Willoughby Seago

Theoretical Physics

Particle Physics

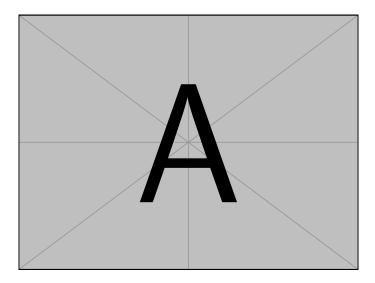
COURSE NOTES

Particle Physics

Willoughby Seago

These are my notes from the course particle physics. I took this course as a part of the theoretical physics degree at the University of Edinburgh.

These notes were last updated at 0:03 on September 28, 2022. For notes on other topics see $\verb|htps://github.com/WilloughbySeago/Uni-Notes.|$



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One

Preliminaries

This course is not interested in the finer mathematical details, but the broader principles. For details see the *Quantum Field Theory* course. Knowledge is assumed of quantum mechanics (see the *Principles of Quantum Mechanics* and *Quantum Theory* courses), and special relativity (see the *Relativity, Nuclear, and Particle Physics* course, or *Classical Electrodynamics*).

We use natural units where $c = \hbar = \varepsilon_0 = \mu_0 = 1$. This means that masses, momentums, and energies are all measured in electron volts, force in electron volts squared, lengths and times in inverse electron volts, and speeds and angular momentums are dimensionless. Electric charge is also dimensionless, but the charge of an electron is *not* set to unity, although particle charges are given in terms of the (absolute value of the) electron charges. Instead we have the fine structure constant,

$$\alpha = \frac{e^2}{4\pi\varepsilon_0\hbar c} \quad (SI) \qquad \alpha = \frac{e^2}{4\pi} \quad (c = \hbar = \varepsilon_0 = 1). \tag{1.0.1}$$

The charge of an electron then has magnitude

$$e = \sqrt{4\pi\alpha}.\tag{1.0.2}$$

Since α is dimensionless its value is the same in any system of units, and is approximately 1/137, so

$$e \approx 0.303. \tag{1.0.3}$$

We use the Minkowski metric with the (+---) convention:

$$\eta_{\mu\nu} = \eta^{\mu\nu} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}. \tag{1.0.4}$$

Open Question 1.0.5 Particle physics is full of many unanswered questions. Relevant questions will be flagged in a box like this one. These are typically beyond the scope of the course, and are mentioned simply for interest.

Two

Introduction

2.1 The Standard Model

The **standard model of particle physics**, or the standard model for short, is our best model of the fundamental constituents of matter and fundamental forces. The standard model actually refers to a collection of quantum field theories (QFT) describing these forces as due to particle exchanges. The standard model is theoretically self consistent, all of the maths checks out. It can be used to make predictions, which can then be checked against measurements. So far all such tests have validated the standard model. As a model the standard model does not predict everything, instead there are about 20 parameters that have to be measured separately, including the masses of various particles, the coupling strengths of various fields, and mixing parameters.

The standard model cannot explain everything, a nonexhaustive list of unexplained phenomena by the standard model is as follows:

- general relativity/accelerating expansion of the universe;
- · dark energy/dark matter;
- · neutrino masses;
- matter/antimatter imbalance;
- why the parameters have the values they do.

2.2 Fundamental Particles

A particle with no charge. What's the point in that?

Victoria Marting

Spin is a property that every particle has, it's a quantum number, just a label, like charge or mass, but without such a simple interpretation. There are two spin operators, the total spin operator, \hat{S}^2 , and the component of spin in the z-direction, \hat{S}_z . If $|\psi\rangle$ is a spin eigenstate then the action of these operators on $|\psi\rangle$ is

$$\hat{S}^2|\psi\rangle = s(s+1)|\psi\rangle, \text{ and } \hat{S}_z|\psi\rangle = m_s\hbar|\psi\rangle.$$
 (2.2.1)

Here *s* takes on a nonnegative half integer value, $s = 0, \pm 1/2, \pm 1, \pm 3/2, ...$ The value of m_s is then constrained to lie between -s and *s* increasing in integer steps, so $m_s = -s, 1 - s, ..., 0, ..., s - 1, s$.

The fundamental particles in the standard model have spin s=0,1/2,1. Specifically, the Higgs boson has spin 0, the quarks and leptons have spin 1/2, and the force carriers have spin 1. When a particle has spin 1/2 there are two possible values of m_s , $\pm 1/2$, which we call spin up (+1/2) and spin down (-1/2). When a particle has spin 1 there are three possible values of m_s , 0 and ± 1 , which we refer to as polarisations. A photon can only have $m_s=\pm 1$, which is where this terminology comes from.

We broadly split all particles into two types, **fermions**, with half integer spin, and **bosons**, with integer spin. The fermions in the standard model further split into **quarks** and **leptons**.

