# Web 2.0

#### Lecture 7: Protocols for the Realtime Web

#### doc. Ing. Tomáš Vitvar, Ph.D.

tomas@vitvar.com • @TomasVitvar • http://vitvar.com



Czech Technical University in Prague
Faculty of Information Technologies • Software and Web Engineering • http://vitvar.com/courses/w20

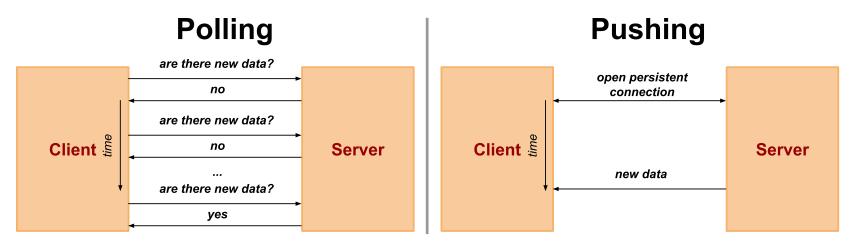




## **Overview**

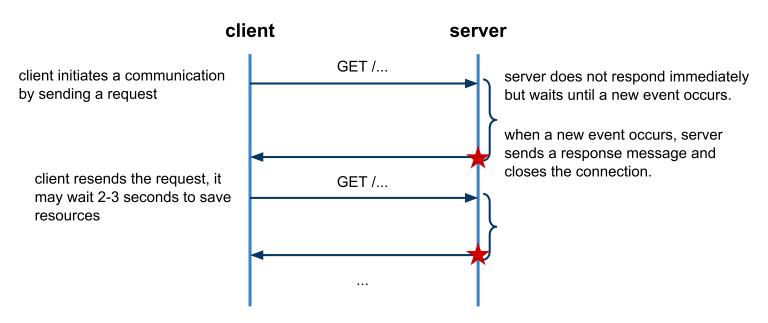
- Long-polling and Streaming
- WebSocket Protocol
- WebRTC

# **Pushing and Polling**



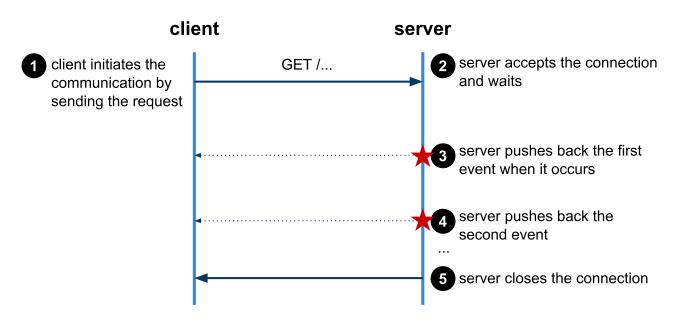
- Conceptual basis in messaging architectures
  - event-driven architectures (EDA)
- HTTP is a request-response protocol
  - response cannot be sent without request
  - server cannot initiate the communication
- **Polling** client periodically checks for updates on the server
- **Pushing** updates from the server (also called COMET)
  - = long polling server holds the request for some time
  - = **streaming** server sends updates without closing the socket

# **HTTP Long Polling**



- Server holds long-poll requests
  - server responds when an event or a timeout occurs
  - saves computing resources at the server as well as network resources
  - can be applied over HTTP persistent and non-persistent communication
- Issues:
  - maximum time of the request processing at the server
  - concurrent requests processing at the server

# **HTTP Streaming**



- server deffers the response until an event or timeout is available
- when an event is available, server sends it back to client as part of the response; this does not terminate the connection
- server is able to send pieces of response w/o terminating the conn.
  - using transfer-encoding header in HTTP 1.1
  - using End of File in HTTP 1.0
     (server omits content-length in the response)

# **Chunked Response**

- Transfer encoding chunked
  - It allows to send multiple sets of data over a single connection
  - a chunk represents data for the event

```
1 HTTP/1.1 200 OK
2 Content-Type: text/plain
3 Transfer-Encoding: chunked
4 5 25
6 This is the data in the first chunk
7 8 1C
9 and this is the second one
10
11 0
```

