

NORTHEASTERN UNIVERSITY, DATA MINING TECHNIQUES - CS6220  
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## Solutions to Homework 2, Part 2

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# 1. K-Means

**Solution:**

- **Iteration 1:**

The initial centroids are  $C_1 = (2, 10)$   $C_2 = (1, 2)$   $C_3 = (5, 8)$ . Let  $D(C_i)$  represent the **euclidean** distance between the respective point and the  $i^{th}$  centroid.

The  $E$ -step:

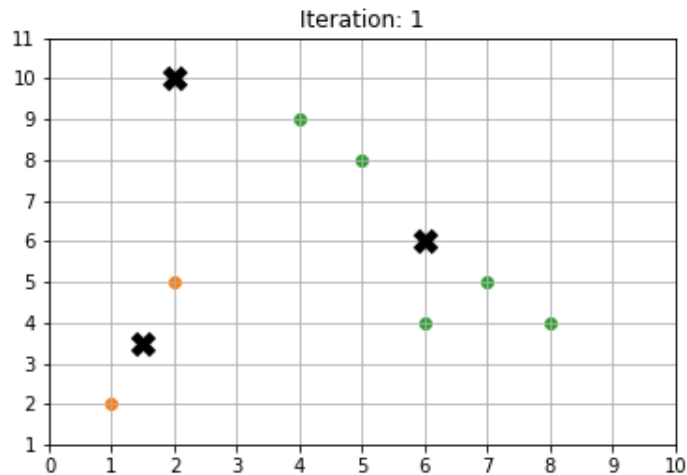
Data Point	$D(C_1)$	$D(C_2)$	$D(C_3)$	Optimal centroid
(4,9)	2.23606797749979	7.615773105863909	<b>1.4142135623730951</b>	$C_3$
(2,10)	<b>0.0</b>	8.06225774829855	3.605551275463989	$C_1$
(1,2)	8.06225774829855	<b>0.0</b>	7.211102550927978	$C_2$
(2,5)	5.0	<b>3.1622776601683795</b>	4.242640687119285	$C_2$
(6,4)	7.211102550927978	5.385164807134504	<b>4.123105625617661</b>	$C_3$
(8,4)	8.48528137423857	7.280109889280518	<b>5.0</b>	$C_3$
(7, 5)	7.0710678118654755	6.708203932499369	<b>3.605551275463989</b>	$C_3$
(5, 8)	3.605551275463989	7.211102550927978	<b>0.0</b>	$C_3$

The  $M$ -step:

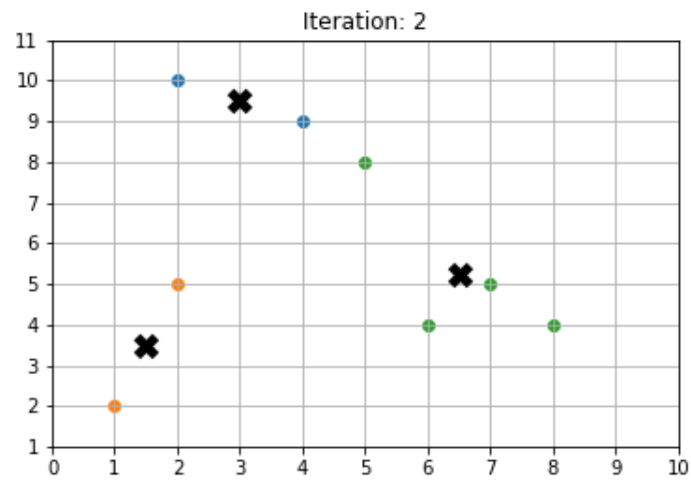
$$C_1 = \text{mean}[(2, 10)] = (2.0, 10.0)$$

$$C_2 = \text{mean}[(1, 2), (2, 5)] = (1.5, 3.5)$$

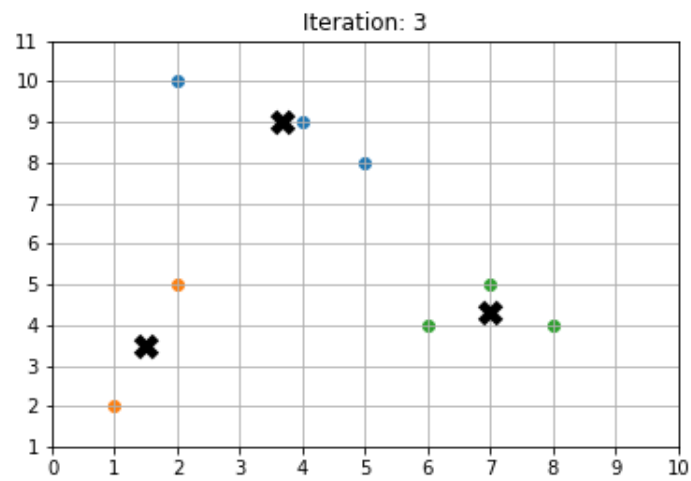
$$C_3 = \text{mean}[(4, 9), (6, 4), (8, 4), (7, 5), (5, 8)] = (6.0, 6.0)$$



