

# Manuscript Draft : Aim3 Leaf Traits

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## 1 Abstract

## 2 Introduction

## 3 Methods

### 3.1 Field

Growth and host plant inoculation seven tropical tree species was conducted at the greenhouses in the Gamboa Research Station, Smithsonian Tropical Research institute, Republic of Panama. The species, *Theobroma cacao*, *Dypterix* sp., *Lacmellea panamensis*, *Apeiba membranacea*, *Heisteria concinna*, *Chrysophyllum caimito*, and *Cordia alliodora* were chosen due to their variance in leaf traits (J.Wright unpublished data) and the availability of seeds in January- April 2019. Seeds of tree species were collected from the forest floor and grown in the greenhouse. Seedlings were kept in a chamber made out of PVC and clear plastic

16 to prevent inoculation from spore fall inside the greenhouse. NEEDS INFORMATION ON  
17 THE SOIL MIXTURE AND AUTOCLAVING PROTOCOL. Seedlings reached a minimum  
18 of 4 true leaves before endophyte inoculation. Then 10 individual plants of each species were  
19 exposed to 10 nights of spore fall to achieve a high endophyte load (E+) and 10 homologous  
20 plants were kept inside the greenhouse plastic chamber to maintain a low endophyte load (E-)  
21 (Fig. ? MAKE A DIRAGRAM?). Plants exposed to spore fall were placed near (~10 m) the  
22 forest edge at dusk (~18:00 hours) and returned to the greenhouse at dawn (~07:00 hours)  
23 (Bittleston et al. 2011).

### 24 **3.1.1 Leaf trait measurements**

25 Three mature leaves were haphazardly collected from each of the individual plants in each  
26 treatment (E+, E-) within 7-10 days after inoculation treatment. Anthocyanin content and  
27 leaf thickness were measured while the leaf was still attached to the plant. We measured  
28 anthocyanin content with ACM-200plus (Opti-Sciences Inc. Hudson, New Hampshire, U.S.A.)  
29 on three haphazardly selected locations (working from the petiole out to the leaf tip) on the  
30 leaf surface of three haphazardly selected leaves for a total of nine measurements per plant  
31 (Tellez et al., 2022). The ACM-200 calculates an anthocyanin content index (ACI) value from  
32 the ratio of % transmittance at 931 nm/% transmittance at 525 nm (**opti-sciencesinc?**) .  
33 On compound leaves (i.e., *Dypterix* sp.) we measured at three different leaflets. Leaf thickness  
34 ( m) was measured with a Mitutoyo 7327 Micrometer Gauge (Mitutoyo, Takatsu-ku, Kawasaki,  
35 Japan) in sthe same manner as the anthocyanin measurements, taking care to avoid major

and secondary veins. After anthocyanin and leaf thickness measurements were completed, we removed the leaves from their stems, placed them inside a plastic bag (i.e. Ziploc ), place in an ice chest and moved them to the lab for further measurements. Leaf punch strength was measured with an Imada DST-11a digital force gauge (Imada Inc., Northbrook, IL, United States) by conducting punch-and-die tests with a sharp-edged cylindrical steel punch (2.0 mm diameter) and a steel die with a sharp-edged aperture of small clearance (0.05 mm). The leaf punch measurements were taken by puncturing the leaf lamina at the base, mid-leaf and tip on both sides of the mid-vein, avoiding minor leaf veins when possible (Tellez et al., 2022). Once leaf toughness was measured, we used a 7 mm diameter punch hole to puncture disks for leaf mass per area (LMA) measurements. We collected one three disks per leaf (see Supplementary material for details). The disk punches dried at 60 °C for 48-72 hours. before being weighed.

### **3.1.2 Leaf tissue preparation for molecular work**

The selected leaves were also used to profile endophyte community composition, abundance, and richness via amplicon sequencing (Illumina MiSeq). The leaf tissue remaining after the leaf trait measurements had the main vein and margins excised so that only the lamina remained. The lamina was haphazardly cut into 2 x 2 mm segments, enough to obtain a total of 16, and surface sterilized by sequential rinsing in 95% ethanol (10 s), 0.5 NaOCl (2 mins) and 70% ethanol (2 mins), as per (Arnold et al., 2003; Higgins et al., 2014; Tellez et al., 2022). After, leaves were air-dried briefly under sterile conditions. Sixteen leaf segments per leaf, a total of forty-eight leaf segments per plant, were plated in 2% malt extract agar (MEA), sealed with

