

FORMAL METHODS

$A \rightarrow \text{set}$

Finite set $\rightarrow |A| < \infty$

$$A, B \Rightarrow A \times B = \{(x, y) / \forall x \in A, \forall y \in B\}$$

~~all possible combinations of ordered tuples~~

$$A^K = A \times A \times A \dots \underset{K \text{ times}}{\times} A ; K \in \mathbb{N}$$

$$A = \{a\} \quad B = \{b\}$$
$$C = \{c\}$$

$$|A| = m (< \infty) \quad |A \times B| = m \cdot n$$

$$A \times B \times C = \{(a, b, c)\}$$

Alphabet \rightarrow Finite set of symbols
 Σ

Suppose $\rightarrow \Sigma = \{0, 1\} \equiv$ strings: 0, 1, 01, 10, 11, 001, ...

lexicographic order - dictionary order

Short lexicographic order - same as dictionary order but
short strings come first.
(length is also considered)

$$\overline{A} \rightarrow \text{complement of } A = \{a \in U / a \notin A\}$$

$$\overline{A \cup B} = \overline{A} \cap \overline{B}$$

If two sets are equal, $A = B$, then $A \subseteq B$ & $B \subseteq A$

$$\overline{A \cup B} = \overline{A} \cap \overline{B} \quad - (i) \quad \overline{A \cup B} \subseteq \overline{A} \cap \overline{B}$$

$$(ii) \quad \overline{A} \cap \overline{B} \subseteq \overline{A \cup B}$$

Let $x \in \overline{A \cup B}$

$$\Leftrightarrow x \notin A \cup B$$

$$\Leftrightarrow x \notin A \text{ and } x \notin B$$

$$\Leftrightarrow x \in \overline{A} \text{ and } x \in \overline{B}$$

$$\Leftrightarrow x \in \overline{A} \cap \overline{B}$$

$$G = (V, E)$$

$v \in V, \deg(v)$

$$\sum_i \deg v_i = 2|E|.$$

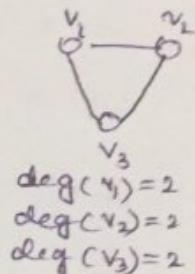
Proof Techniques:

→ Proof by construction:

Defn:

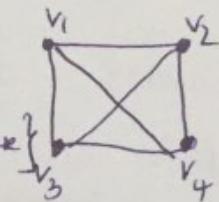
$K \in \mathbb{N}$, K -Regular graph $G(V, E)$
 $\forall v \in V; \deg(v) = K$

$\forall K \geq 2$, \exists a 3 -regular graph $G(V, E)$
 with $|V| = 2K$, $\forall K \in \mathbb{N}$.



For a vertex set, V

$V = \{v_1, v_2, v_3, \dots, v_{2k-1}, v_{2k}\}$
 we need to construct an edge set such that $\deg(v) = 3$

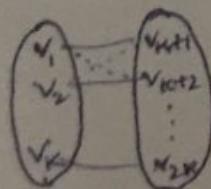


that the degree of the vertices = 3.

contribution = 2.

$$E = \{ (v_i, v_{i+1}) \mid i \in \{1, 2, \dots, 2k-1\} \} \cup \{v_{2k}, v_1\}$$

$$\cup \{ (v_1, v_{k+1}), (v_2, v_{k+2}), \dots \}$$



mapping can be anything $\dots (v_k, v_{2k}) \}$
 contribution = 1

mapping can be anything
 but make sure the contributions match up

→ Proof by Contradiction:

Ex $\sqrt{2}$ is irrational

According to proof by contradiction; $\sqrt{2}$ is rational.

$$\sqrt{2} = \frac{p}{q}, q \neq 0, p, q \in \mathbb{Z}$$

$$GCD(p, q) = 1.$$

$$\frac{1}{2} = \frac{2}{4} = \frac{3}{6} = \frac{10}{20}$$

$$GCD(1, 2) = 1.$$

$$(\sqrt{2})^2 = \frac{p^2}{q^2}$$

$$p^2 = 2q^2 \Rightarrow p^2 \text{ is even.}$$

Since p^2 is even; p must be even.

Since p is even;

$$p = 2k$$

$$\Rightarrow (2k)^2 = 2q^2$$

$$4k^2 = 2q^2$$

$$q^2 = 2k^2 \Rightarrow q^2 \text{ is even.}$$

Since q^2 is even, q must be even.

Since p is even and q is even $\Rightarrow GCD(p, q)$ is atleast greater than or equal to 2.

→ So, the assumption ($\sqrt{2}$ is rational) is wrong.

→ Proof by Induction:

① we prove that $p(m)$ is true

② If $p(k)$ is true (after any $k (> m \in \mathbb{N})$) then we show that $p(k+1)$ is true.

Σ be an alphabet ;

Σ^* is the set of all string over Σ

Σ^n is the set of all string of length n

u, v over Σ

$$u = u_1 u_2 u_3 \dots u_m ; |u| = m$$

$$v = v_1 v_2 v_3 \dots v_n ; |v| = n$$

$$uv = u_1 u_2 \dots u_m v_1 \dots v_n ; |uv| = m+n$$

Deterministic Finite Automaton -

A deterministic finite automaton (DFA) is a 5-tuple $(\Sigma, Q, \delta, q_0, F)$ where

Σ - alphabet

Q - set of states

q_0 - Initial / Start state

F - set of Final / Accept state

δ - transition function

$$\delta : Q \times \Sigma \rightarrow Q \text{ (Transition Function)}$$

$$\Rightarrow \overset{\wedge}{\delta} = Q \times \Sigma^* \rightarrow Q \quad \begin{array}{l} \text{Accepting a} \\ \text{string} \end{array}$$

$u = u_1 u_2 u_3 \dots u_n \quad [\text{String over } \Sigma]$

$$\text{States: } r_0 \xrightarrow{u_1} r_1 \xrightarrow{u_2} r_2 \xrightarrow{u_3} \dots \dots r_{n-1} \xrightarrow{u_n} r_n$$

These states need not
be distinct,
they can be repeating
also.

Accepts only when

$$\Rightarrow r_n \in \text{Final State}$$

$$\Rightarrow q_0 = r_0$$

(Initial State)

Transition -
function

$$\delta(r_i, u_{i+1}) = r_{i+1}$$

$$\rightarrow w = uv$$

$$\hat{\delta}(q, w) = \hat{\delta}(q, uv) = \hat{\delta}(\hat{\delta}(q, u), v).$$

$$\rightarrow u = u_1 u_2 \dots u_k \\ u \in \Sigma^*$$

$$\exists r_0, r_1, r_2, \dots, r_k \in Q.$$

$$\hat{\delta}(q_0, u) = q \in F$$

$$r_0 \xrightarrow{u_1} r_1 \xrightarrow{u_2} r_2 \dots \xrightarrow{u_k} r_k$$

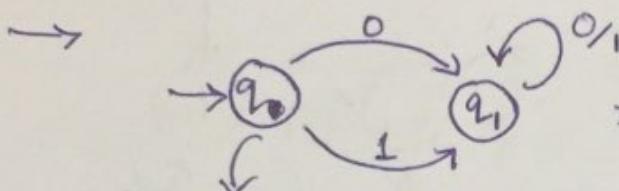
$$(1) q_0 = r_0$$

$$(2) r_k \in F$$

$$(3) \delta(r_{k-1}, u_k) = r_k.$$

$$\boxed{\delta(r_i^0, u_{i+1}^0) = r_{i+1}^0 \\ \forall i \in \{0, \dots, n-1\}}$$

$$\equiv \text{Language} = \emptyset = \{\text{Empty string}\}$$



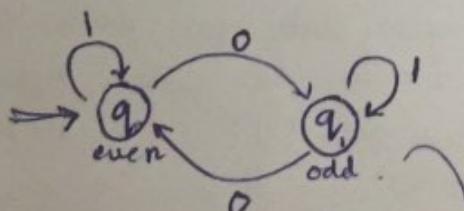
for any symbol, this FSM goes to state q_1 , except for an empty string.

[cannot hold arbitrary lengths of strings
fixed memory]

Regular Languages - Language L , if some DFA recognizes it.

Ex: $u = \{0, 1\}$, such that u has even number of 0s Language.

Do we need to remember the entire string



$$\Sigma = \{0, 1\}$$

$$\emptyset = \{q_{\text{even}}, q_{\text{odd}}\}$$

$$q_0 = q_{\text{even}}. \quad (\text{empty string has even no.})$$

$$F = \{q_{\text{even}}\} \quad (\text{of zeroes})$$

No, just the count of 0s till the symbol read - even or odd [2 states]

also contains empty string as it contains zero number of zeroes.

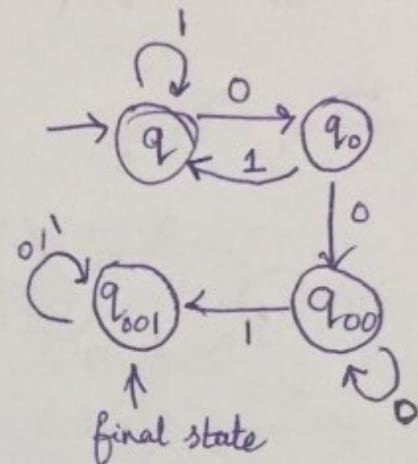
$\rightarrow u, v \in \Sigma^*$

u is the substring of v if there exists two strings x and y in Σ^* such that $v = xuy$.

