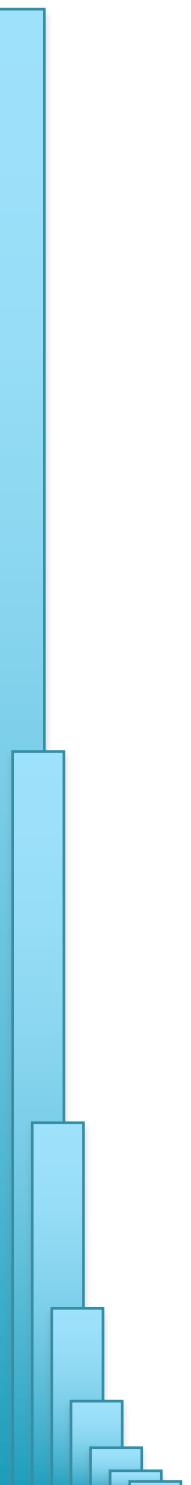
Develop an **algebraic specification** for the **abstract data type Queue**. Use new, empty, enqueue, dequeue, and front (with the meaning of each as discussed in lecture) as your set of operations. Consider unbounded queues only.

The difficulty is going to be modelling the FIFO (first-in-first-out) behavior accurately. You'll probably need at least one axiom with a case distinction using an if expression; the syntax for this in the Array specification for example.

Doing this problem without resorting to Google may be rather helpful for the upcoming midterm. There's no need to submit the problem, but you can submit it if you wish; just include it at the end of your README file.

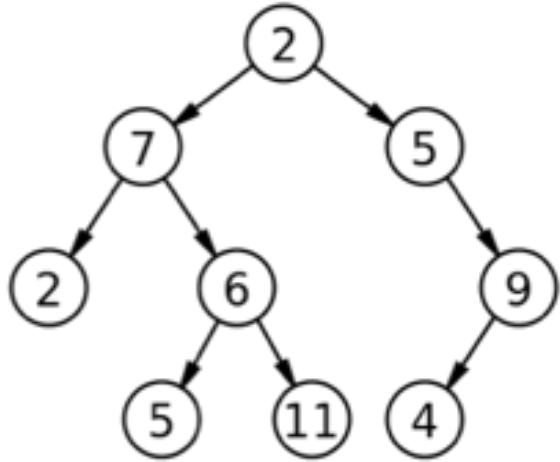
# Agenda

- 1. ***Questions on HW4***
- 2. ***Recap on Trees***
- 3. ***Graphs***

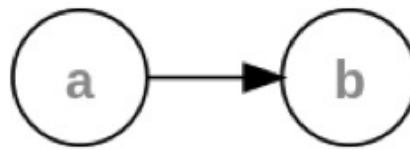


# Trees

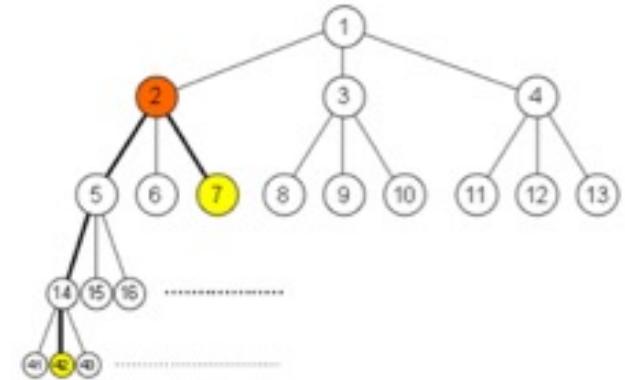
# Types of Trees



Unordered  
Binary tree



Linear  
List

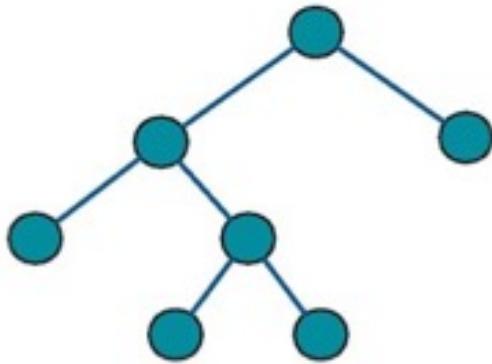


3-ary Tree  
( $k$ -ary tree has  $k$  children)

Single root node (no parent)  
Each ***non-root*** node has at most 1 parent  
Node may have 0 or more children

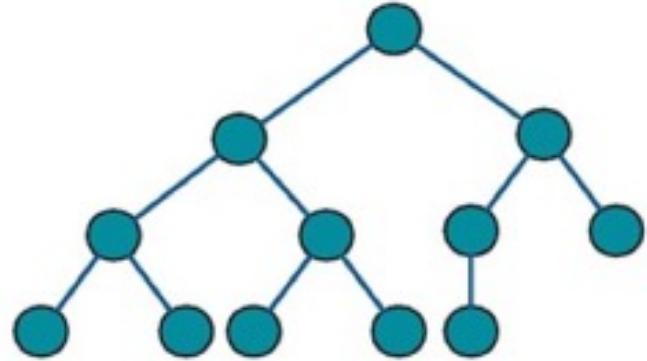
***Internal node***: has children; includes root unless tree is just root  
***Leaf node (aka external node)***: no children

# Special Trees



Height of root  
= 0

Total Height  
= 3



## ***Full Binary Tree***

Every node has  
0 or 2 children

## ***Complete Binary Tree***

Every level full, except  
potentially the bottom level

What is the maximum number of leaf nodes in a complete binary tree?

$2^h$

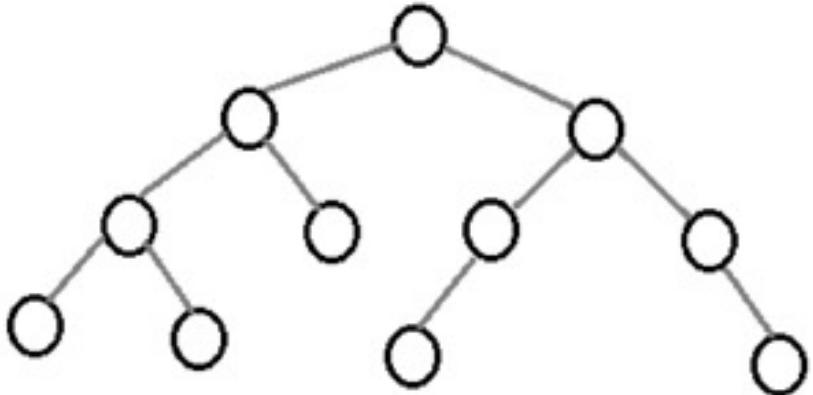
What is the maximum number of nodes in a complete binary tree?

$2^{h+1} - 1$

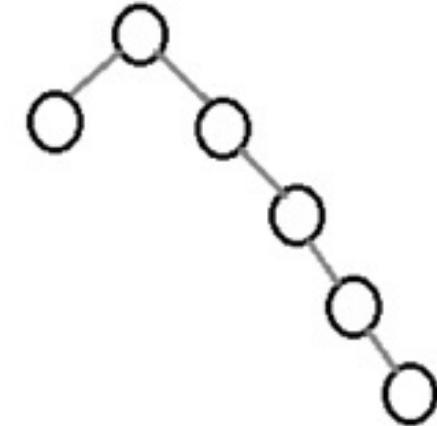
What fraction of the nodes in a complete binary tree are leaves?

about half

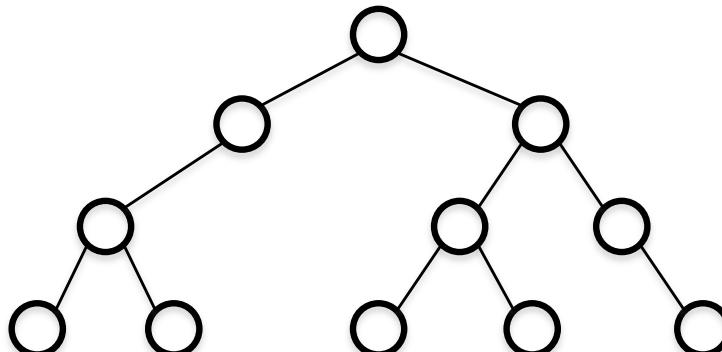
# Balancing Trees



**Balanced Binary Tree**  
Minimum possible height

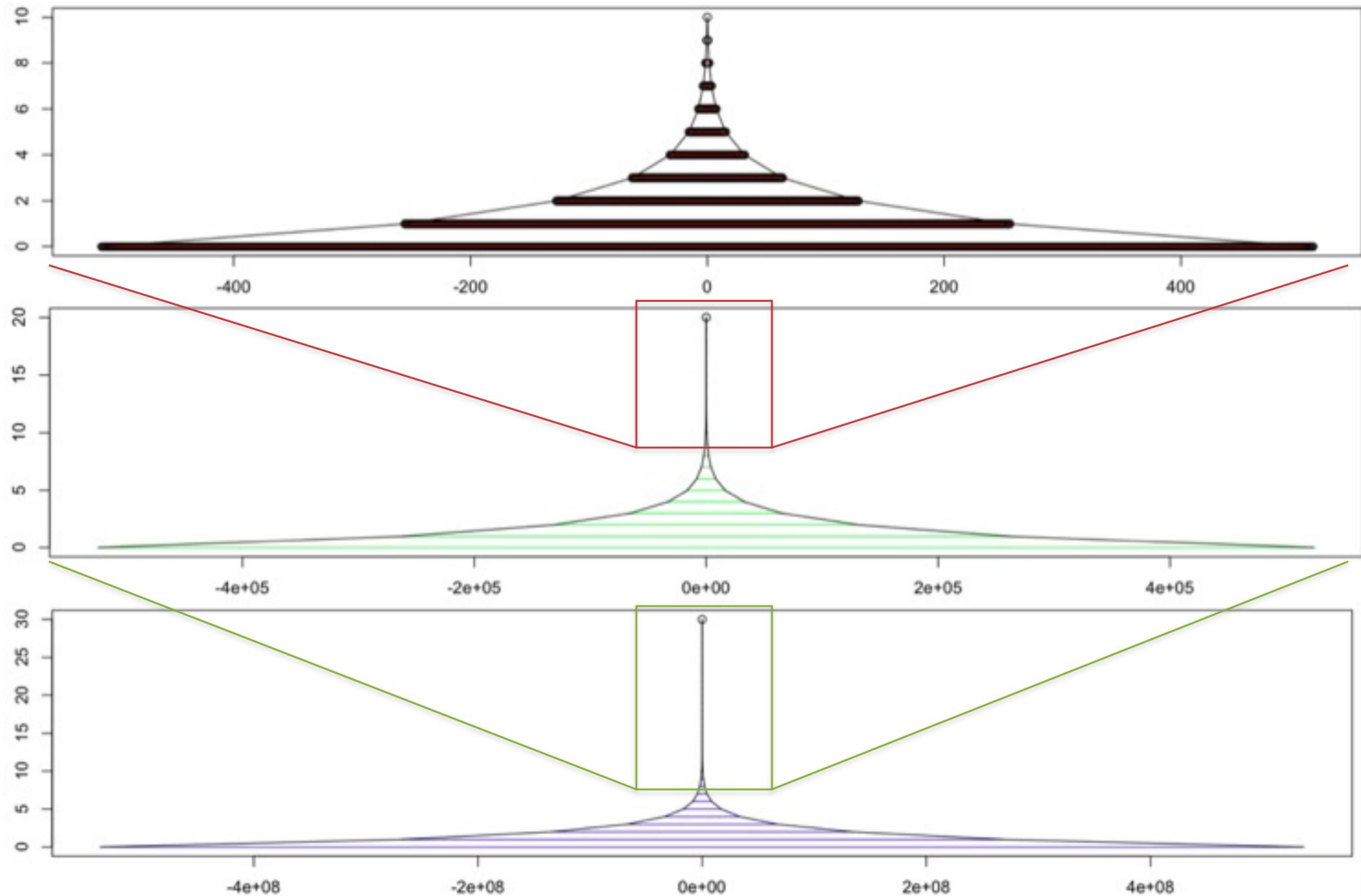


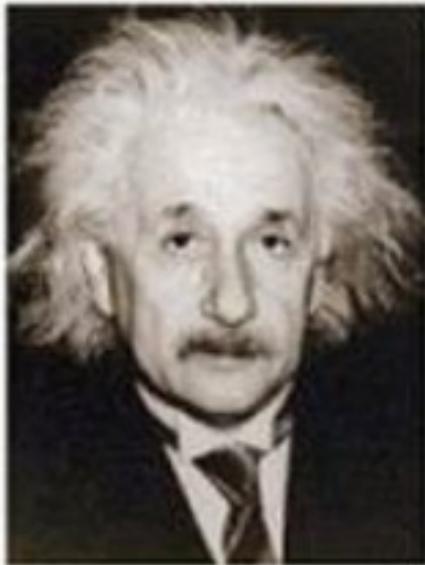
**Unbalanced Tree**  
Non-minimum height



**Balanced but not complete!**

# Tree Heights





**"The most powerful  
force in the universe  
is compound  
interest."**

**Albert Einstein**

And 260 channels  
on TV for

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Guaranteed for 2 yrs.  
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## Compound Interest

Did Albert Einstein declare compound interest to be 'the most powerful force in the universe'?



David Mikkelson  
Apr 21, 2011



85

**Claim:** Albert Einstein once declared compound interest to be "the most powerful force in the universe."



UNDETERMINED

Examples:



[Sangillo, 2006]

There's an urban legend that Albert Einstein once said compounding [interest] is the most powerful force in the universe.

Whether or not he really said it, that line has become my financial motto. I strongly suggest you adopt it.