- Each chunk starts with hexadecimal value for length
- End of response is marked with the chunk length of 0

### • Steps:

- server sends HTTP headers and the first chunk (step 3)
- server sends second and subsequent chunk of data (step 4)
- corner terminates the connection (sten 5)

# **Issues with Chunked Response**

- Chunks vs. Events
  - chunks cannot be considered as app messages (events)
  - intermediaries might "re-chunk" the message stream
    - $\rightarrow$  e.g., combining different chunks into a longer one
- Client Buffering
  - clients may buffer all data chunks before they make the response available to the client application
- HTTP streaming in browsers
  - Server-sent events

## **Server-Sent Events**

- W3C specification
  - part of HTML5 specs, see Server-Sent Events
  - API to handle HTTP streaming in browsers by using DOM events
  - transparent to underlying HTTP streaming mechanism
    - → can use both chunked messages and EOF
  - same origin policy applies
- EventSource interface
  - event handlers: onopen, onmessage, onerror
  - *− constructor* EventSource(url) *− creates and opens the stream*
  - method close() closes the connection
  - attribute readyState
    - → CONNECTING The connection has not yet been established, or it was closed and the user agent is reconnecting.
    - $\rightarrow$  OPEN The user agent has an open connection and is dispatching events as it receives them.
    - $\rightarrow$  CLOSED The conn. is not open, the user agent is not reconnecting.

# **Example**

Initiating EventSource

```
if (window.EventSource != null) {
   var source = new EventSource('your_event_stream.php');
} else {
   // Result to xhr polling :(
}
```

Defining event handlers

```
source.addEventListener('message', function(e) {
    // fires when new event occurs, e.data contains the event data
}, false);

source.addEventListener('open', function(e) {
    // Connection was opened
}, false);

source.addEventListener('error', function(e) {
    if (e.readyState == EventSource.CLOSED) {
        // Connection was closed
    }
}, false);
```

- when the conn. is closed, the browser reconnects every ~3 seconds
  - → can be changed using retry attribute in the message data

## **Event Stream Format**

- Format
  - response's content-type must be text/event-stream
  - every line starts with data:, event message terminates with 2 \n chars.
  - every message may have associated id (is optional)

```
id: 12345\n
data: first line\n
data: second line\n\n
```

• JSON data in multiple lines of the message

```
data: {\n
data: "msg": "hello world",\n
data: "id": 12345\n
data: }\n\n
```

- Changing the reconnection time
  - default is 3 seconds

```
1 retry: 10000\n
2 data: hello world\n\n
```

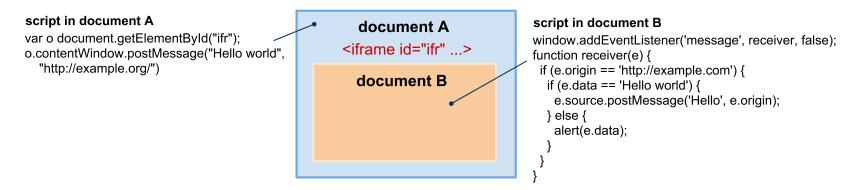
# **Server-side implementation**

- Java Servlet
  - method doGet

```
public void doGet(HttpServletRequest req, HttpServletResponse resp)
         throws IOException {
4
         // set http headers
         resp.setContentType("text/event-stream");
6
         resp.setHeader("cache-control", "no-cache");
8
         // current time in milliseconds
9
         long ms = System.currentTimeMillis();
10
         // push data to the client for 20 seconds
11
12
         // client should reconnect when the connection is closed
13
         while (System.currentTimeMillis() - ms < 20000) {</pre>
             resp.getWriter().print("data: servlet runs for " +
14
15
                 (System.currentTimeMillis() - ms)/1000 + " seconds.\n\n");
16
             resp.getWriter().flush();
17
             try {
                 Thread.sleep(4000);
18
             } catch (InterruptedException e) {
19
20
                 // do nothing;
21
22
23
```

