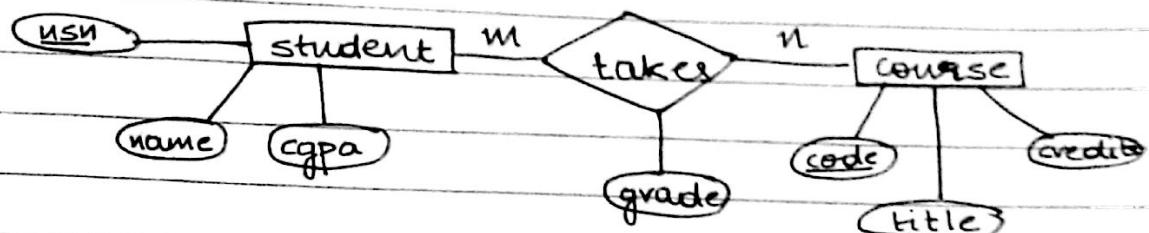


Unit - 4

# Database Design



student-course

DENORMALIZED FORM → Joins are expensive →

This could be used

usn name cgpa grade code title credits

1	abc	9	A	C1	T1	4
1	abc	9	S	C2	T2	4
2	dc	9.5	A	C1	T1	4
3	xy	--	--	--	--	--

Redundancy

Problems with Redundancy (Anomalies)

insertions

→ insert

- \* insertion of a new course not yet taken
- \* insertion of a new student who hasn't yet taken any course

→ deletion

2013 abcd 7.1 'C' C10 T10 5

→ On deletion, course details are also lost

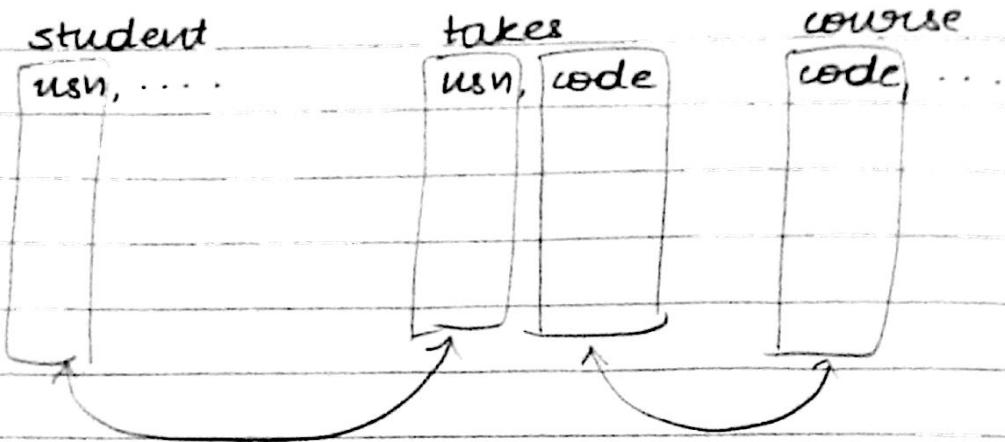
- \* problem if only 1 student has taken a course

(ER diagrams guide to create a good database)

Informal guidelines → 3 table design is better than 1

→ updation

Inconsistency might persist - updation needs to be done with care.



NOT REDUNDANCY

→ Required for relation of data.

## Informal Guidelines

1. No Redundancy

2. Reducing null values

Reducing null values

3. No spurious tuples (due to joins)

No spurious tuples

4. clear semantics of attribute

clear semantics of attribute

## Functional Dependencies

\* constraints applicable to table

$$X \rightarrow Y$$
$$\downarrow$$

$Y$  is functionally derivable from  $X$  (set)

$$t_1(X) = t_2(X)$$

whenever the value of  $X$

$$t_2(Y) = t_2(X)$$

repeats in tuple 1, it has to repeat in tuple 2.

