By: Schwertner, et al.

S.B. No. 313

A BILL TO BE ENTITLED

1	1 AN	АСТ

- 2 relating to the continuation and functions of the State Board of
- 3 Dental Examiners; imposing fees.
- 4 BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF TEXAS:
- 5 SECTION 1. Section 251.005, Occupations Code, is amended to
- 6 read as follows:
- 7 Sec. 251.005. APPLICATION OF SUNSET ACT. The State Board
- 8 of Dental Examiners is subject to Chapter 325, Government Code
- 9 (Texas Sunset Act). Unless continued in existence as provided by
- 10 that chapter, the board is abolished September 1, 2029 [2017].
- 11 SECTION 2. Section 252.001(a), Occupations Code, is amended
- 12 to read as follows:
- 13 (a) The State Board of Dental Examiners consists of 11 [15]
- 14 members appointed by the governor with the advice and consent of the
- 15 senate as follows:
- 16 (1) six [eight] reputable dentist members who reside
- 17 in this state and have been actively engaged in the practice of
- 18 dentistry for at least the five years preceding appointment;
- 19 (2) three [two] reputable dental hygienist members who
- 20 reside in this state and have been actively engaged in the practice
- 21 of dental hygiene for at least the five years preceding
- 22 appointment; and
- 23 (3) two [five] members who represent the public.
- SECTION 3. Section 252.003, Occupations Code, is amended to

- 1 read as follows:
- 2 Sec. 252.003. MEMBERSHIP AND EMPLOYEE RESTRICTIONS. (a)
- 3 In this section, "Texas trade association" means a [nonprofit,
- 4 cooperative $[\tau]$ and voluntarily joined statewide association of
- 5 business or professional competitors in this state designed to
- 6 assist its members and its industry or profession in dealing with
- 7 mutual business or professional problems and in promoting their
- 8 common interest.
- 9 (b) A person may not be a member of the board and may not be a
- 10 board employee employed in a "bona fide executive, administrative,
- 11 or professional capacity," as that phrase is used for purposes of
- 12 <u>establishing an exemption to the overtime provisions of the federal</u>
- 13 Fair Labor Standards Act of 1938 (29 U.S.C. Section 201 et seq.) if:
- 14 (1) the person is an [An] officer, employee, or paid
- 15 consultant of a Texas trade association in the field of health care;
- 16 <u>or</u>
- 17 (2) the person's [may not be a member or employee of
- 18 the board who is exempt from the state's position classification
- 19 plan or is compensated at or above the amount prescribed by the
- 20 General Appropriations Act for B9 of the position classification
- 21 salary schedule.
- [(c) A person who is the] spouse is [of] an officer,
- 23 manager, or paid consultant of a Texas trade association in the
- 24 field of health care [may not be a board member and may not be a
- 25 board employee who is exempt from the state's position
- 26 classification plan or is compensated at or above the amount
- 27 prescribed by the General Appropriations Act for B9 of the position

```
(c) (d) A person may not serve as a member of the board or
 2
 3
    act as the general counsel to the board if the person is required to
    register as a lobbyist under Chapter 305, Government Code, because
 4
    of the person's activities for compensation on behalf of a
 5
    profession related to the operation of the board.
 6
          SECTION 4. Section 252.010, Occupations Code, is amended by
 7
    amending Subsection (b) and adding Subsection (d) to read as
8
    follows:
10
               The training program must provide the person with
    information regarding:
11
12
               (1)
                    the law governing board operations
                                                                  [this
    subtitle];
13
                    the programs, [operated by the board;
14
15
                [(3) the role and] functions, [of the board;
16
                [(4) the] rules, and [of the board, with an emphasis on
17
    the rules that relate to disciplinary and investigatory authority;
               [(5) the current] budget of [for] the board;
18
19
               (3) the scope of and limitations on the rulemaking
    authority of the board;
20
21
               (4) [(6)] the results of the most recent formal audit
    of the board;
22
23
               (5) \left[\frac{(7)}{(7)}\right] the requirements of:
24
                          laws relating to [the] open meetings [law],
    [Chapter 551, Government Code;
25
26
                     [(B) the] public information [law], [Chapter
27
    552, Government Code;
```

classification salary schedule].

1

- S.B. No. 313 1 [(C) the] administrative procedure [law], 2 [Chapter 2001, Covernment Code;] and disclosing conflicts (D) other laws relating to public officials, 3 including conflict] of interest [laws]; and 4 5 (B) other laws applicable to members of the board in performing their duties; and 6 7 (6) $[\frac{(8)}{(8)}]$ any applicable ethics policies adopted by 8 the board or the Texas Ethics Commission. 9 (d) The executive director shall create a training manual that includes the information required by Subsection (b). The 10 executive director shall distribute a copy of the training manual 11 annually to each board member. On receipt of the training manual, 12 each board member shall sign and submit to the executive director a 13 statement acknowledging receipt of the training manual. 14 15 SECTION 5. Chapter 254, Occupations Code, is amended by adding Section 254.0065 to read as follows: 16 17 Sec. 254.0065. CONFIDENTIALITY OF CERTAIN INFORMATION REGARDING LICENSE HOLDER. (a) Except as provided by Subsection 18 (b), all information, records, and proceedings of the board or an 19 authorized agent of the board relating to the participation of a 20
- license holder under Section 263.0025 are confidential and not 22 subject to disclosure under Chapter 552, Government Code. 23 24 (b) The board may disclose a disciplinary action taken against a license holder in the enforcement of Section 25

