

## CSE 101 Final Review

- **Toom–Cook algorithm:**

- Given two large integers, a and b, Toom–Cook splits up a and b into k smaller parts each of length l, and performs operations on the parts.
- The Karatsuba algorithm is a special case of Toom–Cook, where the number is split into two smaller ones.
- It reduces 4 multiplications to 3 and so operates at  $\Theta(n \log(3)/\log(2))$ , which is about  $\Theta(n^{1.585})$ .
- Ordinary long multiplication is equivalent to Toom-1, with complexity  $\Theta(n^2)$ .

- **Dijkstra's Algorithm:**

- $O((V + E)\log V)$ , using PQ
- $O(V^2)$ , using an array

Dijkstra (x):

```
PQ.add(0, x)
for all other nodes y:                                //O(V)
    PQ.add(infinity, y)
while ( PQ.size() > 0 ):
    curr = node with min_dist
    // find min, takes O(E): can be improved in PQ → O(1)
    for (y in curr.neighbors):                          //O(degree(curr))
        if (y.dist > curr.dist + edge_between_curr_and_y):
            //you can choose remove the original (y.dist', y) or not,
            //remove would save memory
            PQ.remove(y.dist, y)                       //O(logV)
            y.dist = curr.dist + edge_between_curr_and_y
            PQ.add(y.dist, y)                           //O(logV)
```

- Binary heap runtime:
- 

Operation	Binary <sup>[11]</sup>
find-min	$\Theta(1)$
delete-min	$\Theta(\log n)$
insert	$\Theta(\log n)$
decrease-key	$\Theta(\log n)$
merge	$\Theta(n)$

- **Binary heap problem on practice final:**

- Initialize the heap by adding the 1st element in each list (k elements total) //  $O(k \log k)$
- Repeat for each element: //  $O(nk)$ 
  - Remove the root of the heap (element  $i$  from the  $k_i^{\text{th}}$  list), and add in the next element from that list ( $k_i^{\text{th}}$  list) //  $O(\log k)$

Runtime in total:  **$O(nk \log k)$**

## Topics For Quiz 1

- Max bandwidth path
  - Path
    - Simple path
      - No two edges are the same
    - **A single vertex is a trivial path to itself**
  - Objective
    - Over all possible paths  $p$  between  $v$  and  $u$ , find  $\max BW(p)$
- Graph reachability
  - Given a graph **G** and starting vertex **s**, give all reachable vertices  $v$  from  $s$ 
    - **X**: a set of explored vertices
    - **F**: a set of reached but not explored vertices
    - **U**: a set of unreached vertices
  - procedure GraphSearch (G: directed graph, s: vertex)
    - 
    - Initialize  $X = \text{empty}$ ,  $F = \{s\}$ ,  $U = V - F$ .
    - While  $F$  is not empty:
      - Pick  $v$  in  $F$ .
      - For each neighbor  $u$  of  $v$ :
        - If  $u$  is not in  $X$  or  $F$ :
          - move  $u$  from  $U$  to  $F$ .
      - Move  $v$  from  $F$  to  $X$ .
    - Return  $X$ .
  - Time analysis:  **$O(|V| + |E|)$**
- **chapter 3.1,3.2**
- How big is ur graph
  - $|E|$ 
    - As small as  $|V|$ , if smaller, then the graph degenerates - **sparse**
    - As large as  $|V|^2$ , all possible connections - **dense**
  - Adjacency matrix vs adjacency list
    - Matrix always takes  $O(|V|^2)$  space, so if the graph is **sparse**, that would be wasteful.