56 Parafilm M (Bemis Company Inc., U.S.A.) and incubated at room temperature. The cultured  
57 leaf segments were used to estimate endophyte colonization of E+ and E- leaves. The presence  
58 or absence of endophytic fungi in the leaf cuttings was assessed 7 days after plating. The  
59 remaining sterilized leaf lamina was preserved in sterile 15 mL tubes with ~ 10 mL CTAB  
60 solution (1 M Tris-HCl pH 8, 5 M NaCl, 0.5 M EDTA, and 20 g CTAB). Leaf tissue in CTAB  
61 solution was used for amplicon sequencing (described in detail below). All leaf tissue handling  
62 was performed in a biosafety cabinet with all surfaces sterilized by exposure to UV light for  
63 30 minutes and cleaned sequentially in between samples with 95% ethanol, 0.5% NaOCl and  
64 70% ethanol to prevent cross contamination.

### 65 **3.2 Amplicon sequencing**

66 Leaf tissue in CTBA solution was stored for 2 months at room temperature prior to being  
67 placed at -80 C for 3 months before extracting DNA. In preparation for DNA extraction, we  
68 decontaminated all instruments, materials, and surfaces with DNAway (Molecular BioProducts  
69 Inc., San Diego, CA, United States), 95% Ethanol, 0.5 % NaOCl, and 70 % Ethanol, and  
70 subsequently treated with UV light for 30 minutes in biosafety cabinet. We then transferred 0.2  
71 – 0.3 g of leaf tissue into duplicate sterile 2mL tubes, resulting in 2 subsamples. Total genomic  
72 DNA from subsamples was extracted as described in U'Ren & Arnold (2017). In brief, added  
73 two sterile 3.2 mm stainless steel beads to each tube and proceeded to lyophilize samples for 72  
74 hours to fully remove CTAB content from tissue. After this period, we submerged the sample  
75 tubes in liquid nitrogen for 30s and proceeded to homogenize samples to a fine powder for 45 s

76 in FastPrep-24 Tissue and Cell Homogenizer (MP Biomedicals, Solon, OH, USA). Afterwards,  
 77 we repeated the decontamination procedure described before and used QIAGEN DNeasy 96  
 78 PowerPlant Pro-HTP Kit (U'Ren & Arnold, 2017) (QIAGEN, Valencia, CA, USA). After all  
 79 genomic DNA was extracted, we pooled the subsamples for each individual sample before  
 80 amplification. We used sterile equipment and pipettes with aerosol-resistant tips with filters  
 81 in all steps before amplification. We followed a two-step amplification approach previously  
 82 described by Sarmiento et al. (2017) and U'Ren & Arnold (2017). We used primers for  
 83 the fungal ITSrDNA region, ITS1f (5'-CTTGGTCATTTAGAGGAAGTAA-3') and ITS4 (5'-  
 84 TCCTCCGCTTATTGATATGC-3') with modified universal consensus sequences CS1 and CS2  
 85 and 0–5 bp for phase-shifting. Every sample was amplified in two parallel reactions containing  
 86 1–2 µL of DNA template (U'Ren & Arnold, 2017; see also Tellez et al., 2022). We visualized  
 87 PCR (PCR1) reactions with SYBR Green 1 (Invitrogen, Carlsbad, CA, USA) on 2% agarose  
 88 gel (Oita et al., 2021). Based on the electrophoresis band intensity, we combined parallel PCR1  
 89 reactions and diluted 5 µL of amplicon product with molecular grade water to standardize to  
 90 a concentration of 1:15 (Sarmiento et al., 2017 for details; Tellez et al., 2022). We included  
 91 DNA extraction blanks and PCR1 negatives in this step. We used a separate set of sterile  
 92 pipettes, tips, and equipment to reduce contamination. We used a designated PCR area to  
 93 restrict contact with pre-PCR materials (Oita et al., 2021).  
 94 We used 1 µL of PCR1 product from samples and negative control for a second PCR (PCR2)  
 95 with barcode adapters (IBEST Genomics Resource Core, Moscow, ID, USA). Each PCR2 re-  
 96 action (total 15 µL) contained 1X Phusion Flash High Fidelity PCR Master Mix, 0.075 µM