From student-course,

$usn \rightarrow name$   
(derived)

A	B	C
1	2	3
4	5	6
7	8	9
		X B → C

Violation

$\checkmark B \rightarrow C$

no value

of B repeated

$usn \rightarrow cgpa$

$code \rightarrow title$

$code \rightarrow credits$

$usn, code \rightarrow grade$

Attributes closure  $\rightarrow$  which attributes are derivable from another

$usn^+ = \{name, cgpa, \dots\}$  attributes (from functional dependency)

$code^+ = \{code, title, credits\}$

$(usn, code)^+ = \{usn, name, cgpa, code, \dots\}$

↓ All attributes

derivable from  
each of them +

derivable from their combination (usn, code)

→ All attributes

of table →

Hence

is a candidate  
key

GATE - 1999

Let  $R = (A, B, C, D, E, F)$

FD:  $C \rightarrow F, E \rightarrow A, EC \rightarrow D, A \rightarrow B$

which is a key for R?

- a) CD      b) EC      c) AE      d) AC

Sol<sup>n</sup>: Attributes not in RHS must be in key.

$C^+ = \{C, F\}$

$EC^+ = \{A, B, C, E, F, D\}$

$E^+ = \{A, B, E\}$

Key  $\rightarrow$  EC

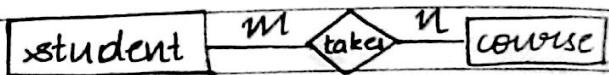
GATE - 2000

X	4	Z
1	4	2
1	5	3
1	6	3
3	2	2

which functional dependency  
is satisfied?

- a)  $XY \rightarrow Z$  &  $Z \rightarrow Y$
- b)  $YZ \rightarrow X$  &  $Y \rightarrow Z$
- c)  $YZ \rightarrow X$  &  $X \rightarrow Z$
- d)  $XZ \rightarrow Y$  &  $Y \rightarrow Z$

GATE - 2005

 $R = \{A, B, C, D, E, H\}$  key?
 $FD \rightarrow \{A \rightarrow B, BC \rightarrow D, E \rightarrow C, D \rightarrow A\}$ 
sol<sup>n</sup>: AEH / BEH / DEH

student - (usn, name, cgpa, code, title, credits,  
course grade)

FDS  $usn \rightarrow name, cgpa$  $code \rightarrow title, credits$  $usn, code \rightarrow grade$ 

candidate key : (usn, code)

Normal form

1NF

2NF

3NF

BCNF

Based  
on keys

&amp; FD

For measurement of goodness  
of database structure → Normal forms

1 Normal form : Rule 1 All values must be atomic  
atomic

{ Nested columns, Nested tables, car → color }  $\downarrow$  ⇒ violation  
(red, blue, black)

$2NF \rightarrow$  No partial key dependencies

(All functional key dependencies must not have a part of the key on the LHS)

↓ Solving by Decomposition

$usn \rightarrow name, cgpa$

Take out the

RHS attributes  
from the table &  
make it a new  
table.

\* student(usn, name, cgpa)  
studentcourse1(usn, code,  
title, credits, grade)

\* course(code, title, credits)  
\* studentcourse2(usn, code,  
grade)



One of them

alone cannot  
derive grade

$3NF \rightarrow$  Every FD

$$X \rightarrow Y$$

has 1) X is a superkey

OR 2) Y should be a prime attribute



candidate key  $\subseteq$  Super key

Part of any of the

candidate key

usn, code  $\rightarrow$  prime

attributes

The 3 tables

Refer Pg 45



Distinction

b/w candidate  
key & super  
key

minimal

key

Others are non-prime

not necessarily  
minimal

BCNF (Boyce Codd Normal form)  $\rightarrow$  Stricter form

3NF

Entity

FD.

$$X \rightarrow Y$$

The 3 tables  
satisfy it

All non-keys  
should be derivable  
from keys.

Example:

$$R = (A, B, C, D, E, F)$$

$$C \rightarrow F$$

$$E \rightarrow A$$

$$EC \rightarrow D$$

$$A \rightarrow B$$

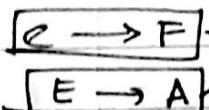
$\longrightarrow$  lost

To avoid loss,  
create  $R_4(A, B)$

Key : EC

INF ✓

2NF X



violation

Take out F from R  
& new table.