ForEx: DFA? which recognizes language of all strings $\{0,1\}$ that contain the string 001 as a substring

Since we cannot hold the string read till now, we need to remember -

- { have not seen a single 0.
 - have seen just a 0.
 - have seen two zeroes
 - have seen the substring 001 in whole
- account to four states ✓



$$\rightarrow \Sigma = \{0,1\}$$

$$L_k = \{w \in \Sigma^k \mid k^{\text{th}} \text{ character of } w \text{ from the end} = 1\}$$

$$L_1 = \{1, 0, 1, 1, \dots\}$$

~~Challenge~~

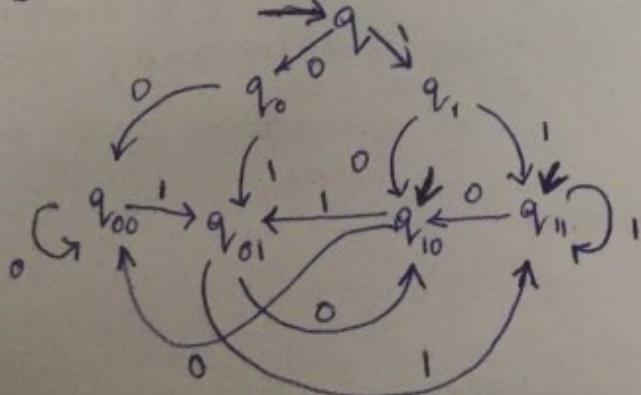
$$L_2 = \{00, 10, 11, 01, 10, 110, \dots\} \rightarrow \text{infinite sets.}$$

$$L_3 = \{100, 101, 110, 111, 011, \dots\}$$

remember k symbols.

[new state for each string of length less than k]

$L_2 \rightarrow$ strings with length less than "2". $\{0, 1, 00, 01, 10,$



Initial state = q_1

" q_{10}, q_{11} - final states"

$$Q = \{w \mid |w| \leq k\}$$

States as strings: $\langle 0 \rangle, \langle 1 \rangle, \langle 00 \rangle, \langle 01 \rangle$

Transition function δ

$$\delta(\langle \omega \rangle, a) = \begin{cases} \langle \omega a \rangle & \text{if } |\omega| < k \\ \omega_1 \dots \omega_{k-a} & \text{if } |\omega| = k. \end{cases}$$

$$\omega = \omega_1, \omega_2, \dots, \omega_k$$

L_1 & L_2 are two regular languages over Σ , so

→ is $L_1 \cup L_2$ regular?

$$L_i \rightarrow M_i : (\Sigma, Q_i, \delta_i, q_i, F)$$

for $i = 1, 2$

$$L_1 \cup L_2 = \{ u \in \Sigma^* \mid \begin{array}{l} u \in L_1 \\ \text{or} \\ u \in L_2 \end{array} \}$$

$$M \rightarrow L_1 \cup L_2 (\Sigma, Q, \delta, q, F)$$

$$\delta_1 : Q_1 \times \Sigma \rightarrow Q_1$$

$$\delta_2 : Q_2 \times \Sigma \rightarrow Q_2$$

$$\text{DFA : } M \equiv Q = Q_1 \times Q_2$$

$$\text{Input} \equiv \delta : (Q_1 \times Q_2) \times \Sigma \rightarrow Q_1 \times Q_2$$

Transition function:

$$\delta((r_1, r_2), a) = (\delta_1(r_1, a), \delta_2(r_2, a)), \quad q \in Q_1 \times Q_2$$

Final state:

$$F = \{(r_1, r_2) \mid \begin{array}{l} r_1 \in F_1 \text{ or } \\ r_2 \in F_2 \end{array}\} \quad q = (q_1, q_2)$$

$$q \xrightarrow{q_1} q_1 \Rightarrow (q_1, q_2) \in Q_1 \times Q_2$$

$$\text{Brief Revision} - \equiv ((F_1 \times Q_2) \cup (F_2 \times Q_1)) \notin Q_1 \cup Q_2$$

DFA - 5 tuple

$$(\Sigma, Q, q_0, F, \delta)$$

$$\delta : Q \times \Sigma \rightarrow Q$$

$$(q, a) \rightarrow \delta(q, a)$$

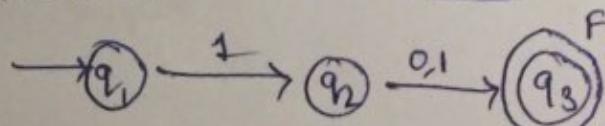
For Ex: Consider →

NFA - 5 tuple

$$(\Sigma, Q, q_0, F, \delta)$$

$$\delta : Q \times \Sigma \xrightarrow{\epsilon} P(Q)$$

$$\text{epsilon } \phi \subseteq Q$$



$$\delta(q, a) = \phi$$

↓
no further computation is allowed

This is not an DFA, as $(q_1, 0) \rightarrow \text{undefined}$.

NFA? Yes.

	ϵ	0	1
q_1	$\{q_1\}$	\emptyset	$\{q_2\}$
q_2	$\{q_2\}$	$\{q_3\}$	$\{q_3\}$
q_3	$\{q_3\}$	\emptyset	\emptyset

final state \rightarrow on reading 0, 1 \rightarrow its not defined. $\equiv \emptyset$

Regular Operations:

\cup - union

\circ - concatenation

$*$ - star

A - same language.

$$A^* = \{ u_1, u_2, \dots, u_k \mid k \geq 0 \}$$

L_1 and L_2 , two regular languages over Σ , ~~for~~
 $\rightarrow L_1 \cup L_2$ is closed; i.e., also regular.

$\rightarrow L_1 \circ L_2$ (4 concatenated with L_2), is it regular?

$$Q = Q_1 \cup Q_2$$

Theorem : - For any given NFA, \exists a self DFA

$$N(\Sigma, Q, \delta, q_1, F)$$

$$\delta: Q \times \Sigma_e \rightarrow P(Q).$$

$$|Q| = K$$

$$P(Q) = 2^K$$

gives the set of states as output.

whereas; DFA = $M(\Sigma, Q', \delta', q_1', F')$

$$Q' = P(Q), q_1' = \{q_1\}$$

$$\delta' = P(Q) \times \Sigma \rightarrow P(Q)$$

A = inputset

$$\delta(A, a)$$

$$A = \{r_0, r_1, \dots, r_n\}$$

from each state,
read the symbol

without

ϵ transitions

$$= \bigcup_{r \in A} \delta(r, a)$$

machine
can be in
any of these
set of states

$$\delta(r_0, a)$$

$$\delta(r_1, a)$$

:

:

$$\delta(r_n, a).$$

what if there's ϵ states?

for each $r \in Q$;

symbols in the alphabet.

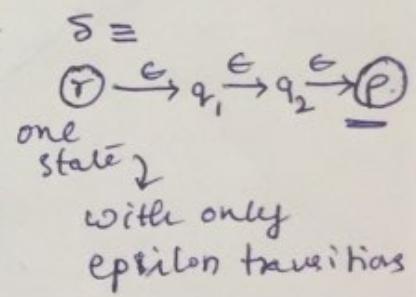
= including
epsilon
transitions

$$E(r) = \{p \in Q \mid p \text{ can be reached from } r \text{ by moving towards along } \epsilon \text{ arrows}\}$$

for each $R \in Q$;

$$E(R) = \bigcup_{r \in R} E(r)$$

on traversing zero or more ϵ arrows



Including ϵ transitions;

$$A = \bigcup_{r \in A} E(\delta(r, a))$$

NFA - δ
DFA - δ'

$$\delta': P(Q) \times \Sigma \rightarrow P(Q)$$

$$\delta'(R, a) = \bigcup_{r \in R} E(\delta(r, a))$$

$$F^1 = \left\{ \begin{array}{l|l} A \subseteq Q & A \text{ should contain at least one final state of NFA, } N \\ A \subseteq Q & A \cap F \neq \emptyset \end{array} \right\}$$

$$\begin{aligned} R &= \{r_1, r_2, \dots, r_n\} \\ &\quad \left\{ \begin{array}{l} \delta(r_1, a) \\ \vdots \\ \delta(r_n, a) \end{array} \right\} \\ &\quad \downarrow \\ &\quad \delta(r_1, a) \end{aligned}$$

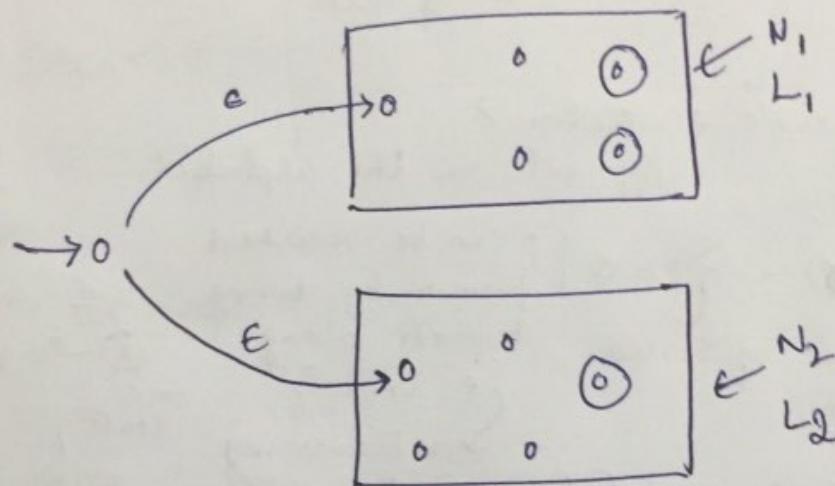
Other definition of regular languages:-

If it can be recognized by an NFA,

$$Q = Q_1 \cup Q_2 \cup \{q_0\}$$

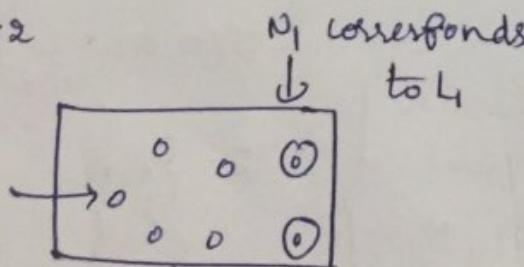
$$\delta: Q \times \Sigma \rightarrow P(Q)$$

$$\delta(q_1, a) = \begin{cases} \delta_1(q_1, a) & \text{if } q \in Q_1 \\ \delta_2(q_1, a) & \text{if } q \in Q_2 \end{cases}$$