# **Other Technologies**

Cross-document messaging



- The use of Cross Document Messaging for streaming
  - 1. The client loads a streaming resource in a hidden iframe
  - 2. The server pushes a JavaScript code to the iframe
  - 3. The browser executes the code as it arrives from the server
  - 4. The embedded iframe's code posts a message to the upper document

#### Channel API

- Google Technology for streaming API for AppEngine
- not based on HTTP streaming
- utilizes XMPP capabilities + hidden iframe at client-side

## **Overview**

- Long-polling and Streaming
- WebSocket Protocol
- WebRTC

## WebSocket

- Specifications
  - IETF defines WebSocket Protocol 丞
  - W3C defines WebSocket API №
- Design principles
  - a new protocol
    - → browsers, web servers, and proxy servers need to support it
  - a layer on top of TCP
  - bi-directional communication between client and servers
    - → low-latency apps without HTTP overhead
  - Web origin-based security model for browsers
    - → same origin policy, cross-origin resource sharing
  - support multiple server-side endpoints
- Two phases
  - Handshake as an **upgrade** of a HTTP connection
  - data transfer the protocol-specific on-the-wire data transfer

# Handshake – Request

## Request

 client sends a following HTTP request to upgrade the connection to WebSocket

```
GET /chat HTTP/1.1
Host: server.example.com
Upgrade: websocket
Connection: Upgrade
Sec-WebSocket-Key: dGhlIHNhbXBsZSBub25jZQ==
Sec-WebSocket-Origin: http://example.com
Sec-WebSocket-Protocol: chat, superchat
Sec-WebSocket-Version: 7
```

- Connection request to upgrade the protocol
- − Upgrade − protocol to upgrade to
- Sec-WebSocket-Key a client key for later validation
- ─ Sec-WebSocket-Origin origin of the request
- Sec-WebSocket-Protocol  $list\ of\ sub$ -protocols that  $client\ supports\ (proprietary)$

# Handshake – Response

#### Response

- server accepts the request and responds as follows

```
HTTP/1.1 101 Switching Protocols
Upgrade: websocket
Connection: Upgrade
Sec-WebSocket-Accept: s3pPLMBiTxaQ9kYGzzhZRbK+x0o=
Sec-WebSocket-Protocol: chat
```

- $\rightarrow$  101 Switching Protocols status code for a successful upgrade
- $\rightarrow$  Sec-WebSocket-Protocol a sub-protocol that the server selected from the list of protocols in the request
- → Sec-WebSocket-Accept a key to prove it has received a client WebSocket handshake request
- Formula to compute Sec-WebSocket-Accept

```
Sec-WebSocket-Accept = Base64Encode(SHA-1(Sec-WebSocket-Key +
"258EAFA5-E914-47DA-95CA-C5AB0DC85B11"))
```

- $\rightarrow$  SHA-1 hashing function
- $\rightarrow$  Base64Encode Base64 encoding function
- ightarrow "258EAFA5-E914-47DA-95CA-C5AB0DC85B11"  $magic\ number$

## **Data Transfer**

- After successful handshake
  - socket between the client and the "resource" at the server is established
  - client and the server can both read and write from/to the socket
  - No HTTP headers overhead
- Data Framing
  - Data transmitted in TCP packets (see RFC6455: Base Framing Protocol ☑)
  - Contains payload length, closing frame, ping, pong, type of data (text/binary), etc. and payload (message data)

0	1	2	3
01234	5 6 7 8 9 0 1 2 3 4 5	6 7 8 9 0 1 2 3 4 5 6 7 8	901
+-+-+-+-	+-+	+	+
F R R R  c	ppcode M  Payload len (4)  A  (7)	Extended payload length (16/64)	th
N V V V     1 2 3		(10/04)   (if payload len==126/12	27)
<del>+-+-+-++-+</del>			
Extended payload length continued, if payload len == 127			
į		Masking-key, if MASK set	to 1
Masking-key (continued)		Payload Data	
: Payload Data continued :			
Payload Data continued			
•			