There are 6 quarks, which split into two types up-type, **up**, **charm**, and **top quarks**, or u, c, and t, and down-type quarks, the **down**, **strange**, and **bottom quarks**, or d, s, and b. Up-type quarks have charge +2/3 in units of electron charge, and bottom-type quarks have charge -1/3. All types of quarks also have "colour charge", relating to the strong force, and "weak isospin", relating to the weak force, we'll see this in more detail later in the course. Each type (up/down) consists of three generations of quarks, and as we go down the generations, from u, to c, to t, they get more massive.

Similarly the leptons are split into two, first we have **electrons**, e⁻, **muons**, μ^- , and **tau** particles, τ^- , these all have a charge of -1. Then, there are the three **neutrinos**, the **electron**, **muon**, and **tau neutrinos**, ν_e , ν_μ , and ν_τ , these are all electrically neutral. All leptons have zero colour charge, but they do have weak isospin.

We split the bosons into two parts, the spin zero bosons, or **scalar bosons**, which is just the **Higgs boson**, H. Then there are the force carrying bosons, also known as **gauge bosons** or **vector bosons**, which have spin 1. These consist of the **photon**, γ , gluons, g, Z **boson**, and W[±]-bosons. The photon is the force carrier in electromagnetism and quantum electrodynamics (QED). The gluons are the force carriers in quantum chromodynamics (QCD). The Z-boson gives neutral currents in weak interactions and W[±]-bosons give charged currents. Finally, there is the hypothetical graviton, which if it exists will be a spin 2 boson, or a **tensor boson**. Note that these names, scalar, vector, and gauge, come from what type of object the fields describing the particle are, so the photon is described by the electromagnetic field, A^{μ} , whereas the graviton is described by the energy-momentum tensor, $T^{\mu\nu}$.

2.2.1 Antiparticles

Every particle has a corresponding **antiparticle**, although some particles are there own antiparticles, such as the photon, Higgs boson, and Z boson. The W⁺ and W⁻ are mutually each others antiparticles, and the antiparticle of a gluon is another gluon. The antiparticles are defined by being identical, but with opposite charges. By charges here we mean electric charge, colour charge, and weak isospin, which are the charges telling us how strongly the particle couples to the electromagnetic field, strong force, and weak force respectively.

The naming convention is to just stick the prefix "anti" in front of the particle's name. The one exception to the naming convention is the electron, whose antiparticle is the **positron**. Most antiparticles are denoted as the same symbol

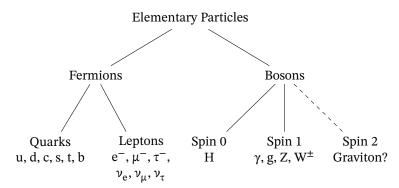


Figure 2.1: All of the particles in the standard model. The graviton is hypothesised but has not been observed.

with a bar, so \bar{u} is an antiup quark. If the symbol usually has a sign, such as e^- , or W^+ , then the antiparticle is denoted with the opposite sign, so e^+ , or W^- .

Open Question 2.2.2 It is not known if the neutrinos are their own antiparticles or not. Fermions which are their own antiparticles are called Majorana fermions, whereas fermions which which aren't are called Dirac fermions.

2.3 Composite Particles

As well as the fundamental particles, of which there are 18 particles, there are *a lot* of **composite particles**, particles formed by combining quarks and/or antiquarks into a bound state. Note that there aren't composite leptons because the strong force is required to form bound states.

We call a composite particle formed from quarks a **hadron**. The hadrons split two types, first **baryons**, which are formed of three quarks¹, qqq, and **antibaryons**, which are formed of three antiquarks, $\bar{q}\bar{q}\bar{q}$. The other class is **mesons**, which are quark-antiquark pairs, $q\bar{q}$.

The antiparticle of a composite particle has its quarks replaced with the equivalent antiquarks, and antiquarks replaced with the equivalent quarks. For example, the **negative pion**, π^- , is a meson with quark content $d\bar{u}$, and its antiparticle is the **positive pion**, π^+ , another meson with quark content $u\bar{d}$.

As well as the hadrons and mesons there have been other composite particles observed recently, although these aren't on the course as they aren't well understood yet. We've seen **tetraquarks**, formed from two quarks and two antiquarks, $qq\bar{q}q$, and pentaquarks, formed from four quarks and an antiquark, $qqqq\bar{q}$. It is possible that these states aren't really new particles, but instead are "molecules" of either pairs of mesons in the tetraquark case or a hadron and a meson in the pentaquark case. The difference being that in the "molecules" not all quarks would be equally bound to each other, whereas if they truly are composite particles there will be no difference in how bound any pair is, apart from, for example, differences due to differing electric charges.

¹here q denotes an arbitrary quark, in particular there is no requirement that all three quarks in a baryon are the same, even if we call all three q.

Three

Feynman Diagrams

Feynman diagrams are a way of depicting interactions between particles. They also correspond to the amplitude for that interaction occurring in that way. Each piece of the diagram can be converted into a term in some expression for the amplitude. More abstractly we can view Feynman diagrams as terms in a series expansion of some amplitude.