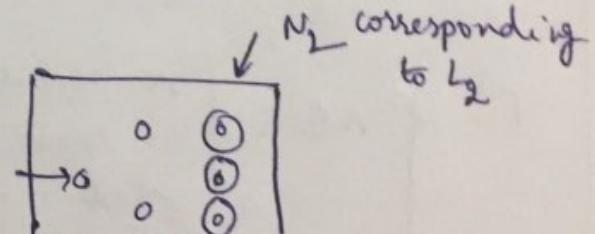


$$= \begin{cases} (q_1, q_0) & \text{if } q = q_1, \forall a \neq \epsilon \\ \emptyset & \text{if } q \neq q_1 \end{cases}$$

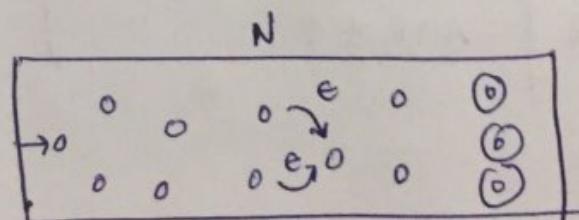
$L_0 L_2$



* aee



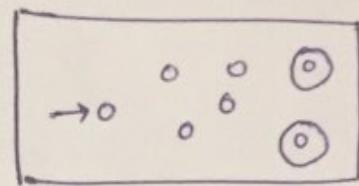
$L_0 L_2 =$



Star operation \rightarrow

A^* , $A \rightarrow$ regular language. \rightarrow machine N corresponds to language A

$$A^* = \{w_0 w_1 w_2 \dots w_n \mid w_i \in A, i \geq 0\}$$



break down such that each piece belongs to language A

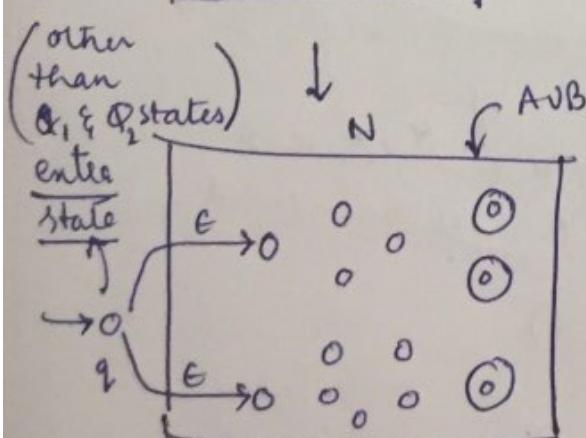
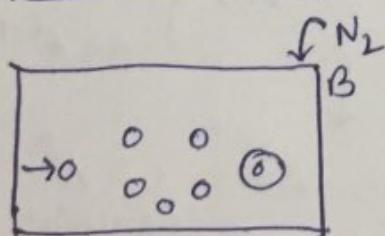
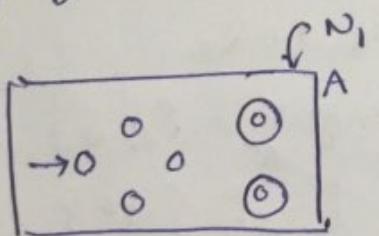
$$A = N_1 (\Sigma, Q_1, \delta_1, q_1, F_1)$$

$$B = N_2 (\Sigma, Q_2, \delta_2, q_2, F_2)$$

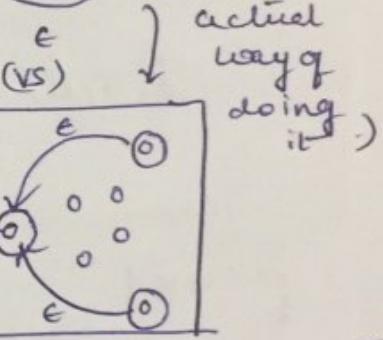
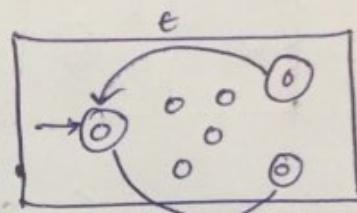
$$A \cup B = N$$

\rightarrow NFA : $A \cup B$

A, B are two regular languages. \rightarrow



Ex:



Constructing NFA.

$$A \cup B = N_1 (\Sigma, Q_1, \delta_1, q_1, F_1)$$

$$B = N_2 (\Sigma, Q_2, \delta_2, q_2, F_2)$$

$$A \cup B = N (\Sigma, Q, \delta, q, F)$$

$$Q = Q_1 \cup Q_2 \cup \{q\}$$

extra state

$$\delta: Q \times \Sigma \rightarrow P(Q)$$

$$\delta(r, a) = \begin{cases} \delta_1(r_1, a) & \text{if } r_1 \in Q_1 \\ \delta_2(r_2, a) & \text{if } r_2 \in Q_2 \\ \{q_1, q_2\} & \text{if } r = q \text{ and } a = \epsilon \\ \emptyset & \text{if } r = q \text{ and } a \neq \epsilon \end{cases}$$

$$A \circ B \rightarrow \text{NFA} \Rightarrow Q = Q_1 \cup Q_2$$

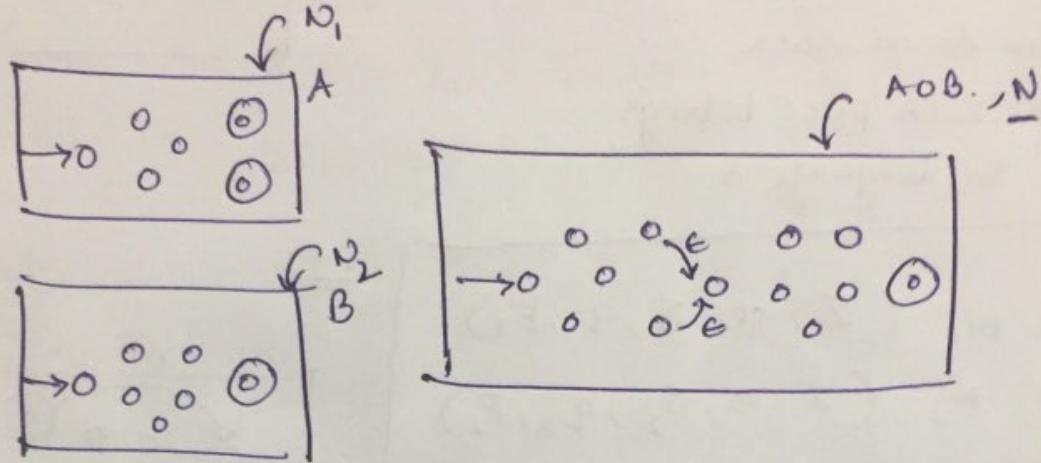
↓

closed

$$F = F_2$$

$$q = q_1$$

$$\delta: Q \times \Sigma_e \rightarrow \mathcal{P}(Q)$$



$$\delta(r, a) = \begin{cases} \delta_1(r_p, a) & \text{if } r_p \in Q_1 / F_1 \\ \delta_1(r_p, a) & \text{if } r_p \in F_1 \text{ and } a \neq \epsilon \\ \{q_2\} \cup \{\delta_2(r, a)\} & \text{if } r \in F_1 \text{ and } a \in \epsilon \\ \delta_2(r, a) & \text{if } r \in Q_2. \end{cases}$$

↓ closed

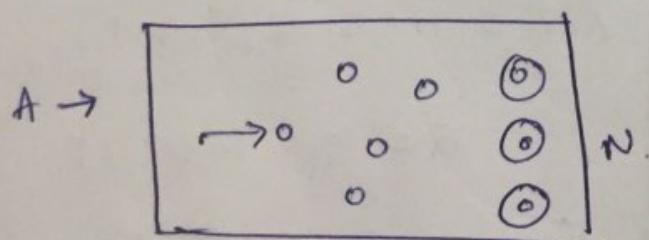
$$A^* \rightarrow N(\Sigma, Q', \delta, q', F')$$

$$A \rightarrow N(\Sigma, Q, \delta, q, F)$$

belongs to A^* if $i > 0$.

$$A^i = \begin{cases} \{q\}, & \text{if } i=0 \\ A \circ A^{i-1}, & \text{if } i \geq 1 \end{cases}$$

$$A^* = \bigcup_{i \in \mathbb{N} \cup \{0\}} A^i.$$



$$Q' = Q \cup \{q'\} \quad F' = F \cup \{q'\}$$

new state that
is introduced.

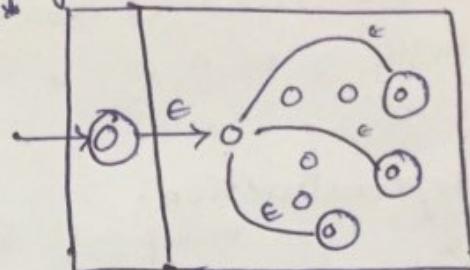
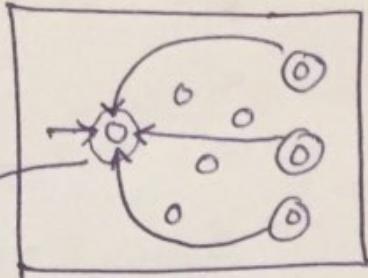
$$\delta' = Q' \times \Sigma_e \rightarrow \mathcal{P}(Q)$$

$$S'(r, a) = \begin{cases} S(r, a), & r \in Q/F \\ S(r/a), & r \in F, a \neq \epsilon \\ S(r, a) \cup \{q\}, & r \in F, a = \epsilon \end{cases}$$

No other transitions possible.