[Hartgill, 1997]

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### The Hot List

- 1 Hillary Clinton Freed Child Rapist  
Hillary Clinton's role in a 40-year-old rape case became the ... 1.0M

# Properties of logarithms

	<b>Formula</b>	<b>Example</b>
product	$\log_b(xy) = \log_b(x) + \log_b(y)$	$\log_3(243) = \log_3(9 \cdot 27) = \log_3(9) + \log_3(27) = 2 + 3 = 5$
quotient	$\log_b\left(\frac{x}{y}\right) = \log_b(x) - \log_b(y)$	$\log_2(16) = \log_2\left(\frac{64}{4}\right) = \log_2(64) - \log_2(4) = 6 - 2 = 4$
power	$\log_b(x^p) = p \log_b(x)$	$\log_2(64) = \log_2(2^6) = 6 \log_2(2) = 6$
root	$\log_b \sqrt[p]{x} = \frac{\log_b(x)}{p}$	$\log_{10} \sqrt{1000} = \frac{1}{2} \log_{10} 1000 = \frac{3}{2} = 1.5$

$$\log_b(x) = \frac{\log_k(x)}{\log_k(b)}.$$

Height with n leaves?	= $\lg(n)$	= $O(\lg n)$
Height with n nodes?	= $n/2$ leaves	= $\lg(n/2) = \lg(n) - \lg 2 = \lg(n) - 1$
3-ary with n leaves?	= $\log_3(n)$	= $O(\lg n)$
k-ary with n nodes?	= $n/k$ leaves	= $\log_k(n/k) = \log_k(n) - \log_k(k)$

# Tree Interface

```
public interface Tree<T>{
    Position <T> insertRoot(T t )
        throws TreeNotEmptyException;

    Position <T> insertChild(Position <T> p, T t)
        throws InvalidPositionException;

    boolean empty();

    Position <T> root()
        throws TreeEmptyException;

    Position <T>[] children(Position <T> p)
        throws InvalidPositionException , LeafException;

    Position <T> parent(Position<T> p)
        throws InvalidPositionException ;

    boolean leaf (Position <T> p)
        throws InvalidPositionException ;

    T remove(Position<T> p)
        throws InvalidPositionException, NotALeafException;
}
```

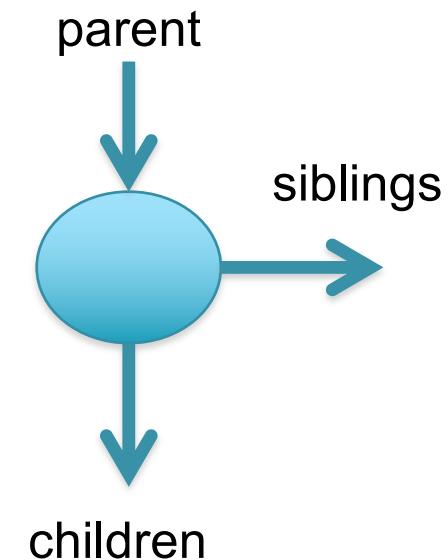
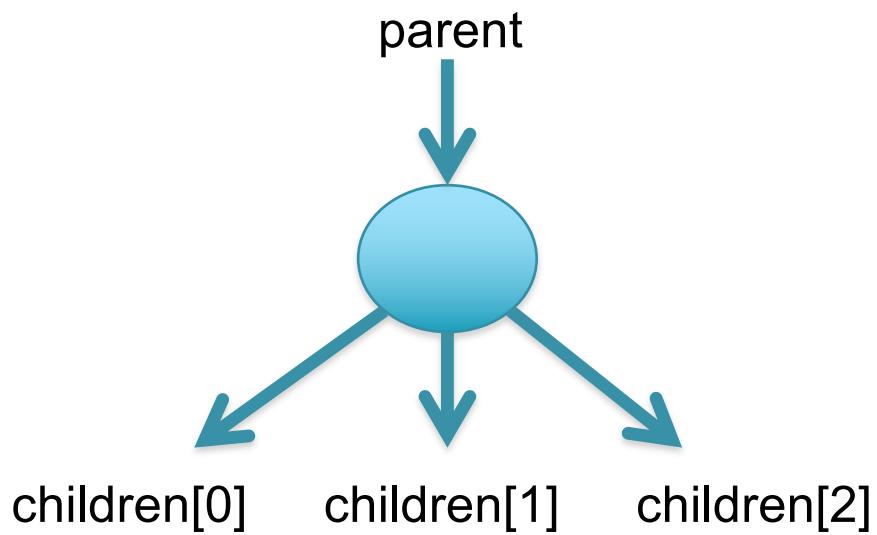
Why insertRoot?

Why children()?

What should parent(root()) return?

What should remove(root()) do?

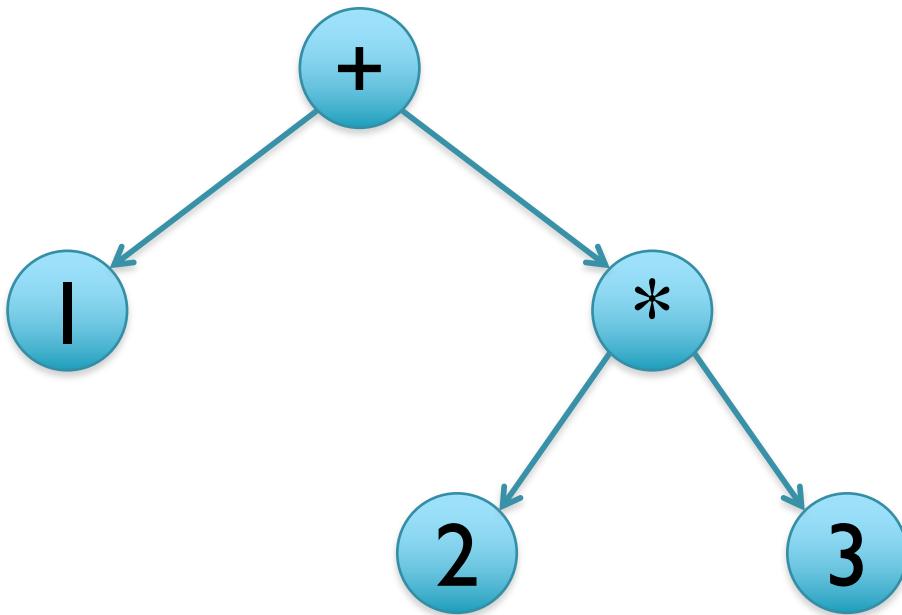
# Tree Implementation



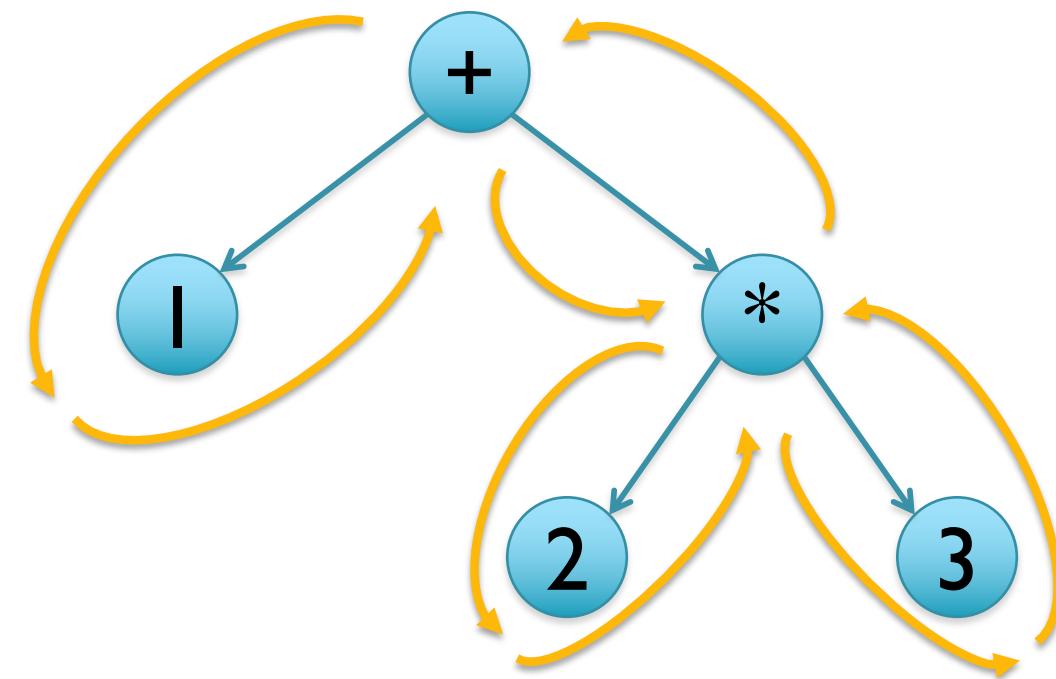
Simple Implementation  
Overhead managing children[]

Less Space Overhead  
(Except remove may require  
doubly linked lists)

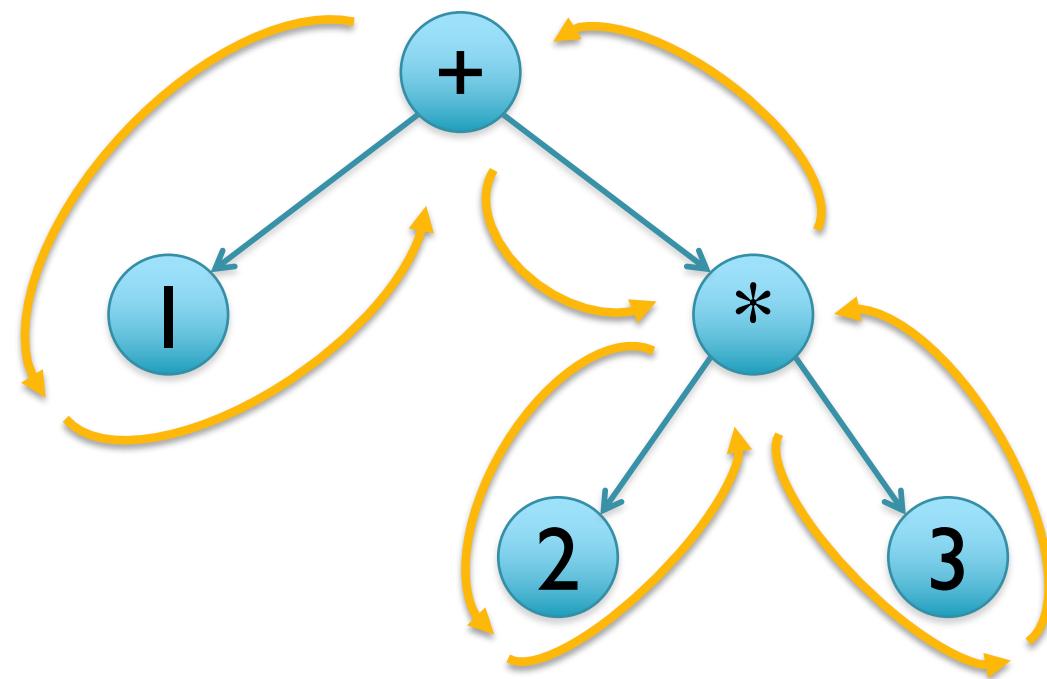
# Tree Traversals



# Tree Traversals



# Tree Traversals



Note here we visit children from left to right, but could go right to left

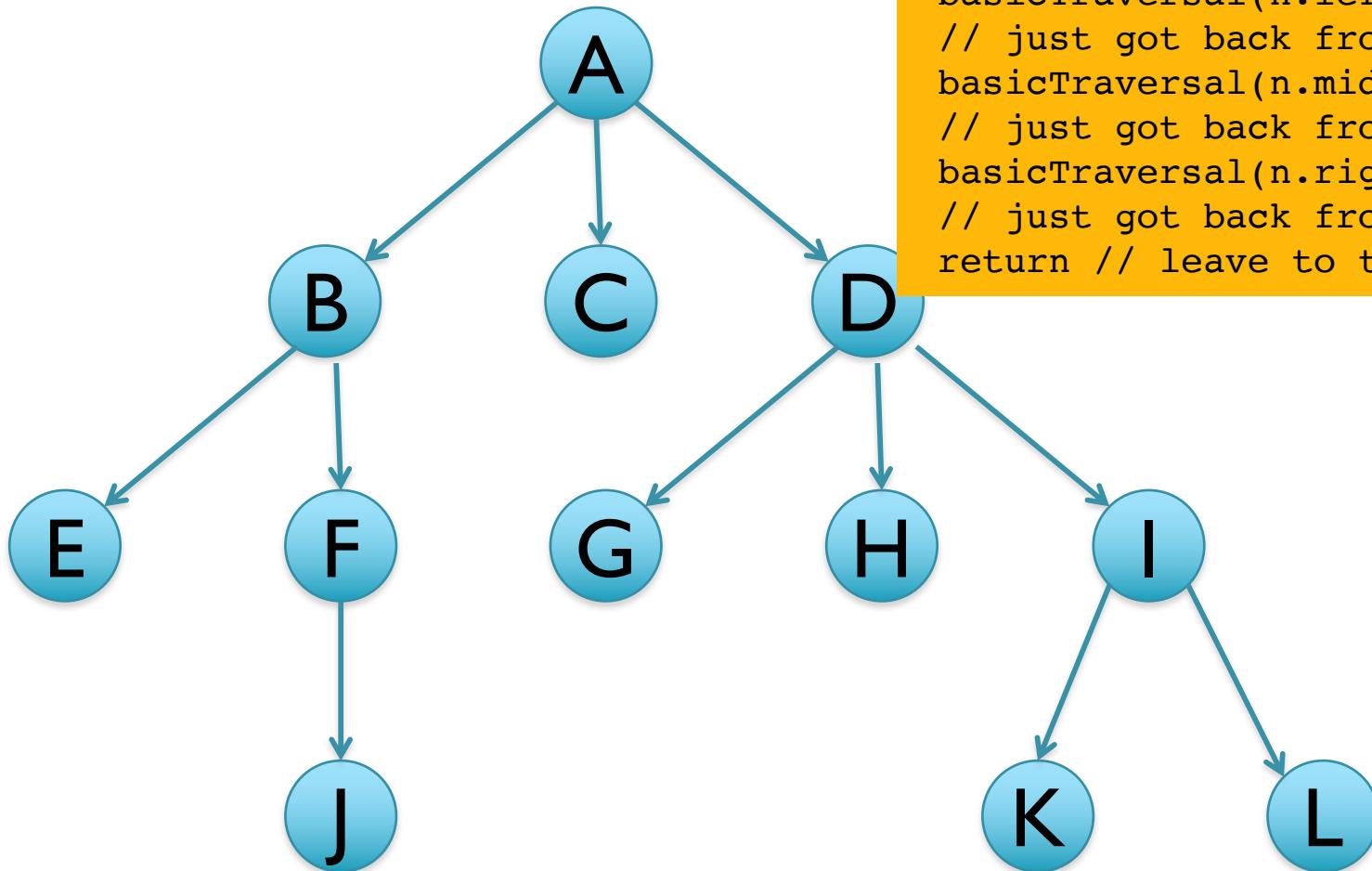
Notice we visit internal nodes 3 times:

- 1: stepping down from parent
- 2: after visiting first child
- 3: after visiting second child

