Error Handling

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ADAP C06

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Focus of Lecture

- In this lecture, we focus on a subset of [A+04], specifically
 - Errors caused by software faults that are
 - always development, internal, human-made faults
 - typically non-malicious, non-deliberate
 - Error detection by concurrent detection
 - Error handling using any matching strategy
- In other words, errors caused by the common bug

Catching the Common Bug

- Best done during development (due to cost)
- Still, you can't avoid errors during runtime

Example of Poor Error Handling Code [1]

```
public int readInt(File f, Buffer b) throws ParseException {
 int result = 0;
 try {
    FileInputStream fis = new FileInputStream(f);
   fis.read(b);
    result = Integer.parse(b.toString());
 } catch (Exception ex) {
    // do nothing
 if (result == 0) {
   // there should never be "0" in file
   System.out.println("something went wrong!");
    return -1;
 return result;
```

Things Wrong with Example

General programming errors

- Unclear preconditions; no assertions
- No need for external buffer variable
- No clean-up after resource use

Specific bad practices of error handling

- Overloading of purpose of return value
- Mismatch between method signature and behavior
- System exception swallowed without logging
- Inconsistent use of error codes and exceptions
- Unprofessional logging / error message useless

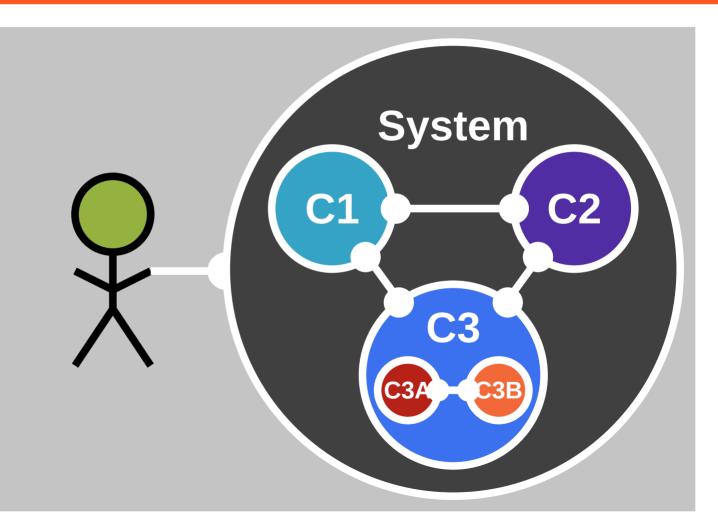
Dependability and Fault Tolerance

If bugs are inevitable, how to handle them?

System Model

Terminology

- System
- Correct service
- Incorrect service
- Component
- Boundary
- Interface
- Structure
- Behavior
- State
- User



Fault

- A fault
 - Is a condition that can cause an error
 - A fault is active, if it causes an error
 - A fault is dormant, if it has not yet caused an error
 - Can be classified by eight independent dimensions
- A software fault (the "common bug")
 - Is always a development, internal, human-made fault
 - Is typically non-malicious, non-deliberate

Error

An error

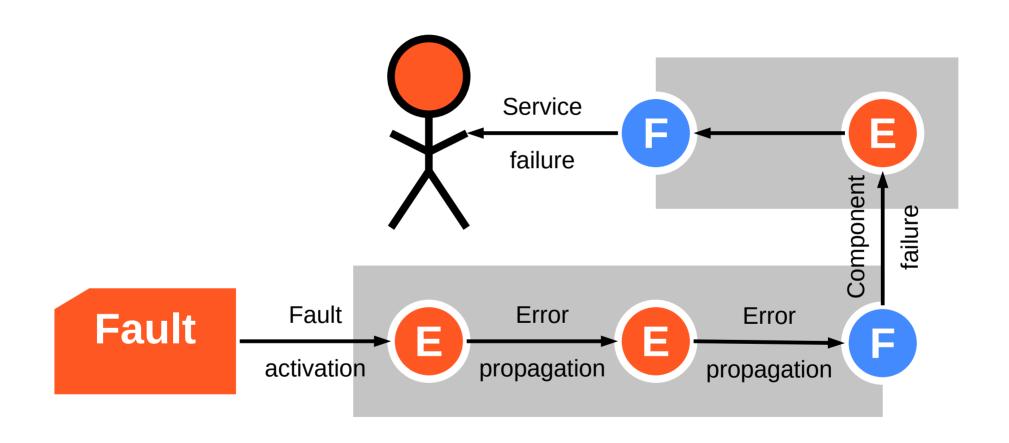
- Is a state of the system that may lead to a failure
- Has been detected, if it has been indicated by error message or signal
 - An error is **latent**, if it has not been detected
- Can be categorized by the failures it may cause

