Algorithmics

Éric Renault — Qin Wei

Organization

- Tutorial 1 14/12, 14h-17h30 INT A07.01
 - Recursion
 - Stacks
- Tutorial 2 04/01, 14h-17h30 INT A07.01
 - Queues
- Tutorial 3 11/01, 14h-17h30 INT A07.01
 - Lists
 - Sorted lists
- Tutorial 4 18/01, 14h-17h30 INT A07.01
 - Binary trees
- Tutorial 5 25/01, 14h-17h30 INT A07.01
 - Binary Search Trees
 - Trees
- Tutorial 6 01/02, 14h-17h30 INT A07.01
 - Sorts

Algorithmics

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Contents

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- Recursion
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- Lists
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- Binary Trees
- Binary Search Trees
- General Trees
- Sorts

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Introduction

- This course does not aim at doing C again
- It aims at presenting:
 - concepts to perform efficiently classical operations
 - important data structures
- The most important here is not syntax but semantic

Pointers

- Usually, when one wants to specify a variable, one provides the value of the variable
- This implies two main drawbacks:
 - it takes lots of time to copy large-size variables
 - as a copy is provided, modification on the copy are not forwarded to the original
- A solution is to use pointers:
 - no extra copy and the size of a pointer is very small
 - modifications are performed on the original information

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Implementation

Three different programming styles:

Functional

```
type function ( type data, parameters... )
```

Procedural

```
void function ( type * data, parameters... )
```

Object

```
void type :: function ( parameters... )
```

Concepts are presented using the functional style

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Definition

Definition:

The recursion is the ability for a function to call itself

Why?

Some problems are easier to understand this way

Problem:

One must be very careful as it is very easy to create programs which never stop

Examples

Some famous mathematic series are defined this way:

RECURSION

■ The Fibonacci series:

$$F_0 = F_1 = 1$$

$$F_{n+2} = F_{n+1} + F_n \quad \forall n \in \mathbb{N}$$

■ The series used for the Syracuse conjecture :

$$u_0 = k \quad k \in \mathbb{N}$$

$$u_{n+1} = \begin{cases} u_n/2 & \text{if } u_n \text{ is an even number} \\ 3 \times u_n + 1 & \text{if } u_n \text{ is an odd number} \end{cases}$$

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Never-ending programs avoidance?

- When writing a recursive function, first introduce the stop conditions and then operations to perform
- A typical recursive function should look like :

```
type my_function ( types my_args ) {
   if ( a_stop_condition )
      return ...;

   do_the_job ...;

return ...;
}
```

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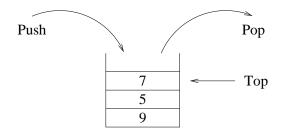
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STACKS

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Definition

- Set of elements of the same type
- The number of elements varies and is finite (≥ 0)
- LIFO (Last In First Out) management



Operations

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```
stack new ( ) ;
```

boolean is_empty (stack) ;

■ stack push (stack, type) ;

■ stack pop (stack) ;

 \blacksquare type top (stack) ;

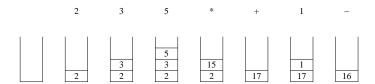
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■ Evaluation of mathematics expressions : example of a postfix expression (reverse-polish notation)



■ Execution of recursive functions: parameters and local variables are stored on the application stack

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Array representation

```
# define MAX 10

typedef struct {
  type value [ MAX ] ;
  int top ;
} stack ;
```

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Pointer representation

```
struct element {
  type value ;
  struct element * next ;
};

typedef struct element * stack ;

stack s ;
```

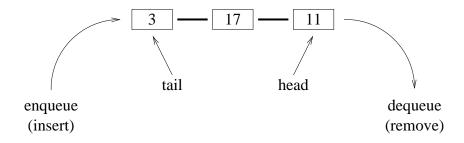
QUEUES

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Definition

- Set of elements of the same type
- The number of elements varies and is finite (≥ 0)
- FIFO (First In First Out) management



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Operations

```
queue new ( ) ;
boolean is_empty ( queue ) ;
queue enqueue ( queue, type ) ;
queue dequeue ( queue ) ;
type head ( queue ) ;
```

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Applications

System programming:

- Shared printers management
- Processor allocation to executing programs
 - → Queue with priority

Complex data-structure path:

■ Width first course

Array representation

