

Longest Common Subsequence (LCS) – DP case study 3

Problem statement:

Input: Sequences

$$X_m = \langle x_1, x_2, x_3, \dots, x_m \rangle$$

$$Y_n = \langle y_1, y_2, \dots, y_n \rangle$$

Output: longest common subsequence (LCS) of X_m and Y_n

LCS

Terminology

1. Sequence, e.g.

- ▶ $X_7 = \langle A, B, C, B, D, A, B \rangle$
- ▶ ALGORITHM

2. Subsequence, e.g.

- ▶ $\langle A, C, D, B \rangle$ is a subsequence of X
- ▶ ART is a subsequence ALGORITHM

3. Common subsequence, e.g.

- ▶ Given $X_7 = \langle A, B, C, B, D, A, B \rangle$
 $Y_6 = \langle B, D, C, A, B, A \rangle$
- ▶ $Z_3 = \langle B, C, A \rangle$ is a common subsequence of X_7 and Y_6
- ▶ $Z_4 = \langle B, C, B, A \rangle$ is also a common subsequence of X_7 and Y_6

4. Longest common subsequence (LCS), e.g.

- ▶ Z_4 is a longest common subsequence (LCS) of X_7 and Y_6
- ▶ LCS is not unique, $\langle B, C, A, B \rangle$ is also a LCS.

LCS

DP – step 1: *characterize the structure of an optimal solution*

Let $Z_k = \langle z_1, z_2, \dots, z_k \rangle$ be any LCS of

$$X_m = \langle x_1, x_2, \dots, x_m \rangle \quad \text{and} \quad Y_n = \langle y_1, \dots, y_n \rangle$$

Then

► **Case 1.** If $x_m = y_n$, then

(a) $z_k = x_m = y_n$

(b) $Z_{k-1} = \langle z_1, z_2, \dots, z_{k-1} \rangle = \text{LCS}(X_{m-1}, Y_{n-1})$

► **Case 2.** If $x_m \neq y_n$, then

(a) $z_k \neq x_m \implies Z_k = \text{LCS}(X_{m-1}, Y_n)$

(b) $z_k \neq y_n \implies Z_k = \text{LCS}(X_m, Y_{n-1})$

LCS

DP – step 2: *recursively define the value of an optimal solution*

- ▶ Define

$$c[i, j] = \text{length of LCS}(X_i, Y_j)$$

- ▶ $c[m, n] = \text{length of LCS}(X_m, Y_n)$
- ▶ $c[i, 0] = c[0, j] = 0$ for initialization
- ▶ By **Case 1** of the optimal structure property: if $x_i = y_j$, then

$$(a) \ z_\ell = x_i = y_j$$

$$(b) \ Z_{\ell-1} = \langle z_1, z_2, \dots, z_{\ell-1} \rangle = \text{LCS}(X_{i-1}, Y_{j-1})$$

we have

$$c[i, j] = c[i-1, j-1] + 1$$

- ▶ By **Case 2** of the optimal structure property: if $x_i \neq y_j$, then

$$(a) \ z_\ell \neq x_i \implies Z_\ell = \text{LCS}(X_{i-1}, Y_j)$$

$$(b) \ z_\ell \neq y_j \implies Z_\ell = \text{LCS}(X_i, Y_{j-1})$$

we have

$$c[i, j] = \max\{c[i, j-1], c[i-1, j]\}$$

LCS

- In summary,

$$c[i, j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 \text{ (initials)} \\ c[i - 1, j - 1] + 1 & \text{if } x[i] = y[j] \text{ (Case 1)} \\ \max\{c[i, j - 1], c[i - 1, j]\} & \text{if } x[i] \neq y[j] \text{ (Case 2)} \end{cases}$$

LCS

DP – step 3: *compute $c[i, j]$ (and $b[i, j]$) in a bottom-up approach*

- ▶ Compute $c[i, j]$ and $b[i, j]$ in a **bottom-up approach**.
 - ▶ $c[i, j]$ is the length of $\text{LCS}(X_i, Y_j)$
 - ▶ $b[i, j]$ shows how to construct the corresponding $\text{LCS}(X_i, Y_j)$
- ▶ Cost:
 - ▶ Running time: $\Theta(mn)$
 - ▶ Space: $\Theta(mn)$

LCS

```
LCS-length(X,Y)
set c[i,0] = 0 and c[0,j] = 0
for i = 1 to m // Row-major order to compute c and b arrays
    for j = 1 to n
        if X(i) = Y(j)
            c[i,j] = c[i-1,j-1] + 1
            b[i,j] = 'Diag'          // go to up diagonal
        elseif c[i-1,j] >= c[i,j-1]
            c[i,j] = c[i-1,j]
            b[i,j] = 'Up'           // go up
        else
            c[i,j] = c[i,j-1]
            b[i,j] = 'Left'         // go left
        endif
    endfor
endfor
return c and b
```

LCS

DP – step 4: *construct an optimal solution from computed information*

LCS

Example: $X_7 = \langle A, B, C, B, D, A, B \rangle$ and $Y_6 = \langle B, D, C, A, B, A \rangle$

LCS

Example: $X_7 = \langle A, B, C, B, D, A, B \rangle$ and $Y_6 = \langle B, D, C, A, B, A \rangle$

$c[\cdot, \cdot] + b[\cdot, \cdot] :$

		j	0	1	2	3	4	5	6
		y_j		B	D	C	A	B	A
0	x_i		0	0	0	0	0	0	0
1	A		0	↑	↑	↑	↖	←	↖
2	B		0	↖	↖	←	↑	↖	←
3	C		0	↑	↑	↖	←	↑	↑
4	B		0	↖	↑	↑	↑	↖	←
5	D		0	↑	↖	↑	↑	↑	↑
6	A		0	↑	↑	↑	↖	↑	↖
7	B		0	↖	↑	↑	↑	↖	↑

(1) Length of LCS = $c[7, 6] = 4$

(2) By the b-table (“↑, ←, ↖”), the LCS is $B C B A$