$$R_1(C, F)$$

$$R_2(E, A)$$

X BCNF      3NF      R<sub>3</sub>(B, C, D, E)

check if  
this is satisfied  
during  
decomposition

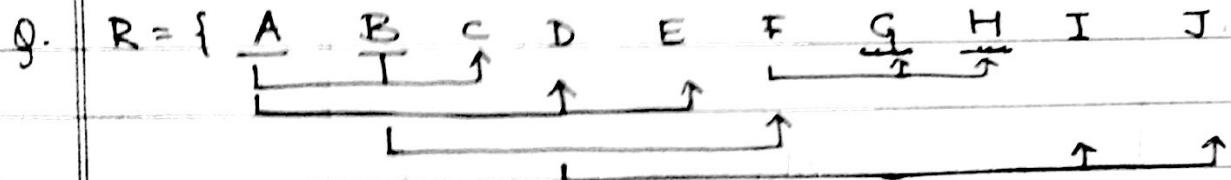
Properties of  
decomposition

lossless join  
(non-additive join)

Functional  
dependency  
preservation

violated  $A \rightarrow B$

But if we can go to a  
higher NF, it's OK



Decompose to 3NF.

$R_1 \{A, D\}$      $R_1 \{A, D, E\}$

$R_2 \{B, F\}$

$R_3 \{A, B, C\}$

$R_4 \{D, I, J\}$

$R_5 \{E, G, H\}$

~~$R_3'$~~  {A,

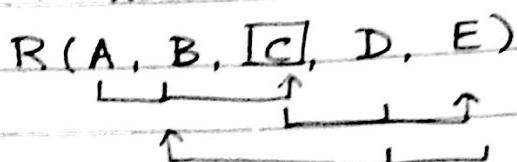
→  ~~$R_3$~~  In 3NF

Also in BCNF.

Q.  $AB \rightarrow C, CD \rightarrow E, DE \rightarrow B$

Candidate keys: ABD, ADE, ACD

Prime attributes, A, B, C, D, E



X { R1(A, B, C) }  
WRONG { R2(D, E, B) }

→ Should not be  
done - key  
disturbed

2NF

- X { when a functional dependency derives a prime attribute, even if there is partial functional dependency, it is still in 2NF.

$$\therefore 2NF \rightarrow R(A, B, C, D, E)$$

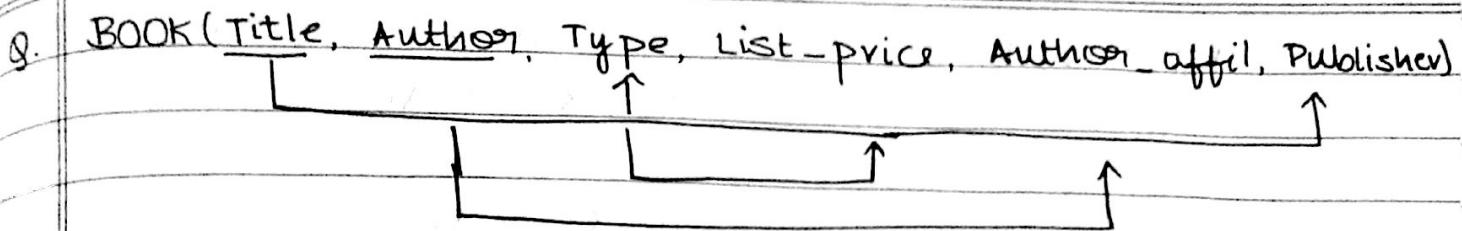
↳ 3NF also

- Q. CARSALE ( car #, Date-sold, Salesperson #, attribute  
Commission %, Discount-amt )

- A. 2NF { CARSALE ( car #, Date-sold, Salesperson #,  
Discount-amt )  
SALES-COM ( Salesperson #,  
Commission % )

- 3NF { DISCOUNT ( Date-sold, Discount-amt )  
SALES-COM ( Salesperson #, Commission % )  
CARSALE ( car #, Salesperson #, Date-sold )