21

license holder in a peer assistance program or the evaluation of a

26 <u>263.002(a)(1), (7), or (11).</u> The board may not disclose the nature

- 1 SECTION 6. Section 254.010(b), Occupations Code, is amended
- 2 to read as follows:
- 3 (b) Rules adopted under this section must include
- 4 procedures to:
- 5 (1) monitor for compliance a license holder who is
- 6 ordered by the board to perform a certain act; [and]
- 7 (2) identify and monitor each license holder who
- 8 represents a risk to the public; and
- 9 (3) periodically review reports filed with the
- 10 National Practitioner Data Bank for any report of disciplinary
- 11 action taken against a license holder by another state that would
- 12 constitute grounds for disciplinary action under Section 263.002.
- SECTION 7. Chapter 254, Occupations Code, is amended by
- 14 adding Section 254.0105 to read as follows:
- 15 Sec. 254.0105. MONITORING HARMFUL PRESCRIBING PATTERNS.
- 16 (a) The board shall periodically check the prescribing information
- 17 submitted to the Texas State Board of Pharmacy as authorized by
- 18 Section 481.076(a)(1), Health and Safety Code, to determine whether
- 19 a dentist licensed under this subtitle is engaging in potentially
- 20 harmful prescribing patterns or practices.
- 21 (b) The board, in coordination with the Texas State Board of
- 22 Pharmacy, shall determine the conduct that constitutes a
- 23 potentially harmful prescribing pattern or practice for purposes of
- 24 Subsection (a). In determining the conduct that constitutes a
- 25 potentially harmful prescribing pattern or practice, the board
- 26 shall consider:
- 27 (1) the number of times a dentist licensed under this

- 1 subtitle prescribes a drug listed in Section 258.0535(b); and
- 2 (2) for prescriptions described by Subdivision (1),
- 3 patterns of prescribing combinations of those drugs and other
- 4 dangerous combinations of drugs identified by the board.
- 5 (c) If the board suspects that a dentist licensed under this
- 6 subtitle may be engaging in potentially harmful prescribing
- 7 patterns or practices, the board may notify the dentist of the
- 8 potentially harmful prescribing pattern or practice.
- 9 <u>(d) The board may initiate a complaint against a dentist</u>
- 10 based on information obtained under this section.
- SECTION 8. Section 256.002(a), Occupations Code, is amended
- 12 to read as follows:
- 13 (a) An applicant for a license to practice dentistry must:
- 14 (1) be at least 21 years of age; and
- 15 (2) [be of good moral character; and
- 16 $\left[\frac{(3)}{(3)}\right]$ present proof of:
- 17 (A) graduation from a dental school accredited by
- 18 the Commission on Dental Accreditation of the American Dental
- 19 Association; or
- 20 (B) graduation from a dental school that is not
- 21 accredited by the commission and successful completion of training
- 22 in an American Dental Association approved specialty in an
- 23 education program accredited by the commission that consists of at
- 24 least two years of training as specified by the Council on Dental
- 25 Education.
- SECTION 9. Section 256.053, Occupations Code, is amended to
- 27 read as follows:

- 1 Sec. 256.053. ELIGIBILITY FOR LICENSE. (a) An applicant
- 2 for a license to practice dental hygiene in this state must be:
- 3 (1) at least 18 years of age;
- 4 (2) [of good moral character;
- 5 $\left[\frac{(3)}{3}\right]$ a graduate of an accredited high school or hold a
- 6 certificate of high school equivalency; and
- 7 $\underline{(3)}$ [(4)] a graduate of a recognized school of
- 8 dentistry or dental hygiene accredited by the Commission on Dental
- 9 Accreditation of the American Dental Association and approved by
- 10 the board or an alternative dental hygiene training program.
- 11 (b) A school of dentistry or dental hygiene described by
- 12 Subsection (a)(3) $[\frac{(a)(4)}{(a)}]$ must include at least two full academic
- 13 years of instruction or its equivalent at the postsecondary level.
- 14 SECTION 10. Chapter 257, Occupations Code, is amended by
- 15 adding Section 257.003 to read as follows:
- Sec. 257.003. REFUSAL FOR VIOLATION OF BOARD ORDER. The
- 17 board may refuse to renew a license issued under this subtitle if
- 18 the license holder is in violation of a board order.
- 19 SECTION 11. Section 258.002(a), Occupations Code, is
- 20 amended to read as follows:
- 21 (a) A licensed dentist may delegate to a qualified and
- 22 trained dental assistant acting under the dentist's general or
- 23 direct supervision any dental act that a reasonable and prudent
- 24 dentist would find is within the scope of sound dental judgment to
- 25 delegate if:
- 26 (1) in the opinion of the delegating dentist, the act:
- (A) can be properly and safely performed by the

- 1 person to whom the dental act is delegated; and
- 2 (B) is performed in a customary manner and is not
- 3 in violation of this subtitle or any other statute;
- 4 (2) the person to whom the dental act is delegated does
- 5 not represent to the public that the person is authorized to
- 6 practice dentistry; and
- 7 (3) the person to whom the dental act is delegated is
- 8 registered under Chapter 265 [holds the appropriate certificate],
- 9 if registration [a certificate] is required to perform the act.
- SECTION 12. Subchapter B, Chapter 258, Occupations Code, is
- 11 amended by adding Section 258.0535 to read as follows:
- 12 Sec. 258.0535. DUTIES RELATED TO CERTAIN PRESCRIPTIONS.
- 13 (a) A dentist may not prescribe a drug listed in Subsection (b) to a
- 14 patient unless the dentist has reviewed the patient's prescription
- 15 history by accessing the prescription information submitted to the
- 16 Texas State Board of Pharmacy as authorized by Section
- 17 481.076(a)(5), Health and Safety Code.
- 18 (b) Subsection (a) applies only to the prescribing of:
- 19 <u>(1) opioids;</u>
- 20 <u>(2) benzodiazepines;</u>
- 21 <u>(3) barbiturates; or</u>
- 22 (4) carisoprodol.
- 23 (c) Failure by a dentist to comply with the requirements of
- 24 this section is grounds for disciplinary action under Section
- 25 263.002.
- SECTION 13. Section 258.054(c), Occupations Code, is
- 27 amended to read as follows:

```
S.B. No. 313
```