## WebSocket API

- Client-side API
  - clients to utilize WebSocket, supported by Chrome, Safari
  - Hides complexity of WebSocket protocol for the developer
- JavaScript example

```
// ws is a new URL schema for WebSocket protocol; 'chat' is a sub-protocol
    var connection = new WebSocket('ws://server.example.org/chat', 'chat');
    // When the connection is open, send some data to the server
    connection.onopen = function () {
      // connection.protocol contains sub-protocol selected by the server
      console.log('subprotocol is: ' + connection.protocol);
      connection.send('data');
    };
10
11
   // Log errors
12
    connection.onerror = function (error) {
13
      console.log('WebSocket Error ' + error);
14
    };
15
   // Log messages from the server
16
    connection.onmessage = function (e) {
17
      console.log('Server: ' + e.data);
18
19
    };
20
21
22
23
    // closes the connection
    connection.close()
24
```

## Sockets.IO

- Many options for streaming
  - long-polling, streaming, iframe, WebSockets
  - Not all browsers support WebSockets
  - Socket.IO 
     <sup>□</sup> a layer providing a unified API
- Sockets.IO
  - API and JavaScript implementation
  - checks the availability of WebSocket protocol
    - → fallback to long-polling or other technologies when not available

```
// creates a new socket
var socket = new io.Socket();

// event handlers
socket.on('connect', function(){
    socket.send('hi!');
})
socket.on('message', function(data){
    alert(data);
})
socket.on('disconnect', function(){})
```

## **Overview**

- Long-polling and Streaming
- WebSocket Protocol
- WebRTC

## WebRTC

#### Web Real-Time Communication

- API to eachange media and arbitrary data between peers inside Web pages
- It uses peer-to-peer principles
- Supported by Google, Mozilla, Microsoft, Opera

## Specifications

- WebRTC IETF Working Groups ➡

## History

- Google acquires company Global IP Solutions (GIPS) in 2010
- GIPS developed underlying technology (codecs, echo cancellation techniques), released as open source
- Google promoted the work around GIPS to W3C and IETF

## **WebRTC Main Tasks**

- Acquiring audio and video
  - JavaScript API: MediaStream (aka getUserMedia)
- Communicating audio and video
  - JavaScript API: RTCPeerConnection
- Communicating arbitrary data
  - JavaScript API: RTCDataChannel

## **GetUserMedia**

JavaScript code

```
var constraints = {video: true};

function successCallback(stream) {
   var video = document.querySelector("video");
   video.src = window.URL.createObjectURL(stream);
}

function errorCallback(error) {
   console.log("navigator.getUserMedia error: ", error);
}

navigator.getUserMedia(constraints, successCallback, errorCallback);
```

