# 1 Introduction

#### 1.1. Context

Robots were originally designed to assist or replace humans by performing repetitive and/or dangerous tasks which humans usually prefer not to do, or are unable to do because of physical limitations imposed by extreme environments. Those include the limited accessibility of narrow, long pipes underground, anatomical locations in specific minimally invasive surgery procedure, objects at the bottom of the sea, for example. With the continuous developments in mechanics, sensing technology, intelligent control and other modern technologies, robots have improved autonomy capabilities and are more dexterous.

Nowadays, commercial and industrial robots are in widespread use with lower long-term cost and greater accuracy and reliability, in the 2 fields like manufacturing, assembly, packing, transport, surgery, earth and space exploration, etc. Articulated robots, are among the most common robots used today. They look like a human arm and that is why they are also called robotic arm or manipulator arm. In some contexts, a robotic arm may also refer to a part of a more complex robot. A robotic arm can be described as a chain of links that are moved by joints which are actuated by motors [2].

The majority of robotics applications focus either on navigation aspects of mobile platforms (e.g. industrial transportation systems, guide robots), or the manipulation of goods with robotic arms (e.g., bin-picking applications). Nonetheless, few applications consider mobile manipulation itself combining both robotic tasks. Despite there are several commercial mobile manipulators in the market, there is a lack of real applications due to the complexity and uncertainty introduced by combining both, manipulation and navigation [2].

Due to the particular morphology of robotic arms, their scope is limited, and not all the positions of the base near the table enable a successful picking. Traditionally, such mobile manipulation operations have been solved using analytical planning and control methods. These methods require explicit programming of the skills which can be very costly and error-prone particularly in problems where decision making is complex. The performance of these models depends on how well the reality fits the assumptions made by the model. Due to the impossibility of predicting all the cases that may occur in dynamic and unstructured environments, these methods are generally impractical [2].

Traditionally, well known planning and control methods have been widely used for scheduling mobile manipulation behaviours, for example using the ROS navigation stack for

navigation and SLAM and MoveIt! for arm and object manipulation.

# 1.2. Contribution

todo: write contribution of the thesis work

# 1.3. Structure

todo: write structure: list chapters and their content briefly

# 2 State of the Art and Literature Review

This chapter will present the state of the art and literature review of the topics related to this project. The topics are: Robotic Manipulator Control, Deep Reinforcement Learning in Robotic Manipulation and Mobile Manipulation, Autonomous navigation, Object Detection and Grasping.

Particular focus is on the part regarding the Mobile Manipulation, since it is the main topic of this thesis project. In particular, the potential challenges as well as possible benefits and disadvantages of using each method will be discussed.

#### 2.1. Autonomous Navigation

### 2.2. Robotic Manipulator Control

Currently, the control sequence of a robotic manipulator is mainly achieved by solving inverse kinematic equations to move or position the end effector with respect to the fixed frame of reference. Robots can be controlled in open-loop or with an exteroceptive feedback. The **open-loop control** does not have external sensors or environment sensing capability, but heavily relies on highly structured environments that are very sensitively calibrated. Under this strategy, the robot arm performs by following a series of positions in memory, and moving to them at various times in their programming sequence. In some more advanced robotic systems, **exteroceptive feedback control** (closed loop system) is employed, through the use of monitoring sensors, force sensors, even vision or depth sensors, that continually monitor the robot's axes or end-effector, and associated components for position and velocity. The feedback is then compared to information stored to update the actuator command so as to achieve the desired robot behavior. Either auxiliary computers or embedded microprocessors are needed to perform interface with these associated sensors and the required computational functions. These two traditional control scenarios are both heavily dependent on hardware-based solutions [2].

With the advancements in modern technologies in artificial intelligence, such as deep learning, and recent developments in robotics and mechanics, both the research and industrial communities have been seeking more software based control solutions using low-cost sensors, which has less requirements for the operating environment and calibration. The key is to make minimal but effective hardware choices and focus on robust algorithms

and software. Instead of hard-coding directions to coordinate all the joints, the control policy could be obtained by learning and then be updated accordingly. **Deep Reinforcement Learning (DRL)** is among the most promising algorithms for this purpose because no predefined training dataset is required, which ideally suits robotic manipulation and control tasks. A reinforcement learning approach might use input from a robotic arm experiment, with different sequences of movements, or input from simulation models. Either type of dynamically generated experiential data can be collected, and used to train a Deep Neural Network (DNN) by iteratively updating specific policy parameters of a control policy network [2].