- 1 (c) A dentist may not authorize a dental assistant to make a
- 2 dental x-ray unless the dental assistant is registered [holds an
- 3 x-ray certificate issued] under Chapter 265 [Section 265.005].
- 4 SECTION 14. The heading to Subchapter D, Chapter 258,
- 5 Occupations Code, is amended to read as follows:
- 6 SUBCHAPTER D. [ENTERAL] ADMINISTRATION OF ANESTHESIA
- 7 SECTION 15. Section 258.151, Occupations Code, is amended
- 8 to read as follows:
- 9 Sec. 258.151. <u>DEFINITIONS</u> [<u>DEFINITION</u>]. In this
- 10 subchapter:
- 11 (1) "High-risk patient" means a patient who:
- 12 <u>(A) is older than 75 years of age; or</u>
- 13 (B) has a level 3 or 4 classification according
- 14 to the American Society of Anesthesiologists Physical Status
- 15 <u>Classification System.</u>
- 16 (2) "Pediatric patient" means a patient 12 years of
- 17 age or younger [, "enteral" means any technique of administering
- 18 anesthesia in which the anesthetic is absorbed through the
- 19 gastrointestinal tract or oral mucosa. Examples of enterally
- 20 administering anesthesia include administering an anesthetic
- 21 orally, rectally, sublingually, or intranasally].
- 22 SECTION 16. Subchapter D, Chapter 258, Occupations Code, is
- 23 amended by adding Section 258.1521 to read as follows:
- Sec. 258.1521. ADVISORY COMMITTEE ON DENTAL ANESTHESIA.
- 25 (a) The board shall establish an advisory committee to advise the
- 26 board regarding the adoption and amendment of rules related to
- 27 dental anesthesia. The advisory committee consists of nine members

- 1 appointed by the presiding officer of the board and must include:
- 2 (1) a dentist;
- 4 (3) an oral and maxillofacial surgeon;
- 5 (4) a pediatric dentist; and
- 6 (5) a physician anesthesiologist.
- 7 (b) The board shall designate an attorney employed by the
- 8 board to:
- 9 (1) act as counsel and provide legal advice to the
- 10 advisory committee; and
- 11 (2) be present during the committee's meetings and
- 12 deliberations.
- 13 (c) The advisory committee shall report to the board at
- 14 least annually regarding the committee's recommendations or other
- 15 findings related to dental anesthesia. The board shall post on the
- 16 board's Internet website any recommendations or findings reported
- 17 by the committee.
- 18 (d) A board member may not serve as a member of the advisory
- 19 committee.
- (e) Chapter 2110, Government Code, does not apply to the
- 21 size, composition, or duration of the advisory committee.
- 22 SECTION 17. Sections 258.153, 258.154, and 258.155,
- 23 Occupations Code, are amended to read as follows:
- Sec. 258.153. RULES. (a) The board shall adopt rules to
- 25 administer this subchapter, including rules to establish [by rule]
- 26 the minimum standards for the [enteral] administration of
- 27 anesthesia by a dentist.

- 1 (b) The rules must be designed to protect the health,
- 2 safety, and welfare of the public and must include requirements
- 3 relating to:
- 4 (1) for each level of permit held, the methods that may
- 5 be used to [enterally] administer an anesthetic and the anesthetic
- 6 agents that may be used;
- 7 (2) dental patient evaluation, diagnosis, counseling,
- 8 and preparation;
- 9 (3) dental patient monitoring to be performed and
- 10 equipment to be used during a procedure and during postprocedure
- 11 monitoring;
- 12 (4) emergency procedures, drugs, and equipment,
- 13 including education, training, and certification of personnel, as
- 14 appropriate, and including protocols for transfers to a hospital;
- 15 (5) the documentation necessary to demonstrate
- 16 compliance with this subchapter; and
- 17 (6) the period in which protocols or procedures
- 18 covered by rules of the board shall be reviewed, updated, or
- 19 amended.
- Sec. 258.154. COMPLIANCE WITH ANESTHESIA RULES. (a) \underline{A} [On
- 21 and after August 31, 2002, a] dentist who practices dentistry in
- 22 this state and who [enterally] administers anesthesia or performs a
- 23 procedure for which anesthesia is [enterally] administered shall
- 24 comply with the rules adopted under this subchapter.
- 25 (b) The board may require a dentist to submit and comply
- 26 with a corrective action plan to remedy or address any current or
- 27 potential deficiencies with the dentist's [enteral] administration

```
S.B. No. 313
```

- 1 of anesthesia in accordance with this subtitle or rules of the
- 2 board.
- 3 Sec. 258.155. ANNUAL PERMIT REQUIRED. (a) The board shall
- 4 issue permits to administer anesthesia in the following four
- 5 categories based on the extent to which the intended procedure will
- 6 alter the patient's mental status and the method of anesthetic
- 7 delivery:
- 8 <u>(1) level 1: minimal sedation;</u>
- 9 (2) level 2: moderate sedation (enteral
- 10 <u>administration</u>);
- 11 (3) level 3: moderate sedation (parenteral
- 12 administration); and
- 13 (4) level 4: deep sedation or general anesthesia.
- 14 (b) A [Not later than September 1, 2002, the board shall
- 15 require each] dentist may not administer [who enterally
- 16 administers] anesthesia or perform [performs] a procedure for which
- 17 anesthesia is [enterally] administered unless the dentist [to]
- 18 annually obtains the appropriate [obtain a] permit issued under
- 19 this section [from the board by completing a form prescribed by the
- 20 board].
- 21 <u>(c)</u> The board shall set and impose a fee for <u>issuance of a</u>
- 22 $[\frac{\text{the}}{\text{e}}]$ permit in an amount designed to recover the costs of
- 23 regulating a permit holder under this subchapter.
- (d) (b) The board shall coordinate the times at which a
- 25 permit must be renewed with the times at which a dentist's license
- 26 must be renewed under Chapter 257 so that the times of registration,
- 27 payment, notice, and imposition of penalties for late payment are