When reading Feynman diagrams in this course we follow the convention that time increases to the right.

3.1 Currents

The most basic part of a Feynman diagram is a "current". This is a line representing the movement of a particle through spacetime. The phrase current comes from electrons, where an electron moving through space is interpreted as a current.

The way we depict a current depends on the type of particle. Fermions are depicted as a straight line with an arrow, so a fermion current looks like

$$\longrightarrow \qquad \qquad (3.1.1)$$

Antifermions are then depicted as fermions travelling back in time, so an antifermion current looks like

Spin 1 bosons, apart from gluons, so photons, Z bosons, and W^\pm bosons, are depicted with a wavy line:

Gluons are depicted with a curly line,

The Higgs boson is depicted with a dashed line,

$$(3.1.5)$$

It's fine not to draw an arrow for bosons as either the particle is its own antiparticle, in which case the direction does not matter, or in the case of the W^\pm bosons or gluons the antiparticle is of the same type, so changing direction corresponds to changing the charge (electric charge for W^\pm , and colour charge for gluons).

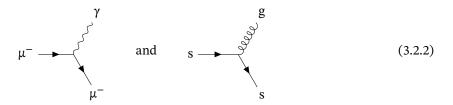
3.2 Vertex

The building block of any Feynman diagram is the **vertex**, this is where currents come together. The exact rules for which currents can form a vertex depends on the theory in question. A vertex is not, by itself, a valid process. Instead, a diagram is a combination of vertices, connected in such a way that all conservation laws are obeyed.

For now we focus on vertices involving a fermion. These will always have exactly two fermion currents and a boson current, so for example,



If we want to specify particular particles we can do so by labelling the lines:



These represent the processes $\mu^- \to \mu^- \gamma$ and $s \to sg$.

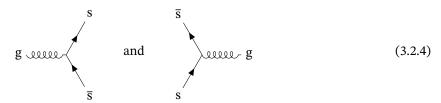
At every vertex there are conservation laws we have to apply. Again, the details depend on exactly what the fermions and bosons are. We must always conserve energy, momentum, and charge. There are also other quantities that must be conserved, such as muon lepton number, which is the number of muons and muon neutrinos minus the number of antimuons and antimuon neutrinos. We must also conserve the quark number, which is the number of quarks minus the number of antiquarks.

Energy and momentum conservation can be combined into conservation of four-momentum, where

$$p^{\mu} = (E/c, \mathbf{p}) \tag{3.2.3}$$

is the four-momentum of a particle with energy E and momentum p.

Given a valid vertex if we rotate it we will get another valid vertex. For example, rotating the $s\to sg$ vertex above we get the vertices



The only thing that changes here is the interpretation, these processes now represent $g \to s\bar{s}$ and $s\bar{s} \to g$.

3.2. VERTEX 7

3.2.1 Forces

3.2.1.1 Electromagnetic Vertex

An electromagnetic vertex has a photon and any charged fermion. If the fermion has charge Q then the coupling constant, which we'll see later relates to how strong the interaction is, is Q. There will be no fermion flavour change.

$$Q \qquad (3.2.5)$$

3.2.1.2 Strong Vertex

A strong vertex has a gluon and a quark. The coupling constant is g_s . There will be no quark flavour change.

$$g$$

$$g_s$$

$$q$$

$$q$$

$$q$$

$$q$$

$$q$$

3.2.1.3 Neutral Current Weak Vertex

A neutral current weak vertex has a Z boson and any fermion. The coupling constant is g_Z . There will be no fermion flavour change.

$$\mathbb{Z}$$

$$\mathbb{Q}_{\mathbb{Z}}$$

$$(3.2.7)$$

3.2.1.4 Charged Current Weak Vertex

A charged current weak vertex has a W^{\pm} boson and any fermion. The coupling constant is g_W . There will always be a fermion flavour change since it is required for charge conservation.

$$\mathbf{f} \longrightarrow \mathbf{Q} \tag{3.2.8}$$

3.2.1.5 Higgs

A Higgs vertex has a Higgs boson and any fermion, except, at least for the purposes of this course, neutrinos. If the fermion has mass $m_{\rm f}$ then the coupling constant

is $m_{\rm f}/v$, where v is a constant of value $v\approx 246\,{\rm GeV}$. There will be no fermion flavour change.



Open Question 3.2.10 It is not known whether neutrinos couple to the Higgs field, or get their mass through another mechanism. Since neutrino masses are incredibly small no coupling has ever been observed, but cannot be ruled out.

3.2.2 Coupling Constants

The coupling constants come with related quantities, which are also referred to as the coupling constants, given by

$$\alpha = \frac{g^2}{4\pi},\tag{3.2.11}$$

where g is the relevant coupling constant:

- $g_{\rm EM} = e$ for an electromagnetic vertex, where e is the charge of the fermion involved in the interaction, not the charge of an electron;
- g_s for a strong vertex;
- g_Z for a neutral current weak vertex; and
- gw for a charged current weak vertex.