- List always takes  $O(|E|)$
- DFS in **undirected graphs**
  - procedure explore(G, v):
    - visited(v);
    - previsited(v);
    - for each edge  $(v, u) \in E$ :
      - If not visited(u): explore(G, u)
    - postvisit(v);
  - procedure dfs(G):
    - for all  $v \in V$ :
      - visited(v) = false;
    - for all  $v \in V$ :
      - If not visited(v): explore(v)
  - Two steps when considering the runtime
    - Mark each spot as visited -  $O(|V|)$
    - A loop in which scanned all edges -  $O(|E|)$
- **Connectivity**
  - Connected components:
    - Subgraph internally connected but has edges to remaining graph
    - Each time DFS called explore, one connected component is picked out
- Previsit and pvisit ordering
  - Define a counter **clock, initialized as 1**
    - procedure previsit(v):
      - Pre[v] = clock;
      - Clock++;
    - procedure postvisit(v):
      - Post[v] = clock;
      - Clock++;
  - **Property:**
    - For any nodes u and v, the two intervals [pre[u], post[u]] and [pre[v], post[v]] are either disjoint or contained within the other.

#### - chapter 3.3,3.4

- **Types of edges**
  - Forward edges
    - Lead to a non-child descendant
    - If u is an ancestor of v,  $[u, l_v, l_u]$
  - Back edges
    - Lead to ancestor
    - $[v, l_u, l_u, l_v]$
  - Cross edges
    - Lead to a node that has been explored.

- $[l_v, l_v, l_u, l_u]$
- Directed acyclic graphs
  - **Property**
    - DFS reveals a back edge **iff** this G has a cycle
    - Every edges leads to a vertex with lower post number in a **DAG**
      - Sink: smallest post number
      - Source: highest post number
    - **Every DAG has at least one source and at least one sink**
      - Linearization:
        - Find a **source**, output it and delete from G
        - Repeat until the graph is empty
- **Strongly connected components (SCCs)**
  - Two nodes u and v are connected in a graph if there is a path from u to v and a path from v to u.
  - Property
    - Every directed graph is a DAG of its SCCs.
- Decomposition of SCCs
  - How to locate the **sink**
    - The SCC of highest post number must be a **source** SCC
    - We only need to reverse the whole graph, the **source SCC** of G' will be the **sink SCC** of the original graph.
  - How to continue once the first **sink** is discovered
    - Run DFS on  $G^R$  (step 1)
    - Run the directed connected components algorithm on G, and during DFS, process the vertices in decreasing order of their post numbers from step 1

#### - chapter 4.1,4.2,4.3

- **Distances**
  - The distance between two vertices is the length of the shortest path between them
- **BFS**
  - procedure bfs(G, s):
    - for all  $v \in V$ :
      - $\text{dist}(v) = \text{infinite}$
    - $\text{dist}(s) = 0$ ;
    - $Q = [s]$  (queue containing only s)
    - while Q is not empty:
      - $u = \text{eject}(Q)$
      - for all edges  $(u, v) \in E$ :

- If  $\text{dist}(v) = \text{infinite}$ :
  - inject( $Q, v$ )
  - $\text{dist}(v) = \text{dist}(u) + 1$
- Lengths on edges

#### - chapter 4.4,4.5

- **Dijkstra's algorithm**
  - All edges have positive length
  - Using priority queue
    - Insert
      - Add a new element to the set
    - Decrease key
      - Decrease the value of certain key
    - Delete min
      - Return the element with the smallest key,
      - remove it from the set
    - Make heap
      - Build a priority queue out of given elements

### Quiz 2 Topics Lists

- MST, Trees, union/find data structure, Binary heap, Dijkstra, Prim, Kruskal
  - T/F, short answers, multiple choices
- Prove greedy algorithm - modify the solution

### Greedy Algorithm

- **Format**
  - Instance: input
  - Solution format: output
  - Constraint: output's property to count as solution
  - Objective function: Quantity are we trying to max/min

### Method 1: Modify-the-solution Recursion

- **Claim**
  - Let  $g_1$  be the first greedy choice. Let OS be any other solution that meet all requirements and does not include  $g_1$ . Then there is a solution OS' that includes  $g_1$ , meets all constraints and is at least as good as OS.
- **Exchange argument**