- 1 similar and provide a minimum of administrative burden to the board
- 2 and to dentists.
- 3 SECTION 18. Subchapter D, Chapter 258, Occupations Code, is
- 4 amended by adding Sections 258.1551, 258.1552, 258.1553, and
- 5 258.1554 to read as follows:
- 6 Sec. 258.1551. PERMIT QUALIFICATIONS. (a) The board by
- 7 rule shall establish the qualifications to obtain each level of
- 8 permit described by Section 258.155, including the education and
- 9 training required to obtain the permit.
- 10 (b) The rules adopted under Subsection (a) must require an
- 11 applicant for a level 2, level 3, or level 4 permit to complete
- 12 training on:
- 13 (1) pre-procedural patient evaluation, including the
- 14 evaluation of a patient's airway and physical status as classified
- 15 by the American Society of Anesthesiologists;
- 16 (2) the continuous monitoring of a patient's level of
- 17 sedation during the administration of anesthesia; and
- 18 (3) the management of emergency situations.
- 19 Sec. 258.1552. PORTABILITY OF ANESTHESIA SERVICES. (a) A
- 20 dentist who holds a permit issued under this subchapter may not
- 21 provide a permitted anesthesia service in a location other than a
- 22 facility or satellite facility unless the dentist obtains
- 23 authorization from the board under this section.
- 24 (b) The board shall adopt rules establishing procedures and
- 25 other requirements for a permit holder to apply for and obtain an
- 26 authorization described by Subsection (a).
- 27 (c) Rules adopted under Subsection (b) must require an

- S.B. No. 313
- 1 applicant to complete advanced didactic and clinical training and
- 2 may require additional training of, or establish additional
- 3 qualifications for, an applicant who will administer anesthesia to
- 4 pediatric or high-risk patients.
- 5 Sec. 258.1553. ADMINISTRATION OF ANESTHESIA TO CERTAIN
- 6 PATIENTS. (a) A permit holder under this subchapter may not
- 7 administer anesthesia under a level 2, level 3, or level 4 permit to
- 8 a pediatric or high-risk patient unless the permit holder has:
- 9 (1) demonstrated to the satisfaction of the board that
- 10 the permit holder has advanced didactic and clinical training; and
- 11 (2) obtained authorization from the board under this
- 12 section.
- 13 (b) The board by rule may establish limitations on the
- 14 administration of anesthesia by a permit holder to a pediatric or
- 15 <u>high-risk patient.</u>
- Sec. 258.1554. MINIMUM EMERGENCY PREPAREDNESS STANDARDS.
- 17 (a) The board shall adopt rules to establish minimum emergency
- 18 preparedness standards and requirements for the administration of
- 19 anesthesia under a permit issued under this subchapter. The rules
- 20 must require a permit holder to:
- 21 (1) have available at any location at which the permit
- 22 <u>holder administers anesthesia:</u>
- (A) an adequate and unexpired supply of drugs and
- 24 anesthetic agents necessary for the safe administration of
- 25 anesthesia; and
- 26 (B) an automated external defibrillator, as
- 27 defined by Section 779.001, Health and Safety Code;

- 1 (2) conduct periodic inspections of the permit
- 2 holder's equipment in the manner and on the schedule determined by
- 3 the board;
- 4 (3) maintain and make available to the board on
- 5 request an equipment readiness log; and
- 6 (4) develop and annually update written policies,
- 7 procedures, and training requirements, specific to the permit
- 8 holder's equipment and drugs, for responding to emergency
- 9 situations involving anesthesia.
- 10 (b) Rules adopted under Subsection (a)(4) must require a
- 11 holder of a level 2, level 3, or level 4 permit to develop policies
- 12 and procedures that include:
- 13 (1) advanced cardiac life support rescue protocols;
- 14 (2) advanced airway management techniques; and
- 15 (3) if the permit holder is authorized to administer
- 16 <u>anesthesia to pediatric patients, pediatric advanced life support</u>
- 17 rescue protocols.
- 18 SECTION 19. Section 258.156, Occupations Code, is amended
- 19 to read as follows:
- Sec. 258.156. INSPECTIONS. (a) Except as provided by
- 21 <u>Subsection (g), the [The]</u> board may conduct inspections of a
- 22 dentist who applies for or holds a permit issued under this
- 23 <u>subchapter as necessary</u> to enforce this subchapter, including
- 24 inspections of an office site, equipment, a facility, and any
- 25 <u>document</u> of the [documents of a] <u>dentist</u> [dentist's practice that
- 26 relate to the enteral administration of anesthesia].
- 27 (b) The board shall conduct an inspection with respect to a

- 1 dentist who holds a level 2, level 3, or level 4 permit not less than
- 2 once every five years. The board shall adopt a risk-based
- 3 inspection schedule for inspections required by this subsection.
- 4 <u>(c)</u> The board may contract with another state agency or
- 5 qualified person to conduct these inspections.
- 6 (d) The [\(\frac{\tau}{b}\)\) Unless it would jeopardize an ongoing
- 7 investigation, the] board is not required to give [shall provide at
- 8 least five business days'] notice before conducting an [on-site]
- 9 inspection under this section.
- 10 <u>(e) The board shall maintain records of inspections</u>
- 11 conducted under this section.
- 12 (f) The board by rule may establish education and training
- 13 requirements for inspectors who conduct inspections under this
- 14 section.
- 15 (g) The board may not conduct an inspection under this
- 16 section with respect to a dentist who administers anesthesia
- 17 exclusively in a state-licensed hospital or state-licensed
- 18 ambulatory surgical center. The board may by rule except from
- 19 inspection under this section a dentist who administers anesthesia
- 20 exclusively in any other facility that is subject to inspection by
- 21 the Department of State Health Services or an accrediting body
- 22 under state law. The board retains all other authority provided by
- 23 this subtitle over a dentist described by this subsection
- 24 [(c) This section does not require the board to make an on-site
- 25 inspection of a dentist's office].
- 26 SECTION 20. Chapter 263, Occupations Code, is amended by
- 27 adding Section 263.0025 to read as follows:

- 1 Sec. 263.0025. SUBMISSION TO MENTAL OR PHYSICAL EVALUATION.
- 2 (a) In enforcing Section 263.002(a)(1), (7), or (11), the board or
- 3 an authorized agent of the board, on probable cause, as determined
- 4 by the board or agent, may request a license holder to submit to a
- 5 mental or physical evaluation by a physician or other health care
- 6 professional designated by the board.
- 7 (b) If the license holder refuses to submit to the
- 8 evaluation under Subsection (a), the board shall issue an order
- 9 requiring the license holder to show cause why the license holder
- 10 will not submit to the evaluation. The board shall schedule a
- 11 hearing on the order not later than the 30th day after the date
- 12 notice is served on the license holder. The board shall notify the
- 13 license holder of the order and hearing by personal service or
- 14 certified mail, return receipt requested.
- 15 <u>(c)</u> At the hearing, the license holder and the license
- 16 holder's attorney are entitled to present testimony or other
- 17 evidence to show why the license holder should not be required to
- 18 submit to the evaluation. The license holder has the burden of
- 19 proof to show why the license holder should not be required to
- 20 submit to the evaluation.
- 21 (d) After the hearing, the board by order shall require the
- 22 license holder to submit to the evaluation not later than the 60th
- 23 day after the date of the order or withdraw the request for an
- 24 evaluation, as applicable.
- 25 SECTION 21. Section 263.0065(c), Occupations Code, is
- 26 amended to read as follows:
- 27 (c) A complaint delegated under this section shall be

- 1 referred for informal proceedings under Section 263.007 [263.0075]
- 2 if:
- 3 (1) the committee of employees determines that the
- 4 complaint should not be dismissed or settled;
- 5 (2) the committee is unable to reach an agreed
- 6 settlement; or
- 7 (3) the affected license holder requests that the
- 8 complaint be referred for informal proceedings.
- 9 SECTION 22. Section 263.007, Occupations Code, is amended
- 10 by amending Subsection (b) and adding Subsections (c) through (k)
- 11 to read as follows:
- 12 (b) Rules adopted under this section must require that:
- 13 (1) not later than the 180th day after the date the
- 14 board's official investigation of a complaint is commenced, the
- 15 board schedule an informal settlement conference unless good cause
- 16 is shown by the board for not scheduling the conference by that
- 17 date;
- 18 (2) the board give notice to the license holder of the
- 19 time and place of the conference not later than the 45th day before
- 20 the date the conference is held;
- 21 (3) the complainant and the license holder be provided
- 22 an opportunity to be heard;
- 23 (4) the board's legal counsel or a representative of
- 24 the attorney general be present to advise the board or the board's
- 25 staff; and
- 26 (5) a member of the board's staff be at the conference
- 27 to present the facts the staff reasonably believes the board could

- 1 prove at a hearing by competent evidence or qualified witnesses
- 2 [provide the complainant, if applicable and permitted by law, an
- 3 opportunity to be heard;
- 4 [(2) provide the license holder an opportunity to be
- 5 heard; and
- 6 [(3) require the presence of a member of the board's
- 7 legal staff, if the board has a legal staff, or, if the board does
- 8 not have a legal staff, an attorney from the attorney general's
- 9 office to advise the board or the board's employees].
- 10 (c) The license holder is entitled at the conference to:
- 11 (1) reply to the staff's presentation; and
- 12 (2) present the facts the license holder reasonably
- 13 believes the license holder could prove at a hearing by competent
- 14 evidence or qualified witnesses.
- 15 (d) After ample time is given for the presentations, the
- 16 informal settlement conference panel shall recommend that the
- 17 investigation be closed or make a recommendation regarding the
- 18 disposition of the case in the absence of a hearing under applicable
- 19 law concerning contested cases.
- 20 (e) If the license holder has previously been the subject of
- 21 disciplinary action by the board, the board shall schedule the
- 22 informal settlement conference as soon as practicable but not later
- 23 than the 180th day after the date the board's official
- 24 investigation of the complaint is commenced.
- 25 (f) A notice under Subsection (b)(2) must be accompanied by
- 26 a written statement of the nature of the allegations and the
- 27 information the board intends to use at the informal settlement

- 1 conference. If the board does not provide the statement or
- 2 information at that time, the license holder may use that failure as
- 3 grounds for rescheduling the conference. If the complaint includes
- 4 an allegation that the license holder has violated the standard of
- 5 care in the practice of dentistry or dental hygiene, the notice must
- 6 include a copy of the report by the expert reviewer. The license
- 7 holder must provide to the board the license holder's rebuttal not
- 8 later than the 15th day before the date of the conference in order
- 9 for that information to be considered at the conference.
- 10 (g) The board by rule shall define circumstances
- 11 constituting good cause for purposes of Subsection (b)(1),
- 12 including an expert reviewer's delinquency in reviewing and
- 13 submitting a report to the board under Section 255.0067.
- 14 (h) The board by rule shall define circumstances
- 15 constituting good cause to grant a request by a license holder for a
- 16 <u>continuance of the informal settlement conference.</u>
- 17 (i) Information presented by the board or board staff in an
- 18 informal settlement conference is confidential and not subject to
- 19 disclosure under Chapter 552, Government Code.
- 20 (j) On request by the license holder under review, the board
- 21 shall make a recording of the informal settlement conference
- 22 proceeding. The recording is a part of the investigative file and
- 23 may not be released to a third party unless authorized under this
- 24 subtitle. The board may charge the license holder a fee to cover
- 25 the cost of recording the proceeding.
- 26 (k) The board shall provide a copy of the recording to the
- 27 license holder on the license holder's request.