```
# define MAX 10

typedef struct {
  type value [ MAX ] ;
  int head, tail ;
} queue ;

queue q ;
```

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Pointer representation

```
struct element {
  type value ;
  struct element * next ;
};

typedef struct {
  struct element * head, * tail ;
} queue ;

queue q ;
```

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LISTS

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Definition

Definition:

- Set of elements of the same type
- The number of elements varies and is finite (≥ 0)
- No order

Caracteristics:

- Access to any element
- Positioning according to criteria
- Access / insert / remove an element

Operations

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```
list new ( );
boolean is_empty ( list );
int size ( list );
list enlist ( list, type );
list delist ( list );
type value ( list );
list next ( list );
```

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Research in a set of values:

O(n) or $O(\log_2 n)$, depends upon the implementat.

Polynomial representation:

- a polynomial is a list of monomial
- a monomial is a couple (coef, degree)

Text representation:

- a text is a list of lines
- a line is a list of words

Representation of the structure of a graph

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Mono-directional representation

```
struct element {
   type value ;
   struct element * next ;
};

typedef struct element * list ;

list l ;
```

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Bi-directional representation

```
struct element {
  type value ;
  struct element * next, * previous ;
};

typedef struct {
  struct element * first, * last ;
} list ;
```

SORTED LISTS

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Definition

- A sorted list is a list where the order of elements is the order of the values stored in the list
- It is considered a value is never stored twice
 - \rightarrow This is always possible

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Operations

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Research in a sorted list

Input:

 \blacksquare a sorted list l and a value v

Output:

a boolean: true if v is in l and false in the other case

Algorithm:

- \blacksquare Try each element v' in l until one of these conditions is true:
 - 1. the end of the list \rightarrow not found
 - 2. $v' > v \rightarrow \text{not found}$
 - 3. $v' = v \rightarrow \text{found}$

These operations are specific to sorted lists:

```
■ list enlist ( list, type ) ;
■ list delist ( list, type ) ;
boolean is_element ( list, type );
    elements_perform ( list, function );
```

BINARY TREES

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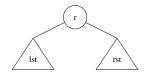
Definition

Definition:

- Set of elements (called "nodes") of the same type
- The number of elements varies and is finite (≥ 0)

A binary tree is:

- \blacksquare empty : a = ()
- composed of one root r and two sub-trees lsb and rsb: a = (r, lst, rsb)



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Some definitions

Arity: number of non-empty sub-trees

the arity of a leaf is 0

Path: sequence of nodes $(n_0, n_1, ..., n_p)$ where n_{i-1} is the parent of n_i

 \blacksquare p is the length of the path

Level (or height) of a node: the length from the root to that node

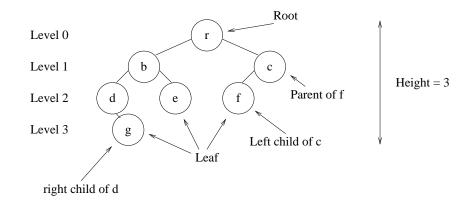
• the level of the root is 0

Height of a tree: the maximum height for all nodes

■ as a definition, the height of an empty tree is -1

Structure

$$a = (r, (b, (d, (), (g, (), ())), (e, (), ())), (c, (f, (), ())))$$



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Operations

- btree new () ;
- boolean is_empty (btree) ;
- type root (btree) ;
- btree build (type, btree, btree) ;
- btree left (btree) ;
- btree right (btree) ;
- boolean is_element (btree, type);

Representation of arithmetics expressions:

■ Various way to run over the binary tree

Compression methods:

- Quad-tree
- Huffman code

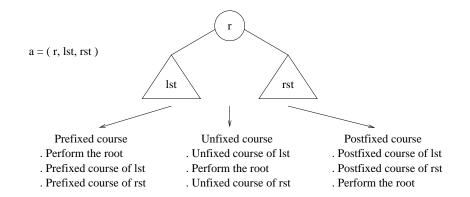
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Depth first course

