By: Rodriguez of Bexar H.B. No. 3678

A BILL TO BE ENTITLED

Τ	AN ACT
2	relating to the office of independent ombudsman for the Department
3	of Family and Protective Services.
4	BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF TEXAS:
5	SECTION 1. Subtitle D, Title 2, Human Resources Code, is
6	amended by adding Chapter 45 to read as follows:
7	CHAPTER 45. OFFICE OF INDEPENDENT OMBUDSMAN FOR DEPARTMENT OF
8	FAMILY AND PROTECTIVE SERVICES
9	SUBCHAPTER A. GENERAL PROVISIONS
10	Sec. 45.001. DEFINITIONS. In this chapter:
11	(1) "Department" means the Department of Family and
12	Protective Services.
13	(2) "Independent ombudsman" means the individual
14	appointed under this chapter as ombudsman for the office.
15	(3) "Office" means the office of independent ombudsman
16	for the department.
17	Sec. 45.002. INDEPENDENCE. The independent ombudsman in
18	the performance of the ombudsman's duties and powers under this
19	chapter acts independently of the department.
20	Sec. 45.003. SUNSET PROVISION. The office is subject to
21	Chapter 325, Government Code (Texas Sunset Act). Unless continued
22	in existence as provided by that chapter, the office is abolished
23	and this chapter expires September 1, 2027.

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SUBCHAPTER B. APPOINTMENT AND MANAGEMENT OF OFFICE

- 1 Sec. 45.051. APPOINTMENT. The governor shall appoint the
- 2 independent ombudsman to serve at the will of the governor.
- 3 Sec. 45.052. ASSISTANTS. The independent ombudsman may
- 4 appoint assistants to perform, under the direction of the
- 5 independent ombudsman, the same duties and exercise the same powers
- 6 as the <u>independent ombudsman.</u>
- 7 Sec. 45.053. CONFLICT OF INTEREST. A person may not serve
- 8 <u>as independent ombudsman or as an assistant ombudsman if the person</u>
- 9 or the person's spouse:
- 10 (1) is employed by or participates in the management
- 11 of a business entity or other organization receiving funds from the
- 12 department;
- 13 (2) owns or controls, directly or indirectly, any
- 14 interest in a business entity or other organization receiving funds
- 15 from the department; or
- 16 (3) is required to register as a lobbyist under
- 17 Chapter 305, Government Code, because of the person's activities
- 18 for compensation on behalf of a profession related to the operation
- 19 of the department.
- Sec. 45.054. REPORT. On or before January 1 of each year,
- 21 the independent ombudsman shall submit to the governor, the
- 22 <u>lieutenant governor</u>, and each member of the legislature a report
- 23 that describes, for the one-year period preceding the report:
- 24 (1) the work of the ombudsman, including a summary of
- 25 each complaint the ombudsman investigated, the ombudsman's
- 26 recommendation on the complaint, and whether the complaint was
- 27 resolved or required further action;

- 1 (2) the ombudsman's recommendations relating to the
- 2 ombudsman's duties; and
- 3 (3) the ombudsman's recommendations for improving the
- 4 operation of the department.
- 5 Sec. 45.055. COMMUNICATION AND CONFIDENTIALITY. (a) The
- 6 department shall allow any department employee, any party in an
- 7 initial investigation, and any child in the conservatorship of the
- 8 department to communicate with the independent ombudsman. The
- 9 communication:
- 10 (1) may be in person, by mail, or by any other means;
- 11 and
- 12 (2) is confidential and privileged.
- 13 (b) All information related to a complaint under
- 14 investigation by the office, including the names of persons who
- 15 receive services from the department, complainants, and
- 16 individuals who provide information to the office in the course of
- 17 an investigation, is confidential, unless the independent
- 18 ombudsman considers the disclosure of the information is necessary
- 19 to:
- 20 (1) allow the independent ombudsman to conduct the
- 21 duties of the office; or
- 22 (2) support any recommendation made by the independent
- 23 ombudsman resulting from an investigation conducted by the office.
- (c) The independent ombudsman may not disclose or
- 25 disseminate any information received by the office that is
- 26 considered by law to be confidential or privileged.
- 27 Sec. 45.056. PROMOTION OF OFFICE. The independent

- 1 ombudsman shall promote awareness among the public, children in the
- 2 conservatorship of the department, family members and caretakers of
- 3 those children, and facilities licensed by the department of:
- 4 (1) how the office may be contacted;
- 5 (2) the purpose of the office; and
- 6 (3) the services the office provides.
- 7 <u>SUBCHAPTER C. DUTIES AND POWERS</u>
- 8 Sec. 45.101. DUTIES AND POWERS. (a) The independent
- 9 ombudsman serves as a neutral party in assisting persons with a
- 10 complaint against the department regarding case-specific
- 11 activities of the programs of the department, including adult
- 12 protective services, child protective services, child-care
- 13 licensing, and statewide intake. The independent ombudsman shall:
- 14 (1) review complaints filed with the ombudsman
- 15 concerning the actions of the department and investigate each
- 16 complaint;
- 17 (2) require the department to provide access to all
- 18 records, data, and other information under the control of the
- 19 department that the ombudsman determines are necessary to
- 20 investigate a complaint;
- 21 (3) review any reports produced by the department
- 22 regarding a complaint referred by the ombudsman;
- 23 (4) issue a final report with the ombudsman's final
- 24 determination of a complaint's merit; and
- 25 (5) monitor and evaluate the department's actions
- 26 relating to the ombudsman's recommendations under Subsection (b).
- 27 (b) The independent ombudsman's final determination in a

- 1 report described by Subsection (a)(4) must include a determination
- 2 of whether there was wrongdoing or negligence by the department or
- 3 an agent of the department or whether the complaint was frivolous
- 4 and without merit. If the independent ombudsman determines there
- 5 was wrongdoing or negligence, the independent ombudsman shall
- 6 recommend corrective actions to be taken by the department.
- 7 (c) The independent ombudsman may attend any judicial
- 8 proceeding related to a complaint filed with the office.
- 9 Sec. 45.102. ACCESS TO INFORMATION. (a) The department
- 10 shall allow the independent ombudsman access to the department's
- 11 records that relate to a complaint the ombudsman is investigating.
- 12 (b) The independent ombudsman may subpoena the records of a
- 13 private entity that relate to a complaint the ombudsman is
- 14 investigating.
- Sec. 45.103. RETALIATION PROHIBITED. The department may
- 16 <u>not retaliate against a department employee or any other person who</u>
- 17 in good faith makes a complaint to the office or cooperates with the
- 18 office in an investigation.
- 19 SUBCHAPTER D. DISPUTES REGARDING FOSTER CHILDREN
- Sec. 45.151. COMPLAINT FILED WITH INDEPENDENT OMBUDSMAN.
- 21 (a) A child-placing agency responsible for a foster child may refer
- 22 a dispute regarding the child's placement or the permanency plan
- 23 for the child to the independent ombudsman by filing a complaint
- 24 with the ombudsman.
- 25 (b) The complaint filed with the independent ombudsman must
- 26 include a clear explanation of the dispute and the requested
- 27 remedy.

H.B. No. 3678

- 1 Sec. 45.152. NOTIFICATION OF COURT OF INVESTIGATION. The
- 2 <u>independent ombudsman shall notify the court with jurisdiction over</u>
- 3 the child's case of any investigation of a complaint filed under
- 4 this subchapter.
- 5 SECTION 2. This Act takes effect September 1, 2015.