- S.B. No. 313
- 1 SECTION 23. Chapter 263, Occupations Code, is amended by
- 2 adding Sections 263.0071, 263.0072, and 263.0073 to read as
- 3 follows:
- 4 Sec. 263.0071. DENTAL REVIEW COMMITTEE. (a) The dental
- 5 review committee consists of nine members appointed by the governor
- 6 as follows:
- 7 (1) six dentist members; and
- 8 (2) three dental hygienist members.
- 9 (b) A member of the committee serves a six-year term.
- 10 (c) If a vacancy occurs during a member's term, the governor
- 11 shall appoint a replacement to fill the unexpired term.
- 12 (d) A member of the committee is entitled to receive a per
- 13 diem for actual duty in the same manner provided for board members.
- 14 (e) A member of the committee is subject to law and the rules
- of the board, including Sections 252.003, 252.007, and 252.010, as
- 16 if the committee member were a member of the board, except that a
- 17 committee member is not subject to Chapter 572, Government
- 18 Code. The training program a committee member must complete under
- 19 Section 252.010 must be an abbreviated version of the program under
- 20 that section that is limited to training relevant to serving on a
- 21 <u>committee.</u>
- Sec. 263.0072. INFORMAL SETTLEMENT CONFERENCE PANEL. (a)
- 23 The board shall appoint members of the board and the dental review
- 24 committee to serve, on a rotating basis, as panelists on an informal
- 25 settlement conference panel for purposes of this section.
- 26 (b) In an informal settlement conference under Section
- 27 263.007, the board shall appoint at least two panelists to

- 1 determine whether an informal disposition is appropriate. At least
- 2 one of the panelists must be a dentist.
- 3 (c) The board by rule shall require that at least one
- 4 panelist be physically present at the informal settlement
- 5 conference and may authorize another panelist to appear by video
- 6 conference.
- 7 (d) Notwithstanding Subsection (b), an informal settlement
- 8 conference may be conducted by one panelist if the license holder
- 9 who is the subject of the complaint waives the requirement that at
- 10 least two panelists conduct the conference. If the license holder
- 11 waives that requirement, the panelist may be a dentist, a dental
- 12 hygienist, or a member who represents the public.
- (e) Notwithstanding Subsections (b) and (d), an informal
- 14 settlement conference conducted under Section 263.007 to show
- 15 compliance with an order or remedial plan of the board may be
- 16 conducted by one panelist.
- 17 Sec. 263.0073. ROLES AND RESPONSIBILITIES OF PARTICIPANTS
- 18 IN INFORMAL SETTLEMENT CONFERENCE. (a) At an informal settlement
- 19 conference under Section 263.007, the panel shall make
- 20 recommendations for the disposition of the complaint or allegation.
- 21 The panel may request the assistance of a board employee at any
- 22 time.
- 23 (b) Board employees shall present a summary of the
- 24 allegations against the license holder and of the facts pertaining
- 25 to the allegation that the employees reasonably believe may be
- 26 proven by competent evidence at a formal hearing.
- (c) An attorney for the board shall act as counsel to the

panel and shall be present during the informal settlement 1 conference and the panel's deliberations to advise the panel on 2 3 legal issues that arise during the proceeding. The attorney may ask questions of participants in the conference to clarify any 4 statement made by the participant. The attorney shall provide to 5 the panel a historical perspective on comparable cases that have 6 appeared before the board, keep the proceedings focused on the case 7 being discussed, and ensure that the board's employees and the 8 license holder have an opportunity to present information related 9 to the case. During the panel's deliberations, the attorney may be 10 present only to advise the panel on legal issues and to provide 11 12 information on comparable cases that have appeared before the 13 board.

14 (d) The panel and board employees shall provide an 15 opportunity for the license holder and the license holder's authorized representative to reply to the board employees' 16 17 presentation and to present oral and written statements and facts that the license holder and representative reasonably believe could 18 19 be proven by competent evidence at a formal hearing.

(e) An employee of the board who participated in the 20 presentation of the allegation or information gathered in the 21 investigation of the complaint, the license holder, the license 22 holder's authorized representative, the complainant, the 23 witnesses, and members of the public may not be present during the deliberations of the panel. Only the members of the panel and the 26 attorney serving as counsel to the panel may be present during the 27 deliberations.

24

25

- 1 (f) During the deliberations, the panel may not reconsider
- 2 an expert panel's determinations that are included in a final
- 3 written report issued under Section 255.0067.
- 4 (g) The panel shall recommend the dismissal of the complaint
- 5 or allegations or, if the panel determines that the license holder
- 6 has violated a statute or board rule, may recommend board action and
- 7 terms for an informal settlement of the case.
- 8 <u>(h) The panel's recommendations under Subsection (g) must</u>
- 9 be made in writing and presented to the license holder and the
- 10 license holder's authorized representative. The license holder may
- 11 accept the proposed settlement within the time established by the
- 12 panel at the informal settlement conference. If the license holder
- 13 rejects the proposed settlement or does not act within the required
- 14 time, the board may proceed with the filing of a formal complaint
- 15 with the State Office of Administrative Hearings.
- 16 SECTION 24. Section 264.011, Occupations Code, is amended
- 17 to read as follows:
- 18 Sec. 264.011. INFORMAL ASSESSMENT OF ADMINISTRATIVE
- 19 PENALTY. This subchapter does not prevent the board from assessing
- 20 an administrative penalty using an informal proceeding under
- 21 Section $\underline{263.007}$ [$\underline{263.003}$].
- 22 SECTION 25. Section 265.001, Occupations Code, is amended
- 23 to read as follows:
- Sec. 265.001. REGISTRATION. (a) The board may adopt and
- 25 enforce rules requiring the registration of dental assistants as
- 26 necessary to protect the public health and safety.
- 27 (b) This section expires September 1, 2018.

```
S.B. No. 313
```

- 1 SECTION 26. Chapter 265, Occupations Code, is amended by
- 2 adding Sections 265.0015, 265.0016, 265.0017, and 265.0018 to read
- 3 as follows:
- 4 Sec. 265.0015. REGISTRATION REQUIRED FOR CERTAIN DENTAL
- 5 ACTS. Unless a dental assistant is registered under this chapter, a
- 6 dental assistant may not:
- 7 (1) apply a pit and fissure sealant;
- 8 <u>(2) make a dental x-ray;</u>
- 9 (3) perform coronal polishing; or
- 10 (4) monitor the administration of nitrous oxide.
- 11 Sec. 265.0016. ELIGIBILITY REQUIREMENTS FOR REGISTRATION.
- 12 (a) The board by rule shall establish the requirements for
- 13 registration under this chapter, including requiring a dental
- 14 assistant to:
- 15 (1) hold a high school diploma or its equivalent;
- 16 (2) complete an educational program approved by the
- 17 board that includes courses of instruction on:
- 18 (A) the dental acts described by Section
- 19 265.0015;
- 20 (B) basic life support;
- 21 <u>(C)</u> infection control; and
- 22 (D) jurisprudence;
- 23 (3) pass an examination approved or administered by
- 24 the board; and
- 25 (4) meet any additional qualifications established by
- 26 the board.
- 27 (b) The board may approve courses of instruction and