The value of α is a measure of how likely a particular vertex is to occur. The strong force is, unsurprisingly, the strongest by which we mean that, assuming it's an allowed interaction, a strong vertex is more likely to occur than any other sort. This is expressed through the (relatively) high value of α for the strong force:

$$\alpha_{\rm S} \sim 1,\tag{3.2.12}$$

as an order of magnitude estimate. This causes problems when we try to do perturbation theory with the strong force, as it means very often things don't converge.

Next up in the list is the weak force, which has

$$\alpha_{\rm W} \approx \alpha_{\rm Z} \sim \frac{1}{40}.$$
 (3.2.13)

Last, is electromagnetism, with

$$\alpha_{\rm EM} = \alpha \approx \frac{1}{137}.\tag{3.2.14}$$

The actual likelihood of any particular interaction depends on much more than the value of the coupling constant. In reality we have to consider all possible ways

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the interaction can occur, compute the sum of the amplitudes for these processes, and square it to get a probability. This means that things like the small range of the strong and weak interactions means that they are actually far less relevant on a human scale than electromagnetism and gravity. However, on the scale of fundamental particles, and small composite particles, the strong and weak interactions cannot be ignored.

Notice that we aren't giving precise values for the coupling constants. This is because, despite the name, these aren't really constants. Instead their values depend on the energy scale at which the interaction occurs. This is called running of the coupling constant, and we'll see more detail later.

3.2.3 Boson Vertices

As well as vertices involving a fermion we can vertices with bosons. These are more varied, and we'll meet examples throughout the course. For now, a few examples of valid boson vertices are shown in Figure 3.1.

3.3 Feynman Diagrams

A complete Feynman diagram is then a combination of two or more vertices such that all conservation laws are obeyed. Exactly what the conservation laws are we'll get to later, but the basic conserved properties are charge, lepton number, quark number, and of course momentum and energy.

We can consider three broad classes of interactions, first, we have **scattering**, where two particles come in, interact, then go their separate ways. A simple scattering diagram is



Another possibility is **annihilation**, where two particles come in, annihilate into a boson, and then the boson produced decays into another two particles. A simple annihilation diagram is

The third possibility is **decay**, where a single particle comes in, decays into a fermion and a boson, and the boson then decays into another two fermions. A simple decay diagram is



It is important to note that Feynman diagrams are *not* physical. We set up an experiment with some ingoing particles, and measure the outgoing particles. What happens between is a black box process. The actual process should be viewed more like the diagram



where the blob in the middle is all possible intermediate processes, all occurring at once. After all, from the perspective of quantum field theory a Feynman diagram is just a single term in an infinite expansion summing over all possible processes.

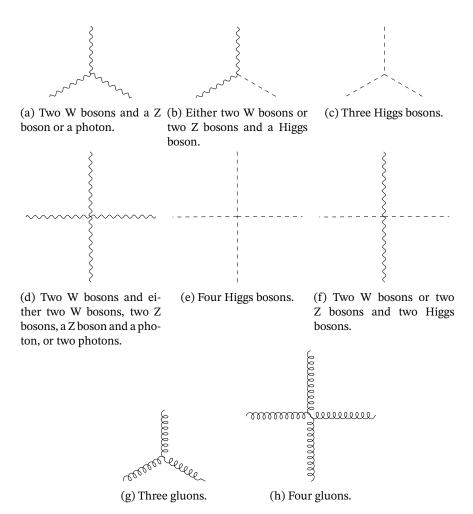


Figure 3.1: Some allowed boson vertices.

Four

What do we Measure

4.1 Types of Interactions

There are three different things that can occur and we can measure to get information about the particles and forces involved. The first is particles can decay. That is, we start with some particle, A, and end up with particles 1, 2, ..., n,

$$A \to 1 + 2 + \dots + n.$$
 (4.1.1)

We measure the decay rate, that is the rate at which a given decay from one particle into some other set of other particles, occurs, and compare this to theoretical predictions. Often we compare ratios of decay rates to get rid of constant factors and make comparison easier.

The second thing that can occur is scattering. Here two particles, say A and B, interact and produce particles 1, 2, ..., n,

$$A + B \to 1 + 2 + \dots + n.$$
 (4.1.2)

Note that its possible that particles $1, 2, \ldots, n$ contain particles that are the same as the incoming particles, A and B. However, we shouldn't think of these as the same particles, since from a quantum field theory perspective all scattering occurs by annihilating all particles, and then creating new particles. That said, one of the most common scattering occurrences is $A + B \rightarrow A + B$, which is essentially the two particles bouncing off each other.

The third thing that can occur is bound states, such as **positronium** (e^-e^+), **charmonium** ($c\bar{c}$), or **bottomonium** ($b\bar{b}$). Measuring the properties of these, such as their lifetimes, can also tell us a lot about the particles and the forces binding them.