(since the start state is the final state)
in this case it accepts strings outside A^*

$$\begin{cases} \emptyset, & r = q', a \neq \epsilon \\ \{q\}, & r = q', a = \epsilon \end{cases}$$



Regular Expressions:

Defn: R is a regular expression if

Regular operations

$\cup, \circ, ^*$

Basis $\left\{ \begin{array}{l} (i) a \rightarrow a \in \Sigma \text{ for some } \Sigma \\ (ii) \epsilon \rightarrow \{\epsilon\} \\ (iii) \emptyset \rightarrow \text{language corresponding} = \emptyset \end{array} \right.$

languages corresponding

(iv) $R_1 \cup R_2$, where R_1, R_2 are regular \Rightarrow

(v) $R_1 \circ R_2$

\Leftarrow

$L(R_1) \cup L(R_2)$

(vi) R_1^*

\Leftarrow

$L(R_1) \circ L(R_2)$

$$(0 \cup 1) = \{0, 1\} = \Sigma$$

$$(L(R))^*$$

$$(0 \cup 1)^* = \Sigma^*$$

$$\emptyset^* = \{\epsilon\}$$

$$\Sigma^* a b a \Sigma^* \Rightarrow E = \{a b\}$$

$$\Sigma = \{0, 1\}$$

$$(0 \Sigma^* 0) \cup (1 \Sigma^* 1) \cup 0 \cup 1$$

$${}^* L \circ e \circ y = L$$

$$L \circ \emptyset \stackrel{L}{\leftarrow} \emptyset \quad x = x_1 x_2$$

$$x_1 \in L$$

$$\begin{aligned} \text{so; } L \circ \emptyset &= \emptyset & x_2 \in \emptyset \\ &= \emptyset & x_2 = \{\epsilon\} \end{aligned}$$

Theorem: Suppose L is the language described some regular expression R and then L is regular.

i) $a \rightarrow o \xrightarrow{a} \circlearrowleft$

ii) $\epsilon \rightarrow \circlearrowleft$

iii) $\phi \rightarrow o \text{ (no accept state)}$

↳ on each input, output = empty set

$R_1 \cup R_2 \rightarrow$ NFA is closed

$R_1 \cap R_2 \rightarrow$ NFA is "

By $R^* \rightarrow$ "

Proof by Construction:

$$(ab)^* b \quad \begin{matrix} \text{corresponds to } a \\ \downarrow \\ \rightarrow o \xrightarrow{a} \circlearrowleft \end{matrix} \quad \begin{matrix} \text{corresponds to } b \\ \rightarrow o \xrightarrow{b} \circlearrowleft \end{matrix}$$

↳ corresponds to ab . (concatenation).

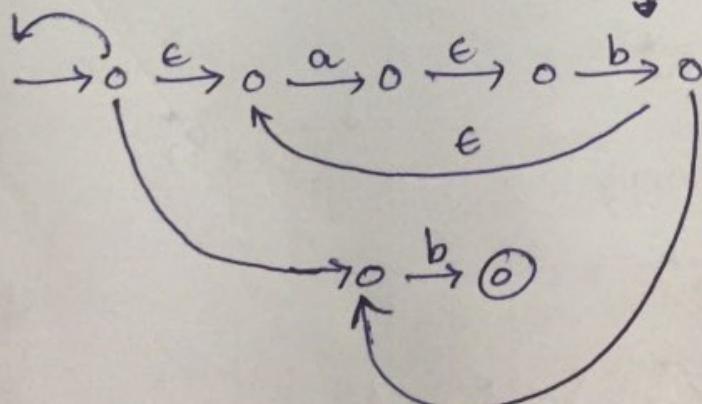
$$\rightarrow o \xrightarrow{a} o \xrightarrow{\epsilon} o \xrightarrow{b} \circlearrowleft \Rightarrow "ab"$$

↳ corresponds to $(ab)^*$ (star).

$$\rightarrow \circlearrowleft \xrightarrow{\epsilon} \bullet \xrightarrow{a} o \xrightarrow{\epsilon} o \xrightarrow{b} \circlearrowleft \rightarrow (ab)^*$$

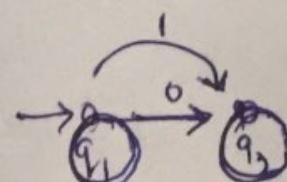
↳ corresponds to $(ab)^* b$

no longer remains the final state.



For Ex:

$$\rightarrow o \xrightarrow{out} o \quad (\text{similar to})$$



Theorem \rightarrow If a language L is regular then \exists some regular expression R which describes it.

Idea: $L \rightarrow \text{DFA} \Rightarrow \text{GNFA}_K \rightarrow R$.

GNFA — Generalised NFA. \rightarrow Complete Graph

(every two nodes, there is an edge).

(i) has unique final state

from every state to final state, transition exists
but no outgoing arrow from final state

different (every state can reach to final state)
from initial through some RE

↑
No incoming edges
from states.

(ii) Initial state can reach to every other edge

(No incoming edges)

— only outgoing edges

(iii) Each edge is defined by regular expressions
unlike symbols.

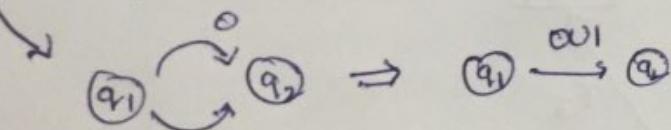
* GNFA has atleast 2 states. (since it is a complete graph)

\Rightarrow Given DFA, convert it to GNFA?

$\delta: Q \times \Sigma \rightarrow Q$.

multiple edges are clubbed

using regular expressions



utilize " ϕ " states (in case no transition exists)
but to complete the graph.

\rightarrow create an initial state
(empty transitions to the states)

\rightarrow then create a final state
(empty transitions leading to that particular state)

$\text{GNFA}_K \rightarrow \text{GNFA}$ with K states.

\downarrow convert

GNFA_{K-1}

\Downarrow
 GNFA_{K-2}

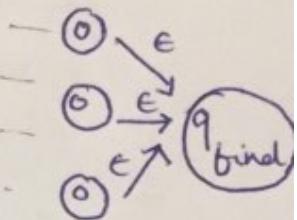
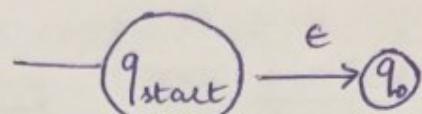
$\dots \Rightarrow \text{GNFA}_2$. (initial, final)

GNFA $\rightarrow (\Sigma, Q, \delta, q_{\text{start}}, q_{\text{final}})$

$\delta: (Q / \{q_{\text{final}}\}) \times \Sigma \times (Q / \{q_{\text{start}}\}) \rightarrow R$

q_{start} \times No incoming edges

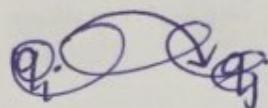
q_{final} \times No outgoing edges



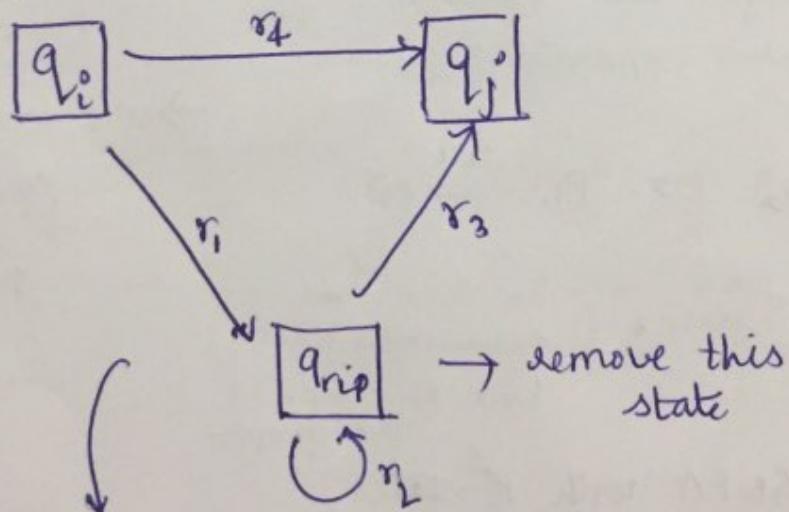
$K > 2 \rightarrow$

$q_i, q_j \in Q$

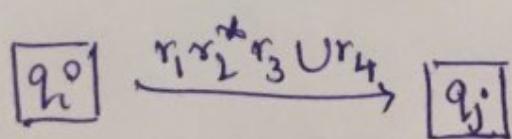
$q_{\text{trip}} \in Q$



For turning a K state GNFA into a $k-1$ state
GNFA



Can be converted into regex for $k-1$ GNFA state



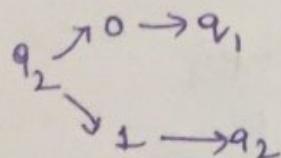
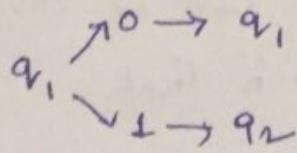
$$\Sigma = \{0, 1\}$$

$$DFA \equiv (\Sigma, Q, S, q_1, q_{92})$$

$$Q = \{q_1, q_2\}$$

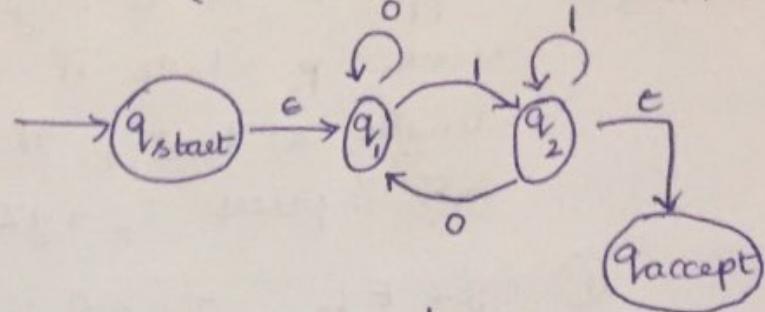
$$GNFA \equiv (\Sigma, Q, S^1, q_{start}, q_{accept})$$

$$S \equiv Q \times \Sigma \rightarrow Q$$

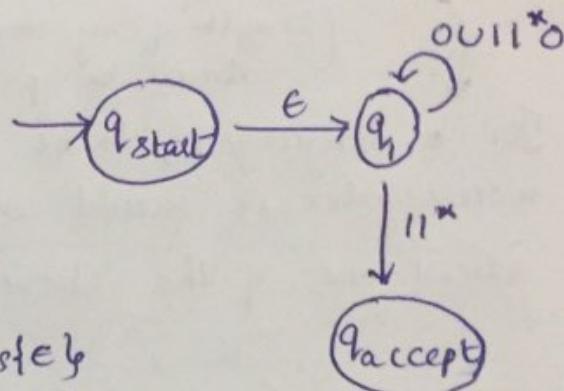


$$q_1 \rightarrow q_1 (0 \cup 11^* 0)$$

\downarrow
contains atleast
11,
you just can't
write 1^* coz it
 \Leftrightarrow contains 11