BCNF also



A. 2NF

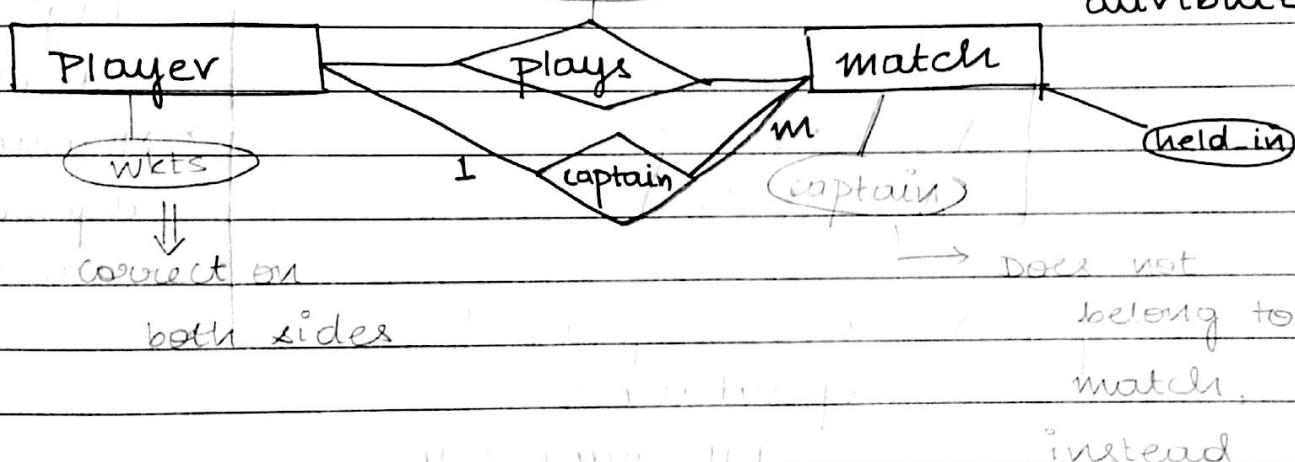
BOOK (Title, Author) ~~list-Price~~  $\rightarrow$  removed for 3NF

BOOK-DET (Title, Type, Publisher)

AUTHOR (Author, Author-affil)

3NF: Book-Type (Type, List-Price)

Informal guidelines: semantics of the attribute



Inference Rules for FD

Given a set of FDs, we can infer additional FDs that hold whenever the FDs in F hold:

(1) Reflexive  $\rightarrow$  Trivial  $Y \subseteq X$

If Y is a subset of X,

$$X \rightarrow Y$$

(2) Augmentation If  $A \rightarrow B$ , then

$XA \rightarrow XB$  is also true

(3) Transitive If  $X \rightarrow Y$ ,  $Y \rightarrow Z$ , then  $X \rightarrow Z$

(used in Attribute closure)

Armstrong's inference rules / axioms

IR4 Union

If  $X \rightarrow A$  &  $X \rightarrow B$

then  $X \rightarrow AB$

IR5 Decomposing

If  $X \rightarrow AB$ ,

then  $X \rightarrow A$ ,  $A \rightarrow B$

IR6 Pseudo transitive

If  $A \rightarrow B$ ,

$WB \rightarrow Y$ , then

WRONG

$AB \rightarrow C$  then

$A \rightarrow C$

$B \rightarrow C$

~~WA~~  $\rightarrow Y$

Minimal set of FDs (minimal cover)

$$F = \left\{ \begin{array}{l} AB \rightarrow C \\ A \rightarrow B \end{array} \right\}$$

→ single attribute in RHS  
→ Minimal set of dependencies required

Step 1 → canonical form of dependencies

RHS must have

single attribute

If not, decompose

Step 2 → LHS has multiple attributes, reduce them.

For  $AB \rightarrow C$  can we have

$A \rightarrow C$  or  $B \rightarrow C$ ?

By Pseudo-transitivity,  $AA \rightarrow C$

$\Rightarrow A \rightarrow C = AB \rightarrow C$

Final minimal cover :  $A \rightarrow B$   
 $A \rightarrow C$

Step 3  $\rightarrow$  Removal of redundant  
FDs

Q<sub>2</sub>

$$F = \left\{ \begin{array}{l} A \rightarrow BC \\ B \rightarrow C \end{array} \right\}$$

Step 1  $\rightarrow$  canonical form

$$A \rightarrow B \quad ①$$

$$A \rightarrow C \quad ②$$

$$B \rightarrow C \quad ③$$

Step 2  $\rightarrow$  No LHS with multiple attributes

Step 3  $\rightarrow$  Redundancy

From ① & ③,  $A \rightarrow C$  (inferable)

$\therefore$  Minimal cover :  $A \rightarrow B$

$$\underline{B \rightarrow C}$$

$A \rightarrow C$  is inferable from  
these 2. All FDs  
must be inferable  
from minimal  
cover.