Failure

A failure

- Is an event that transitions the system from correct to incorrect service
- Has a (failure) mode
 - Can be categorized by four independent dimensions
 - Domain (content, early timing, late timing, halt, and erratic failures)
 - Detectability (signaled and unsignaled failures)
 - Consistency (consistent and inconsistent failures)
 - Consequences (minor to catastrophic failures)
 - Can be ranked by severity (consequences)

Process Leading to Service Failure



Process Leading to Error Handling

- 1. Detection
- 2. Signaling
- 3. Handling

Error Detection

- If an error is an incorrect state of a component, how to detect it?
 - You can't (in normal programming)
- But you can detect a failure to deliver the promised service
 - How? Using design by contract!
- Error detection = recognizing inability to provide service
 - Failing preconditions, class invariants, or post conditions
- Don't think in error states, think in failure to provide service
 - Remember: No need for defensive programming

Examples of Error Detection

```
public void insert(int i, String c) {
  // assert preconditions
  assertIsValidIndex(i, getNoComponents() + 1);
  assertIsNonNullArgument(c);
  // prepare assertion of postconditions
  int oldNoComponents = getNoComponents();
  doInsert(i, c);
  // assert postconditions
  assert (oldNoComponents + 1) == getNoComponents() : "...";
  assertClassInvariants();
```

Error Capture / Representation

- Information to be captured
 - Error ID
 - Error type
 - Source objects
 - Affected objects
 - Explanatory message
- Representation of information
 - Error codes
 - Error objects
 - Exception objects

Examples of Error Representation

```
protected void assertIsValidIndex(int i) throws IndexOutOf... {
  if ((i < 0) \mid | (i >= getNoComponents())) {
    throw new IndexOutOfBoundsException("invalid index = " + i);
public class RegExpParseException extends ParseException {
  protected String regExp = "";
  public RegExpParseException(String msg, String exp, int offset) {
    super(msg, offset);
    regExp = exp;
  public String getRegExp() {
    return regExp;
```

Error Logging

- Possibly log the error information using system logger
 - May be helpful in case (poor) using code drops the error
 - Be slow to make assumptions about context
- Using the (system) logger
 - Write error object to appropriate logging level
 - Further functionality depends on the logger

Error Signaling

- A detected error needs to be (logged and) signaled
- Transitions the system from normal to abnormal program state

Normal vs. Abnormal Program State

- Normal program state (NPS)
 - Method performs its duties
 - Control flow returns to caller via return statement.
- Abnormal program state (APS)
 - Method failed to provide service
 - Control flow returns to caller
 - Via return error code
 - Via thrown exception

Methods for Error Signaling

- Using normal control flow (via return)
 - Error information can be passed using
 - Return value
 - Method argument
 - Mailbox object
- Using abnormal control flow (via raising an exception)
 - Error information is passed using
 - Exception object as part of raised exception

Exercise for Error Detection and Signaling

How to implement a basic buffer read method?