More generally we measure the transition rate for the system to go from an initial quantum state to some other final quantum state.

4.2 Feynman Diagrams vs. the Lab Picture

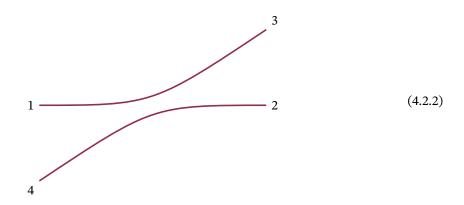
Suppose we are doing a scattering experiment scattering electrons off quarks, the quarks in term being wrapped up in a proton, since we can't get unbound quarks. Consider a particular scattering event in which no new particles are produced, so

 $e^-q \rightarrow e^-q$. A Feynman diagram for this is



Here we label the particles, with 1 and 2 being the incoming particles, and 3 and 4 being the outgoing particles.

The scattering experiment could be of two types, either two beams, or a fixed target. However these are really the same but related by a change of frame. So, for simplicity, we assume two beams. We also assume that, like most real scattering experiments, the two beams are head on. Then the interaction looks something like this:



Here the labels 1 and 2 refer to the incoming electron and quark, and the labels 3 and 4 are the outgoing electron and quark.

Momentum is conserved in this interaction. This is a relativistic statement, so we mean that the four-momentum is conserved. Let p_1 be the four-momentum of the incoming electron, p_2 the four-momentum of the incoming quark, p_3 the four-momentum of the outgoing electron, and p_4 the four momentum of the outgoing quark. Then we have

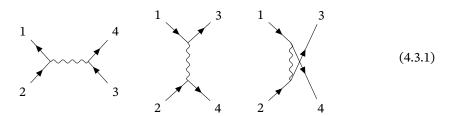
$$p_1 + p_2 = p_3 + p_4. (4.2.3)$$

We will generally be interested in measuring/predicting the rate of the process, say $1+2 \rightarrow 3+4$, that is, of all scatterings with particles 1 and 2 incoming with four-momenta p_1 and p_2 how many result in particles 3 and 4 with four-momenta p_3 and p_4 .

In this experiment the initial state is something that we control, we choose the particles to scatter and set their momenta based on how we create the beams. The final state is then what we measure.

4.3 Mandelstam Variables

Consider the following three processes:



These are called the *s*-channel, *t*-channel, and *u*-channel processes. For each one we can define a Lorentz invariant from the four momenta of the particles:

$$s := (p_1 + p_2)^2$$
, $t := (p_1 - p_3)^2$, and $u := (p_1 - p_4)^2$. (4.3.2)

These are called the Mandelstam variables

The most useful of these quantities is s. Expanding it out we get

$$s = p_1^2 + p_2^2 + 2p_1 \cdot p_2. \tag{4.3.3}$$

Now, if we work in the rest frame of a particle with mass m its four momentum is simply $p=(E,\mathbf{0})$, and $E^2=m^2+\mathbf{0}^2$, so E=m. Hence $p=(m,\mathbf{0})$ and so $p^2=m^2$. This is true in the rest frame, but p^2 is Lorentz invariant so it must be true in any frame. Thus, we have

$$s = m_1^2 + m_2^2 + 2p_1 \cdot p_2, \tag{4.3.4}$$

where m_1 and m_2 are the masses of particles 1 and 2.

In the lab frame the four-momentum of each particle is $p=(E, \boldsymbol{p})$, where E is the energy and \boldsymbol{p} the three-momentum. In our set up the two beams are head on, so the angle between the incoming particles is 180°. This is one of the reasons s is more useful than t or v, these involve p_3 or p_4 , and we can't control the direction of the outgoing particles. Using this we have

$$p_1 \cdot p_2 = E_1 E_2 - p_1 \cdot p_2 = E_1 E_2 - |p_1||p_2|\sin(180^\circ) = E_1 E_2 + |p_1||p_2|.$$
 (4.3.5)

Now, we know that $E^2 = m^2 + |\mathbf{p}|^2$. Suppose we have very energetic particles, so that $m \ll |\mathbf{p}|$, then $E \approx |\mathbf{p}|$. Hence, we have

$$p_1 \cdot p_2 = E_1 E_2 + |\mathbf{p}_1| |\mathbf{p}_2| \approx E_1 E_2 + E_1 E_2 = 2E_1 E_2.$$
 (4.3.6)

Further, assuming that $m_1 \ll E_1$ and $m_2 \ll E_2$ we have

$$s = m_1^2 + m_2^2 + 2p_1 \cdot p_2 \approx m_1^2 + m_2^2 + 4E_1E_2 \approx 4E_1E_2. \tag{4.3.7}$$

If both beams have the same energy, E, then $s = 4E^2$, and so $\sqrt{s} = 2E$, which is the total energy of the two particles scattering. This means that \sqrt{s} has a nice interpretation as the energy of the collision.

