

## **Problem II. By Ahmedul Kabir and Prof. Ruiz**

### **a. Pseudocode for Offline Caching problem**

```
FurthestInFuture(<r1, r2, ... rn>, k):  
    for i = 1 to n  
        if ri is in cache:  
            then print "Cache Hit"  
        else  
            print "Cache Miss"  
            if cache is not full  
                Add ri to cache  
            else (if cache is full)  
                // Find element in cache with furthest distance  
                distfurthest = 0  
                for j = 1 to k  
                    p = i + 1 // p is an index on the list of requests  
                    Keep increasing p until rp == cache[j]  
                    if p reaches end of sequence without finding rp  
                        then  
                            distj = infinity  
                            furthest = j  
                            break from the inner for loop  
                else  
                    distj = p - i  
                if distj > distfurthest  
                    then  
                        furthest = j  
                        distfurthest = distj  
  
                Evict Cache[furthest]  
                Add ri to cache
```

Kabir's Python implementation of this pseudo-code is available at:

<http://web.cs.wpi.edu/~cs2223/b13/HW/HW5/Solutions>

## Running time of the algorithm

The outer loop runs  $n$  times, and the inner loop  $k$  times. For each iteration of the inner loop, we may have to traverse  $(n - i)$  items at worst. So the running time is

$$k \sum_{i=1}^n (n - i) = \frac{kn(n-1)}{2} = O(kn^2).$$

### b. The off-line caching problem exhibits optimal substructure.

A problem exhibits optimal substructure if an optimal solution to the problem contains within it optimal solutions to subproblems.

Let  $R = \{r_1, r_2, \dots, r_n\}$  be a list of requests, and  $k$  the cache of size. Let also  $E = \{e_1, e_2, \dots, e_n\}$  be a list of evictions, where each  $e_i$  is either “cache hit”, if  $r_i$  is in the cache at time  $i$ , or the name of the cache element to be evicted at time  $i$  because of a cache miss occurred at that time. Let's assume that  $E$  is optimal for  $R$  and  $k$ . That is,  $E$  contains the minimum possible number of evictions (= cache misses) for the list of requests  $R$  and a cache of size  $k$ .

In order to show that the off-line caching problem exhibits optimal substructure, we need to show that any subsequence of  $E$ ,  $E_i = \{e_i, e_{i+1}, \dots, e_n\}$  is optimal for the sublist of requests  $R_i = \{r_i, r_{i+1}, \dots, r_n\}$  of  $R$  starting with the cache content at time  $i$ . It suffices to show that this is true for  $i$  equal to the time when the first cache miss occurred, and then apply the same argument for other cache misses afterwards. So let  $i$ ,  $1 \leq i \leq n$ , be the first time when a cache miss occurred. Assume by way of contradiction that the solution  $E_i = \{e_i, e_{i+1}, \dots, e_n\}$  is NOT optimal for the sublist of requests  $R_i = \{r_i, r_{i+1}, \dots, r_n\}$  starting with the cache content at time  $i$  right when the cache miss was detected. Hence, there must be another solution  $O = \{o_i, o_{i+1}, \dots, o_n\}$  which incurs in fewer cache misses on  $R_i = \{r_i, r_{i+1}, \dots, r_n\}$  than  $E_i$ , starting with the same cache content that  $E_i$  does at time  $i$ . In that case, the list of evictions  $New = \{e_1, e_2, \dots, e_{i-1}, o_i, o_{i+1}, \dots, o_n\}$  is a solution for  $R$  with fewer cache misses than  $E$ . This is a contradiction, as  $E$  is optimal for  $R$ . Hence, as claimed,  $E_i$  is optimal for  $R_i$ .

- c. The furthest-in-future strategy produces the minimum possible number of cache misses. See a proof of this fact in the slides of Chapter 4 of Jon Kleinberg's and Éva Tardos' Algorithm Design textbook. Available at Prof. Kevin Wayne's Algorithms Course Webpage at Princeton University. See Greedy Algorithms Part I slides 34-43 at <http://www.cs.princeton.edu/~wayne/kleinberg-tardos/pdf/04GreedyAlgorithmsI.pdf>.