Different algs work at different times

1: preorder	$+ 1 * 2 3$
2: inorder	$1 + 2 * 3$
3: postorder	$1 2 3 * +$

# Traversal Implementations



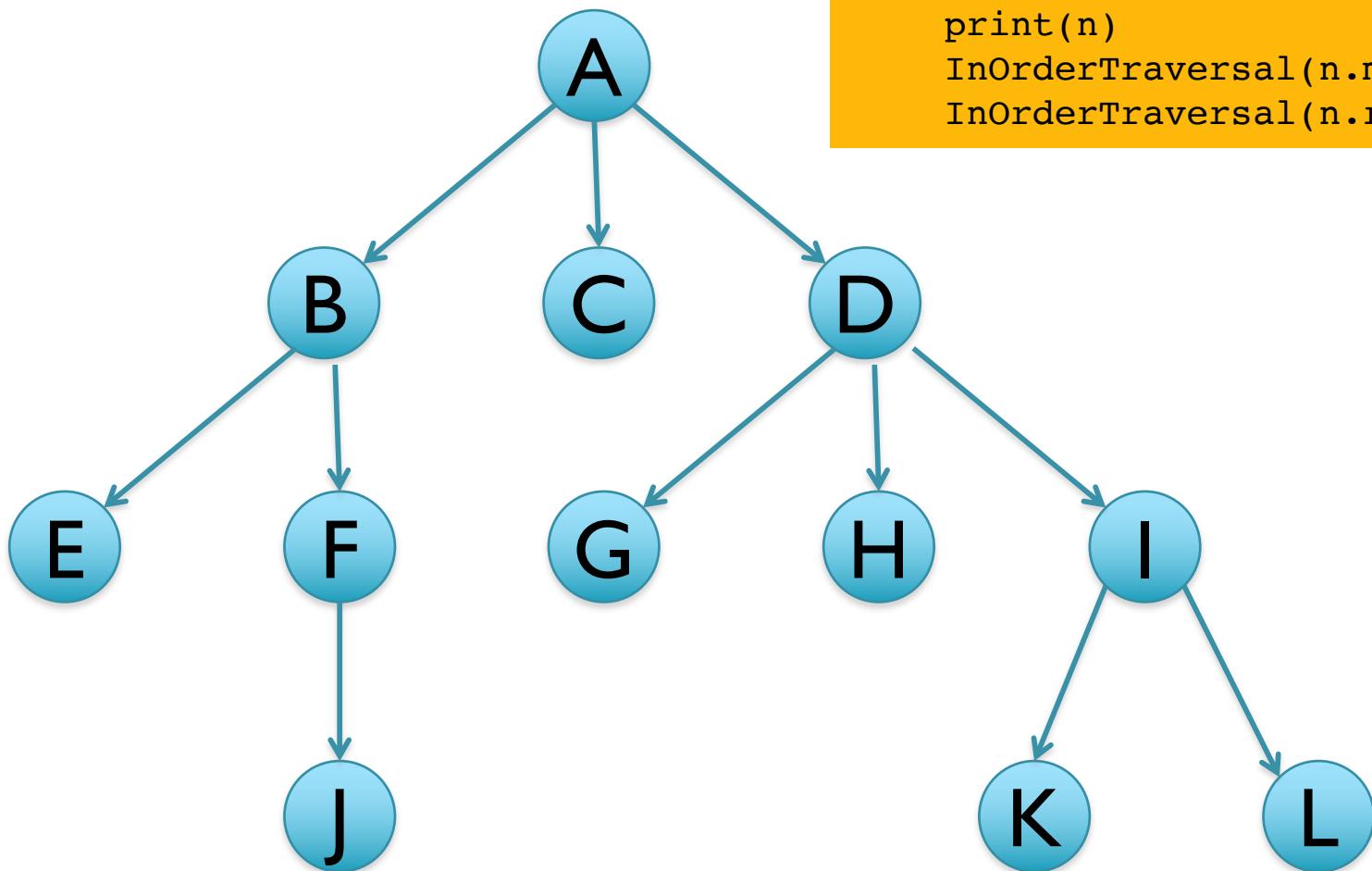
***How to traverse?***

```
basicTraversal(Node n):  
    // just entered from top  
    basicTraversal(n.left)  
    // just got back from left  
    basicTraversal(n.middle)  
    // just got back from middle  
    basicTraversal(n.right)  
    // just got back from right  
    return // leave to top
```

# InOrder Traversals

***How to inorder print?***

```
InOrderTraversal(Node n):  
    if n is not null  
        InOrderTraversal(n.left)  
        print(n)  
        InOrderTraversal(n.middle)  
        InOrderTraversal(n.right)
```

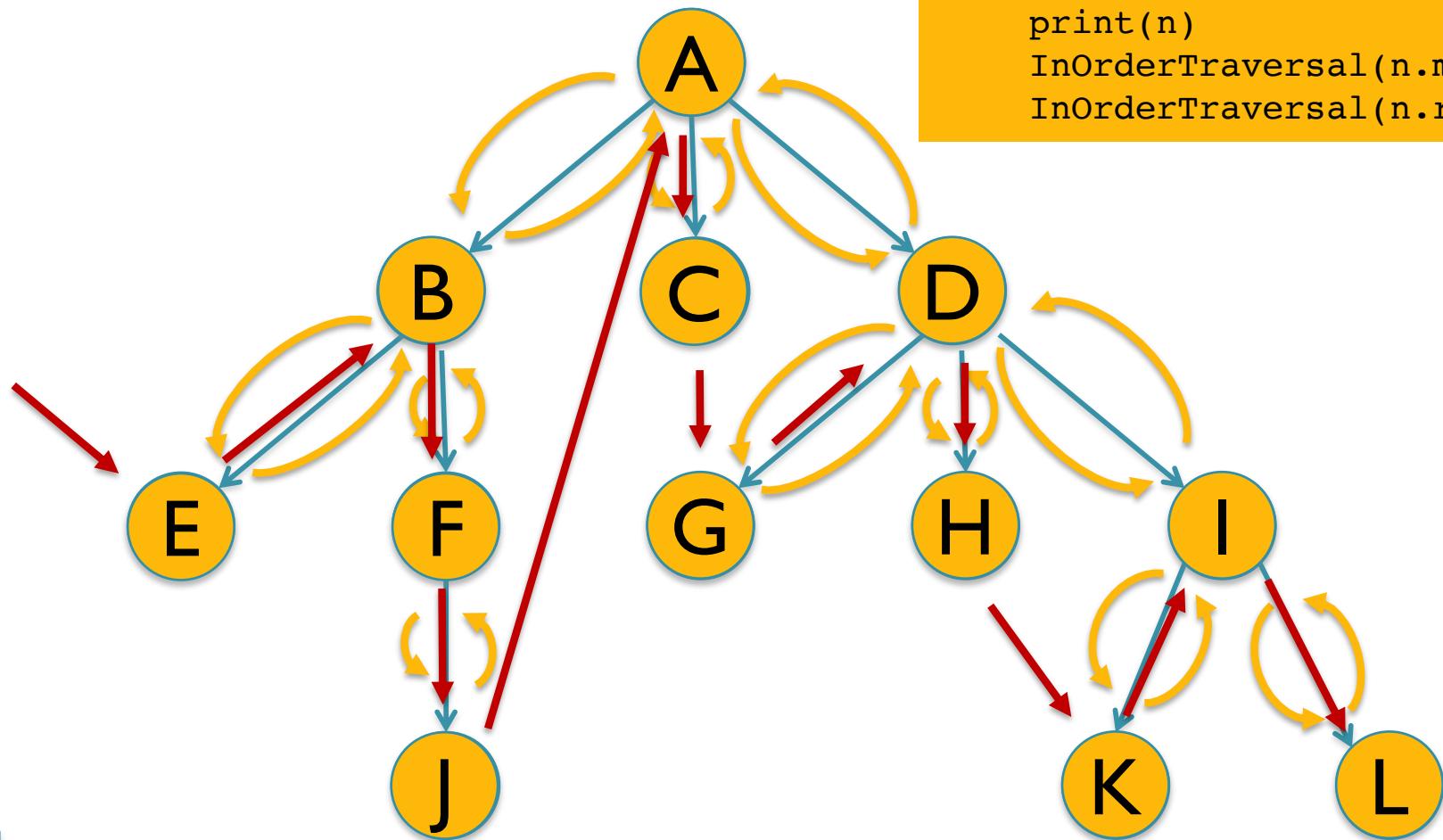


# InOrder Traversals

*What is the inorder print?*

*How to inorder print?*

```
InOrderTraversal(Node n):  
    if n is not null  
        InOrderTraversal(n.left)  
        print(n)  
        InOrderTraversal(n.middle)  
        InOrderTraversal(n.right)
```



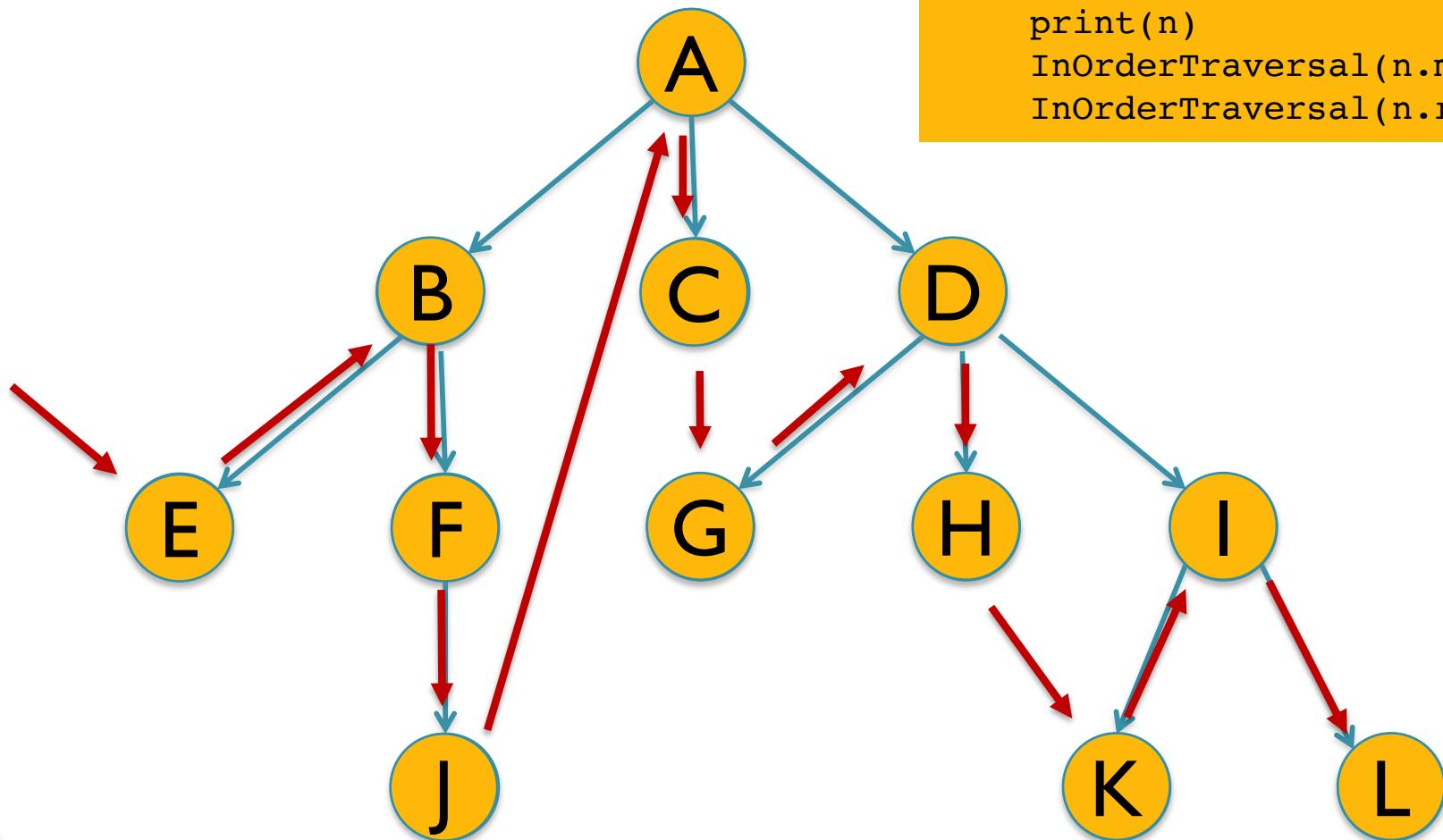
# InOrder Traversals

*What is the inorder print?*

**EBFJACGDHKIL**

*How to inorder print?*

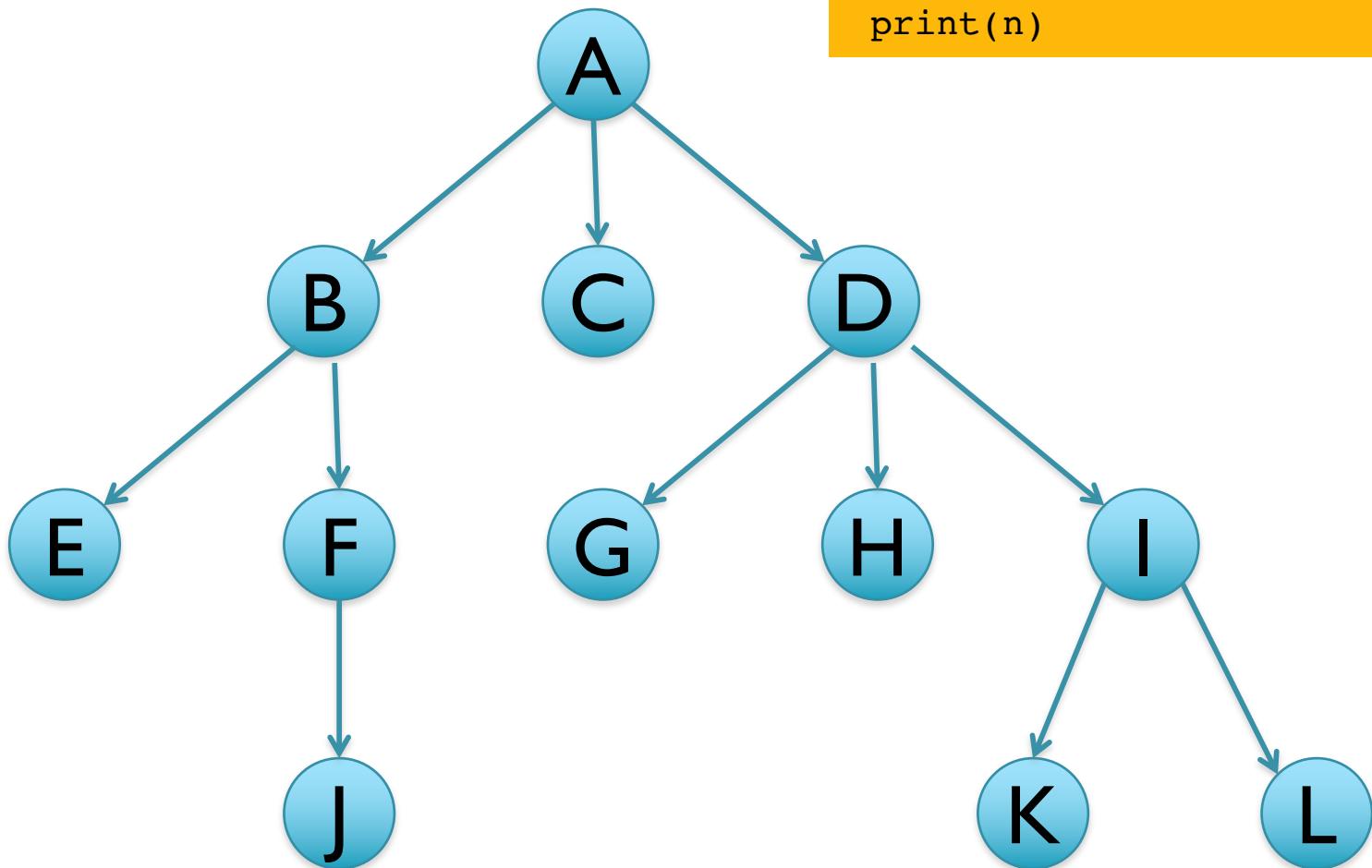
```
InOrderTraversal(Node n):  
    if n is not null  
        InOrderTraversal(n.left)  
        print(n)  
        InOrderTraversal(n.middle)  
        InOrderTraversal(n.right)
```



