



# Finish First-order Logic Overview, Start Planning

CS156

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# Outline

- Using First-Order Logic
- First-Order Theorem Proving Algorithms
- Planning

# Introduction

- We have been talking about first-order logic as a means to extend our logic-based agents to factored domains.
- On Monday, we said how to figure out the truth of a statement in a model with respect to a variable assignment, and how by model checking to determine if a first-order formula follows from a knowledge base.
- We will start today by looking at techniques to use first order logic, and look at algorithms for first-order logic theorem proving.
- We will then consider one important use of such first order logic agents: Planning.
- This is the search problem of coming up with a sequence of actions which reach a desired goal state and at each time step satisfy the constraints on the problem at hand.
- The particular planning language we use is a first-order logic called PDDL - Planning Domain Definition Language.
- This is an extension of an earlier language called STRIPS (one of the first famous planning languages from 1971).

# Using First-Order Logic

- As in the propositional case, our logic-based agent will tell its knowledge base facts.
- For example,  
 $TELL(KB, King(John)).$   
 $TELL(KB, Person(Richard)).$   
 $TELL(KB, \forall x King(x) \Rightarrow Person(x)).$
- In the course, of deciding what to do next the logic-based agent might ask the knowledge-base questions:  
 $Ask(KB, King(John))$
- Such a question is called a **query** or a **goal**. The result in the case should be just True.
- We can ask more complicated questions such as:  
 $Ask(KB, \exists x Person(x))$
- Again, from our knowledge base we can see the answer is True since Richard is a Person. In this case, it also useful to know this witness to the truth of the question. So in addition to Ask questions like above, we also allow questions like:  
 $AskVars(KB, Person(x))$
- The response to such a query is a **substitution** (aka **binding list**) which makes the query statement true, in this case,  $\{x/Richard\}$ .

# Example 1st Order Knowledge bases

- Any relational database.
- The Natural Numbers:
  - Has one constant  $0$  and one function symbol  $S$ . (The Peano Axioms add to these  $+$ , and  $\times$ ).
  - We have two predicate symbols:  $\text{NatNum}(x)$  which obeys the axioms:  
 $\text{NatNum}(0)$ .  
 $\forall n, \text{NatNum}(n) \Rightarrow \text{NatNum}(S(n))$   
 we also have equality  $=$  in our language.
  - So  $0, S(0), S(S(0)) \dots$  are natural numbers
  - To constrain the behavior of  $S$  we need to add the axioms:  
 $\forall n, 0 \neq S(n)$ .  
 $\forall m, n, m \neq n \Rightarrow S(m) \neq S(n)$ .
- Set theory:
  - Has one constant  $\emptyset$  (empty) and predicate symbols  $\in$  (element of)
  - No function symbols
  - Knowledge base for set theory consists of formulas like  $\neg(\emptyset \in \emptyset)$ ,  $\exists x \neg(x = \emptyset)$ , ...
  - $=$  abbreviates that  $x$  and  $y$  have the same elements.
- The book gives an example of reformulating the Wumpus World in the first-order setting.

# KBs, First-Order Proofs

- Write  $KB \models F$  to mean for all structures  $M$ , such that  $M \models KB$  we also have  $M \models F$
- Proofs in 1st Order Logic are very much like proofs in propositional logic except now we have some additional axioms.
- For example, some possible additional axioms might be:
  - $(\forall x)(\neg P) \Rightarrow \neg((\exists x)P)$   
(for every pig, it can't fly = not there is a pig that can fly)
  - $\neg(\forall xP) \Rightarrow (\exists x)\neg P$   
(Not for every horse it is blue = there is a horse that is not blue)
  - $A(t) \Rightarrow (\exists x)A(x)$
  - $A(x) \Rightarrow (\forall y)A(y)$
  - etc.
- In many ways, one can treat the behavior of  $\forall xF(x)$  as a big AND over the substitution of values  $x$  could take in the formula  $F$ .
- Similarly, one can treat the behavior of  $\exists xF(x)$  as a big OR over the substitution of values  $x$  could take in the formula  $F$ .

# Soundness and Completeness

- As with the propositional case one can show that for first-order logic,  $\Gamma \vdash F$  then  $\Gamma \models F$  for the proof system extended by axioms as on the last slide for exists and forall. (Soundness)
- Moreover, if we have a good initial set of propositional axioms, Godel (1930) showed that whenever our proof system can prove a statement  $\Gamma \models F$  then in fact  $\Gamma \vdash F$ . (Completeness)
- It is possible to do model checking in the first-order setting if the universe is finite, but the time complexity is just as bad/worse than the propositional setting.
- So let's consider for a moment how we could make a theorem prover for first-order logic.

# Theorem Proving in First-Order Logic

The basic idea is we want to reduce the first-order case to the propositional case. To do this:

1. Convert each formula in the set of formulas  $KB \cup \{\neg\alpha\}$  using some of the operations previously described into prenex normal form:  
 $\forall \vec{x} \exists \vec{y} \forall \vec{z} \dots G(\vec{x}, \vec{y}, \vec{z}, \dots)$   
 where  $G$  does not involve quantifiers and may involve  $\neg, \vee, \wedge$ . The reason why we are working with sets rather than the single formula  $KB \wedge \neg\alpha$  is to allow for the case  $KB$  is an infinite set of formulas.
2. Introduce new function symbols for  $\forall\exists$  blocks. For example,  
 $\forall x_1 \forall x_2, \exists y_1, \exists y_2, \exists y_3 G(x_1, x_2, y_1, y_2, y_3)$  transforms to  $\forall x_1 \forall x_2, G(x_1, x_2, f_1(x_1, x_2), f_2(x_1, x_2), f_3(x_1, x_2))$   
 This process is called **Skolemization**. Given a formula  $A$  we denote its Skolemization as  $A^S$ . For a set of formula  $\Gamma$  applying Skolemization to each formula yields a set  $\Gamma^S$ . One can show  $\Gamma \models B$  iff  $\Gamma^S \models B$ , so if  $KB \cup \{\neg\alpha\}$  has refutation then so does  $(KB \cup \{\neg\alpha\})^S$ .
3. If we have all variables bound, and only universal quantifiers, we can ditch the quantifiers and have an open formula with our variables all free.
4. Once we get open formulas without any quantifiers, convert to CNF and try to use resolution algorithm.