4.4 Fermi's Golden Rule

We are interested in the transition rate for going from some state $|i\rangle$ to some state $|f\rangle$. We suppose that the system evolves under the Hamiltonian

$$\hat{H} = \hat{H}_0 + \hat{H}'(x),\tag{4.4.1}$$

where \hat{H}_0 is a time independent Hamiltonian for which we can solve the Schrödinger equation and $\hat{H}'(x)$ is the interaction Hamiltonian, which induces the transitions between states. The interaction is generally position dependent. The state of the system then evolves according to the Schrödinger equation:

$$i\frac{\partial}{\partial t}|\psi,t\rangle = \hat{H}|\psi,t\rangle = [\hat{H}_0 + \hat{H}'(x)]|\psi,t\rangle. \tag{4.4.2}$$

Fermi's golden rule says that the transition rate from state $|i\rangle$ to state $|f\rangle$ is given by

$$\Gamma_{fi} = 2\pi |T_{fi}|^2 \rho(E_i) \tag{4.4.3}$$

where Γ_{fi} is the transition rate, T_{fi} is the transition matrix, and $\rho(E_i)$ is the density of states. The full derivation is in Chapter B.

Appendices

Α

List of Particles

A.1 Fundamental Particles

A.1.1 Fermions

A.1.1.1 Leptons

Particle	Symbol	Charge	Mass	
Electron	e-	-1	511.0	keV
Muon	μ^-	-1	105.6	MeV
Muon	τ-	-1	1776.9	MeV
Electron Neutrino	$\nu_{ m e}$	0	< 0.120	eV
Muon Neutrino	ν_{μ}	0	< 0.120	eV
Tau Neutrino	$\nu_{ au}$	0	< 0.120	eV

A.1.1.2 Quarks

Particle	Symbol	Charge	Mass	
Up Quark	u	+2/3	2.3	MeV
Down Quark	d	-1/3	4.8	MeV
Charm Quark	c	+2/3	1.275	GeV
Strange Quark	S	-1/3	95	MeV
Top Quark	t	+2/3	173.2	GeV
Bottom Quark	b	-1/3	4.18	GeV

A.1.1.3 Vector Bosons

Particle	Symbol	Charge	Mass	
Photon	γ	0	0	
Gluon	g	0	4.8	
Z boson	Z	0	91.19	GeV
W [±] boson	W^{\pm}	±1	80.38	GeV

Note that recent measurements place the mass of the W^\pm boson at the slightly higher value of 80.43 GeV.

A.1.1.4 Scalar Bosons

Particle	Symbol	Charge	Mass	
Higgs Boson	Н	0	125.25	GeV

Fermi's Golden Rule



This derivation is repeated, in varying levels of detail with differing formalisms, in *Principles of Quantum Mechanics* and *Quantum Theory*.

B.1 Derivation to First Order

Consider the Hamiltonian

$$\hat{H} = \hat{H}_0 + \hat{H}'(t, \mathbf{x}) \tag{B.1.1}$$

where \hat{H}_0 is a time independent Hamiltonian for which we can solve the Schrödinger equation and $\hat{H}'(t, \mathbf{x})$ is the interaction Hamiltonian. Let $\varphi_k(t, \mathbf{x})$ be a normalised solution to the Schrödinger equation for the unperturbed Hamiltonian, that is

$$\hat{H}_0 \varphi_k = E_k \varphi_k, \quad \text{and} \quad \langle j | k \rangle = \delta_{jk}$$
 (B.1.2)

where $\varphi_k(\mathbf{x}) = \langle \mathbf{x} | k \rangle$.

The Schrödinger equation in the presence of the interaction Hamiltonian is

$$i\frac{\partial \psi}{\partial t} = [\hat{H}_0 + \hat{H}'(t, \mathbf{x})]\psi. \tag{B.1.3}$$

The wave function, ψ , can be expressed in terms of the complete set of states of the eigenstates of the unperturbed Hamiltonian:

$$\psi(t, \mathbf{x}) = \sum_{k} c_k(t) \varphi_k(\mathbf{x}) e^{-iE_k t}.$$
(B.1.4)

Substituting this into the Schrödinger equation we get

$$i\sum_{k} \left[\frac{\mathrm{d}c_{k}}{\mathrm{d}t} \varphi_{k} \mathrm{e}^{-iE_{k}t} - iE_{k}c_{k}\varphi_{k} \mathrm{e}^{-iE_{k}t} \right] = \sum_{k} \left[c_{k} \hat{H}_{0} \varphi_{k} \mathrm{e}^{-iE_{k}t} + \hat{H}' c_{k} \varphi_{k} \mathrm{e}^{-iE_{k}t} \right]$$
(B.1.5)

Using $\hat{H}_0 \varphi_k = E_k \varphi_k$ the second term on the left cancels with the first on the right and we're left with

$$i\sum_{k} \frac{\mathrm{d}c_{k}}{\mathrm{d}t} \varphi_{k} \mathrm{e}^{-iE_{k}t} = \sum_{k} \hat{H}' c_{k}(t) \varphi_{k} \mathrm{e}^{-iE_{k}t}. \tag{B.1.6}$$