Q3.

$$A \rightarrow BCE$$
$$AB \rightarrow DE$$
$$BI \rightarrow J$$

Step 1 :  $A \rightarrow B$   
 $A \rightarrow C$   
 $A \rightarrow E$   
 $AB \rightarrow D$   
 $BI \rightarrow J$   
 $AB \rightarrow E$

Decomposition

Step 2 :  $\begin{matrix} A \rightarrow B \\ AB \rightarrow D \end{matrix} \equiv \begin{matrix} A \rightarrow D \\ AB \rightarrow E \end{matrix}$  } Pseudo  
 $A \rightarrow B \& AB \rightarrow E \equiv A \rightarrow E$  } transitivity

$A \rightarrow B$   
 $A \rightarrow C$   
 $A \rightarrow E$   
 $A \rightarrow D$   
 $BI \rightarrow J$

Minimal cover

Step 3  $\rightarrow X$

$A \rightarrow BCDE$

$BI \rightarrow J$

Q4.

$$B \rightarrow A$$
$$D \rightarrow A$$
$$AB \rightarrow D$$

A. Step 1 ✓

Step 2 :  $B \rightarrow A$   
 $AB \rightarrow D$   
 $\Rightarrow B \rightarrow D$

Step 3 :  $B \rightarrow A$

$D \rightarrow A$   
 $B \rightarrow D$

infers  $B \rightarrow A$

$\therefore$  Minimal cover :  $\begin{matrix} D \rightarrow A \\ B \rightarrow D \end{matrix}$

FD  $\rightarrow$  Equivalence

Closure  $F^+$

$$F = \{A \rightarrow BC, B \rightarrow A, AD \rightarrow E\}$$

$$G = \{A \rightarrow ABC, B \rightarrow A, BD \rightarrow E\}$$

$A \rightarrow C \rightarrow$  Member in the closure of  $F$

Given exhaustive set of dependencies implied by  $F$ .

If dependencies in  $F$  can be inferred from  $G$

$\rightarrow G$  covers  $F$

If  $F$  covers  $G$  &  $G$  covers  $F \rightarrow$  Equivalent

Finding Equivalence:

$\rightarrow$  Minimal cover of  $F$  &  $G$  (OR)

$\rightarrow$  Find out attribute closures

Method 1 :

MC for  $F$

$$\begin{array}{l} (1) \quad A \rightarrow B \\ \quad \quad \quad | \\ \quad \quad \quad A \rightarrow C \\ \quad \quad \quad | \\ \quad \quad \quad B \rightarrow A \\ \quad \quad \quad | \\ \quad \quad \quad AD \rightarrow E \end{array}$$

(2)

$$(3) \quad A \rightarrow BC$$

$$B \rightarrow A$$

$$AD \rightarrow E$$



Equivalent

MC for  $G$

$$\begin{array}{l} (1) \quad A \rightarrow B - ① \\ \quad \quad \quad | \\ \quad \quad \quad A \rightarrow C \\ \quad \quad \quad | \\ \quad \quad \quad B \rightarrow A \\ \quad \quad \quad | \\ \quad \quad \quad BD \rightarrow E - ② \end{array}$$

$$(2) \quad BD \rightarrow E,$$

But in  $F$ ,

we have  $AD \rightarrow E$

From ① & ②,

$$AD \rightarrow E$$

$$(3) \quad A \rightarrow BC$$

$$B \rightarrow A$$

$$AD \rightarrow E$$

$$Q. F = \{ A \rightarrow B, AB \rightarrow C, D \rightarrow AC, D \rightarrow E \}$$

$$G = \{ A \rightarrow BC, D \rightarrow AE \}$$

Method 2:

From F, there is no C on LHS. Hence,  $C^+$  is not required.