# PostOrder Traversals

*How to postorder print?*

```
PostOrderTraversal(Node n):  
    for c in x.children:  
        PostOrderTraversal(c)  
    print(n)
```

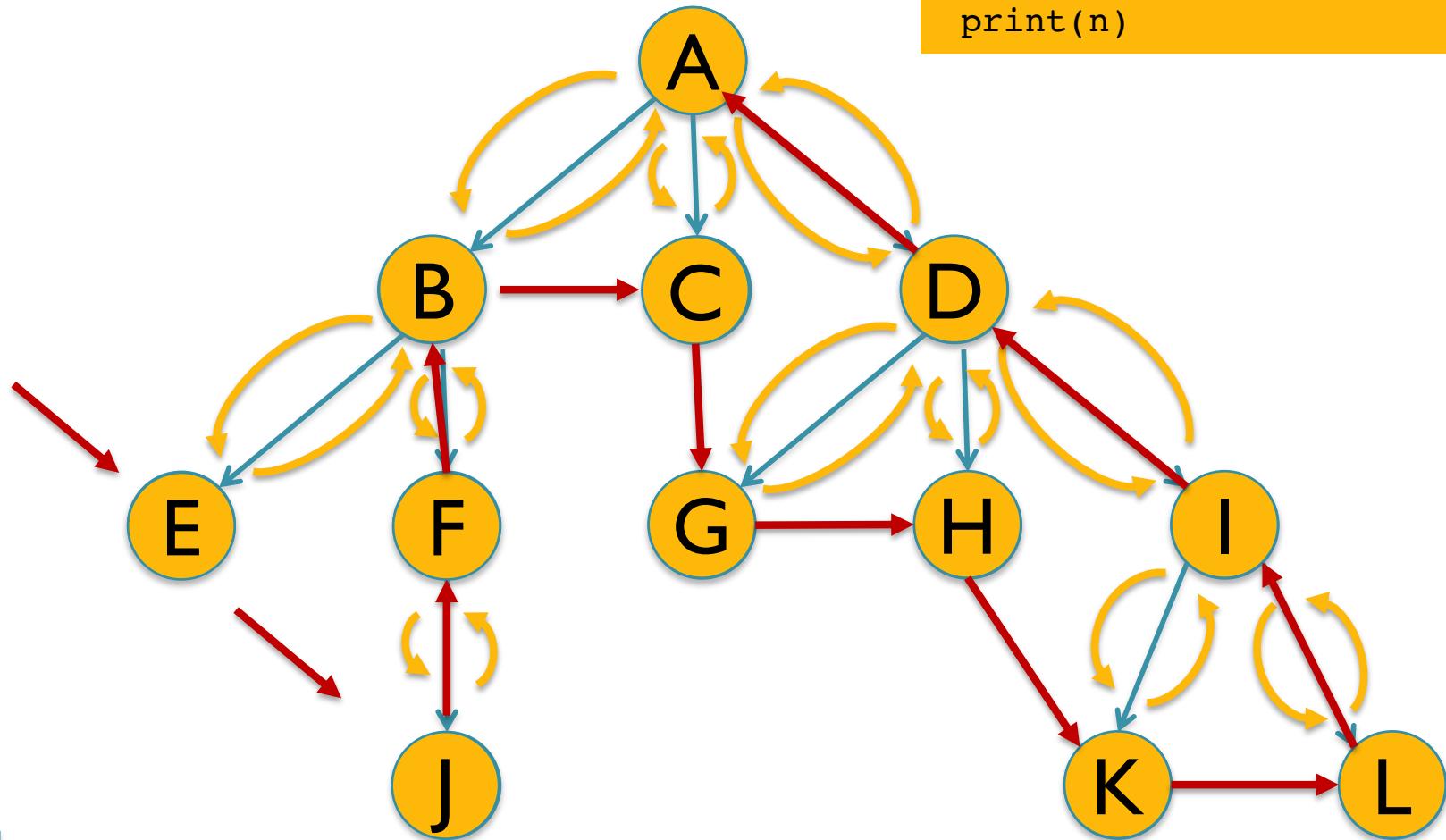


# PostOrder Traversals

*What is the postorder print?*

*How to postorder print?*

```
PostOrderTraversal(Node n):  
    for c in x.children:  
        PostOrderTraversal(c)  
    print(n)
```



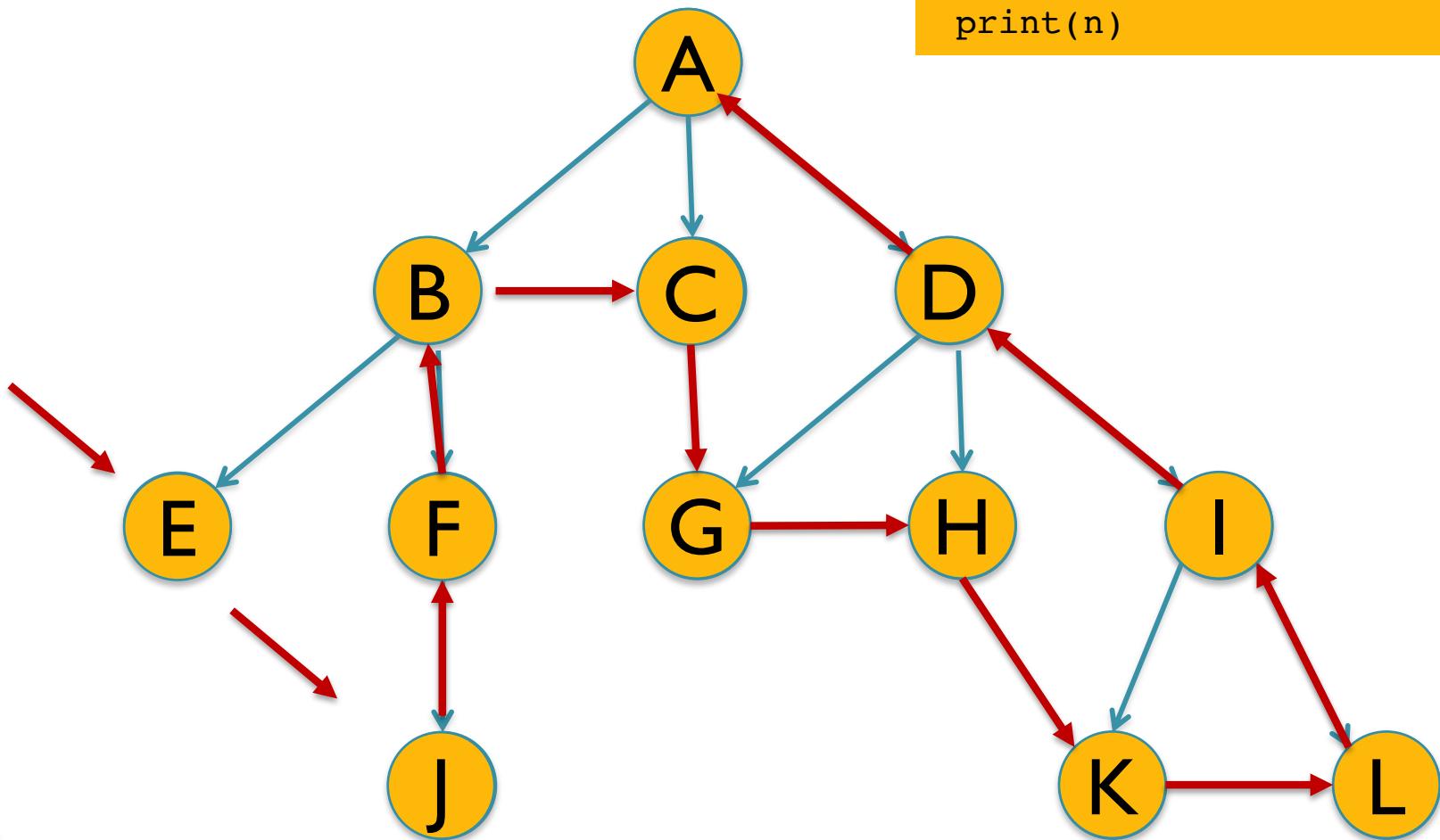
# PostOrder Traversals

*What is the postorder print?*

**EJFB C GHKLIDA**

*How to postorder print?*

```
PostOrderTraversal(Node n):  
    for c in x.children:  
        PostOrderTraversal(c)  
    print(n)
```

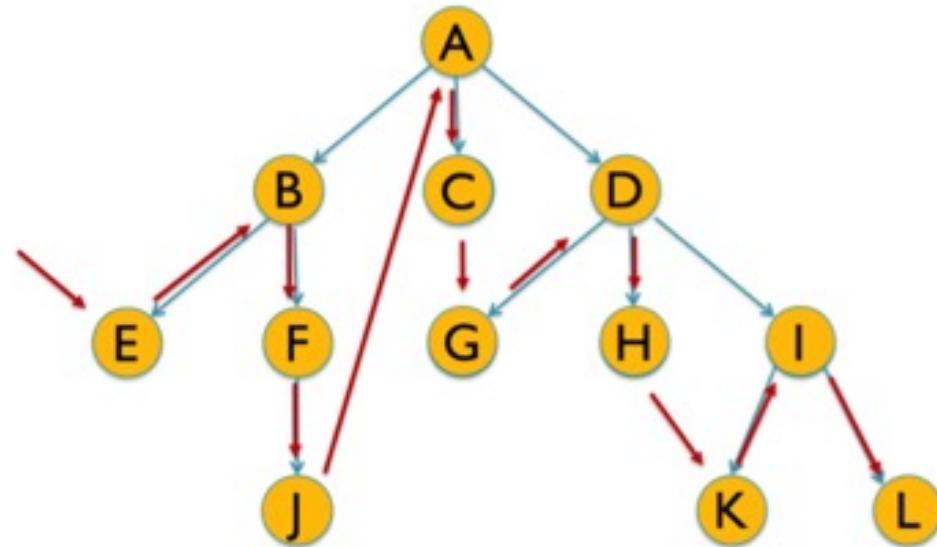


# InOrder vs PostOrder

***What is the inorder print?***

***EBFJ AC GHKLIL***

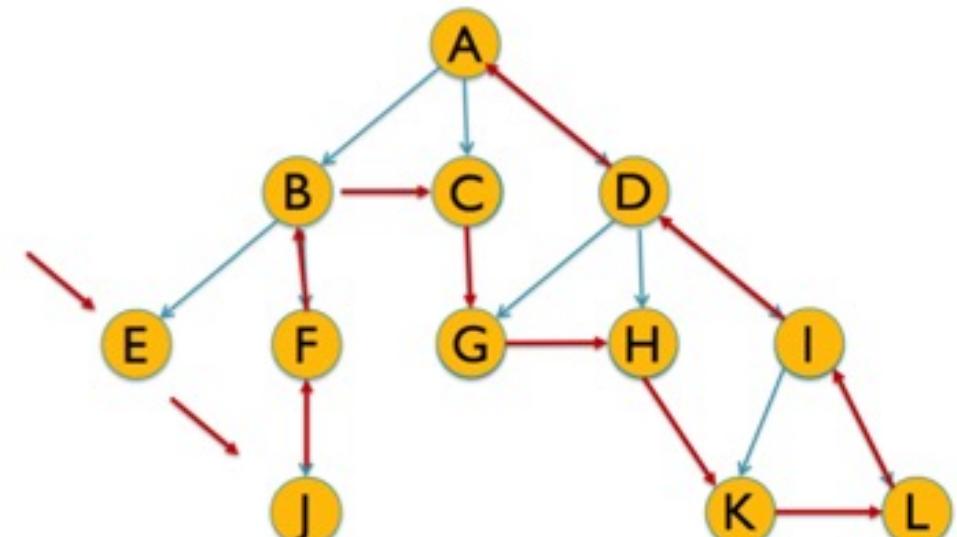
```
InOrderTraversal(Node n):
    if n is not null
        InOrderTraversal(n.left)
        print(n)
        InOrderTraversal(n.middle)
        InOrderTraversal(n.right)
```



***What is the postorder print?***

***EJFB C GHKLIDA***

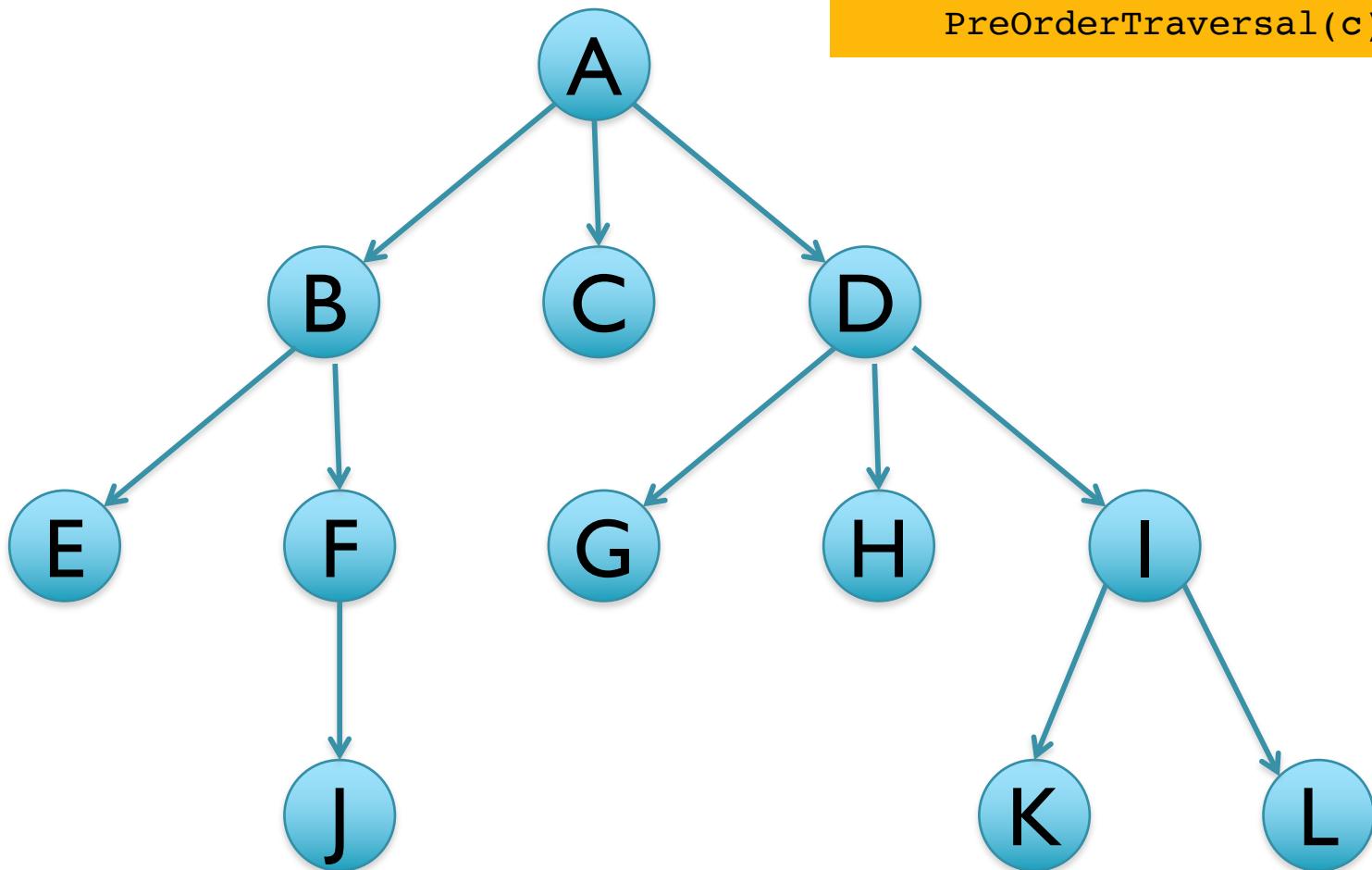
```
PostOrderTraversal(Node n):
    for c in x.children:
        PostOrderTraversal(c)
    print(n)
```