Solution Using Error Codes

```
public class File {
 public static final int NO_ERROR = 0;
 public static final int ERROR END OF FILE = 1;
 public static final int ERROR PARITY = 2;
 public int readBytes(Buffer buf, int no) {
   while (no-- >= 0) {
     int err = readByte(buf);
     if (err != 0) return err;
    return 0;
 protected int readByte(Buffer buf) {
   if (handle.isEOF()) return ERROR END OF FILE;
   byte next = handle.getNextByte();
   boolean parity = handle.getParity();
   if (parity != calcParity(next) return ERROR_PARITY;
   buf.add(next);
    return NO ERROR;
```

Solution Using Exceptions

```
public class File {
  public byte[] readBytes(int no) throws IOException {
    byte[] buffer = new byte[no];
    for(int i = 0; i < no; i++) {
      byte next = readByte();
     buffer[i] = next;
    return buffer;
  protected byte readByte() throws IOException {
    if (handle.isEOF()) throw new EOFException(...);
    byte next = handle.getNextByte();
    boolean parity = handle.getParity();
    if (parity != calcParity(next)) throw new IOException(...);
    return next;
```

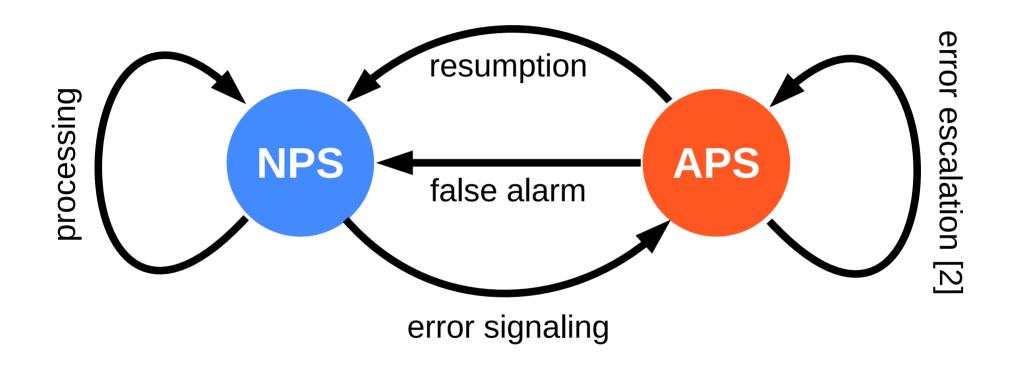
Error Code Conventions

- 0 typically indicates "no error"
- -1 typically indicates a generic error
- 1..onwards indicate specific errors

Error Codes vs. Exceptions

- Error codes are a poor error signaling mechanism
 - Mix normal with abnormal program state code
 - Separate error signal code from error information object
 - In Java, avoid using error codes if possible
- Exceptions were designed for error signaling
 - They separate normal from abnormal program state
 - Specifically support passing error information in exception object
 - Corollary: Don't use exceptions to make a regular return

Error Handling State Model [M92] [1]



Error Handling

- 1. False alarm
- 2. Resumption
- 3. Escalation

Exercise of Error Handling

How to handle error signaled by File component?

Solution Using Exception Handling

```
public class Document {
 protected byte[] buffer;
 public void loadFromFile(File file) throws DocumentException {
   int no = file.getLength();
   buffer = new byte[no];
   int tries = 0;
   for(int i = 0; (i < no) && (tries < 3); i++) {
     trv {
       buffer[i] = file.readBytes();
      } catch(EOFException eofex) {
        throw new DocumentException(..., eofex);
      } catch(IOException iex) {
       tries++;
   if (tries == 3) {
      throw new DocumentException(...);
```

Error Escalation ("Organized Panic")

Error escalation

- Is the process of cleaning-up and delegating error handling to caller
- Basically, your code has exhausted its options and gives up

Steps in Error Escalation

Clean-up

- Always leave the current component in a viable state
 - Make sure you restore class and component invariants
- Restore and/or release relevant resources
 - Use finally block in exception handling to ensure this

Escalation

- Enhance original error information with new insights
 - Do not hide your attempts to handle the error
- Typically, chain exceptions
 - Attach prior error information (exception) to new one

Checked vs. Unchecked Exceptions [DR]