of barcoded primers (forward and reverse pooled at a concentration of 2  $\mu$ M) and 0.24mg/mL of BSA following (Sarmiento et al., 2017; U'Ren & Arnold, 2017). Before final pooling for sequencing, we purified the amplicons using Agencourt AMPure XP Beads (Beckman Coulter Inc, Brea, CA USA) to a ratio of 1:1 following the manufacturer's instructions. The products were evaluated with Bio Analyzer 2100 (Agilent Technologies, Santa Clara, CA, USA) ((Tellez et al 2022) Tellez et al., 2022). We quantified the samples through University of Arizona Genetics Core, and subsequently diluted them to the same concentration to prevent over representation of samples with higher concentration, see (CITATION). Amplicons were normalized to 1 ng/ $\mu$ L, then pooled 2  $\mu$ L of each for sequencing. No contamination was detected visually or by fluorometric analysis. To provide robust controls we combined 5  $\mu$ L of each PCR1 negative and the DNA extraction blanks and sequenced them as samples. Ultimately, we combined samples into a single tube with 20 ng/ $\mu$ L of amplified DNA with barcoded adapters for sequencing on the Illumina MiSeq platform with Reagent Kit v3 ( $2 \times 300$  bp) following protocols from the IBEST Genomics Resource Core at the University of Idaho, USA. Again, we included the DNA extraction blanks and two PCR1 negatives and sequenced with samples. Sequencing yielded 3,778,081 total ITS1 reads.

### 3.2.1 Mock Communities

We processed and sequenced 12 mock communities following the methods described above. This allowed us to assess the quality of our NGS data set. We used two mock communities that consisted of PCR product from DNA extractions of 32 phylogenetically distinct fungi,

117 representing lineages that are typically observed as endophytes: Ascomycota, Basidiomycota,  
118 Zygomycota and Chytridiomycota (Oita et al., 2021; see Daru et al., 2019 for details). In brief,  
119 we used six mock community with equimolar concentrations of DNA from all 32 fungal taxa  
120 and another six mock community with tiered concentrations of DNA from the same fungal taxa  
121 (Daru et al., 2019). Each mock community was sequenced five times (i.e., five replicates) (Oita  
122 et al., 2021). The read abundance from the equimolar and tiered communities was positively  
123 associated with the expected read number (with replicates as a random factor:  $R2Adj = 0.87$ ,  $P$   
124  $= XXXX$ , see Supplementary material). Allowing us to evaluate the sequencing effectiveness in  
125 communities with known composition and structure (Bowman & Arnold, 2021). Henceforth,  
126 we used read abundance as a relevant proxy for biological OTU abundance (U'Ren et al.,  
127 2019).

### 128 **3.2.2 Bioinformatic analyses**

129 We used VSEARCH (v2.14.1) for *de novo* chimera detection, dereplication and sequence align-  
130 ment. VSEARCH is an open-source alternative to USEARCH that uses an optimal global  
131 aligner (full dynamic programming Needleman-Wunsch), resulting in more accurate alignments  
132 and sensitivity (Rognes et al., 2016). For mock communities and experimental samples, we  
133 used forward reads (ITS1) for downstream bioinformatics analyses due to their high quality,  
134 rather than reverse reads (ITS4). Following Sarmiento et al. (2017), we concatenated all reads  
135 in a single file and used FastQC reports to assess Phred scores above 30 and determine the ad-  
136 equate length of truncation. We processed 892,713 of sequence reads from mock communities

137 and 3,778,081 from experimental samples. We truncated mock community and experimental  
138 sample reads to a length of 250 bp with command `fast_trunclen` and filtered them at a max-  
139 imum expected error of 1.0 with command `fast_maxee`. We then clustered unique sequence  
140 zero radius OTUs (that is, zOTUs; analogous to amplicon sequence variants (Callahan et al.,  
141 2016)), by using commands `derep_fulllength` and `minseqlength` set at 2. Sequentially we  
142 denoised and removed chimeras from read sequences with commands `cluster_unoise`, and  
143 `uchime3_denovo`, respectively (see Supplementary YYY for details). Finally, we clustered  
144 zOTUs at a 95% sequence similarity with command `usearch_global` and option `id` set at  
145 0.95. After which, 3,035,960 sequence reads from experimental samples remained.