~ for G, we need only  $A^+ \times D^+$

For F,

$$A^+ = \{ A, B, C \}$$

$$B^+ = \{ B \} \longrightarrow \text{Trivial}$$

$$D^+ = \{ D, A, C, E \} \setminus B \}$$

↓ From A

For G,

$$A^+ = \{ A, B, C \}$$

$$D^+ = \{ A, E, D, B, C \}$$

$$\left. \begin{array}{l} A^+ \text{ of } F = A^+ \text{ of } G \\ D^+ \text{ of } G = D^+ \text{ of } F \end{array} \right\} \text{Equivalent}$$

$$Q. F = \left\{ \begin{array}{l} A \rightarrow ABC \\ B \rightarrow A \quad BD \rightarrow E \\ AB \rightarrow D \end{array} \right.$$

(i) Is  $A \rightarrow D$  in  $F^+$ ?

$$\text{Sol}: B \rightarrow A$$

$$AB \rightarrow D$$

$$\therefore B \rightarrow D$$

$$B \rightarrow D$$

$$A \rightarrow ABC$$

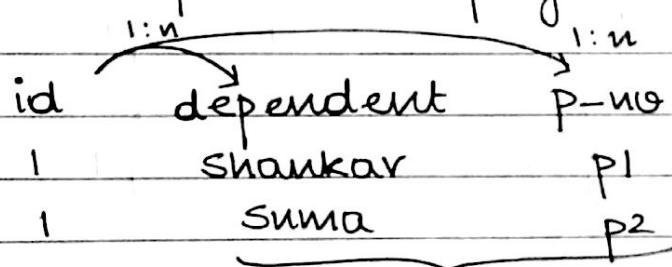
$$\therefore A \rightarrow D$$

(ii)  $A \rightarrow B E ?$  $B \rightarrow D$  $BD \rightarrow E$  $\therefore B \rightarrow E$  $B \rightarrow E$  $A \rightarrow B$  $\therefore A \rightarrow E$ 4NF

## Multivalued dependencies

emp(empid, dependent, project-no)

Multiple dependents & multiple projects  
possible for one employee.



@ Multivalued attributes

1	Shankar	P2
1	Suma	P1

when we combine many 1:n relationships in  
one relation  $\rightarrow$  not in 4NF.

$id \rightarrow\!\!\! \rightarrow$  dependent  
 $id \rightarrow\!\!\! \rightarrow$  proj-no

4NF  $\rightarrow$  For each MVD,  $X \rightarrow\!\!\! \rightarrow Y$

(1) LHS is a superkey

(OR) (2)  $X \cup Y = R$

In table, all 3 columns are the key.  $X \cup Y \neq R$ , Hence not  
in 4NF.

Remedy:

Decompose the MVDS into tables.

emp-dep (empid, dependent)  $\rightarrow$  2<sup>nd</sup> condition satisfied.  
emp-proj (empid, proj-no)

5NF (Project Join Normal Form)

Join dependency  $J(R_1, R_2, \dots, R_n) = R$

(non-additive

(lossless) join)

S	(S1)	(S2)	(S3)
sid pid pjid	sid pid	sid	pid pjid
1 P1 Pj1	1 P1	1	Pj1
1 P2 Pj2	1 P2	1	Pj2
2 P1 Pj1	2 P1	2	Pj1
C(Pj2)			C(Pj2)

on applying  
natural join on  
S1, S2 & S3, we  
need to get back  
original table.

Joining any

number of

relations less

than the actual

number must

not give original

table.

S1 \* S2

sid pid pjid

1 P1 Pj1 ✓

1 P1 Pj2 X

1 P2 Pj1 X

1 P2 Pj2 ✓

2 P1 Pj1 ✓

S1 \* S2 \* S3

tuples

generated

(1 P1 Pj2)

\* S3

↓

✓

X

X

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lossless join  $(R1 \cap R2)$

$\rightarrow (R2 - R1) \text{ OR}$

$\rightarrow (R1 - R2)$

$S1 - S2 \Rightarrow pid$

$S2 - S1 \Rightarrow pjid$

$\therefore sid \rightarrow pid \text{ OR}$

$\underbrace{sid \rightarrow pjid}$

SNF depends on  
decomposition proposed.

this should hold  
true for table to be  
in SNF.