\downarrow
Since there are 4 states.
we'll remove one
state, q_2

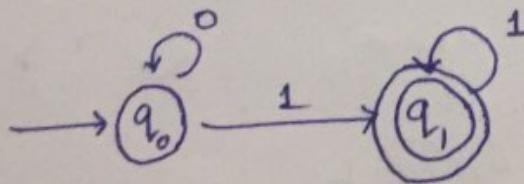


\downarrow
Now, remove q_1
state.

$$\begin{matrix} \text{Final} \\ \text{Regular} \\ \text{Expression} \end{matrix} \equiv \left\{ \rightarrow q_{start} \xrightarrow{(0 \cup 11^* 0)^* 11^*} q_{accept} \right\}$$

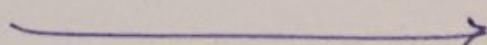
Are all languages regular?

$$L = \{0^n 1^n \mid n \geq 0\} \leq \Sigma^*, \Sigma = \{0, 1\} \rightarrow \text{Is } L \text{ regular?}$$



$$A \Rightarrow B$$

$$nB \Rightarrow nA$$



Theorem →

Pumping Lemma -

Suppose L is a regular language, then \exists a number p where if S is any string of length at least p then S can be divided into 3 pieces $s = xyz$ such that.

i) $xyz \in L, \forall i \geq 0$.

ii) $|y| > 0$, y should not be empty

$y \neq \epsilon$

iii) $|xy| \leq p$.

(length of xy should
atmost be p)

If y is empty;

$ny^i z \rightarrow L$ (vacuously
true)

→ For a language L , to be non regular, it should contradict

atleast one of the above conditions

Consider = $L = \{0^n 1^n \mid n \geq 0\}$

Let $\exists p$

$s = 0^p 1^p = xyz$

$s \in L$

Possibilities → y contains only zeros (More zeros than ones)

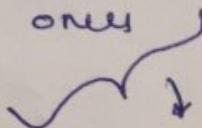
y contains only ones (More ones than zeros)

y contains some zeros and ones



$y = 01$

$y = \underbrace{010101}_{\text{not } \in L}$



DFA $(\Sigma, Q, \delta, q_0, F) \rightarrow L$

$$p = |Q|$$

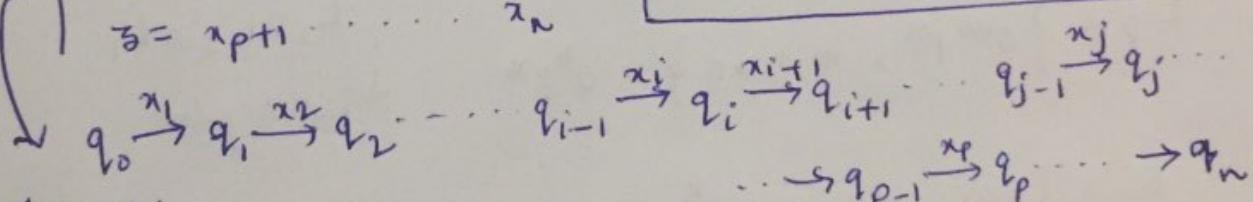
since L is regular, \exists DFA $M (\alpha, \Sigma, \delta, q_0, F)$ which recognizes L ,

$$p = |\alpha|$$

$$s = x_1 x_2 x_3 \dots x_p x_{p+1} x_{p+2} \dots x_{n-1} x_n, n \geq p.$$

$$\Rightarrow xyz = s$$

$$\left\{ \begin{array}{l} x = x_1 x_2 \dots x_i \\ y = x_{i+1} x_{i+2} \dots x_j \\ z = x_{p+1} \dots x_n \end{array} \right.$$



for y :

$$s' = x_{i+1} x_{i+2} \dots x_j$$

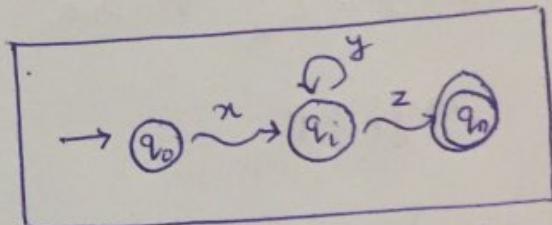
$$s'' = s' s'$$

$$s''' = s' s' s'$$

$$\hat{\delta}(q_i, s') = q_j = q_j$$

$$\hat{\delta}(q_i, s'') = \hat{\delta}(q_j, s'')$$

$$= \hat{\delta}(\hat{\delta}(q_i, s'), s') = \hat{\delta}(q_i, s')$$



Ex: $L_1 = \{0^n 1^n \mid n \geq 0\}$, $\exists p$ Not regular

$$s = 0^p 1^p \in L_1 \quad s = xyz = 0^p 1^p$$

① (i) If y contains only zeros, $xy^i z \notin L$ if $i \geq 2$

(ii) If y contains only ones, $xy^i z \notin L$

② If y contains some zeros and some ones.

$$L_2 = \{w \in \{0,1\}^* \mid w \text{ contains equal number of zeros and ones}\}$$

If $L_2 \rightarrow$ regular $\exists p \rightarrow$ pumping length.

$$s = 0^p 1^p = xyz$$

$$x = \epsilon, z = \epsilon$$

$$\rightarrow (0^p 1^p)^i \in L_2 \quad \forall i \geq 0.$$

$$\rightarrow |xy| \leq p$$

$$L_3 = \{0^m 1^n, m, n \geq 0\} = 0^* 1^* \quad \begin{cases} L_2 \cup L_3 = L_1 \\ \text{Not Regular.} \end{cases}$$

$$L_3 = \{ww \mid w \in \{0,1\}^*\}, \text{ if } L_3 \text{ is regular } \Rightarrow p$$

Ex: $s = uu \quad u = 0$.

$$x = \epsilon, y = u, z = u$$

$$s = 0^p 0^p \in L_3$$

$$|xy| \leq p$$

$$xy^2 z \notin L_3$$

y contains only zeros

$$xy^3 z \notin L_3$$

$$L_4 = \{ww^2 \mid w \in \{0,1\}^*\}$$

If $w = w_1 w_2 \dots w_{n-1} w_n$ then $w^2 = w_n w_{n-1} \dots w_2 w_1$

$$L_5 = \{a^{n^2} \mid n > 0\}, \quad s = a^{p^2} = xyz$$

$xyz \notin L_5$

$$|y| \leq |xyz| \leq p$$



$$p^2 < |xyz| < (p+1)^2 \Rightarrow p^2 = |xyz| < |xyz| < (p+1)^2$$

$$|xyz| = |xy| + |z| \leq p^2 + p \leq p^2 + 2p + 1 \Rightarrow y \notin \text{perfect square}$$

$L = \{w \mid w \text{ contains equal number of } 01, 10 \text{'s as substrings}\} = L_1 \cup L_2$

00000 $\in L$ (zero no.)

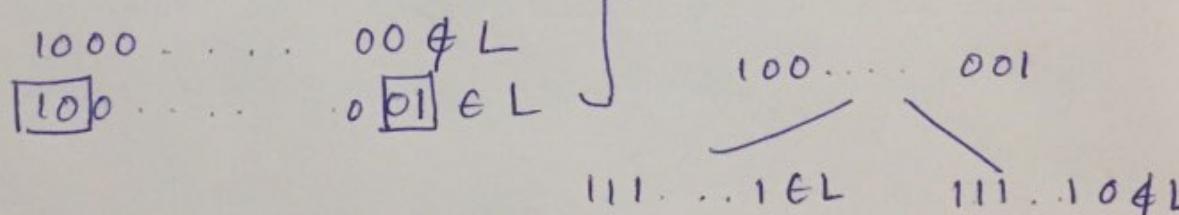
starting with 1 starting with 0.

1111...1 $\in L$ "

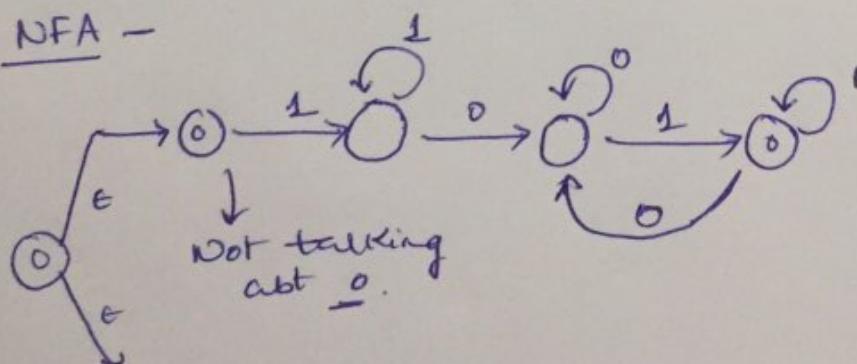
10 $\notin L$

in this case;

100 $\notin L$



NFA -



Not taking about 0.