Suppose that at time t=0 the initial state is φ_i , and the coefficients are $c_k(0)=\delta_{ik}$, that is $c_k(0)=0$ for all $k\neq i$. Suppose also that the perturbing Hamiltonian is

constant for t > 0, we can imagine switching it on at time t = 0 and then leaving it on, and that its small enough that at all times $c_i(t) \approx 1$ and $c_k(t) \approx 0$ for $k \neq i$. Then, we have

$$i\sum_{k} \frac{\mathrm{d}c_{k}}{\mathrm{d}t} \varphi_{k} \mathrm{e}^{-iE_{k}t} \approx \hat{H}' \varphi_{i} \mathrm{e}^{-iE_{i}t}.$$
 (B.1.7)

Rewriting this in terms of kets we have

$$i\sum_{k} \frac{\mathrm{d}c_{k}}{\mathrm{d}t} \mathrm{e}^{-iE_{k}t}|k\rangle \approx \mathrm{e}^{-iE_{i}t}\hat{H}'|i\rangle.$$
 (B.1.8)

Taking the product with some particular final state, $\langle f |$, we have

$$i\sum_{k}\frac{\mathrm{d}c_{k}}{\mathrm{d}t}\mathrm{e}^{-iE_{k}t}\langle\varphi_{f}|\varphi_{i}\rangle = i\frac{\mathrm{d}c_{f}}{\mathrm{d}t}\mathrm{e}^{-iE_{f}t}\approx\mathrm{e}^{-iE_{i}t}\langle f|\hat{H}'|i\rangle. \tag{B.1.9}$$

Rearranging this we get

$$\frac{\mathrm{d}c_f}{\mathrm{d}t} = -i\langle f|\hat{H}'|i\rangle \mathrm{e}^{\mathrm{i}(E_f - E_i)t}. \tag{B.1.10}$$

Here we use the usual inner product

$$\langle f|\hat{H}'|i\rangle = \int \varphi_f^*(\mathbf{x})\hat{H}'\varphi_i(\mathbf{x})\,\mathrm{d}^3\mathbf{x}.\tag{B.1.11}$$

Define the transition matrix element, $T_{fi} = \langle f | \hat{H}'i$. At time t the amplitude for transitions to the state $|f\rangle$ is given by

$$c_f(t) = -i \int_0^t T_{fi} e^{i(E_f - E_i)t'} dt'.$$
(B.1.12)

If the perturbing Hamiltonian is time independent then so is $\langle f|\hat{H}'|i\rangle$, and so

$$c_f(t) = -iT_{fi} \int_0^t e^{i(E_f - E_i)t'} dt'.$$
(B.1.13)

The probability to transition to the state $|f\rangle$ if we start in the state $|i\rangle$ is then

$$\mathbb{P}(f \to i) = c_f^*(t)c_f(t) = |T_{fi}|^2 \int_0^t \int_0^t e^{i(E_f - E_i)t'} e^{-i(E_f - E_i)t''} dt' dt''. \quad (B.1.14)$$

The transition rate, ${\rm d}\Gamma_{fi}$, to transition from the given initial state to the particular final state, $|f\rangle,$ is then

$$d\Gamma_{fi} = \frac{1}{t} \mathbb{P}(f \to i) = \frac{1}{t} |T_{fi}|^2 \int_0^t \int_0^t e^{i(E_f - E_i)t'} e^{-i(E_f - E_i)t''} dt' dt''.$$
 (B.1.15)

We can make the substitution $t' \to t' + t/2$ and $t'' \to t'' + t/2$. This shifts the integration limits, without changing the integrand, since

$$e^{kt'}e^{-kt''} \to e^{kt'+kt/2}e^{-kt''-kt/2} = e^{kt'}e^{kt''},$$
 (B.1.16)

with $k = i(E_f - E_i)$.