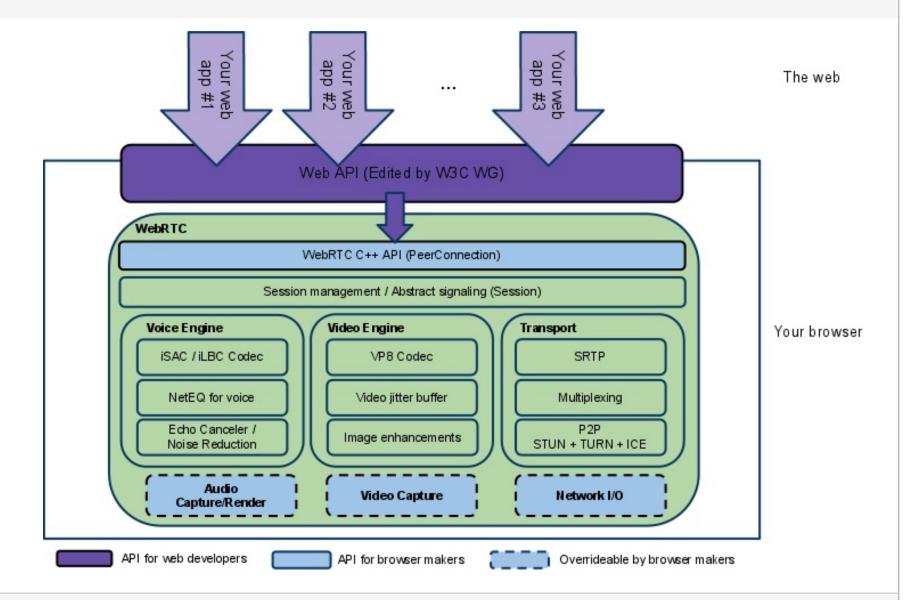
#### Constraints

- Control the contents of the MediaStream
- Media type, resolution, frame rate
- JavaScript app can read and manipulate the stream.
- It is also possible to acquire audio as well as screen capture.

## **RTCPeerConnection**

- Allows to communicate media stream acquired by getUserMedia
  - Video chat, audio chat, screen sharing
- Some capabilities of RTCPeerConnection
  - Signal processing
  - Code handling
  - Peer to peer communication
  - Security
  - Bandwidth management

## **WebRTC** Architecture



## **Communication**

- Two phases
  - 1. Signaling
    - WebRTC defines abstract signalling
    - apps can use any singaling protocol, can use any such as SIP, XMPP, or custom using XHR or Websockets
  - 2. Exchange of real-time data in peer-to-peer manner
- Abstract signaling
  - Need to exhange session description objects
    - → Formats, codecs the peers want to use
    - → Network information for peer-to-peer communication
    - $\rightarrow$  This information is captured as RTCSessionDescription (also SDP) structure
  - Any messaging mechanism and protocol

#### **SIP** and **SDP**

#### Standards

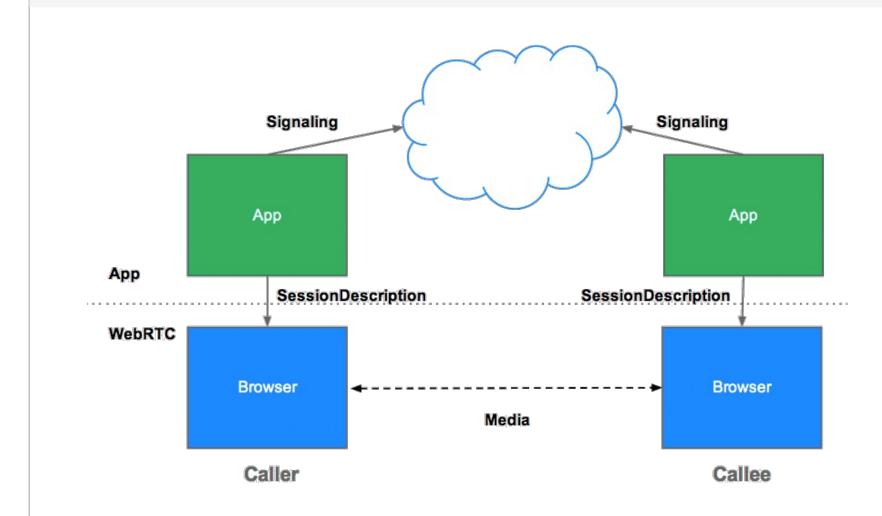
- SIP Session Initiation Protocol, protocol to establish and modify sessions.
- SDP Session Description Protocol, describes media for a session, defined in RFC4566 Session Description Protocol 