# PreOrder Traversals

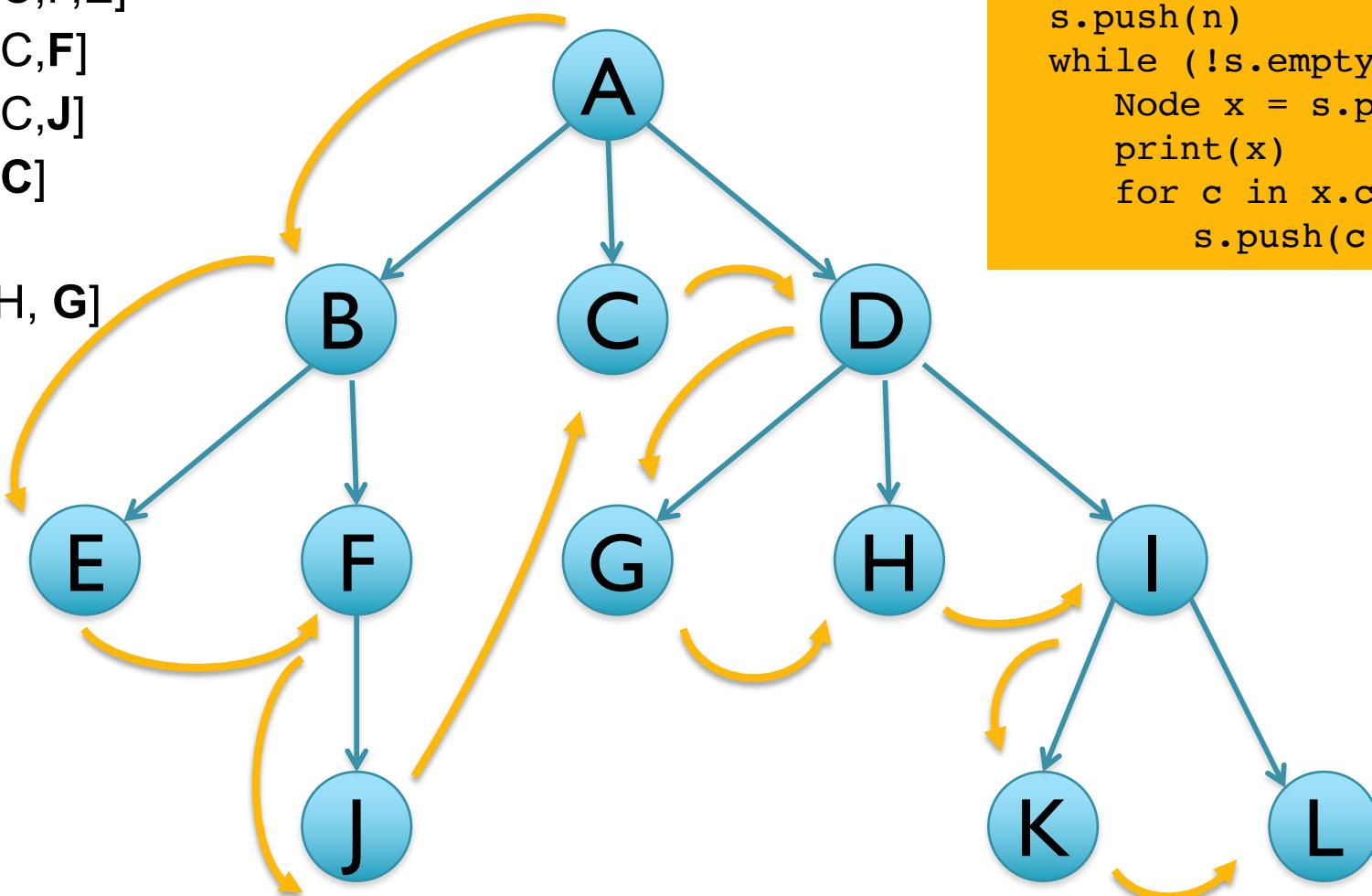
*How to preorder print?*

```
PreOrderTraversal(Node n):  
    print(n)  
    for c in x.children:  
        PreOrderTraversal(c)
```



# PreOrder Traversals

[A]  
[D,C,B]  
[D,C,F,E]  
[D,C,F]  
[D,C,J]  
[D,C]  
[D]  
[I, H, G]  
...



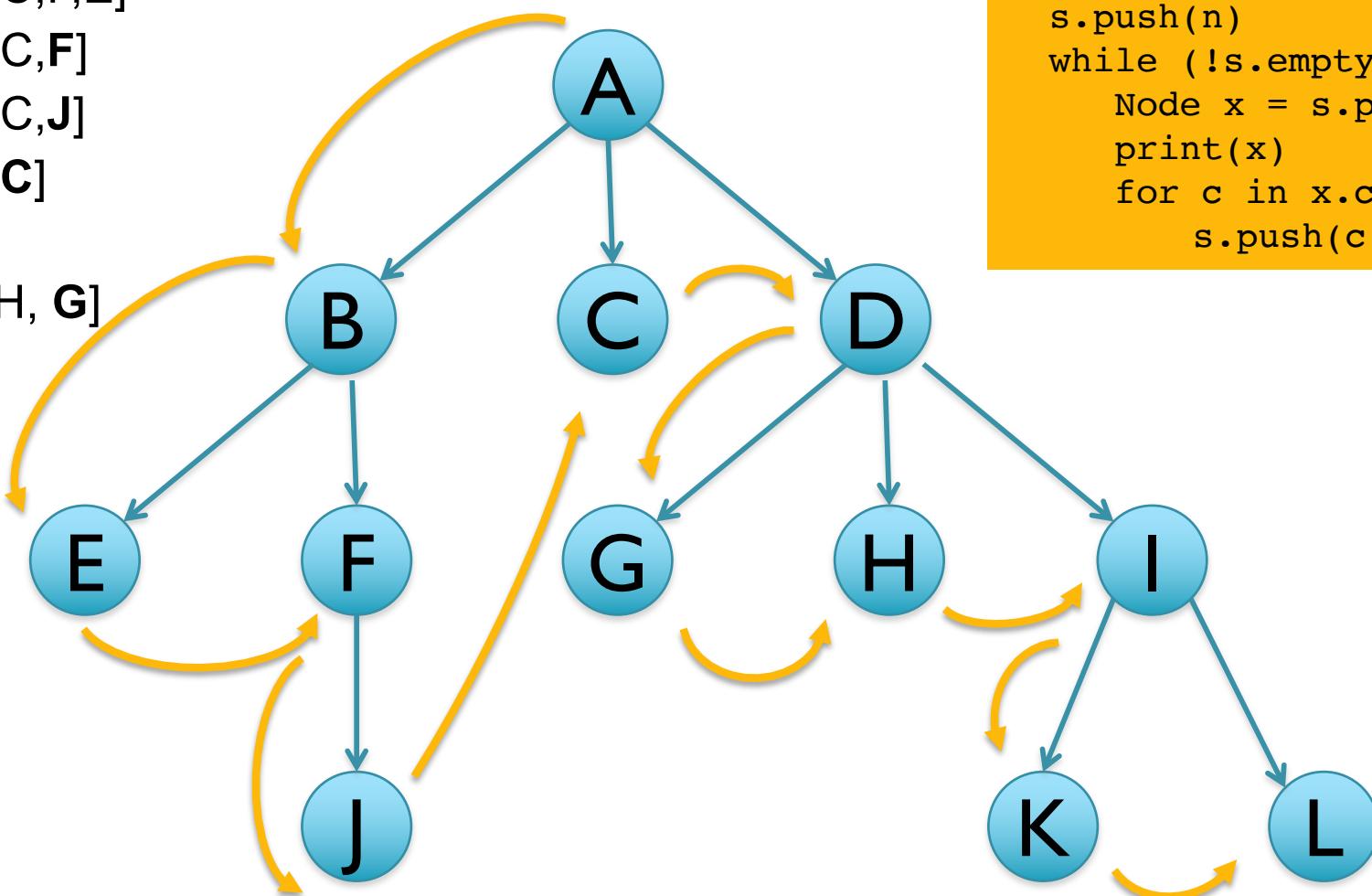
**How to preorder print?**

```
PreOrderTraversal(Node n):  
    Stack s  
    s.push(n)  
    while (!s.empty()):  
        Node x = s.pop()  
        print(x)  
        for c in x.children:  
            s.push(c)
```

# PreOrder Traversals

[A]  
[D,C,B]  
[D,C,F,E]  
[D,C,F]  
[D,C,J]  
[D,C]  
[D]  
[I, H, G]  
...

*Stack leads to a Depth-First Search*



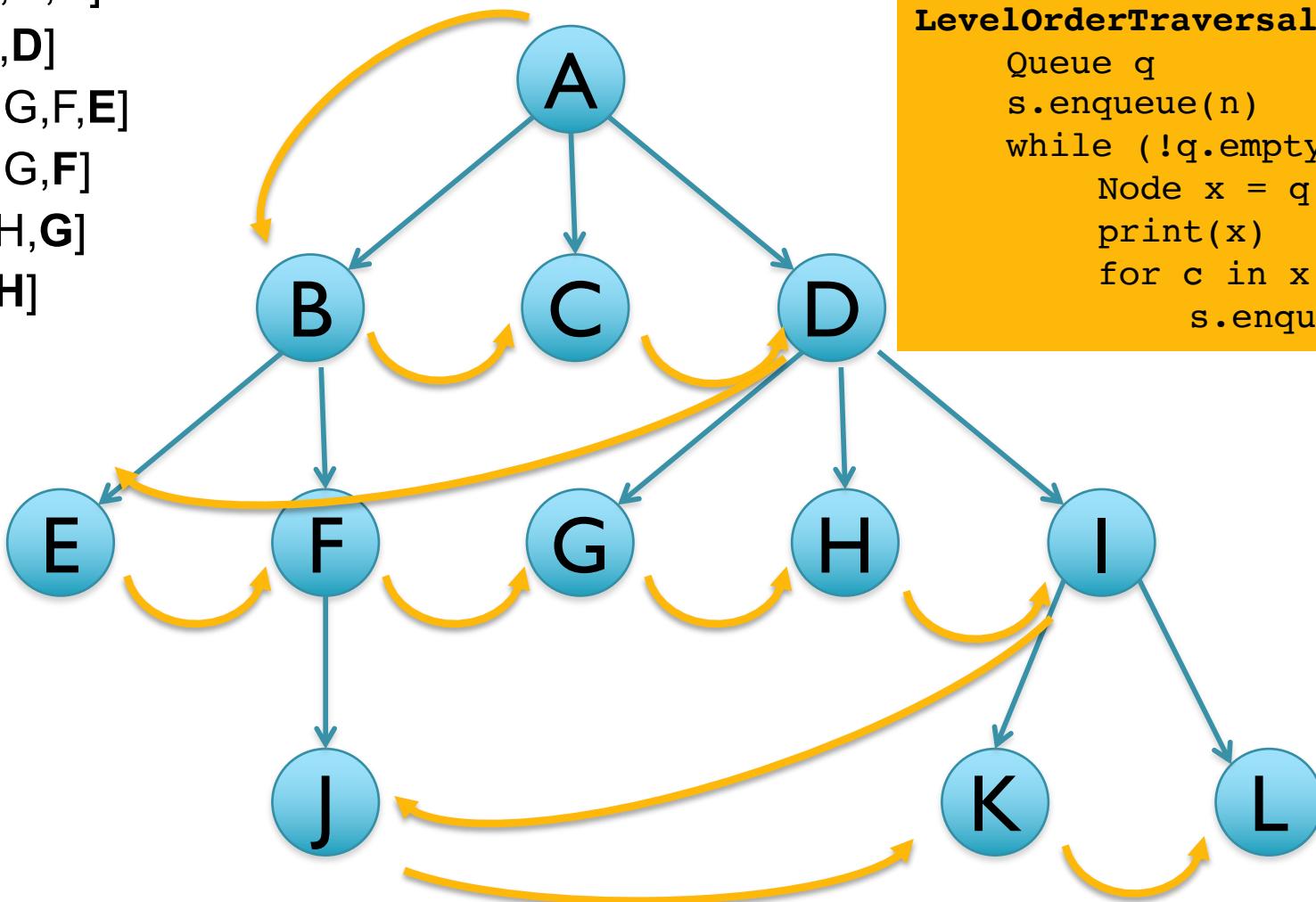
*How to preorder print?*

```
PreOrderTraversal(Node n):  
    Stack s  
    s.push(n)  
    while (!s.empty()):  
        Node x = s.pop()  
        print(x)  
        for c in x.children:  
            s.push(c)
```

# Level Order Traversals

[A]  
[D,C,B]  
[F,E,D,C]  
[F,E,D]  
[I,H,G,F,E]  
[I,H,G,F]  
[J,I,H,G]  
[J,I,H]  
...