Performing this integral we get

$$4\operatorname{sinc}^{2}\left(\frac{t}{2}[E_{f}-E_{i}]\right). \tag{B.1.17}$$

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Code B.1.18

1 Integrate [
2 Integrate [
3 Exp[I k t1] Exp[-I k t2],
4 {t1, -t/2, t/2}],
5 {t2, -t/2, t/2},
6 Assumptions \rightarrow {k \in Reals, t \in Reals}]
7 4 Sin[kt/2]^2/k^2
```

 1 see Methods of Mathematical Physics

It is well known¹ that sinc² x approximates $\delta(x)$. This means that the transition rate is only significant to states with $E_f \approx E_i$. This is energy conservation within the limits of the uncertainty relation, $\Delta E \Delta t \approx 1/2$. We use this to symmetrically extend the limits to $\pm \infty$:

$$d\Gamma_{fi} = |T_{fi}|^2 \lim_{t \to \infty} \left[\frac{1}{t} \int_{-t/2}^{t/2} \int_{-t/2}^{t/2} e^{i(E_f - E_i)t'} e^{-i(E_f - E_i)t''} dt' dt'' \right]$$
(B.1.19)

We can then recognise the integral representation of the Dirac delta:

$$\delta(x) = \frac{1}{2\pi} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} e^{ipx} dp.$$
 (B.1.20)

This comes from realising that the Fourier transform of $\delta(x)$ is

$$\mathcal{F}\{\delta(x)\} = \int_{-\infty}^{\infty} \delta(x) e^{-ipx} dx = e^0 = 1,$$
(B.1.21)

and then the above expression for $\delta(x)$ is simply $\mathcal{F}^{-1}\{\mathcal{F}\{\delta(x)\}\}$.

We can use this to write

$$d\Gamma_{fi} = 2\pi |T_{fi}|^2 \lim_{t \to \infty} \left[\frac{1}{t} \int_{-t/2}^{t/2} e^{i(E_f - E_i)t'} \delta(E_f - E_i) dt' \right].$$
 (B.1.22)

Suppose there are dn accessible final states in the range $[E_f, E_f + dE_f]$. Then the total transition rate is given by integrating over the final states with accessible energy, which we convert to an integral over their energies using the density of states²:

²see the *Statistical Mechanics* part of the *Thermal Physics* course.

$$\Gamma_{fi} = 2\pi \int |T_{fi}|^2 \frac{\mathrm{d}n}{\mathrm{d}E_f} \delta(E_f - E_i) \lim_{t \to \infty} \left[\frac{1}{t} \int_{-t/2}^{t/2} \mathrm{d}t \right] \mathrm{d}E_f$$
 (B.1.23)

$$=2\pi \int |T_{fi}| \frac{\mathrm{d}n}{\mathrm{d}E_f} \delta(E_f - E_i) \,\mathrm{d}E_f \tag{B.1.24}$$

$$=2\pi |T_{fi}| \left. \frac{\mathrm{d}n}{\mathrm{d}E_f} \right|_{E_i}. \tag{B.1.25}$$

The last term here is the density of states:

$$\rho(E_i) = \left. \frac{\mathrm{d}n}{\mathrm{d}E_f} \right|_{E_i}. \tag{B.1.26}$$

Thus, we have derived Fermi's golden rule

$$\Gamma_{fi} = 2\pi |T_{fi}|^2 \rho(E_i).$$
 (B.1.27)

B.2 Improvement to Second Order

To first order we have

$$T_{fi} = \langle f | \hat{H}' | i \rangle. \tag{B.2.1}$$

We assumed that $c_k(t) \approx 0$ for $k \neq i$. An improved derivation would again take $c_i(t) \approx 1$ and substitute the expression for $c_k(t)$ from Equation (B.1.13) and substitute it into Equation (B.1.6). Then again taking the inner product with $|f\rangle$ we get

$$\frac{\mathrm{d}c_f}{\mathrm{d}t} \approx -i\langle f|\hat{H}|i\rangle \mathrm{e}^{\mathrm{i}(E_f - E_i)t} + (-i)^2 \sum_{k \neq i} \langle f|\hat{H}'|k\rangle \mathrm{e}^{\mathrm{i}(E_f - E_k)t} \int_0^t \langle k|\hat{H}'|i\rangle \mathrm{e}^{\mathrm{i}(E_k - E_i)t'} \,\mathrm{d}t'. \tag{B.2.2}$$

The perturbation is not present at time t=0 and for t>0 the perturbation is constant, which lets us write

$$\int_{0}^{t} \langle k | \hat{H}' | i \rangle e^{i(E_{k} - E_{i})t'} dt' = \langle k | \hat{H}' | i \rangle \frac{e^{i(E_{k} - E_{i})t}}{i(E_{k} - E_{i})}.$$
(B.2.3)

This gives us an improved approximate differential equation for the coefficients $c_f(t)$:

$$\frac{\mathrm{d}c_f}{\mathrm{d}t} \approx -i \left[\langle f | \hat{H}' | i \rangle + \sum_{k \neq i} \frac{\langle f | \hat{H}' | k \rangle \langle k | \hat{H}' | i \rangle}{E_i - E_k} \right] e^{i(E_f - E_i)t}. \tag{B.2.4}$$

Then, to second order, the transition matrix elements are

$$T_{fi} = \langle f|\hat{H}'|i\rangle + \sum_{k \neq i} \frac{\langle f|\hat{H}'|k\rangle\langle k|\hat{H}'|i\rangle}{E_i - E_k}.$$
(B.2.5)

We interpret the first term as a direct transition, $|i\rangle \to |f\rangle$. The second term then corresponds to some indirect transition, $|i\rangle \to |k\rangle \to |f\rangle$, where we sum over all possible intermediate states, $|k\rangle$. The next higher order term would then allow two intermediate states, and so on.

Acronyms

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QCD: Quantum Chromodynamics 2
QED: Quantum Electrodynamics 2
QFT: Quantum Field Theory 1
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