  ✓

#### • SDP Example

```
v=0
o=- 7614219274584779017 2 IN IP4 127.0.0.1
S=-
t=0 0
a=group:BUNDLE audio video
a=msid-semantic: WMS
m=audio 1 RTP/SAVPF 111 103 104 0 8 107 106 105 13 126
c=IN IP4 0.0.0.0
a=rtcp:1 IN IP4 0.0.0.0
a=ice-ufrag:W2TGCZw2NZHuwlnf
a=ice-pwd:xdQEccP40E+P0L5qTyzDgfmW
a=extmap:1 urn:ietf:params:rtp-hdrext:ssrc-audio-level
a=mid:audio
a=rtcp-mux
a=crypto:1 AES CM 128 HMAC SHA1 80 inline:9c1AHz27dZ9xPI91YNfSlI67/EMkjHHIHORiClQe
a=rtpmap:111 opus/48000/2
```

# **Signaling Diagram**



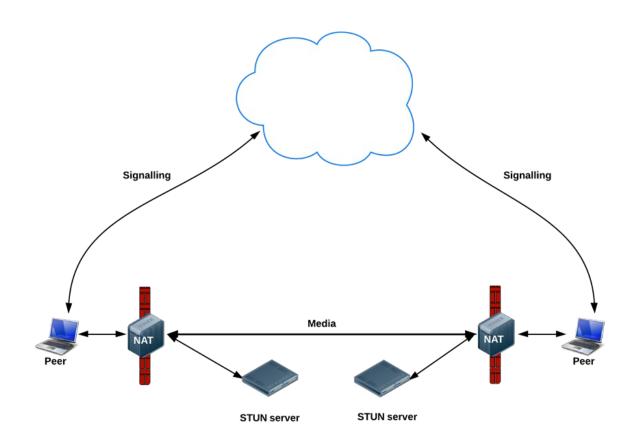
# JavaScript Session Establishment (JSEP)

- JSEP is a protocol to create a session between two parties
- JSEP steps between Alice and Bob
  - 1. Alice creates an offer that contains her local SDP.
  - 2. Alice attaches that offer to RTCPeerConnection object.
  - 3. Alice sends the offer to a singaling server using custom-built mechanism (WebSocket, XHR, etc.)
  - 4. Bob receives Alice's offer from the signaling server
  - 5. Bob creates an answer using his local SDP.
  - 6. Bob attaches his answer along with Alice's offer to his own RTCPeerConnection object.
  - 7. Bob returns his answer to the singaling server.
  - 8. Alice receives Bob's offer from the singaling server.

# **Interactive Connectivity Establishment**

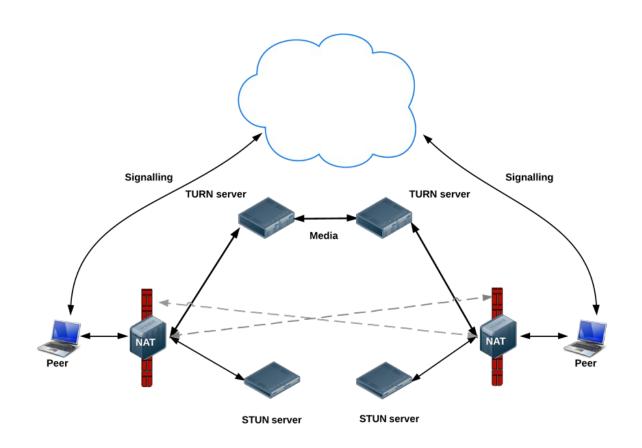
- ICE Interactive Connectivity Establishment
  - Allows WebRTC to overcome complexities of real-world networking
  - Finds the best path to connect peers such as
    - $\rightarrow$  direct P2P communication.
    - $\rightarrow$  by using STUN or TURN servers.
- STUN Session Traversal Utilities for NAT
  - Allows to discover the presence of a NAT server.
  - Allows to discover the public IP address and a port that the NAT has allocated for UDP flows.
  - It is provided as a third-party network server (STUN server) located on the public side of the NAT.
- TURN Traversal Using Relays around NAT
  - Communication relay for hosts behind NAT when STUN does not work.

### STUN



- → STUN is a simple server, cheap to run
- → Data flows peer-to-peer

#### TURN



- → a cloud fallback when peer-to-peer does not work
- → data sent via a relay server, uses server bandwidth