- State what you know:  $g_1$  meet the condition for the first choice. OS meets all of the constraints for the problem
- Define OS' in terms of  $g_1$  and OS to include  $g_1$ . There might be multiple cases.
- **Prove exchange argument**
  - Show that OS' meet all constraints
  - Compare objective function of OS and OS'  $\rightarrow$  OS' same or better
- **Prove the algorithm by induction**
  - For any instance I of size N, GS(I) is an optimal solution
  - By strong induction, Base case:  $N = 0$  or  $1$ , trivial case.
  - Assume for every instance  $I_2$  of size  $0 \leq n \leq N - 1$ , GS( $I_2$ ) is optimal solution.  $GS(I) = g_1 + GS(I_2)$ . By MTS lemma, there is an optimal solution OS' that also includes  $g_1$ .  $OS' = g_1 + OS_2$ , for some other solution  $OS_2$  of instance  $I_2$ . Then by IH, GS( $I$ ) is at least as good as OS'. Since OS' is optimal, GS(I) is optimal.
  - $OS(I) \geq OS' = g_1 + S(I_2) \geq g_1 + GS(I_2) = GS(I)$

## **Method 2: Modify the solution - Iterative format**

### **Basic idea:**

- Prove for all  $i \geq 1$ 
  - **Min** -  $\text{value}(GS_i) \leq \text{value}(OS_i)$
  - **Max** -  $\text{cost}(GS_i) \leq \text{cost}(OS_i)$
- **IterMTS:** Let  $g_1, g_2, \dots, g_T$  be the decisions made in order by the greedy strategy. For each  $0 \leq i \leq T$ , there is an optimal solution  $OS_i$  that includes  $g_1, g_2, \dots, g_i$ .
- **Prove by induction:**
- **Base case:** For  $i = 0$ , we let  $OS_0$  be any optimal solution. Since it doesn't have to agree with any greedy decisions.
- Assume that there is an optimal solution  $OS_{i-1}$  that includes  $g_1, g_2, g_{i-1}$ . If it also includes  $g_i$ , we set  $OS_i = OS_{i-1}$ . Otherwise,
  - Define  $OS_i$  in a way that leaves  $g_1$  to  $g_{i-1}$  unchanged, but but changes  $i$ 'th move of  $OS_{i-1}$  to  $g_i$ .
  - Prove that  $OS_i$  meets constraints
  - Compare  $\text{obj}(OS_i)$  and  $\text{obj}(OS_{i-1})$ .

## **Minimum Spanning Tree**

- Given a graph  $G = (V, E)$ , MST is a tree  $T = (V, E')$  that minimizes total weight of  $T$ .  
**Acyclic, connected.**
- Properties:
  - 1. Remove a cycle edge cannot disconnect graph.
  - 2. A tree on  $n$  nodes has  **$(n - 1)$**  edges.
  - 3. Any connected, undirected graph  $G = (V, E)$  with  **$|E| = |V| - 1$  is a tree.**
  - 4. An undirected graph is a tree iff a unique path between any pairs of nodes.
- **Cut property**
  - Suppose edge  $X$  are part of a MST of  $G = (V, E)$ . Pick any subset of nodes  $S$  for which  $X$  doesn't cross between  $S$  and  $V - S$ , and let  $e$  be the lightest edge across this partition. Then  $X \cup \{e\}$  is a part of some MST.
- **Union/Find data structure**
  - Make root of the shorter tree point to the root of larger tree
  - Properties:
    - For any  $x$ ,  $\text{rank}(x) < \text{rank}(P(x))$
    - A node of rank  $k$  has at least  $2^k$  descendants. Rank  $k + 1$ : union  $2k$ .
    - If there are  $n$  elements, there can be at most  $n / 2^k$  nodes of rank  $k$ .
  - Runtime: **find/union**  $\rightarrow O(\log(n))$ .
  - Path compression: reduce runtime to near  $O(1)$ .
- **Kruskal's algorithm**

```

For all u belongs to V:
    Makeset (u);
X : {}
Sort the edges E by weight;
For all edges (u, v) belongs to E, in increasing order of weight:
    If find(u)  $\neq$  find(v):
        Add edge (u, v) to X.
        Union (u, v)
Return X
  
```
- **Prim's algorithm**

```

X = {}
Repeat until |X| = |V| - 1
    Pick a subset of V S for which X has no edge between S and V - S.
    Let e be the min edge between S and V - S
    X = X  $\cup$  {e}
Return X.
  