Two cases should be handled
one which starts with 1 and the other with 0

Grammer \Rightarrow Context free Grammar (CFG)
 (V, Σ, R, S)

V - finite set of vertices.

Σ - finite set. (disjoint), $V \cap \Sigma = \emptyset$
of terminals

R - Finite set of Rules

productions / substitution rules

(single variable) $A \rightarrow \alpha$ (combination of terminals & V).
where $A \in V$, $\alpha \in (V \cup \Sigma)^*$ string of variables and terminals

$S \rightarrow$ start variable $\in V$.

According to grammar: $G_1 \Rightarrow$ $\Sigma = \{0, 1, \#\}$

$A \rightarrow 0A1$ $A \rightarrow B$ $B \rightarrow \#$	$\left. \begin{matrix} \\ \\ \end{matrix} \right\} G_1$	$A \rightarrow 0A1 \Rightarrow 0\underline{0A1}1$ \downarrow $000A111$ \downarrow $000B111$ \downarrow $000\#111$ \downarrow $A \rightarrow B$ $B \rightarrow \#$
--	---	--

$L = \{0^n \# 1^n \mid n \geq 0\}$ cannot also be produced

According to grammar: $G_2 \Rightarrow$

$A \rightarrow 0A1$

$A \rightarrow \#$

$G_3: A \rightarrow 0B1$

$\left. \begin{matrix} \\ \end{matrix} \right\} B \rightarrow A/\#$

cannot produce only $\in \{0^n \# 1^n \mid n \geq 0\} = L$

G_4 : Language?

$\left. \begin{matrix} s \rightarrow asb \mid ss \mid \epsilon \end{matrix} \right\} \rightarrow \Sigma = \{a, b\}$

for this, replace a with " $($ " ["left parentheses"]
and b with " $)$ "

we need to have balanced parentheses

$$\frac{(())_b}{aa} \quad \frac{() ()}{s s}$$

$$A = \left\{ a^n b^n \mid \begin{array}{l} a \neq b \\ a, b \in \{0, 1\} \\ n \geq 0 \end{array} \right\} \rightarrow \{0^n 1^n \mid n \geq 0\} \cup \{1^n 0^n \mid n \geq 0\}$$
$$= A_0 \cup A_1$$

$$A_0 = \{0^n 1^n \mid n \geq 0\}$$

$$S_0 \rightarrow 0 S_0 1 \mid \epsilon$$

$$A_1 = \{1^n 0^n \mid n \geq 0\}$$

$$S_1 \rightarrow 1 S_1 0 \mid \epsilon$$

because $A = A_0 \cup A_1$

$$S \rightarrow S_0 \mid S_1$$

$$\downarrow$$
$$S \rightarrow S_0$$
$$S \rightarrow S_1$$

$A = \text{set of palindromes over } \{0, 1\}$

$w \in A$, if $w = w^R$.

where $w = w_0 w_1 \dots w_{R-1} w_R$

$$w^R = w_R w_{R-1} \dots w_2 w_1$$

$$S \rightarrow 0 S_0 \mid 1 S_1 \mid \epsilon$$

Base case: $S \rightarrow \epsilon \mid 0 \mid 1$

Grammer corresponding to language A;

$$S \rightarrow \epsilon \mid 0 \mid 1 \mid 0 S_0 \mid 1 S_1.$$

Defⁿ: $\sim \delta$

$$u A v \Rightarrow u w v$$

$u A v$ yields $u w v$ if $A \rightarrow w$ is a rule $\in R$.

Defⁿ: $\sim \overset{\Delta}{\delta}$
Derivation $u \xrightarrow{*} v$ (u derives v)

u derives v if $\exists u_1 u_2 \dots u_k$, $k \geq 0$, s.t.

$$u \Rightarrow u_1 \Rightarrow u_2 \Rightarrow u_3 \dots \Rightarrow u_{k-1} \Rightarrow u_k \Rightarrow v$$

CFG \rightarrow G₁ (V, Σ, R, S)

$L(G) \subseteq \Sigma^*$

Language described by G $\rightarrow L(G) = \{ w \in \Sigma^* \mid S \xrightarrow{*} w \}$

A \rightarrow Regular language

\exists DFA M (Q, Σ, S, q_0, F)

$L(M) = A$

$w = w_1 w_2 \dots w_{k-1} w_k \in A$.

$q_0 \xrightarrow{w_1} q_1 \xrightarrow{w_2} q_2 \dots \xrightarrow{w_{k-1}} q_{k-1} \xrightarrow{w_k} q_k, q_k \in F$

$q_0 \rightarrow w_1 q_1 \rightarrow w_1 w_2 q_2 \rightarrow w_1 w_2 w_3 q_3 \dots \rightarrow (w_1 w_2 \dots w_{k-1} w_k) q_k$

To get only $[w_1 w_2 \dots w_{k-1} w_k]$ string,

For each state in Q, a new state is added.

$q_k = \epsilon$

$V = \{ R_0, R_1, R_2 \dots R_n \}$ where $n+1=|Q|$

(i) $S = R_0$

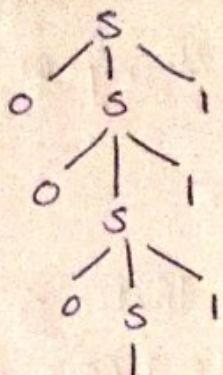
$(q_1) \rightarrow (w_2 q_2)$

(ii) $R_i^o \rightarrow a R_j$ if $s(q_i^o, a) = q_j$

(iii) $R_i^o \rightarrow \epsilon$ if $q_i^o \notin F$

Defn: $S \rightarrow OS1/\#$

000#111

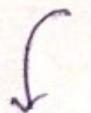
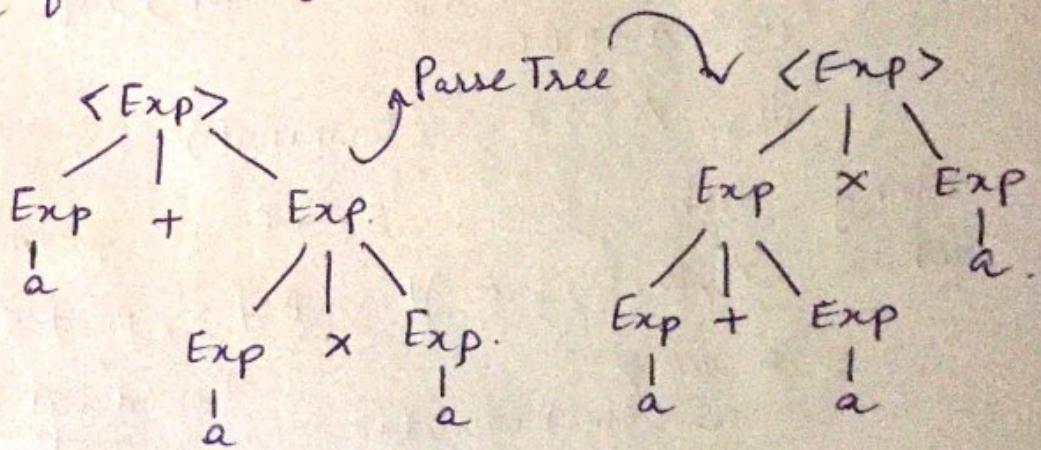


000#111
↑
parse tree.

Expression *
(

$\langle \text{Exp} \rangle \rightarrow \langle \text{Exp} \rangle + \langle \text{Exp} \rangle \mid \langle \text{Exp} \rangle \times \langle \text{Exp} \rangle \mid a$

a+a*a from this grammar.



a+a*a.

a+a*a

has two different parse trees.

Defn: left most derivation

$A \rightarrow a_1 a_2 a_3 A_1 A_2 a_4 A_3 A_7$

$A_1 \rightarrow a_4$

$A_3 \rightarrow a_3$

$A \rightarrow a_1 a_2 a_3 A_1 A_2 a_4 A_3 A_7$



$a_1 a_2 a_3 A_1 A_2 a_4 a_3 A_7$

$\Rightarrow G$ is CFG, $w \in L(G)$

We say that w is derived ambiguously (in grammar G) if there are at least two different parse trees which yield the same string w

$$\text{So; } (a+a) \times a \neq a + (a \times a)$$

Defⁿ: Let G be a CFG; we say that G is ~~is~~ ambiguous if $\exists w \in L(G)$ s.t w is derived ambiguously in G .

Defⁿ: Let G be a CFG, we say that G is in "Chomsky Normal Form" (CNF), if

(i) $A \rightarrow BC$

(ii) $A \rightarrow a$ (terminal) \rightarrow can replace any variable with terminal

along with this; $S \rightarrow \epsilon$ is permitted
where $A, B, C, S \in V$, $B \neq S$, $BC \neq S$

* $a \in \Sigma$ \rightarrow Should not be start.
 $S \rightarrow \text{start variable}$ \rightarrow a CFG

Theorem: If G is a C.F.G, then $\exists G'$ which is equivalent to G and G' is in C.N.F.