146 Taxonomy was assigned with the Tree-Based Alignment Selector Toolkit [v2.2; Carbone et  
147 al. (2019)] by placing unknowns within the Pezizomycotina v2 reference tree (Carbone et al.,  
148 2017). ITS sequences were blasted against the UNITE database by the ribosomal database  
149 project (RDP) classifier. A total 2147 OTUs hits were obtained and are composed of 68.6% As-  
150 comycota, 26.8% Basidiomycota, <0.05% Chytridiomycota, <0.05% Glomeromycota, <0.05%  
151 Mortierellomycota, <0.05% Rozellomycota, 0.05% Kickxellomycota, and 4.2 % BLAST hit  
152 misses. Only OTUs representing Ascomycota were used for downstream statistical analyses  
153 since foliar endophyte communities in tropical trees are dominated by Ascomycota (Arnold &  
154 Lutzoni, 2007).

155 For each OTU identified, we removed laboratory contaminants from experimental samples by  
156 subtracting the average read count found in control samples from the DNA extraction and  
157 PCR steps. Our analysis of mock communities allowed use to identify and remove false OTUs



158 from experimental samples, those with fewer than 10 reads, and remove 0.1% of the read rela-  
 159 tive abundance across all samples (Oita et al., 2021). Removed reads represent the frequency of  
 160 reads classified as contamination in the mock communities relative to the expected read count.  
 161 Three experimental samples from *Theobroma cacao* ( $n=2$ ) and *Apeiba membranacea* ( $n=1$ )  
 162 were removed from all analyses due to incomplete entire. After pruning taxa with zero reads  
 163 from experimental samples, we identified OTUs found exclusively ( $n= 260$ ) in control ( $E-$ )  
 164 plants ( $n=78$ ) and deemed them as artifacts resulting from the greenhouse conditions. Conse-  
 165 quently, these were consistently eliminated from treatment ( $E+$ ) plants across all species. We  
 166 converted reads for each fungal OTU to proportions of total sequence abundance per sample to  
 167 reduce differences in sampling effort, following previous studies (Weiss et al. (2017); McMurdie  
 168 & Holmes (2014) ). We then removed singletons and obtained an average of 2,464,558 sequence  
 169 reads in 529 Ascomycota OTUs accross 156 experimental samples of 7 tree species. All anal-  
 170 yses post taxonomic assignment were performed in R [v. 4.3.2; R Core Team (2023)] using  
 171 the **phyloseq** package (McMurdie & Holmes, 2013) and custom scripts (see Supplementary  
 172 material).

### 173 **3.2.3 Ant-endophyte interaction assays**

174 A fresh fourth leaf was used in ant assays. To assess leaf-cutter ant damage, we introduced  
 175 one detached leaf per plant per treatment to an actively foraging leaf-cutter ant colony for a  
 176 two-hour assay. We presented leaf-cutter ant colonies with a choice of an  $E+$  or an  $E-$  leaf  
 177 on a disposable plastic plate next to an active nest trail. Carefully, we collected and placed

178 debris from the trail leading up to the plate to lure ants into the plate. We initiated the ant  
179 assay as soon as an ant entered the plate and explored the leaf contents (for  $\sim 10$ -20 seconds).  
180 Every five minutes we took a digital photo of the choice arena until about 75% of the leaf  
181 content of one of the leaves was consumed. We used the digital photo at time zero and at the  
182 end of trial to quantify the leaf area removed using ImageJ [v1.52r; Schneider et al. (2012)].  
183 Ant recruitment was estimated by counting individuals in the choice arena throughout trial  
184 event.

### 185 **3.2.4 Pathogen assays**

186 For the pathogen assays, we introduced an agar plug inoculated with hyphae of *Calonectria* sp.  
187 ( $P+$  treatment), and an agar plug without the pathogen ( $P-$  control) to similarly aged/sized  
188 leaves within 10-14 days after endophyte inoculations. Leaves with the  $P+$  or  $P-$  treatment  
189 were misted with sterile water two times a day (morning and afternoon) to maintain moisture.  
190 After four days, we removed the plugs and took digital photos to analyze leaf area damage  
191 using ImageJ [v1.52r; Schneider et al. (2012)].

