

CS289a: Great Theory Hits of 21st Century

Tingfeng Xia

Winter 2023

Notes reorganized from <https://hackmd.io/@raghum/greathits>.

This work is licensed under a [Creative Commons “Attribution-NonCommercial-ShareAlike 4.0 International”](#) license.



Contents

1	Undirected s-t Connectedness	5
1.1	Computing Resources	5
1.2	Problem Statement	5
1.3	Randomized Algorithm for Connectivity	6
1.4	Log Space USTCON	6
1.5	Spectral Graph Theory	7
1.6	Easy Cases	8

Chapter 1

Undirected s-t Connectedness

1.1 Computing Resources

Four main computing resources that we consider as limited (and measure the performance of our algorithms against)

- Time
- Memory
- Randomness
- Communication

1.2 Problem Statement

- **Input:** Graph $G = (V, E)$; with source and target marked as s, t
- **Output:** YES iff s and t are connected, NO otherwise.

Above is the “traditional” definition of $s - t$ connectivity which we can solve with a vanilla BFS or DFS. This will take $O(|V| + |E|)$ and $O(|V|)$ extra bits of space / memory. The question is then, can we solve the same problem with sub-linear extra memory usage.

Proposition 1.1 *There is a randomized algorithm with $5 \log |V|$ bits of additional memory (directed and undirected graphs).*

Proposition 1.2 (Omer Reingold, 2005) *There is a log space ($O(\log |V|)$) algorithm (**deterministic**) for undirected graphs.*^{1.2.1}

^{1.2.1}first great hit ...

It is yet unknown if we can achieve log space for directed graphs (with deterministic algorithm). The best known algorithms runs with $O(\log |V|)^{3/2}$ bits of memory. Why is this so challenging?

Proposition 1.3 *If divided $s - t$ connectivity can be solved with $O(\log |V|)$ extra bits of memory (without randomness), then any randomized algorithm can be made deterministic at the expenses of a constant factor increase in memory.*

1.3 Randomized Algorithm for Connectivity

Algorithm 1.1 (Random Walk Algorithm for Connectivity) *Here is the algorithm*

- $steps \leftarrow 0$
- $current \leftarrow s; target \leftarrow t$
- *while* $steps < T$
 - $current \leftarrow$ random neighbor of $current$
 - *if* $current == target$ *return* YES
- *return* NO

The total memory for this algorithm is

$$2 \log N + \log T \leq 5 \log N \quad (1.3.1)$$

extra bits, assuming we can get random neighbor.

Proposition 1.4 (Alenilaus, 80s) *If $T = 100N^3$ steps, then $Pr[\text{Algorithm wrong}] < \frac{1}{3}$*

which can improved to arbitrary accuracy by repeating the algorithm. Algorithms of this nature can perform bad on graphs known as “Lollipop Graphs” and even worse a “Dumb-ell Graph”

1.4 Log Space USTCON

Here we highlight the progression in space complexity in various papers

- **Nisan, 92:** Space $O(\log^2 N)$, time $N^{O(1)}$ algorithm... improved to $O(\log^{4/3} N)$ in space.
- **Reingold, 05:** Space $O(\log N)$, time $N^{O(1)}$ algorithm.
- **Trifonov, 05:** Space $O((\log N)(\log \log N))$ algorithm.

1.5 Spectral Graph Theory

Consider an undirected graph $G = (V, E)$,

Definition 1.1 (Degree) Degree of a vertex v is the number of edges v is connected to.

Definition 1.2 (Regular) Graphs is “regular” if all vertices have same degree.

Definition 1.3 (Adjacency Matrix) $A(G)$ is a symmetric matrix where $A(G)_{ij} = 1$ if $\{i, j\}$ is an edge, 0 otherwise.

Definition 1.4 (Normalized Adj Matrix) If G is regular and has degree D , then the normalized adjacency matrix is defined as

$$M(G) \equiv \frac{A(G)}{D} \quad (1.5.1)$$

Lemma 1.1 If G is regular, then 1 is an eigenvalue of $M(G)$. And $\mathbf{v}_1 = [1 \ 1 \ \dots \ 1]^T$ is an eigenvector with eigenvalue 1.

Proposition 1.5 (Eigenvalues of Regular Graphs) If G is regular, then all eigenvalues of $M(G)$ have magnitude ≤ 1 .

Proof: WLOG assume x_3 is the largest entry in the vector \mathbf{x} , then

$$\lambda|x_3| = |M_{31}x_1 + M_{32}x_2 + \dots + M_{3N}x_N| \quad (1.5.2)$$

$$\leq M_{31}|x_3| + M_{32}|x_3| + \dots + M_{3N}|x_3| \quad (1.5.3)$$

$$= (M_{31} + \dots + M_{3N})|x_3| \quad (1.5.4)$$

$$= 1|x_3| \quad (1.5.5)$$

Thus, $\lambda \leq 1$. ■

Proposition 1.6 (Connectedness and Matrices) Regular $G = (V, E)$ is connected if and only if the only eigenvector with eigenvalue 1 for $M(G)$ is the all 1 vector.

Might appear on exam 1

Proposition 1.7 (Eigenvalues of a Regular Graph) If G is regular, then the eigenvalues of $M(G)$ are

Might appear on exam 1

$$1 = \lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_N \quad (1.5.6)$$

Proposition 1.8 G is connected and regular if and only if

Might appear on exam 1

$$\max(|\lambda_2|, |\lambda_3|, \dots, |\lambda_N|) \leq 1 \quad (1.5.7)$$

Proposition 1.9 (Eigenvalues of D-Regular Graphs) If G is a D -regular graph, then

- 1 is an eigenvalue of $M(G)$, and
- all eigenvalues of $M(G)$ are at most 1 in absolute value

Definition 1.5 (Self Loops) We add connections from each node in the graph to themselves. In the matrix representation, we set $G_{ii} = 1, \forall i$.

Definition 1.6 (Second Largest Eigenvalue) ... denoted as $\lambda(G)$ or $\lambda_2(G)$.

Lemma 1.2 If G is D -regular and **has self loops**, then G is connected if and only if $\lambda(G) < 1$.

Proof: We first show G is disconnected implies $\lambda(G) = 1$ (via contrapositive).

Definition 1.7 (Spectral Gap) Spectral Gap of a D -regular graph G is defined as

$$\text{Spectral Gap} \equiv 1 - \lambda(G) \quad (1.5.8)$$

Lemma 1.3 If G is a D -regular connected graph with self-loops, then

$$\lambda(G) \leq 1 - \frac{1}{2D^2 \cdot N^2} \quad (1.5.9)$$

Definition 1.8 We say a graph G is (N, D, λ) if it has N vertices, D regular and $\lambda(G) \leq \lambda$.

1.6 Easy Cases