```

### Shortest Path in graph

- Dijkstra's algorithm
  - For all  $u$  belong to  $V$ :
    - $\text{dist}(u) = \text{infinity}$
    - $\text{prev}(u) = \text{null}$
  - $\text{dis}(s) = 0$
  - $H = \text{makequeue}(V)$  (using  $\text{dist}$ -values as keys)
  - While  $H$  is not empty:
    - $U = \text{deletemin}(H)$
    - For all edges  $(u, v)$  belongs to  $E$ :
      - If  $\text{dist}(v) > \text{dist}(u) + l(u, v)$
      - $\text{prev}(v) = u$
      - $\text{decreasekey}(H, v)$
- **Runtime:** depends on priority queue implementation

Implementation	$\text{deletemin}$	$\text{insert}/\text{decreasekey}$	$ V  \times \text{deletemin} + ( V  +  E ) \times \text{insert}$
Array	$O( V )$	$O(1)$	$O( V ^2)$
Binary heap	$O(\log  V )$	$O(\log  V )$	$O(( V  +  E ) \log  V )$
$d$ -ary heap	$O(\frac{d \log  V }{\log d})$	$O(\frac{\log  V }{\log d})$	$O(( V  \cdot d +  E ) \frac{\log  V }{\log d})$
Fibonacci heap	$O(\log  V )$	$O(1)$ (amortized)	$O( V  \log  V  +  E )$

- **Binary Heap:**
  - Each level is filled from left to right,
  - Key (parent) < children.

## **CHAPTER 2**

### **Divide and Conquer**

- Strategy
  - Break problem into *subproblems* that are themselves smaller instances of the same type of problem
  - Recursively solving these subproblems
  - Appropriately combining their answers

#### **2. 1 Multiplication**



- Observation: (KS mult)
  - $(a + b x)(b + c y)$  can be done with **three** multiplication, since  $bc + ad = (a + b)(c + d) - ac - bd$ .

$$x = \boxed{x_L} \boxed{x_R} = 2^{n/2}x_L + x_R$$

$$y = \boxed{y_L} \boxed{y_R} = 2^{n/2}y_L + y_R.$$

$$xy = (2^{n/2}x_L + x_R)(2^{n/2}y_L + y_R) = 2^n x_L y_L + 2^{n/2}(x_L y_R + x_R y_L) + x_R y_R.$$

- Multiplication strategy:
  - General:  $T(n) = 4 * T(n/2) + O(n) \rightarrow O(n^2)$
  - Reduced:  $T(n) = 3 * T(n/2) + O(n) \rightarrow O(n^{1.59})$
  - Proof idea:
    - changes in the branching factor of recursion tree
    - Geometric increase from  $O(n)$  ( $k = 0$ ) to  $O(n^{\log_2 3})$  ( $k = \log_2 n$ ).
    - DPK p. 52 - 53

- K-terms (in general)
  - Split up number into k equally sized parts. Combine them with  $2k - 1$  multiplications instead of  $k^2$ .
  - $T(n) = (2k - 1) T(n/k) + O(n)$ .  $\rightarrow T(n) = O(n^{\log(2k-1)/\log(k)})$

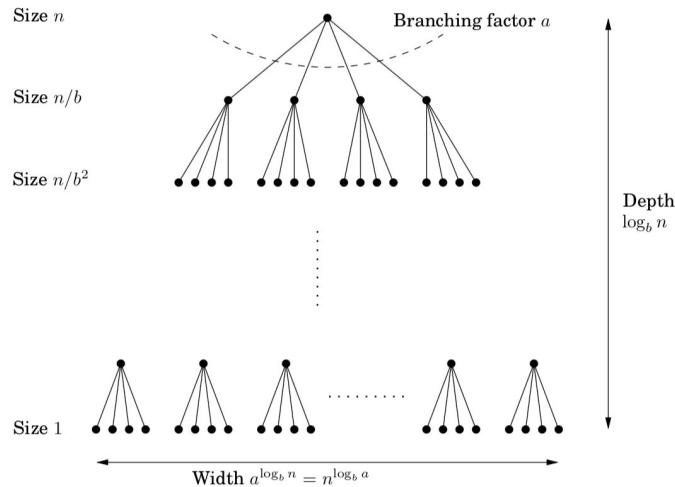
## 2.2 Recurrence relationship

- Master Theorem:

If  $T(n) = aT([n/b]) + O(n^d)$  for some constants  $a > 0$ ,  $b > 1$  and  $d \geq 0$ . Then

- $O(n^d)$  if  $d > \log_b a$
  - $O(n^d \log n)$  if  $d = \log_b a$
  - $O(n^{\log_b a})$  if  $d < \log_b a$
- Proof idea:

**Figure 2.3** Each problem of size  $n$  is divided into  $a$  subproblems of size  $n/b$ .



$$a^k \times O\left(\frac{n}{b^k}\right)^d = O(n^d) \times \left(\frac{a}{b^d}\right)^k.$$

- A geometric series with **ratio  $r = a / b^d$** 
  - If  $r < 1$ , series decreasing, first term
  - If  $r > 1$ , sum is last term
  - If  $r = 1$ , all logn terms are equal to  $n^d$

## **2.3 Merge Sort - All sorting algorithm that relies on comparisons takes $n \log(n)$ .**

- Algorithm: split into sub parts, recursively sort, and merge the list.

```
Function mergesort (a[1...n])
If n > 1:
    Return merge (mergesort(a[1...n/2]), mergesort(a[n/2]+1...n))
Else
    Return a
```

```
Function merge (x[1...k], y[1...l])
If k = 0: return y[1...l]
If l = 0: return x[1...k]
If x[1] ≤ y[1]
    Return x1 + merge(x[2...k], y[1...l])
Else
    Return y1 + merge(x[1...k], y[2...l])
```

- $T(n) = 2 T(n / 2) + O(n) \rightarrow \mathbf{O(n \log(n))}$
- Mergesort has the lower bound runtime for sorting. Consider the binary sorting tree. Every leaf is a permutation. Then there are  $n!$  Leafs.  $\rightarrow n \log(n)$
- Proof: strong induction.

### **2.3.1 Quick sort**

- Procedure quicksort(a[1..n])  
If  $n \leq 1$   
Return a  
Set v to be a random element in a  
Partition a into SL, Sv, SR  
Return quicksort(SL) SV quicksort(SR)
- Runtime:  $O(n \log(n))$  expected runtime.

## 2.4 Median - all selection algorithm takes $O(n)$

- Sorting takes  $O(n \log(n))$  time, but we only care about the middle not the ordering.

- **Selection**

- *Input*: list of numbers  $S$ , in integer  $k$
- *Output*: the  $k$ th smallest element of  $k$

$$\text{selection}(S, k) = \begin{cases} \text{selection}(S_L, k) & \text{if } k \leq |S_L| \\ v & \text{if } |S_L| < k \leq |S_L| + |S_v| \\ \text{selection}(S_R, k - |S_L| - |S_v|) & \text{if } k > |S_L| + |S_v|. \end{cases}$$

- 
- Shrink size of the sub-problem as  $\max [S_L, S_R]$
- If  $v$  is picked as the middle point,  $T(n) = T(n / 2) + O(n)$ .
- **Efficiency Analysis**
  - Randomly choose  $v$ .
    - Best case: all mediums are picked.  $\rightarrow O(n)$
    - Worst case: pick in decreasing/increasing order  $\rightarrow O(n^2)$
  - Close to **best case**
- Prove by fair coin (p. 61-62)  $E = 1 + \frac{1}{2} E$ ,  $E = 2$ .  $T(n) \leq T(3n/4) + O(n)$ .
- On average, expected in linear time  **$O(n)$** .
- **Quicksort** takes  $O(n \log n)$  on average, outperforms other sorting; use the same way to pick  $v$  as median to sort the array.