- 1 examinations that are provided by private entities for the purposes
- 2 of this section.
- 3 Sec. 265.0017. FEES. The board shall set and collect fees
- 4 in amounts that are reasonable and necessary to cover the costs of
- 5 administering this chapter, including registration and renewal
- 6 fees.
- 7 Sec. 265.0018. REGISTRATION EXPIRATION AND RENEWAL. (a) A
- 8 registration under this chapter is valid for two years.
- 9 (b) A dental assistant may renew a registration by paying
- 10 the required renewal fee and complying with any other renewal
- 11 requirements established by the board.
- 12 (c) A dental assistant whose registration has expired may
- 13 not engage in an activity that requires registration until the
- 14 registration has been renewed.
- 15 (d) The board by rule may adopt a system under which
- 16 registrations expire on various dates during the year. For the year
- 17 in which the expiration date is changed, the board shall prorate
- 18 registration fees on a monthly basis so that each registration
- 19 holder pays only that portion of the registration fee that is
- 20 allocable to the number of months during which the registration is
- 21 valid. On renewal of the registration on the new expiration date,
- 22 the total renewal fee is payable.
- 23 SECTION 27. Section 265.003, Occupations Code, is amended
- 24 by amending Subsections (a) and (a-1) and adding Subsections (c)
- 25 and (d) to read as follows:
- 26 (a) A dental assistant who is not registered under this
- 27 chapter [professionally licensed] may be employed by and work in

```
S.B. No. 313
```

- 1 the office of a licensed and practicing dentist and perform one or
- 2 more delegated dental acts under:
- 3 (1) the direct supervision, direction, and
- 4 responsibility of the dentist, including[+
- 5 [(A) the application of a pit and fissure
- 6 sealant;
- 7 [(B) coronal polishing, if the dental assistant
- 8 holds a certificate under Section 265.006; and
- 9 [(C)] the application of fluoride varnish; or
- 10 (2) the general supervision, direction, and
- 11 responsibility of the dentist, limited to [+
- 12 [(A) the making of dental x-rays in compliance
- 13 with Section 265.005; and
- 14 $\left[\frac{\text{(B)}}{\text{)}}\right]$ the provision of interim treatment of a
- 15 minor emergency dental condition to an existing patient of the
- 16 treating dentist.
- 17 (a-1) A treating dentist who delegates the provision of
- 18 interim treatment of a minor emergency dental condition to a dental
- 19 assistant under Subsection (a)(2) [(a)(2)(B)] shall:
- 20 (1) delegate the procedure orally or in writing before
- 21 the dental assistant performs the procedure;
- 22 (2) retain responsibility for the procedure; and
- 23 (3) schedule a follow-up appointment with the patient
- 24 within a reasonable time.
- 25 (c) A delegating dentist remains responsible for the dental
- 26 acts of a registered or nonregistered dental assistant performing
- 27 the delegated dental acts.

- 1 (d) A dental assistant to whom a delegation is made may not
- 2 represent to the public that the dental assistant is authorized to
- 3 practice dentistry or dental hygiene.
- 4 SECTION 28. Section 265.004, Occupations Code, is amended
- 5 by adding Subsection (f) to read as follows:
- 6 <u>(f) This section expires September 1</u>, 2018.
- 7 SECTION 29. Section 265.005, Occupations Code, is amended
- 8 by adding Subsection (p) to read as follows:
- 9 (p) This section expires September 1, 2018.
- 10 SECTION 30. Section 265.006, Occupations Code, is amended
- 11 by adding Subsection (c) to read as follows:
- 12 (c) This section expires September 1, 2018.
- 13 SECTION 31. Section 265.007, Occupations Code, is amended
- 14 by adding Subsection (d) to read as follows:
- 15 (d) This section expires September 1, 2018.
- 16 SECTION 32. Chapter 265, Occupations Code, is amended by
- 17 adding Sections 265.008 and 265.009 to read as follows:
- 18 Sec. 265.008. CONTINUING EDUCATION REQUIRED FOR
- 19 REGISTRATION RENEWAL. The board by rule shall establish continuing
- 20 education requirements for dental assistants registered under this
- 21 chapter, including a minimum number of hours of continuing
- 22 education required to renew a registration.
- Sec. 265.009. GROUNDS FOR DISCIPLINARY ACTION. (a) The
- 24 board, after notice and hearing, may reprimand a person registered
- 25 under this chapter, issue a warning letter to a person registered
- 26 under this chapter, impose a fine on a person registered under this
- 27 chapter, impose an administrative penalty under Subchapter A,

```
S.B. No. 313
 1
   Chapter 264, on a person registered under this chapter, place on
   probation with conditions a person whose registration has been
2
   suspended, or revoke or suspend a person's registration issued
   under this chapter.
4
5
         (b) The board by rule shall establish the grounds and
   procedures for disciplinary action under this chapter.
6
7
         (c) A proceeding under this section is subject to Chapter
8
   2001, Government Code.
          SECTION 33. The heading to Subchapter C, Chapter 266,
9
   Occupations Code, is amended to read as follows:
10
       SUBCHAPTER C. BOARD POWERS AND DUTIES [OF COUNCIL AND BOARD]
11
          SECTION 34. The following provisions of the Occupations
12
   Code are repealed:
13
                    Sections 256.0531(h), (i), and (j);
14
               (1)
15
               (2)
                    Section 262.001(1);
16
                    Subchapter B, Chapter 262;
               (3)
17
               (4)
                    Section 262.102(c);
                    Section 262.1025;
               (5)
18
                    Section 262.103;
19
               (6)
                    Section 263.0075;
20
               (7)
                    Section 263.0076;
21
               (8)
                    Section 266.001(1);
22
               (9)
23
               (10)
                     Subchapter B, Chapter 266;
24
               (11)
                     Section 266.101; and
25
               (12) Sections 266.102(a) and (d).
26
          SECTION 35. Not later than March 1, 2018, the State Board of
```