**Queue leads to a Breadth-First Search**



**How to level order print?**

(A) (B C D) (E F G H I) (J K L)

```
LevelOrderTraversal(Node n):  
    Queue q  
    s.enqueue(n)  
    while (!q.empty()):  
        Node x = q.dequeue()  
        print(x)  
        for c in x.children:  
            s.enqueue(c)
```

# Multiple Traversals

```
public abstract class Operation<T> {  
    void pre(Position<T> p) {}  
    void in(Position<T> p) {}  
    void post(Position<T> p) {}  
}  
  
public interface Tree<T> {  
    ...  
    traverse(Operation<T> o);  
    ...  
}  
  
// Tree implementation pseudo-code:  
nicetraversal(Node n, Operation o):  
    if n is not null:  
        o.pre(n)  
        niceTraversal(n.left, o)  
        o.in(n)  
        niceTraversal(n.right, o)  
        o.post(n)  
}
```

Abstract class  
simplifies the use of  
function objects -  
functors

Client extends  
Operation<T> but  
overrides just the  
methods that are  
needed ☺

# Implementation (I)

```
public class TreeImplementation<T> implements Tree<T> {  
    ...  
    private static class Node<T> implements Position<T> {  
        T data;  
        Node<T> parent;  
        ArrayList<Node<T>> children;  
  
        public Node(T t) {  
            this.children = new ArrayList<Node<T>>();  
            this.data = t;  
        }  
  
        public T get() {  
            return this.data;  
        }  
  
        public void put(T t) {  
            this.data = t;  
        }  
    }  
}
```

Constructor ensures children, data are initialized correctly

What other fields might we want to include? (Hint: Position<>)

Should set the “owner” field to point to this Tree so Position<> can be checked

# Implementation (2)

```
public Position<T> insertRoot(T t) throws InsertionException {  
    if (this.root != null) {  
        throw new InsertionException();  
    }  
    this.root = new Node<T>(t);  
    this.elements += 1;  
    return this.root;  
}  
  
public Position<T> insertChild(Position<T> pos, T t)  
    throws InvalidPositionException {  
    Node<T> p = this.convert(pos);  
    Node<T> n = new Node<T>(t);  
    n.parent = p;  
    p.children.add(n);  
    this.elements += 1;  
    return n;  
}
```

convert?

convert method (a private helper) takes a position, validates it, and then returns the Node<T> object hiding behind the position

# Implementation (3)

```
public boolean empty() {
    return this.elements == 0;
}

public int size() {
    return this.elements;
}

public boolean hasParent(Position<T> p) throws
                                         InvalidPositionException {
    Node<T> n = this.convert(p);
    return n.parent != null;
}

public boolean hasChildren(Position<T> p) throws
                                         InvalidPositionException {
    Node<T> n = this.convert(p);
    return !n.children.isEmpty();
}
```

# Traversal

```
private void recurse(Node<T> n, Operation<T> o) {  
    if (n == null) { return; }  
    o.pre(n);  
    for (Node<T> c: n.children) {  
        this.recurse(c, o);  
        // figure out when to call o.in(n)  
    }  
    o.post(n);  
}  
  
public void traverse(Operation<T> o) {  
    this.recurse(this.root, o);  
}
```

Private helper method working with Node<T> rather than Position<T>

Just make sure we start at root

***When should we call o.in()?***

We don't want to call the in method after we visit the last child.  
We do want to call the in method even for a node with no children

# More to come...

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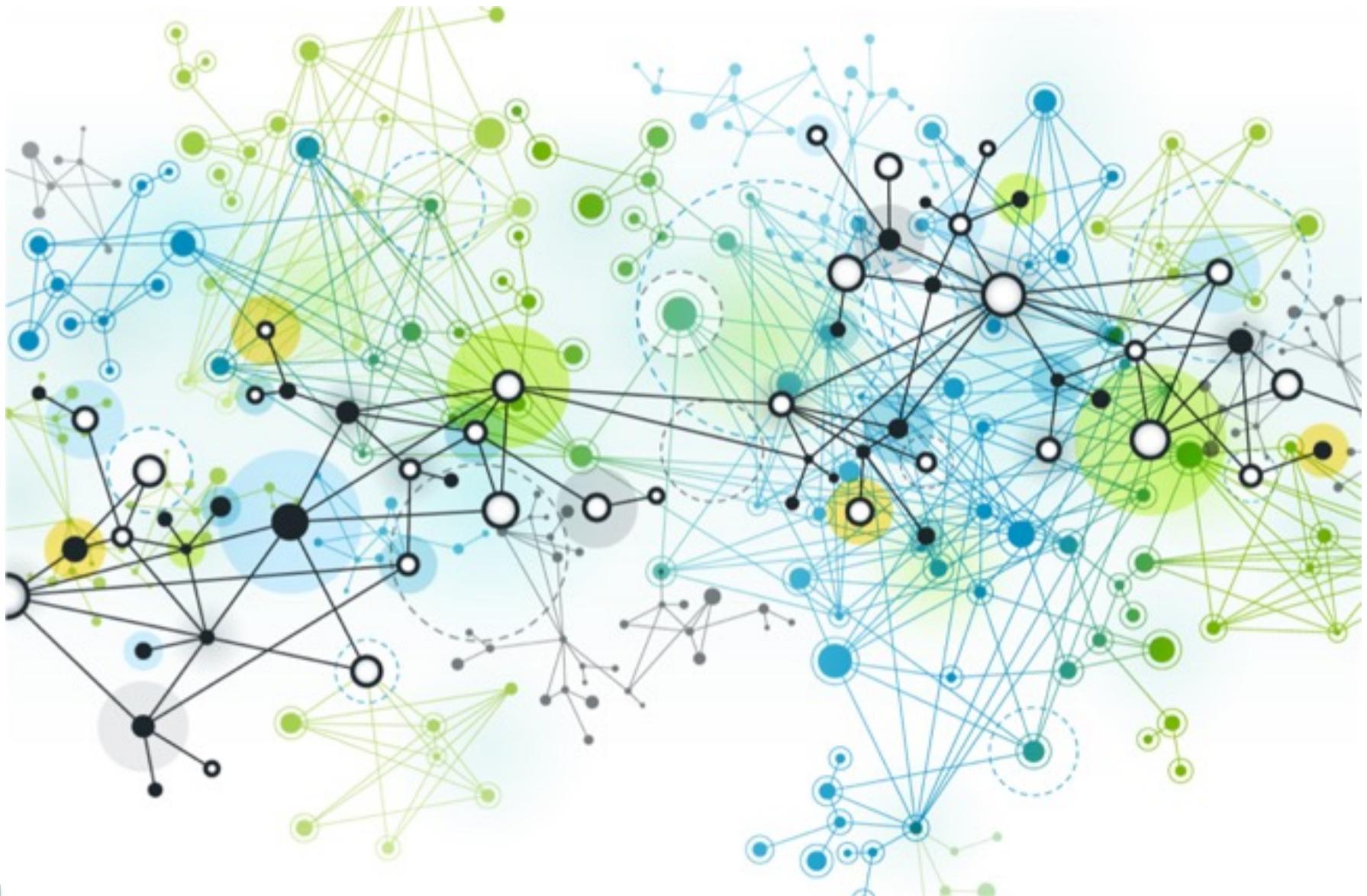
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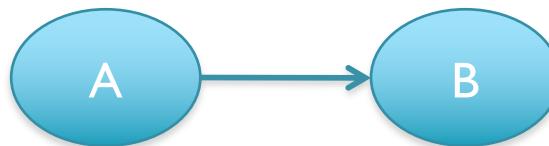
# Graphs

# Graphs are Everywhere!



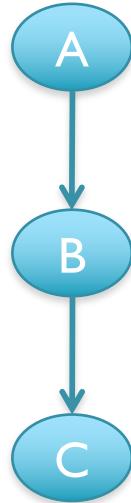
Computers in a network, Friends on Facebook, Roads & Cities on GoogleMaps, Webpages on Internet, Cells in your body, ...

# Graphs

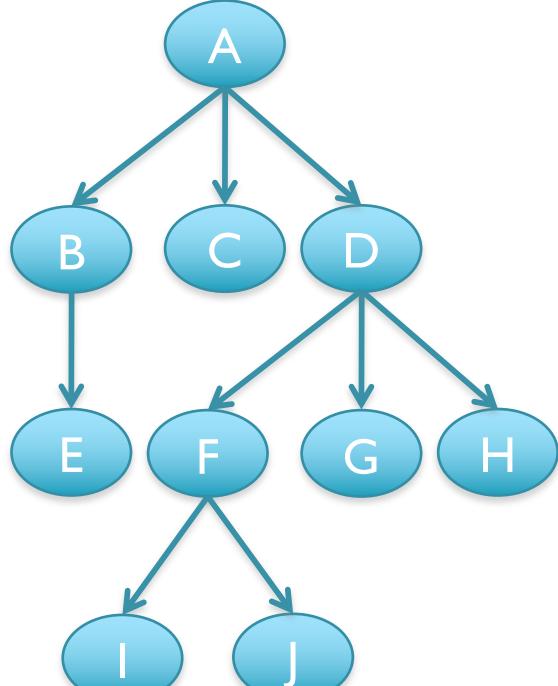


- Nodes aka vertices
  - People, Proteins, Cities, Genes, Neurons, Sequences, Numbers, ...
- Edges aka arcs
  - A is connected to B
  - A is related to B
  - A regulates B
  - A precedes B
  - A interacts with B
  - A activates B
  - ...

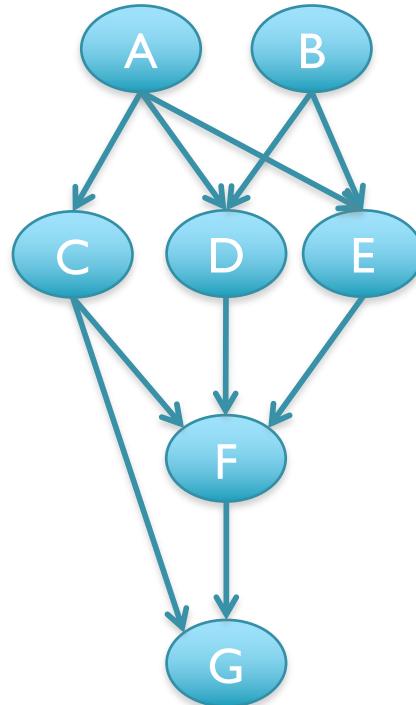
# Graph Types



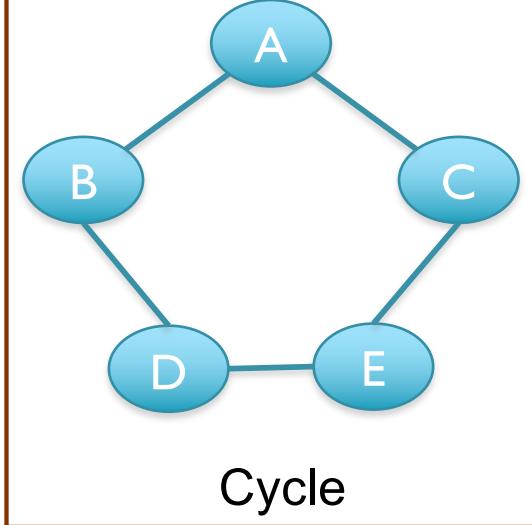
List



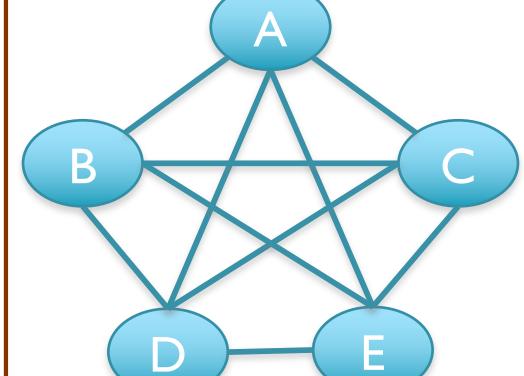
Tree



Directed  
Acyclic  
Graph

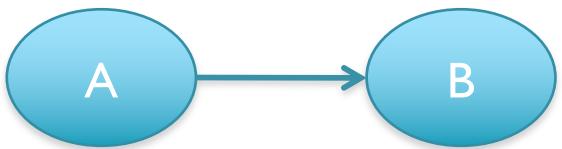


Cycle



Complete

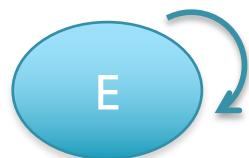
# Definitions (I)



**Directed Edge**



**Undirected Edge**

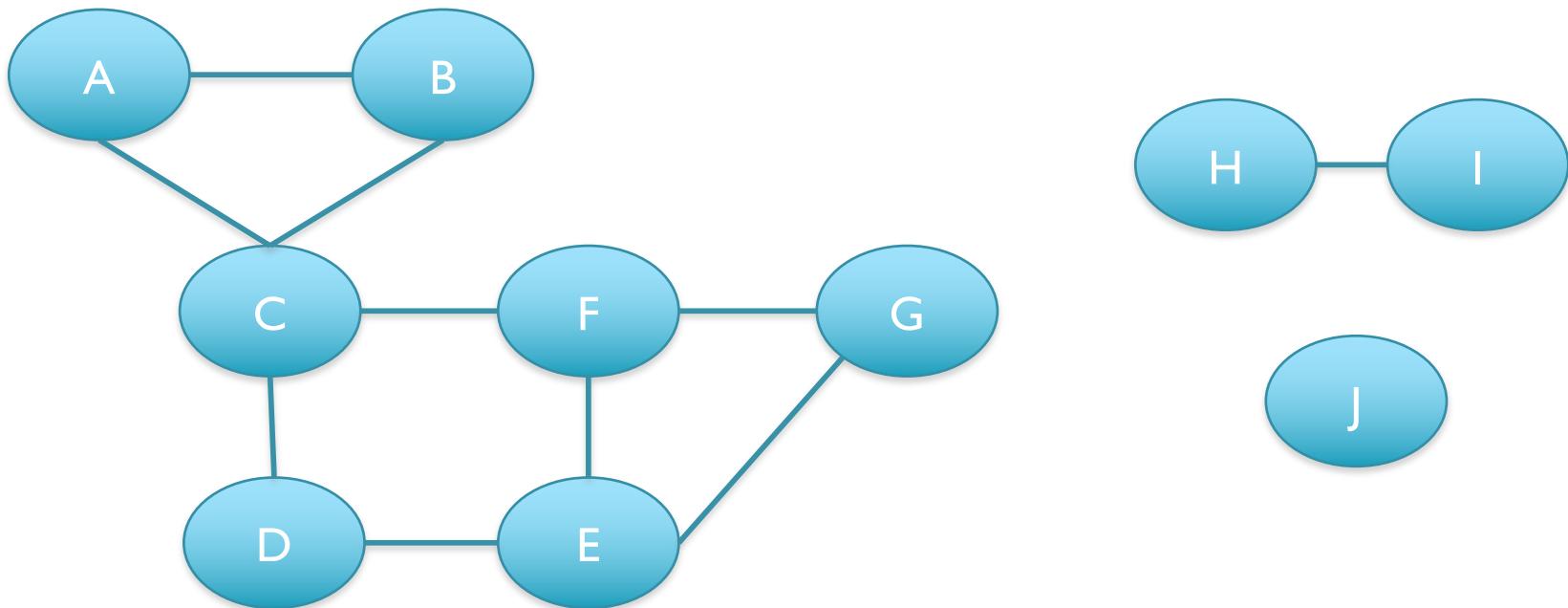


**Self Edge**

(Unusual but usually allowed)

- A and B are **adjacent**
- An edge connected to a vertex is **incident** on that vertex
- The number of edges incident on a vertex is the **degree** of that vertex
  - For directed graphs, separately report **indegree** and **outdegree**
- A **multigraph** allows multiple edges between the same pair of nodes, a **simple** graph does not allow multiple edges (most common)