## 2.5 Matrix Multiplication

- Matrix multiplication computes  $n^2$  cells, each take  $O(n)$ .  $\rightarrow O(n^3)$ .
- Break into subproblems, *blockwise*.

$$XY = \begin{bmatrix} A & B \\ C & D \end{bmatrix} \begin{bmatrix} E & F \\ G & H \end{bmatrix} = \begin{bmatrix} AE + BG & AF + BH \\ CE + DG & CF + DH \end{bmatrix}$$

- 
- $T(n) = 8 T(n / 2) + O(n^2) \rightarrow \mathbf{O(n^3)}$
- Improved by genius algebra:

$$XY = \begin{bmatrix} P_5 + P_4 - P_2 + P_6 & P_1 + P_2 \\ P_3 + P_4 & P_1 + P_5 - P_3 - P_7 \end{bmatrix}$$

where

$$\begin{array}{ll} P_1 = A(F - H) & P_5 = (A + D)(E + H) \\ P_2 = (A + B)H & P_6 = (B - D)(G + H) \\ P_3 = (C + D)E & P_7 = (A - C)(E + F) \\ P_4 = D(G - E) & \end{array}$$

- Reduced runtime to  $T(n) = 7 T(n / 2) + O(n^2)$ .  $\rightarrow \mathbf{O(n^{2.81})}$

## Lecture Notes

### 5- 17 Search, sort, select.

- Reduced and conquer
  - $T(n) = aT(n - b)$
  - If  $a > 1$ , takes exponential time.
- **Search**  
Input: Sorted list of integers; target integer.  
Output: index of the target.
  - Binary tree:  $\log(n)$ ; Any search algorithm takes  $\mathbf{O(\log(n))}$ .
  - Degenerate into two parts, solve and combine.
- **Sort**

- List of sorting methods.  
Bubble sort, insertion sort, selection sort  $\rightarrow O(n^2)$   
Quicksort, mergesort  $\rightarrow O(n \log(n))$ .
- Runtime:
  - $N!$  Comparisons must be made
  - Traverse down the binary search tree with  $n!$  Leaves.  $\rightarrow \log(n!) < n \log(n)$ .
  - **$O(n \log(n))$** : best runtime for any sorting algorithm that relies on comparisons between elements.

## 5-22 DC examples

### Power of two

- Given  $n$ , compute the digits of  $2^n$  in decimal.
  - Cn digits.
  - Procedure PoT( $n$ )
 

```

          If  $n = 0$ : return 1
          If  $n = 1$ : return 2
           $P = \text{PoT}(n/2)$ 
           $P = \text{KSMult}(P, P)$ 
          If  $n \bmod 2 = 1$ :  $P = \text{add}(P, P)$ .
          Return  $P$ 
          
```

$// \text{ even: } p = 2^{(n/2)};$   
 $// \text{ odd: } p = 2^{((n-1)/2)}$
- Runtime:  $T(n) = T(n/2) + O(n^{1.58})$

### Making a binary heap

- Insert  $n$  elements, each take  $O(\log(n))$ . In total takes  $O(n \log(n))$ .
- DC: put  $(o_1, k_1)$  aside, break remaining part into 2 halves. Make object 1 the root and tickle it down
- $T(n) = 2 * T(n/2) + O(\log n)$ .
- Cheat MS:  $L(n) = 2L(n/2) + 1$ ;  $U(n) = 2 * U(n/2) + n^{1/2}$ ;  $\rightarrow T(n) = O(n)$ .

### Greatest overlap

- Sort the list, and break into two part based on the median value.
- Get the greatest overlap on two sub problems.
- Get the greatest overlap between two subsets.

### **Minimum Distance**

- Base:
  - If  $n = 2$ , return the distance
- Break into 2 halves of size  $n/2$ , (by x-value)
- Gives us the min distance on each side,  $d_L$ ,  $d_R$
- Compare  $x - d_L \leq x_i \leq x + d_L$

## **2.6 Fast Fourier Transform**

- Multiply two degree-d polynomials.
- Will not be on the exam.
- Polynomials:
  - $A(x) = a_0 + a_1x^1 + \dots + a_{n-1}x^{n-1}$