### 192 **3.2.5 Statistical Analyses**

193 we used a multivariate analysis of variance (MANOVA) for the all the tree species using the  
194 “manova” function in the “stats” package in R (The R Core Team, 2013). A MANOVA allows  
195 for an analysis of variance with two or more covariates (i.e., endophyte load ( $E\_load$ ) and tree  
196 species)) and multiple dependent variables (i.e., ACI, LMA, Thickness, Toughness). With the

197 “summary.aov” function and argument “split” set to “list (”E\_load:Species”)” I determined  
198 how independent variables, E\_load and tree species, influenced variance of my covariates:  
199 Thickness, Toughness, LMA, and ACI. I computed Wilk’s tests statistic for MANOVA where  
200 in the closer to zero the statistic is, the variable in question contributes more to the model,  
201 hence we reject the null hypothesis if zero. Additionally, to determine which interactions of  
202 E\_load and tree species are significant in regard to abundance, I performed two way ANOVAs  
203 using “aov” function and post-hoc Tukey tests using “TukeyHSD” function in the “stats”  
204 package in R (The R Core Team, 2013). This allows for a more in-depth look at interactions  
205 between and among groups, not necessarily apparent from MANOVA tests.

206 To test for H2, I used a general linear mixed model (GLM). First, to determine which fixed  
207 effects to include in my model I created a correlation matrix with “cor()” function in R sta-  
208 tistical software. Covariates (Thickness, Toughness, LMA, ACI, E\_load, and Tree Species)  
209 with correlation coefficients greater than 0.25 were not included in linear model analyses. Sec-  
210 ondly, Principal Component Analysis (PCA) was used to determine underlying interactions  
211 among covariates that could influence fungal endophyte abundance in seedlings (hereafter,  
212 abundance). The PCA was computed using the “prcomp” function in R statistical software  
213 (The R Core Team, 2013). The PCA was computed using the following covariates: Thickness,  
214 Toughness, LMA, and ACI, to test the effect of ACI, Species, Toughness, Thickness, LMA,  
215 endophyte load (E\_load) as my independent variables (fixed effects) on the abundance of en-  
216 dophytes, dependent variable. The PCA was used to inform which covariates to include in  
217 linear model analyses. From PCA and correlation analysis, Thickness, Toughness and E\_load

218 were chosen to compute an initial linear model with interaction. Linear model was computed  
219 using “lm” function from the “stats” package in R (The R Core Team, 2013). I selected the  
220 best fit model using “stepAIC” function in the “MASS” package with “direction” argument set  
221 to “both” for backward and forward selection (The R Core Team, 2013). The best fit model  
222 was achieved when all covariates had a significant P-value. Results Results support my first  
223 hypothesis: H1) endophyte abundance will be significantly different across tree species and  
224 endophyte treatments. The results from the MANOVA for E\_load (p-value < 0.0001), Species  
225 (p-value < 0.0001) and the interaction between E\_load and Species (P-value < 0.0001) sup-  
226 port this hypothesis, with a Wilk’s test statistic of 0.44, 0.02, and 0.75 respectively, hence the  
227 null hypothesis is rejected (Fig. 2 and 3). Multiple interactions between endophyte abundance  
228 and endophyte treatment and tree species were significantly different. A two-way ANOVA and  
229 post-hoc Tukey test of these interactions show in detail which interaction between and among  
230 groups are significant (see Table 4 in supplementary materials).

231 Principal Component Analysis revealed how covariates (LMA, ACI, Thickness and Toughness)  
232 interact. I overlapped tree species groups on the PCA axes to show how the variance in the data  
233 is explained by PC1 (60%) and PC2 (27%) (Fig. 4). This is indicative of correlation among  
234 covariates. Thickness and toughness were orthogonal to each other in PCA, indicative of low  
235 correlation. Correlation analysis revealed that these covariates were negatively correlated to  
236 each other ( $r = -0.12$ ). Additionally, both Toughness and Thickness had the greatest loadings  
237 on PC1 and PC2, respectively (Fig. 4).

238 The best fit model that resulted from using “stepAIC” function includes Toughness and E\_load

as fixed effects as well as their interaction effect (F-statistic=180.6, df = 463, p-value: < 2.2e-16). The AIC value for the best fit model is -108.1. I did not include in further analyses covariates that were above the 0.25 correlation cut-off established (see Table 1 in supplementary materials). Finally, two equations resulted from the multivariate regression analysis of the best fit model: for E- plants and for E+ plants (Fig. 5). Plants exposed E+ treatment have a negative correlation with leaf toughness. That is, as Toughness increased endophyte abundance decreased. Plants exposed to E- treatment had a negative correlation as well, albeit the slope of the line was not as steep (Fig. 5). No random effects were modeled.

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