Checked exceptions

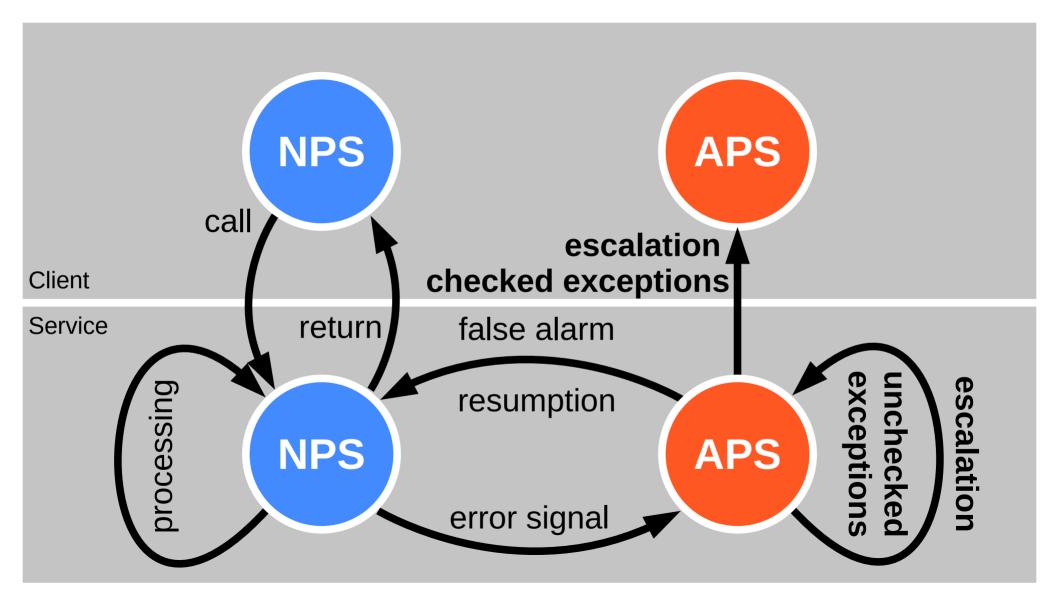
- Are exceptions that must be declared in a method signature
- Are intended to force user to take notice of the exception
- Works well if error handling code is close to where exception was raised
- Are a pain to handle if code is far removed from origin of exception
- Use checked exceptions (or error codes) in component interface

Unchecked exceptions

- Are exceptions that don't need to be declared
- Are intended to pass through client code by default
- May make you miss an error signal that you should have handled
- Are the only way to not complete clutter your component code
- Use unchecked exceptions only within your component

Component Failure

- Error signals
 - Are part of the component interface
 - Should be specific to the component
 - Use only checked exceptions in interface
- Do not let an unchecked exception escape



Methods for Failure Signaling

- Failure clean-up
 - Like clean-up for error signaling
 - Restore invariants
 - Release resources
- Failure escalation
 - Like error escalation but
 - Catch all unchecked exceptions
 - Escalate using checked exception
 - Provide exception chain with new one

Exercise for Component Failure

How to handle an ObjectManager failure (to load an object)?

Solution to Component Failure Exercise

Within component

- Error detection
 - Catch error signal from storage layer (file or database exceptions)
 - Handle error to the extent possible; eventually, give up
- Error signaling
 - Capture prior error signal; create new unchecked exception
 - Throw exception about inability to load object
- Error escalation
 - If method can handle exception, do so
 - If not, let the exception pass through
- At component boundary
 - Capture internal error signal, wrap it in component-specific exception
 - Throw checked exception about component failure to environment

Service Failure (User Interface)

- A service failure
 - Is a component failure with the user as the client
 - User interface is the final system boundary
- Handling a service failure
 - Log the service failure (error)
 - Don't throw a checked exception
 - Convert the error into human-readable form and display it

Handling Faulty Components

- Well-behaved (but faulty) components
 - Follow error handling strategy as discussed
- Component of unclear quality
 - Wrap component in defensive code
 - Follow error handling strategy as discussed

Final Example of Raising an Exception [S11]

```
Exception up = new Exception("Something is wrong.");
throw up; // ha ha
```

Review / Summary of Session

- Error handling
 - System structure: Class, component, service
 - Terminology: Fault, error, exception
- Error handling processes
 - Detection, signaling, handling
 - Life-cycle model of processing state

Thank you! Questions?

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