Some remarks on the above:

1. How do we handle formulas with just existential quantifiers and no universal? Answer: we view these as functions from no arguments to a value. I.e., we replace these with constants.
2. How are the new function symbols related to the existing terms in the language before adding the function symbols? In general, a new function symbol  $f$  is connected to existing function and predicate symbols in the language but won't necessarily be expressible as a term in the original language. For example, Skolemizing an induction axiom:  
 $A(0, \vec{a}) \wedge \forall x (A(x, \vec{a}) \Rightarrow A(S(x), \vec{a}) \Rightarrow A(t(\vec{a}), \vec{a}))$   
 might yield a function of the predicate  $A$ , which when given a  $t(\vec{a})$  such that  $A(0)$  and  $\neg A(t(\vec{a}))$  holds, searches for a value  $v$  as a function of  $\vec{a}$  such that  $A(v, \vec{a})$  holds but  $A(S(v), \vec{a})$  does not. This search operation might not be expressible as a single term in the original language.
3. To do resolution we might now also need to come up with substitution lists between two atomic formulas in  $G$ , say  $F(\vec{t})$ ,  $F(\vec{s})$ , for the terms  $t_1, \dots, t_n$  and  $s_1, \dots, s_n$  so that they are the same formula. This is so that after doing the substitution, we can resolve the two formulas. Unification is an algorithm for doing this.



# Unification Algorithm

If  $x$  is a list let  $\text{head}(x)$  denote the first element of the list,  $x[0]$ , and  $\text{tail}(x)$  denote  $x[1:]$ . The code below relies on  $\text{Unify-var}$  which is on the next slide.

```
Unify(x, y, S)
x - a variable, constant, term or list
y - a variable, constant, term, or list
S - a substitution so far
if(S == fail) return fail
if (x(S) == y(S)) return S
else if ( var? (x))
    return Unify-var(x, y, S)
else if ( var? (y))
    return Unify-var(y, x, S)
else if ( term? (x) and term? (y))
    return (Unify(args (x), args(y), Unify( op(x), op(y), S)))
else if (list? (x) and list? (y) )
    return (Unify(tail(x), tail(y), Unify(head(x), head(y), S)))
else
    return fail
```

As an example of what I mean by  $\text{op}$  and  $\text{args}$ , consider  $x := ((z * z) + 35)$ . Here  $\text{op}$  is the top operation. So  $\text{op}(x) := a + b$  as  $+$  is the outer most function symbol. Here  $a, b$  are new variables that don't appear elsewhere.  $\text{args}(x) := (z * z, 35)$ , so contains two terms: the left and right symbol of  $+$ .

# Unify-var

Here Unify-var is defined as:

```
Unify-var(var, y, S)
var - a variable
y - an expression
S - a substitution
if(var |-> val) exists in S
    return Unify(val, y, S)
else if (y |-> val) exists in S
    return Unify(var, val, S)
else if (occur-ck? (var, y)) return fail;
else
    return ( append((var |-> y), S))
```

Suppose x is f(var), then var occurs in f(var) in the above and occur-ck of (var, x) is true! (note: prolog doesn't do occur-ck's)

# Prolog Example

Prolog uses unification. Consider the Prolog program:

```
num(0).  
num(s(X)) :- num(X).
```

From the prolog prompt we can then do a query like:

```
|?- num(Y).
```

It will unify Y with 0 initially and say

```
Y = 0
```

To indicate that num(Y) can be made true if we set Y = 0. If we type *n*, we are indicating we don't want that solution and it will try to resatisfying the statement with a different substitution. i.e., it is as if we did the query num(Y), not(Y = 0). To this Prolog, will now make use of the second rule and return:

```
Y = s(0)
```

If Prolog did occurs checks then the following kind of query would fail:

```
|?- num(s (s (X)))
```

# Example of Unify's Execution

As an example of unify in action, consider:

$x' = g(h(x, y), z)$   
 $y' = g(w, t(v))$

Initially,  $S$  is  $()$ . Since  $x'$  and  $y'$  are both terms, we return

$\text{Unify}((h(x, y), z), (w, t(v)), \text{Unify}(g(a, b), g(a, b), ()))$

Notice that  $a, b$  are new variable names. The second Unify will return  $S = ()$ , since both  $g(a, b)$  are the same thing.

So now we try to unify two lists  $(h(x, y), z), (w, t(v))$ .

So we will do  $\text{Unify}(z, t(v), \text{Unify}(h(x, y), w, S))$ .  $S$  is still  $()$ .

Now we call  $\text{Unify-var}(w, h(x, y), S)$ .

This statement will return  $(w \mapsto h(x, y))$ . We now need to unify  $z$  and  $t(v)$  with respect to the substitution  $(w \mapsto h(x, y))$ . When we get the final recursive answer, we will have  $((z \mapsto t(v)), (w \mapsto h(x, y)))$