Robotic control approaches can be broadly categorized into **model-based approaches**, such as the ones using a Model Predictive Controller (MPC) and Inverse Kinematics (IK) computation, and **model-agnostic approaches**, often characterized as **data-driven methods**, including Deep Reinforcement Learning (DRL) and other machine learning techniques.

- Model-based approaches rely on explicit models of the robot's dynamics or kinematics to formulate control strategies. MPC optimizes control inputs over a prediction horizon based on the system's dynamics and constraints, while IK determines joint configurations to achieve desired end-effector poses.
- Model-agnostic approaches learn control policies directly from data through interactions with the environment. These data-driven methods leverage neural networks to map observations to actions, allowing robots to adapt to complex and dynamic scenarios without requiring an explicit model.

The main differences lie in the reliance on explicit models in model-based methods, providing transparency and interpretability, versus the model-free nature of data-driven methods, offering flexibility and adaptability to diverse and evolving environments. Integrating these approaches can harness the strengths of both paradigms, combining the precision of model-based control with the adaptability of data-driven learning for enhanced robotic control capabilities in multiple scenarios and tasks.

An issue raised by the real-world application is the safety of the system while sharing the workspace with human workers. Identifying and more importantly also certifying methods how to collaborate with humans in the workspace in a safe way is one of the key points for bringing autonomous mobile robots to real industrial applications.

The following paragraphs will describe the available methods used for robotic manipulator control.

#### 2.2.1. Mobile Manipulation

Mobile manipulators that combine base mobility with the dexterity of an articulated manipulator have gained popularity in numerous applications ranging from manufacturing and infrastructure inspection to domestic service. Deployments span a range of interaction tasks with the operational environment comprising minimal interaction tasks such as inspection and complex interaction tasks such as logistics resupply and assembly. This flexibility, offered by the redundancy, needs to be carefully orchestrated to realize enhanced

performance. Thus, advanced decision-support methodologies and frameworks are crucial for successful mobile manipulation in (semi-) autonomous and teleoperation contexts. Given the enormous scope of the literature, we restrict our attention to decision-support frameworks specifically in the context of wheeled mobile manipulation. [3]

As a quick aside, a disambiguation is necessary between the often interchangeably used "motion planning" and "path planning". Although path planning only generates a path within the configuration space, motion planning generates time-indexed motion trajectories. Instead much path-following only requires spatial feasibility (e.g., obstacle avoidance), while motion planning requires compatibility with spatiotemporal constraints (engendered in dynamics of both robot and environment). It is also noteworthy that ultimately any path planning effort requires a final time parameterization into a motion planning exercise before deployment [3].

The combined controllable degrees-of-freedom within the kinematic-chain (from both mobile base and the articulated manipulator) presents the mobile manipulator design architecture the opportunity to address very complex tasks. However, resolving the redundancy (internal/external) is crucial to realizing this potential. As the complexity of overall mobile manipulation process increases, a two-stage hierarchical approach is often pursued:

- 1. task planning/breakdown into a series of tractable motion planning subtasks and their sequencing;
- 2. motion planning of the high degree-of-freedom mobile manipulator within each sequenced task.

It is noteworthy that the two steps (task planning and motion planning) are closely coupled and should be solved concurrently but are addressed separately from a computational tractability perspective [3].

However, a breakdown along the lines of mobile manipulator subsystems (mobile base versus manipulator versus gripper or combinations) or along the nature of the manipulation task (transportation versus grasping) feature prominently in the literature. the task-level and motion-level planning frameworks may be viewed as a form of "artificially constrained" motion planning within a higher dimensional space.

Although traditional methods have led to promising mobile manipulation skills in some specific tasks, mobile manipulations tasks require the explicit programming of hard-to-engineer behaviours and often fail in more complex tasks where the decision-making process is hard. In addition, such solutions are generally very inflexible and error-prone due to the impossibility of modelling all the uncertainty of dynamic industrial environments when those are programmed.