Proof: (i) G not being in CNF, reasons:

- ① Introduce a new (starting) variable say, $s \rightarrow S$ (start) \rightarrow Initial variable may appear in the right side
 $\rightarrow A \rightarrow \epsilon$; ϵ -rules
 $\rightarrow A \rightarrow B$; unit-rules (it should be giving a terminal but not a variable)
 $\rightarrow A \rightarrow u_1 u_2 \dots u_k$ $u_i \in V \cup \Sigma$ $k \geq 0$ (length-2 is being violated)
- ② For each occurrence of A , we replace it with empty string

$$X \rightarrow uAv | v$$

$$Y \rightarrow uAvAw | uvw | uvw | uvw$$

③ $A \rightarrow B$ (to remove such a rule), what are the extra rules to be introduced
 $\& B \rightarrow X$ } can be more than 2
 \downarrow
do it just a variable if $A \rightarrow B$ is removed, X cannot be derived.
for every unit rule So, we have to introduce
 $- A \rightarrow X$

④ $A \rightarrow u_1 u_2 \dots u_{K-1} u_K$. [strings of length more than 2]
where each $u_i^0 \in V \cup \Sigma$ (variable or terminal)
 $A \rightarrow u_1 A_1$
 $A_1 \rightarrow u_2 A_2$
 \vdots
 $A_{K-2} \rightarrow u_{K-1} u_K$. Every rule is a concatenation of 2 symbols

for length more than 2
 $Z \rightarrow uAV$
 $Z \rightarrow uA_1$
 $A_1 \rightarrow AV$
~~variables~~, ~~variables~~
 $u \rightarrow u$ if $u \in \Sigma$

$u_i^0 \rightarrow$ can be either variable or terminal. $[A \rightarrow BC]$
if $u_i^0 = \text{terminal}$; $U_i^0 \rightarrow u_i^0$ introduce a new variable, u_i
 B and C , both have to be variables.

$S \rightarrow A$
 $\times A \rightarrow B$
 $\times B \rightarrow C$
 $C \rightarrow a$

$S \rightarrow A$
 $B \rightarrow C$
 $C \rightarrow a$
 $A \rightarrow C$

we are not going to re-introduce a removed rule



Not needed as the variables that can be reached from B and C can be reached from A and S can reach A , so S can reach those variables.

Ex: $S \rightarrow ASA / aB$

$A \rightarrow B/S$

$B \rightarrow b/\epsilon$ ~ epsilon is allowed $\Rightarrow \alpha \in (\Sigma^*)^*$

→ ① Introduce a new start variable

$s_0 \rightarrow S$

$S \rightarrow ASA / aB \rightarrow S \rightarrow aB / a$

$A \rightarrow B/S$

$B \rightarrow b / \epsilon \rightarrow \cancel{XB \rightarrow \epsilon}$ (removing this rule)

$A \rightarrow B|S|C$ (as $B \rightarrow C$ is removed)

→ Now;

$S_0 \rightarrow S$

$S \rightarrow ASA|aB|a$

$A \rightarrow B|S|C$

↙ $B \rightarrow b$.

Removing $A \rightarrow C$ (C -rule)

↓

$S_0 \rightarrow S$

$S \rightarrow ASA|aB|a|SA|AS|S$

$A \rightarrow B|S$

↙ $B \rightarrow b$.

Removing unit rules;

→ $\times A \rightarrow B$.

$S_0 \rightarrow S$

$S \rightarrow ASA|aB|a|SA|AS|S$ ^{can be removed} $\left[\because S \rightarrow S \text{ not required} \right]$

$A \rightarrow b|S$

$B \rightarrow b$.

$\times A \rightarrow S$

$S_0 \rightarrow S$

$S \rightarrow ASA|aB|a|SA|AS$ ~~b~~

$A \rightarrow ASA|aB|a|SA|AS|b$

$B \rightarrow b$.

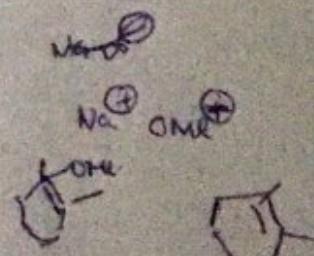
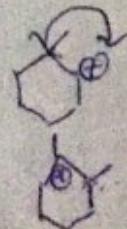
$\times S_0 \rightarrow S$

$S_0 \rightarrow ASA|aB|a|SA|AS$

$S \rightarrow ASA|aB|a|SA|AS$

$A \rightarrow ASA|aB|a|SA|AS|b$

$B \rightarrow b$



for lengths more than 2;

$$\rightarrow S_0 \rightarrow AA_1 / A_2 B / a / SA / AS$$

$$A_1 \rightarrow SA$$

$$A_2 \rightarrow a$$

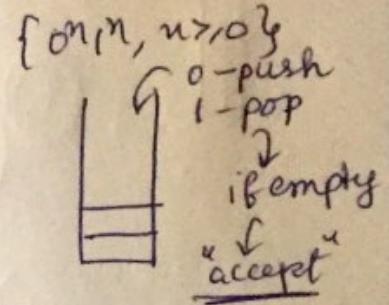
$$S \rightarrow AA_1 / A_2 B / a / SA / AS$$

$$A \rightarrow AA_1 / A_2 B / a / SA / AS / b$$

$$B \rightarrow b$$

→ In CNF form

Infinite memory? will DFA, NFA
(stack) accept more languages.



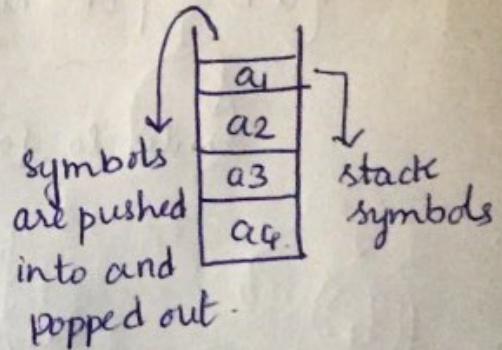
Push down Automata → NFA + stack (infinite memory)

$$\left\{ \text{NFA } (\mathcal{Q}, \Sigma, \delta, q_0, F) \rightarrow \delta: \mathcal{Q} \times \Sigma^* \rightarrow P(\mathcal{Q}) \right.$$

$$\left. (\mathcal{Q}, \Sigma, T, \delta, q_0, F) \equiv \text{PDA} \right.$$

T - finite set / stack symbols.

$$\delta: \mathcal{Q} \times \Sigma^* \times T^* \rightarrow P(\mathcal{Q} \times T')$$



$$\delta(r, a, b) = (r', c)$$

b is at top reaches state r' from r upon a.
of the stack b is popped out and c is pushed in

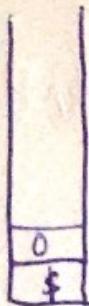
$\epsilon, \epsilon \rightarrow \epsilon$ (don't disturb the stack, on reading empty symbol)

(same as NFA).

$$L = \{0^n 1^n \mid n \geq 0\} \xrightarrow{T} \text{add a new symbol, } \$ \in T$$

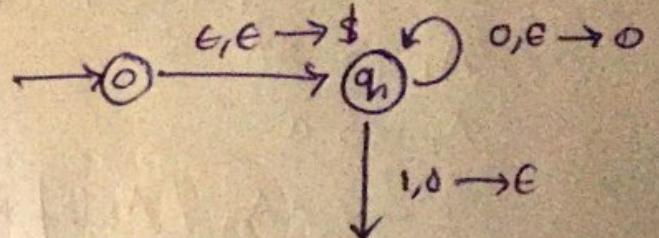
$$w = w_1 w_2 w_3 \epsilon = wf w_2 w_3$$

$$\{0^n, 1^n \mid n \geq 0\}$$

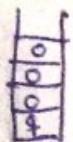


on encountering 0, push zero onto the stack.

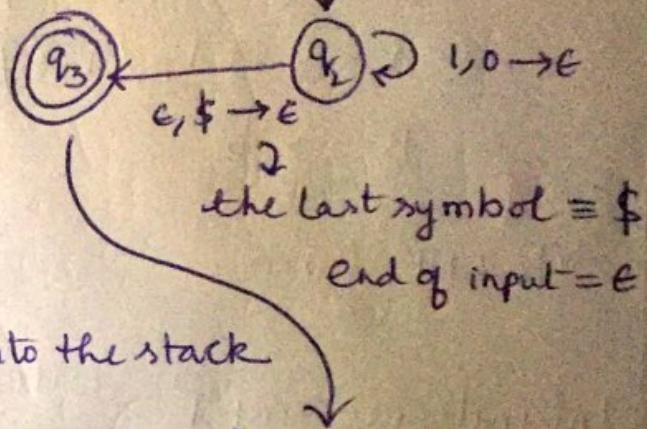
\rightarrow add a special symbol.



on encountering 1, push nothing for the first time but pop a zero.



\rightarrow we are not going to see any 1s as we never push 1s onto the stack

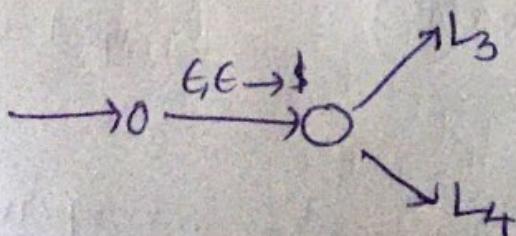


$$L_2 = \left\{ a^i b^j c^k \mid i, j, k \geq 0, \begin{array}{l} i=j \text{ or } i=k \\ \downarrow \end{array} \right\}$$

$$= \left\{ a^i b^j c^k \mid i, k \geq 0 \right\} \cup \left\{ a^i b^j c^i \mid i, j \geq 0 \right\} \quad S(q_3, 1, \epsilon) = \emptyset$$