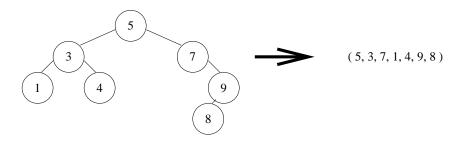


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Width first course

Principle:

- The tree is ran over level after level
- Each level is ran over from left to right



Array representation

```
# define MAX 10

typedef struct {
   type value ;
   int free ;
} btree [ MAX ] ;

btree b ;

lst and rst of node i are 2i and 2i + 1
```

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Pointer representation

```
struct element {
  type value ;
  struct element * left, * right ;
};

typedef struct element * btree ;

btree b ;
```

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BINARY SEARCH TREES

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Definition

Definition:

A binary search tree (BST) is a binary tree in which nodes are organized according to the relation between the values of the tree

A binary search tree is:

- \blacksquare empty : a = ()
- \blacksquare not empty : a = (r, lst, rst)

Let v be the value of the root

- If lst is not empty, then lst is a BST and any value in lst is less than v
- If rst is not empty, then rst is a BST ans any value in rst is greater than v

Operations

```
bst add ( bst, type );
bst remove ( bst, type );
? MODE_run_over ( bst, function );
where MODE is:
```

- prefixed
- unfixed

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postfixed

Plus operations on binary trees

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Research in a set of values:

 $O(\log_2 n)$ in a binary search tree

Sort of a set of values:

Unfixed course of a binary search tree

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TREES

Research

```
boolean is_element ( bst b, type v ) {
  if ( is_empty ( b ) )
    return false ;
  if ( root ( b ) == v )
    return true ;
  if ( root ( b ) > v )
    return is_element ( left, v ) ;
  return is_element ( right, v ) ;
}
```

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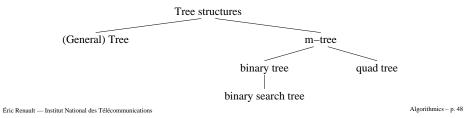
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Definition

Definition:

- Same as a binary tree except that the number of children is not limited to two
- An *m*-tree is a tree where nodes :
 - are empty
 - \blacksquare have exactly m children

Classification:



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Representation

- When two nodes have the same parent, they are "brothers"
- Any general tree may be stored using a binary tree.
 To do so, for each node :
 - the lst of the node in the binary tree is the first child of the node in the general tree
 - lacktriangleright the rst of the node in the binary tree is the next brother of the node in the general tree

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Applications

Filesystem:

■ the root, the nodes are the directories and the leaves are the regular files

Syntaxical analysis of a text:

syntaxic tree

Representation digitalized BW images:

quad-tree (4-tree)

Database with index:

balanced trees (btrees)

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Definition

- Sorting is the action to order elements according the an order relation (typically like ≥ for numbers)
- Two kinds of methods:
 - internal methods: bubble sort, sort by insertion, quick sort...
 - external methods : merge sort...

SORTS

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Bubble sort

- Suppose elements are stored in a vertical array
- Elements with the smallest keys are lighter and, like bubbles, are moving to the surface
- One performs successive passage on the array from bottom to top
- At each step, if two elements are not in the correct order, they are swapped
- At the end of the first passage, the element at the top is the lightest one; at the end of the second passage, the second element at the top is the second lightest one...
- Complexity : $O(n^2/2)$

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Quick sort

- At each step, choose a pivot
- Then reorganize the list so as to have elements smaller than the pivot on the left and larger ones on the right
- Note that the best pivot is the one that separates the list of elements in two sub-lists of the same size
- Then take each sub-list and restart the operation
- The sort is locally completed when a list is composed of 1 or 2 elements
- **Complexity** : $O(n \log n)$

Sort by insertion

- During step i, the i-th element is inserted at the good position between elements 1 and i-1
- After step *i*, each element between 1 and *i* are sorted. This is called the "invariant"
- Complexity : $O(n^2/2)$

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Merge sort

- This is a external method
- Suppose two arrays have been sorted using an internal method
- It is not necessary to re-sort both arrays
- The result can be obtained by removing the element which is the smallest regarding both arrays and repeating the operation until both arrays are empty
- **Complexity** : O(n+m)

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