#### 2.2.2. Deep Reinforcement Learning - Data Driven Approach

Explicit programming is often needed in practice to account for uncertainties in the environment and sensors used, as well as to solve highly variable problems in an efficient way. Explicit behavior programming is therefore often tedious and impractical, and more flexible solutions are needed in environments where the robot must adapt to. Alternatively,

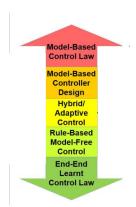


Figure 2.1: The continuum in the literature in regards to control methodology ranging from model-based to end-end data-driven control [3]

data-driven approaches address the main limitations of traditional methods and propose to learn robotic behaviours from real experience, thus alleviating the cost of modelling complex behaviours. This approach allows them to use deep neural networks to model the uncertainties of the environment, which leads to a more robust controller compared to traditional ones. Unlike deep learning (DL), the reinforcement learning (RL) paradigm allows to automatically obtain the experience needed to learn robotic skills through trial-and-error and allows to learn complex decision-making policies.

With RL, the explicit modelling of the problem is no longer required since the learnt models are grounded in real experience. Recently, the combination of DL and RL, also known as Deep Reinforcement Learning (DRL), has made it possible to tackle complex decision-making problems that were previously unfeasible. It combines the ability of DL to model very high dimensional data with the ability of RL to model decision-making agents through trial and error. In fact, DRL has proven to be the state-of-the-art technology for learning complex robotic behaviours through the interaction with the environment and solely guided by a reward signal [2].

While ML-based methods are generally used for offline forecasting, DRL is generally used online in sequential decision-making problems. In fact, DRL allows to autonomously learn complex control policies through trial and error and only guided by a reward signal. In the case of robotics, the most common use case is to use such algorithms to model agents capable of performing continuous control of robots.

DRL has been successfully applied in a wide variety of areas such as robotics, computer vision and gaming. Taking into account the difficulty of modelling complex decision-making robotic skills, DRL offers a promising way to take advantage of the experience gathered interacting with the environment to autonomously learn complex robotic behaviours. In particular, the field of DRL applied to robotics has recently gained popularity due to the remarkable performance obtained in applications with high decision-making and control complexity. Applications range from manipulation, to autonomous navigation and locomotion. [1]

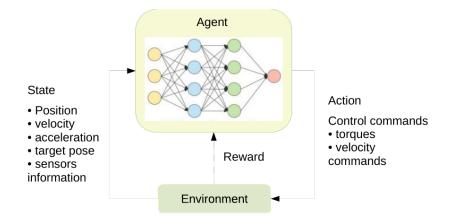


Figure 2.2: A schematic diagram example for robotic manipulation control using a data-driven approach such as DRL [2]

#### 2.2.3. Challenges in Data-Driven approaches

Two of the most important challenges here concern sample efficiency and generalization. The goal of DRL in the context of robotic manipulation control is to train a deep policy neural network, to detect the optimal sequence of commands for accomplishing the task. The current state of the algorithm can include the angles of joints of the manipulator, position of the end effector, and their derivative information, like velocity and acceleration. The output of this policy network is an action indicating control commands to be implemented to each actuator, such as torques or velocity commands. When the robotic manipulator accomplishes a task, a positive reward will be generated. With these delayed and weak signals, the algorithm is expected to find out the most successful control strategy for the robotic manipulation [2].

The challenges of learning robust and versatile manipulation skills for robots with DRL are still far from being resolved satisfactorily for real-world application. Currently, robotic manipulation control with DRL may be suited to fault tolerant tasks, like picking up and placing objects, where a disaster will not be caused if the operation fails occasionally. It is quite attractive in situations, where there is enough variation that the explicit modeling algorithm does not work [2].

However, even in this kind of applications, DRL-based methods are not widely used in real-world robotic manipulation. The reasons are multiple, including sample efficiency and generation, where more progress is still required, as both gathering experiences by interacting with the environment and collecting expert demonstrations for imitation learning are expensive procedures, especially in situations where robots are heavy, rigid and brittle, and it will cost too much if the robot is damaged in exploration. Another very important issue is **safety guarantee**. Not like simulation tasks, we need to be very careful that learning algorithms are safe, reliable and predictable in real scenarios, especially if we move to other applications that require safe and correct behaviors with high confidence, such as surgery or household robots taking care of the elder or the disabled. There are also other challenges including but not limited to the algorithm explainability, the learning speed, high-performance computational equipment requirements. [2]



Figure 2.3: KUKA robot mounted on a mobile platform for pick and place tasks in industrial environments [2]

#### 2.3. Whole-body mobile manipulator control

In most control approaches to mobile manipulation, base and manipulator operation are strictly separated such that at any given time only one primary control objective is active. This separation principle is then augmented by a switching layer that determines the currently pertinent control objectives. The advantage of such a control formulation lies in its implicity, i.e., priorities can be separated among the arm and the base with individually designed different control algorithms employed for each subsystem [3].