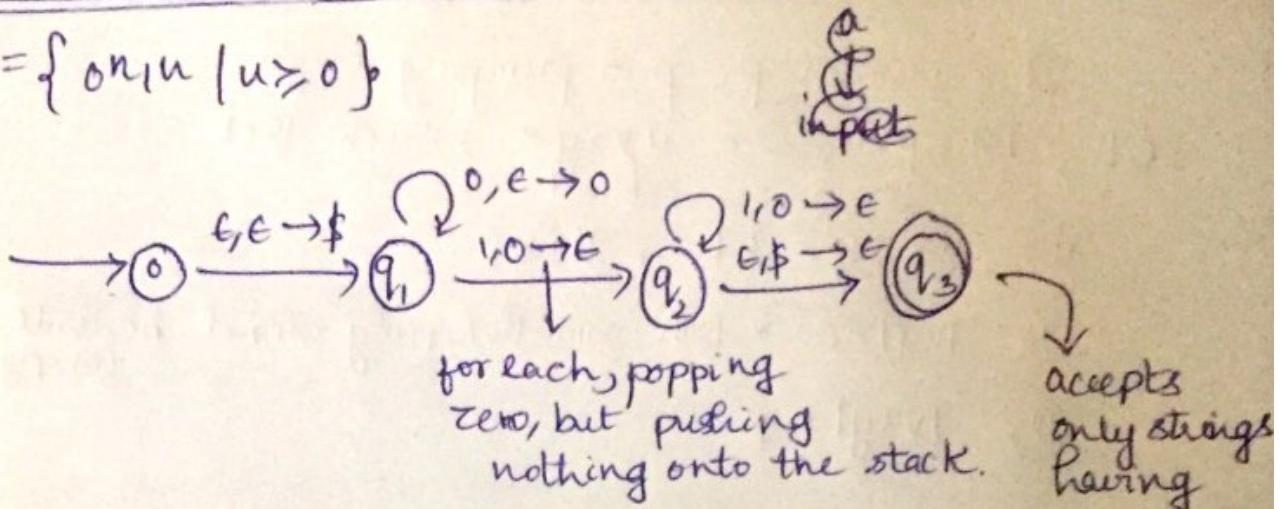
\downarrow similar to $\{0^n\}$

don't need to bother about C



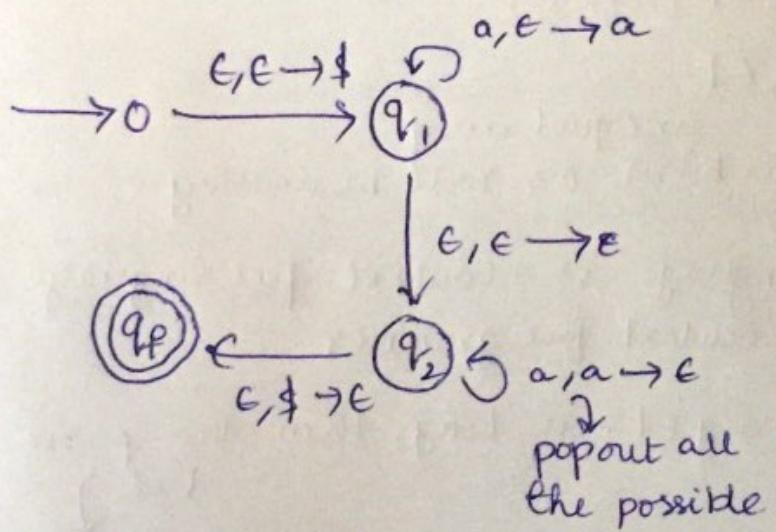
PDA - Push down automata

$$L_1 = \{ 0^n 1^n \mid n \geq 0 \}$$



$$L = \{ w w^R \mid w \in \{0, 1\}^* \} \rightarrow \text{only even length palindromes.}$$

\downarrow just a subset of "Set of Palindromes"



$$\begin{aligned} w &= w_1 w_2 \dots w_R \\ \text{stack. } w^R &= w_R \dots \\ &\quad w_3 w_2 w_1 \end{aligned}$$

Can think of multiple stacks for exploring all the possible actions

Non Deterministic Version of Push Down Automata

→ Content free grammar and Push Down Automata :-

→ Is there a context free grammar for a push down automata? Yes. and same for vice versa.

$$L = \{ a^n b^n c^n \mid n \geq 0 \} \rightarrow \text{is it context free language?}$$

not context free [as how will you account for the "c" alphabets] in the stack.

Pumping lemma for Context free Languages \Rightarrow

\exists a number p , $p \rightarrow$ pumping length
 sel , $|s| \geq p$, $s = \boxed{uvxyz}$, such that

(i) $uv^ixy^iz \in L$, $\forall i \geq 0$.

(ii) $|vy| > 0 \rightarrow$ both simultaneously cannot be null
(empty)

(iii) $|vxy| \leq p$.

Proof :-

$$s = a^p b^p c^p$$

$$s = \underline{uvxyz}$$

$\rightarrow vxy$ is subsystem of s
string

Acc^u to (i) condition; $i=0$;

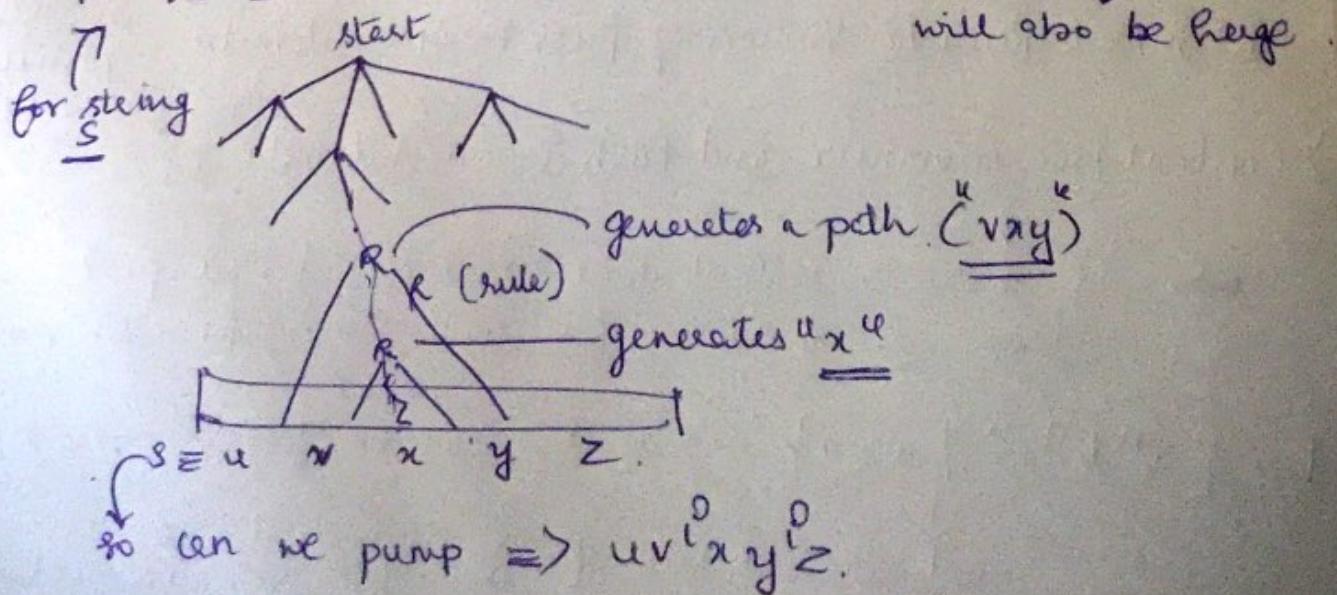
$\hookrightarrow uxz \in L$.

\downarrow unequal no. of
 either b's or c's will be missing

\rightarrow Since the language is context-free language,
 there exists a context free grammar

If the string $\in L$ is long, then the parse tree

Parse tree =



Basically; start $\xrightarrow{*} uRy$
 $R \xrightarrow{*} vRy$
 $R \xrightarrow{*} x.$

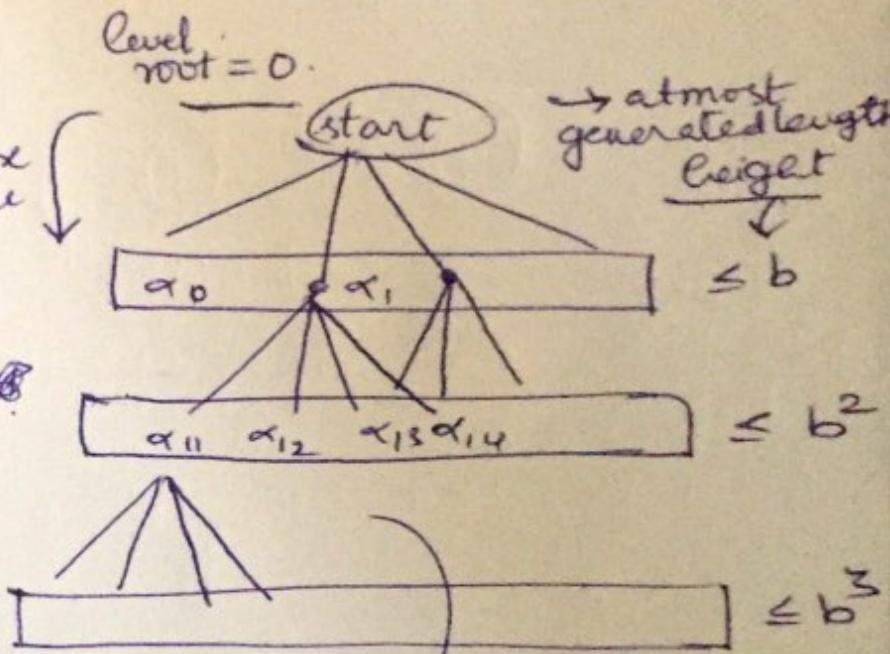
For a parse tree ↓
if height is h , then
string length $\leq b^h$

So, what should be the ~~best~~ value of P ?

$p = b^{\lfloor v \rfloor + 1}$ height = $\lfloor v \rfloor + 1$

s (string) \rightarrow of length at least p .

Due to this,
two variables
must repeat



all the intermediate symbols \downarrow variables

$i+1 \equiv \text{Variables} \Rightarrow$
 $1 \equiv \text{Terminal}$

2 nodes + 1
terminal