27

Dental Examiners shall:

- 1 (1) adopt rules and fees necessary to implement
- 2 Chapters 258 and 265, Occupations Code, as amended by this Act; and
- 3 (2) adopt rules necessary to implement Chapter 263,
- 4 Occupations Code, as amended by this Act.
- 5 SECTION 36. (a) The term of a member of the State Board of
- 6 Dental Examiners serving on September 1, 2017, expires on that
- 7 date.
- 8 (b) Not later than December 1, 2017, the governor shall
- 9 appoint 11 members to the State Board of Dental Examiners in
- 10 accordance with Section 252.001(a), Occupations Code, as amended by
- 11 this Act. The governor shall appoint:
- 12 (1) two dentist members and one dental hygienist
- 13 member to terms expiring February 1, 2019;
- 14 (2) two dentist members, one dental hygienist member,
- 15 and one public member to terms expiring February 1, 2021; and
- 16 (3) two dentist members, one dental hygienist member,
- 17 and one public member to terms expiring February 1, 2023.
- 18 (c) Notwithstanding Section 252.001, Occupations Code, as
- 19 amended by this Act, the members whose terms expire under
- 20 Subsection (a) of this section shall continue to perform the duties
- 21 of office as a 15-member board until six members are appointed under
- 22 Subsection (b) of this section and qualified.
- 23 (d) The governor may appoint to the board under Subsection
- 24 (b) of this section a member whose term expires under Subsection (a)
- 25 of this section. The expired term of a member described by this
- 26 subsection does not constitute a full term for purposes of Section
- 27 252.004(b), Occupations Code.

```
S.B. No. 313
```

- 1 SECTION 37. Not later than December 1, 2017, the presiding
- 2 officer of the State Board of Dental Examiners shall appoint the
- 3 members of the advisory committee established under Section
- 4 258.1521, Occupations Code, as added by this Act.
- 5 SECTION 38. Not later than December 1, 2017, the governor
- 6 shall appoint the members of the dental review committee in
- 7 accordance with Section 263.0071, Occupations Code, as added by
- 8 this Act. In making the appointments, the governor shall appoint:
- 9 (1) three members to terms expiring February 1, 2019;
- 10 (2) three members to terms expiring February 1, 2021;
- 11 and
- 12 (3) three members to terms expiring February 1, 2023.
- 13 SECTION 39. (a) Except as provided by Subsection (b) of this
- 14 section, Section 252.010, Occupations Code, as amended by this Act,
- 15 applies to a member of the State Board of Dental Examiners appointed
- 16 before, on, or after the effective date of this Act.
- 17 (b) A member of the board appointed after the effective date
- 18 of this Act who, before the effective date of this Act, completed
- 19 the training program required by Section 252.010, Occupations Code,
- 20 as that law existed before the effective date of this Act, is
- 21 required to complete additional training only on the subjects added
- 22 by this Act to the training program as required by Section 252.010,
- 23 Occupations Code. A board member described by this subsection may
- 24 not vote, deliberate, or be counted as a member in attendance at a
- 25 meeting of the board held on or after December 1, 2017, until the
- 26 member completes the additional training.
- 27 SECTION 40. Section 258.0535, Occupations Code, as added by

- S.B. No. 313
- 1 this Act, applies only to a prescription issued on or after
- 2 September 1, 2018. A prescription issued before September 1, 2018,
- 3 is governed by the law in effect on the date the prescription was
- 4 issued, and the former law is continued in effect for that purpose.
- 5 SECTION 41. Section 265.008, Occupations Code, as added by
- 6 this Act, applies only to the renewal of a registration on or after
- 7 September 1, 2018.
- 8 SECTION 42. (a) On September 1, 2018, a registration or
- 9 certificate issued under former Section 265.001, 265.004, 265.005,
- 10 or 265.006, Occupations Code, expires.
- 11 (b) As soon as practicable after September 1, 2018, the
- 12 State Board of Dental Examiners shall issue a prorated refund of any
- 13 fees paid by a holder of a registration or certificate that expires
- 14 under Subsection (a) of this section.
- 15 SECTION 43. (a) Chapter 263, Occupations Code, as amended
- 16 by this Act, applies only to the investigation or disposition of a
- 17 complaint filed with the State Board of Dental Examiners on or after
- 18 March 1, 2018. A complaint filed before that date is governed by
- 19 the law in effect on the date the complaint was filed, and the
- 20 former law is continued in effect for that purpose.
- 21 (b) The changes in law made by this Act do not affect the
- 22 validity of a disciplinary action or other proceeding that was
- 23 initiated before the effective date of this Act and that is pending
- 24 before a court or other governmental entity on that date.
- 25 SECTION 44. (a) A violation of a law that is repealed by
- 26 this Act is governed by the law in effect on the date the violation
- 27 was committed, and the former law is continued in effect for that

- 1 purpose.
- 2 (b) For purposes of this section, a violation was committed
- 3 before the effective date of this Act if any element of the
- 4 violation occurred before that date.
- 5 SECTION 45. (a) Except as provided by Subsections (b) and
- 6 (c) of this section, this Act takes effect September 1, 2017.
- 7 (b) Section 258.155, Occupations Code, as amended by this
- 8 Act, and Sections 258.1552 and 258.1553, Occupations Code, as added
- 9 by this Act, take effect March 1, 2018.
- 10 (c) Sections 258.002 and 258.054, Occupations Code, as
- 11 amended by this Act, and Section 265.0015, Occupations Code, as
- 12 added by this Act, take effect September 1, 2018.