Instead, we refer to **whole-body control** as a unified control framework that considers the mobile manipulator as a single system (arm manipulator mounted on a mobile wheeled / legged robot). Despite the disadvantage that unified control needs to adhere to a single control framework, it allows for the exploitation of mobile manipulation in the true sense of the term, wherein the manipulator and mobile base can be controlled at the same time. This can lead to several advantages during task achievement and makes the robot more dynamic in terms of its capabilities. The formulation for this type of control involves considering the onboard manipulator as an extended joint space of the mobile base, where the motion controller considers both the base and manipulator state. As a result, base

control can be completed simultaneously without affecting much the performance of the end-effector manipulability [3].

Approach	Model-Based	Data-Driven
Control Strategies	<ul> <li>Model Predictive Controllers (MPC)</li> <li>Whole-Body Inverse Kinematics (IK) Solver</li> </ul>	<ul> <li>Deep Reinforcement Learning (DRL)</li> <li>Imitation Learning</li> </ul>
Features	<ul> <li>Requires explicit modeling of system dynamics and kinematics</li> <li>Suitable for simple tasks, unsuitable for complex tasks</li> <li>Planning over end-effector pose or grasp in the workspace</li> </ul>	<ul> <li>No explicit modeling of system dynamics</li> <li>Learning from experience in simulation environments</li> <li>High-level planning over tasks, object detection, manipulation or other objectives</li> </ul>
Interpret- ability and Adaptability	<ul> <li>Explitic modeling implies high system interpretability</li> <li>Adaptable to many tasks but requires behaviors re-programming</li> </ul>	<ul> <li>Learned policies have very limited interpretability</li> <li>Learning from experience allows high adaptability, given proper GPU-parallelized training</li> </ul>
Advantages	<ul> <li>Small simulation-to-reality gap</li> <li>Adaptable to many tasks but with explicit programming</li> <li>No training required</li> </ul>	<ul> <li>No explicit modeling of system dynamics required</li> <li>Learning from experience allows high generalization</li> <li>Can perform well in unknown or dynamic environments</li> <li>Can provide high body-hand movement coordination</li> </ul>
Disadvantages	<ul> <li>Requires very accurate physical models for seamless integration</li> <li>Doesn't perform well in complex tasks or dynamic environments</li> <li>Difficult to adapt to complex tasks (low generalization)</li> <li>High computational cost for the solver in high DoF systems</li> </ul>	<ul> <li>Requires large amounts of training data and extensive training</li> <li>Very long time needed to fine tune the hyperparameters</li> <li>May result in unstable and jiggly movements</li> <li>May result in unsafe behaviors in real-world applications if not properly trained</li> <li>Suffers a lot from the simulation-to-reality gap</li> </ul>

Table 2.1: Summary of the main differences between model-based and data-driven approaches for robotic manipulator controls

# 2.4. Object Detection and Grasping

Grasp planning for mobile manipulators is a challenging problem that has been dealt with in several ways in the literature. On the one hand, grasping requires coordination within a very challenging high-dimensional constrained configuration space (mobile base / manipulator / gripper). Further, grasping requires detecting object, constructing data-driven representation, determining the gripper approach-vector, and computing all the mobile manipulator's plans in the presence of uncertainty. Many of the traditional grasp planners (designed for stationary manipulators) can be used for mobile manipulators once the mobile base has been fixed. However, a generic grasping pipeline is desirable, which achieves arm-base-gripper coordinated grasping given the information about object pose and the operating environment. Such concurrent manipulator / mobile base motion approaches are being explored till grasping is successful or at least until the gripper reaches the objects (and only manipulator moves for grasping). This may not be optimal as grasping can happen with the mobile base and the manipulator moving when the gripper is closing [3].

**TODO:** review of different grasping methods, object semantic understanding via multiple views, object detection and pose estimation, grasping pipelines with heuristics, unknown objects



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