# Definitions (2)



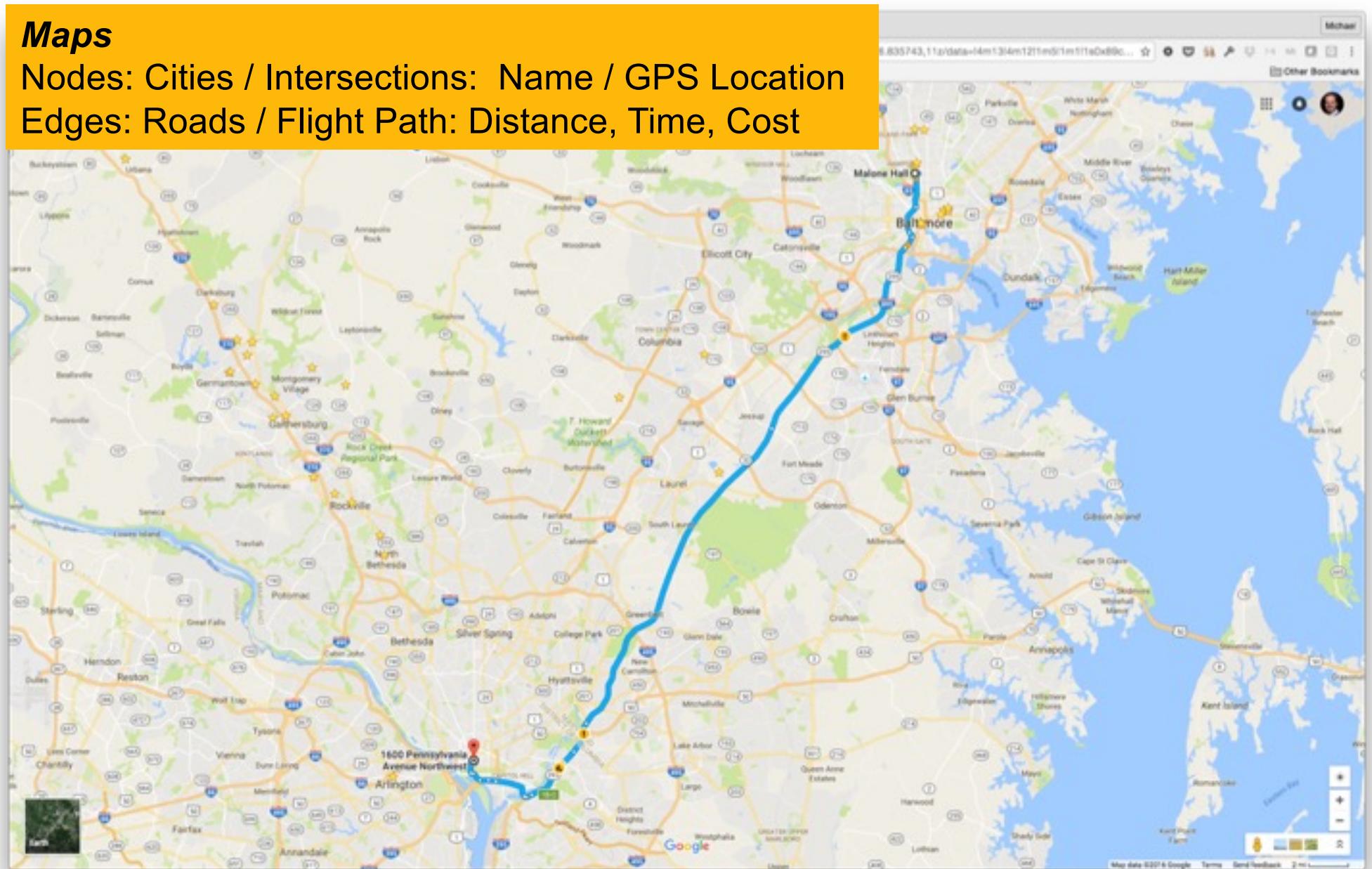
- A **path** is a sequence of edges  $e_1, e_2, \dots, e_n$  in which each edge starts from the vertex the previous edge ended at
  - A path that starts and ends at the same node is a **cycle**
  - The number of edges in a path is called the **length** of the path
- A graph is **connected** if there is a path between every pair of nodes, otherwise it is **disconnected** into  $>1$  **connected components**

# The Road to the White House

## Maps

Nodes: Cities / Intersections: Name / GPS Location

Edges: Roads / Flight Path: Distance, Time, Cost



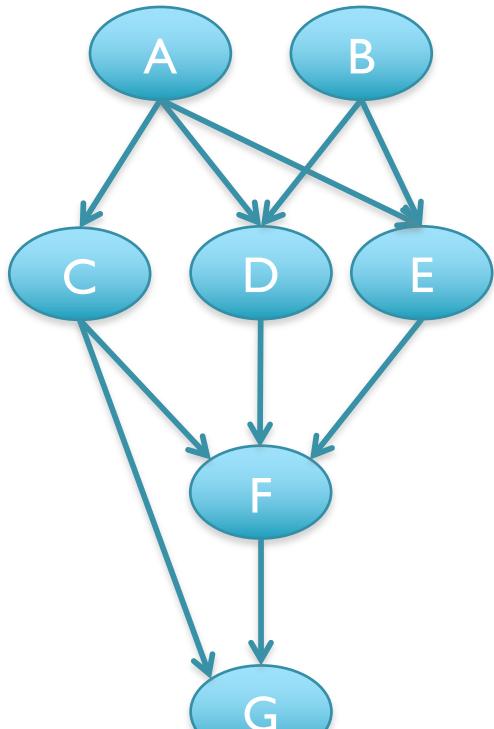
# Graph Interface

```
public interface Graph<V, E> {  
    ...  
    Position<V> insertVertex(V v);  
  
    Position<E> insertEdge(Position<V> from, Position<V> to, E e)  
        throws InvalidPositionException, InsertionException;  
  
    V removeVertex(Position<V> p)  
        throws InvalidPositionException, RemovalException;  
  
    E removeEdge(Position<E> p)  
        throws InvalidPositionException;  
  
    Iterable<Position<V>> vertices();  
  
    Iterable<Position<E>> edges();  
  
    Iterable<Position<E>> incomingEdges(Position<V> p)  
        throws InvalidPositionException;  
  
    Iterable<Position<E>> outgoingEdges(Position<V> p)  
        throws InvalidPositionException;  
}
```

Can iterate through all the nodes OR iterate through all the edges  
as different algorithms may require one or the other

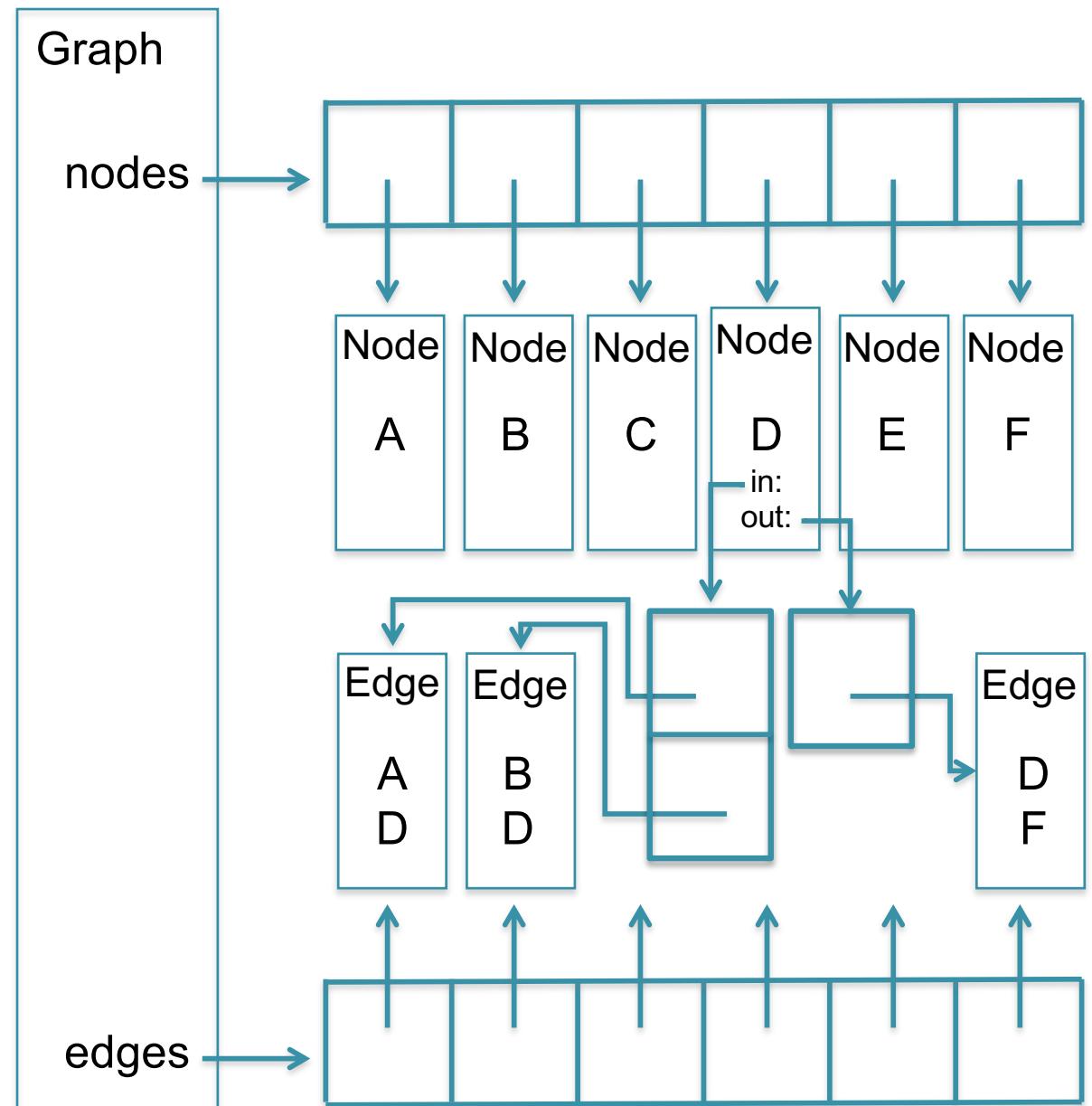
Separate generic  
types for vertices <V>  
and edges <E>

# Representing Graphs

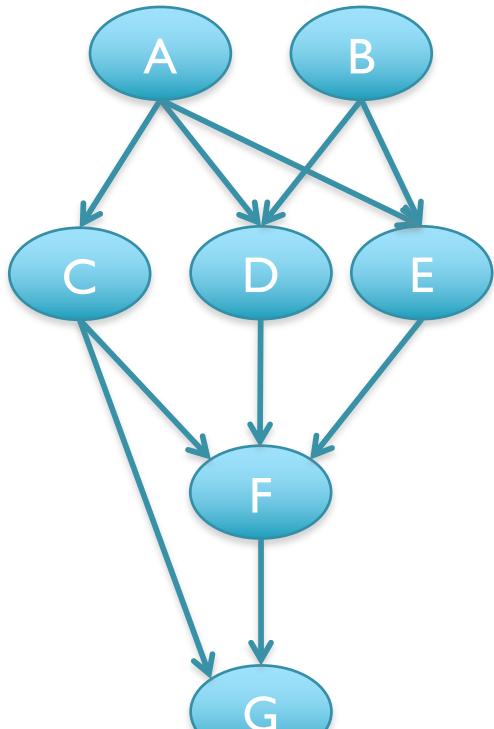


Incidence List  
Good for sparse graphs  
Compact storage

A: C, D, E	D: F
B: D, E	E: F
C: F, G	G:

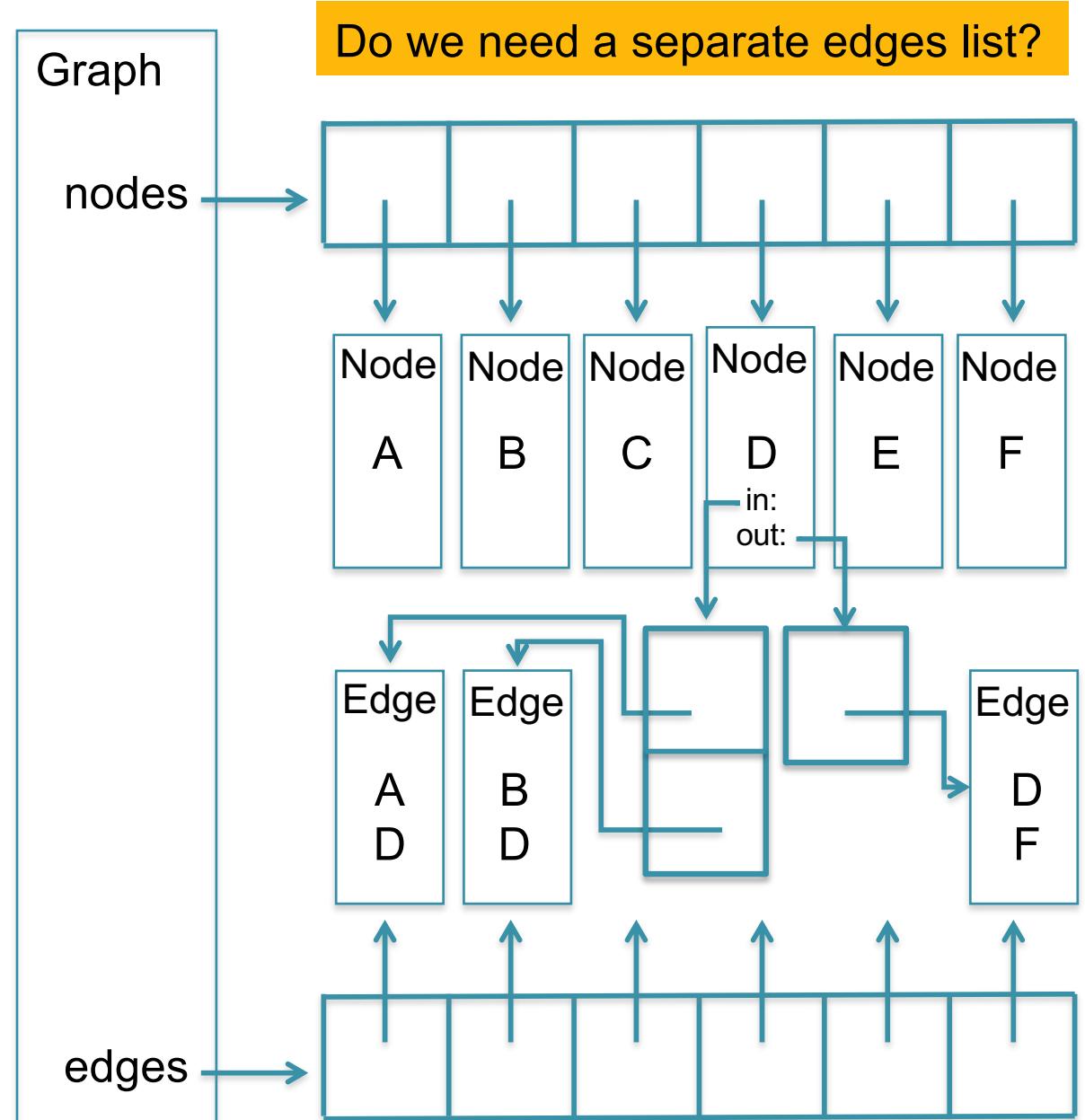


# Representing Graphs

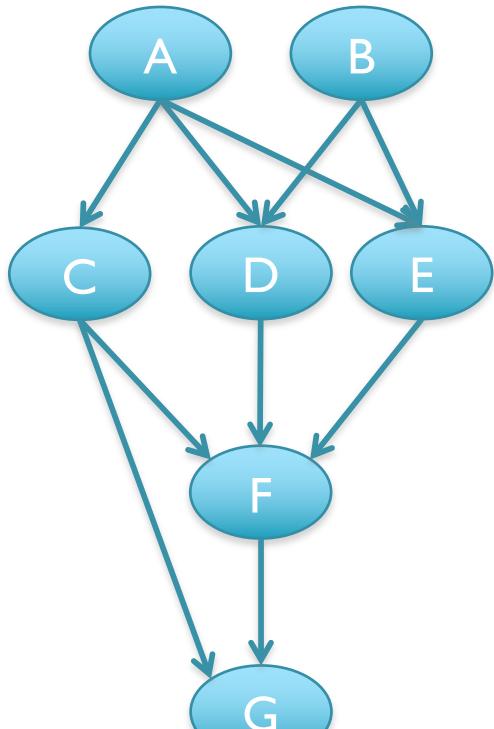


Incidence List  
Good for sparse graphs  
Compact storage

A: C, D, E	D: F
B: D, E	E: F
C: F, G	G:

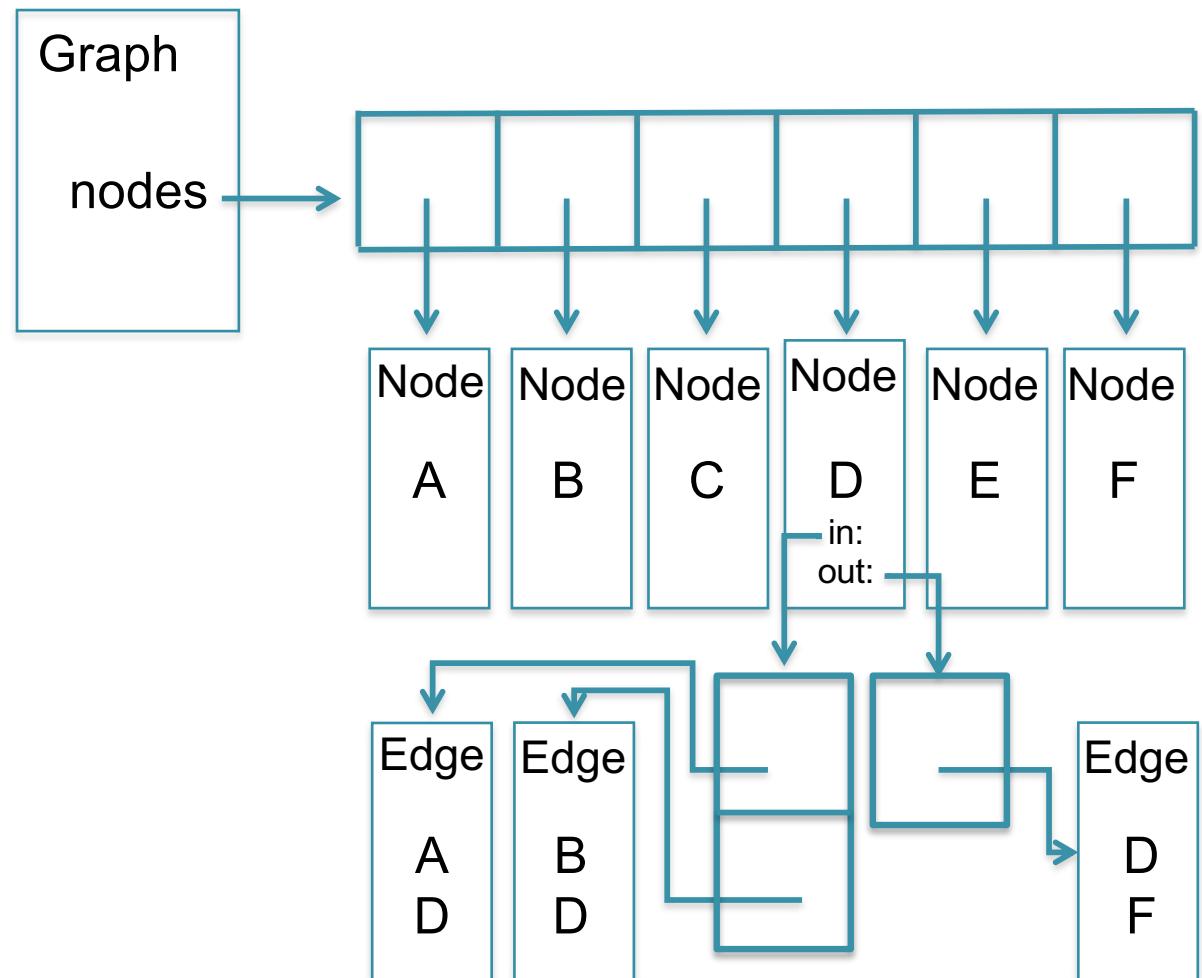


# Representing Graphs



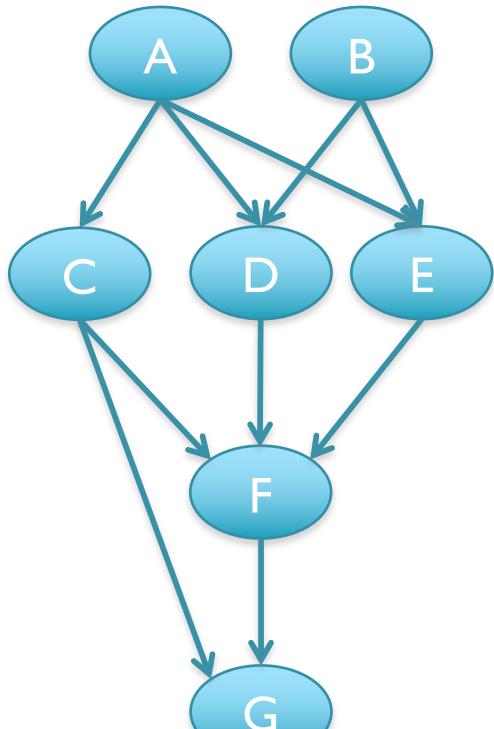
Incidence List  
Good for sparse graphs  
Compact storage

A: C, D, E	D: F
B: D, E	E: F
C: F, G	G:



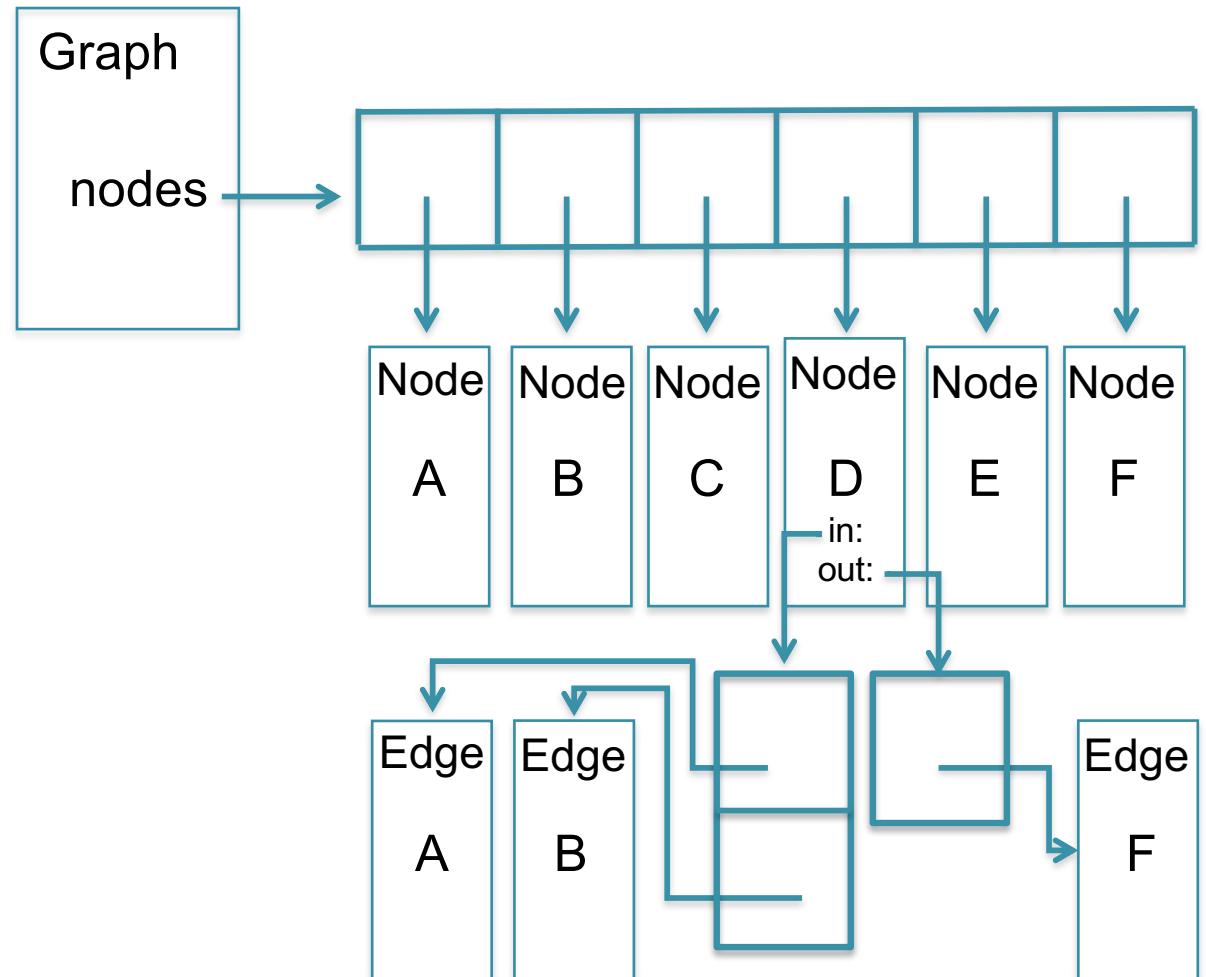
Note the labels in the edges are really references to the corresponding node objects!

# Representing Graphs



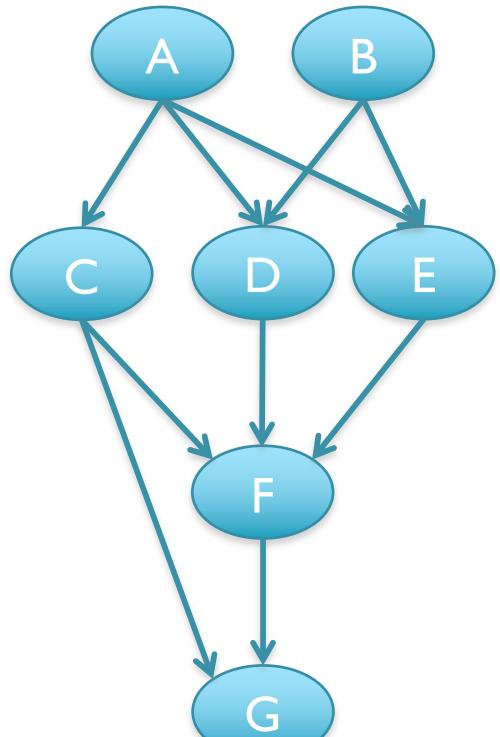
Incidence List  
Good for sparse graphs  
Compact storage

A: C, D, E	D: F
B: D, E	E: F
C: F, G	G:



Often possible for the Edge to only contain a reference to the “other” node

# Representing Graphs



Incidence List  
Good for sparse graphs  
Compact storage

A: C, D, E	D: F
B: D, E	E: F
C: F, G	G:

## Complexity Analysis

If  $n$  is the number of vertices, and  $m$  is the number of edges, we need  $O(n + m)$  space to represent the graph

When we insert a vertex, allocate one object and two empty edge lists:  $O(1)$

When we insert an edge we allocate one object and insert the edge into appropriate lists for the incident vertices:  $O(1)$

Remove a node?

$O(1)$ ; Only after edges removed

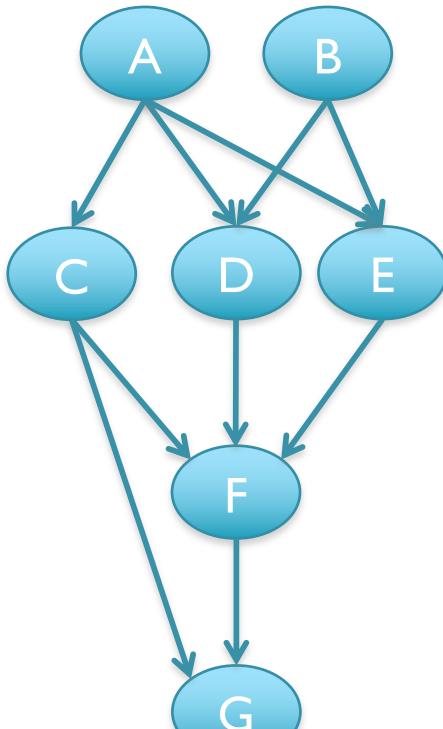
Remove an edge?

$O(d)$  where  $d$  is max degree;  
 $O(n)$  worse case

Find/check edge between nodes?

$O(d)$ ;  
 $O(n)$  worst case

# Representing Graphs



Adjacency Matrix  
Good for dense graphs  
Fast, Fixed storage:  $N^2$  bits or  $N^2$  weights

	A	B	C	D	E	F	G
A							
B							
C							
D							
E							
F							
G							

Incidence List  
Good for sparse graphs  
Compact storage: ~8 bytes/edge

A: C, D, E      D: F  
B: D, E      E: F  
C: F, G      G:

Edge List  
Easy, good if you (mostly) need to iterate through the edges  
~16 bytes / edge

A,C      B,C      C,F  
A,D      B,D      C,G  
A,E      B,E      D,F  
E,F      F,G

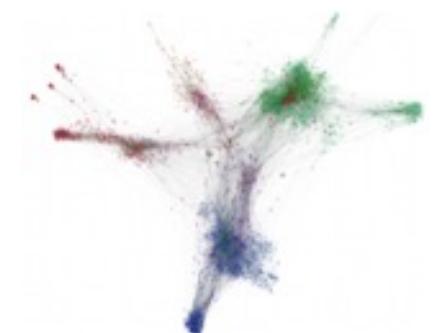
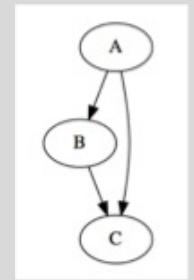
## Tools

**Graphviz:** <http://www.graphviz.org/>

**Gephi:** <https://gephi.org/>

**Cytoscape:** <http://www.cytoscape.org/>

```
digraph G {  
    A->B  
    B->C  
    A->C  
}  
$ dot -Tpdf -o g.pdf g.dot
```



# Next Steps

- I. Work on HW4
2. Check on Piazza for tips & corrections!