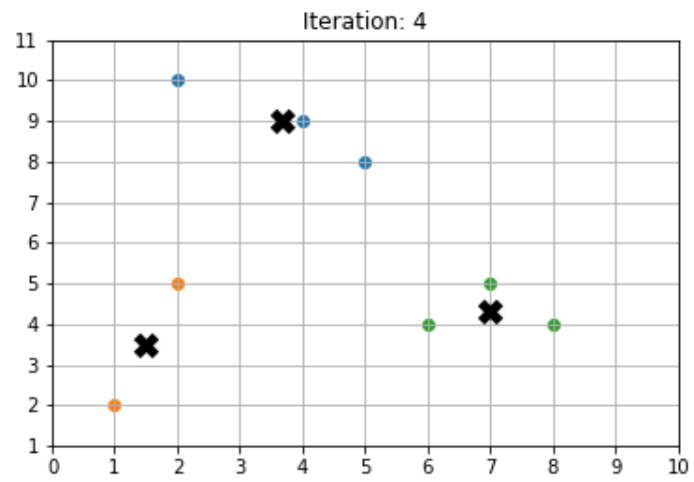
- Iteration 2:



- Iteration 3:



- Iteration 4:



## 2. Agglomerative Hierarchical

Solution:

### 1. Using MIN as an inter-cluster measure

Iteration 1:

	$p_1$	$p_2$	$p_3$	$p_4$	$p_5$	$p_6$
$p_1$						
$p_2$	0.2421					
$p_3$	0.2159	0.1523				
$p_4$	0.3677	0.1965	0.1581			
$p_5$	0.3418	0.1334	0.2846	0.2842		
$p_6$	0.2354	0.2530	<b>0.1020</b>	0.2195	0.3860	

Cluster 1: {3, 6}

- $d(\{1\}, \{3, 6\}) = \min(d(\{1\}, \{3\}), d(\{1\}, \{6\})) = d(\{1\}, \{3\})$
- $d(\{2\}, \{3, 6\}) = \min(d(\{2\}, \{3\}), d(\{2\}, \{6\})) = d(\{2\}, \{3\})$
- $d(\{4\}, \{3, 6\}) = \min(d(\{4\}, \{3\}), d(\{4\}, \{6\})) = d(\{4\}, \{3\})$
- $d(\{5\}, \{3, 6\}) = \min(d(\{5\}, \{3\}), d(\{5\}, \{6\})) = d(\{5\}, \{3\})$

Iteration 2:

	$p_1$	$p_2$	$p_3$	$p_4$	$p_5$	$p_6$
$p_1$						
$p_2$	0.2421					
$p_3$	0.2159	0.1523				
$p_4$	0.3677	0.1965	0.1581			
$p_5$	0.3418	<b>0.1334</b>	0.2846	0.2842		
$p_6$	<b>0.2354</b>	<b>0.2530</b>	<b>0.1020</b>	<b>0.2195</b>	<b>0.3860</b>	

Cluster 1: {3, 6}

Cluster 2: {2, 5}

- $d(\{1\}, \{2, 5\}) = \min(d(\{1\}, \{2\}), d(\{1\}, \{5\})) = d(\{1\}, \{2\})$
- $d(\{4\}, \{2, 5\}) = \min(d(\{4\}, \{2\}), d(\{4\}, \{5\})) = d(\{4\}, \{2\})$

- $d(\{3,6\},\{2,5\}) = \min(d(\{3\},\{2\}), d(\{3\},\{5\}), d(\{6\},\{2\}), d(\{6\},\{5\}))$   
 $= d(\{3\},\{2\})$

**Iteration 3:**

	$p_1$	$p_2$	$p_3$	$p_4$	$p_5$	$p_6$
$p_1$						
$p_2$	0.2421					
$p_3$	0.2159	<b>0.1523</b>				
$p_4$	0.3677	0.1965	0.1581			
$p_5$	0.3418	0.1334	0.2846	0.2842		
$p_6$	0.2354	0.2530	0.1020	0.2195	0.3860	

Cluster 1: {3, 6}

Cluster 2: {2, 5}

Cluster 3: {{2, 5}, {3, 6}}

- $d(\{2, 5, 3, 6\}, \{1\}) = \min(d\{2, 1\}, d\{5, 1\}, d\{3, 1\}, d\{6, 1\}) = d\{3, 1\}$
- $d(\{2, 5, 3, 6\}, \{4\}) = \min(d\{2, 4\}, d\{5, 4\}, d\{3, 4\}, d\{6, 4\}) = d\{3, 4\}$

**Iteration 4:**

	$p_1$	$p_2$	$p_3$	$p_4$	$p_5$	$p_6$
$p_1$						
$p_2$	<del>0.2421</del>					
$p_3$	0.2159	<del>0.1523</del>				
$p_4$	0.3677	0.1965	<b>0.1581</b>			
$p_5$	0.3418	0.1334	0.2846	0.2842		
$p_6$	0.2354	0.2530	0.1020	0.2195	0.3860	

Cluster 1: {3, 6}

Cluster 2: {2, 5}

Cluster 3: {{2, 5}, {3, 6}}

Cluster 4: {{2, 5, 3, 6}, {4}}

## 2. Using MAX as an inter-cluster measure

### Iteration 1:

	$p_1$	$p_2$	$p_3$	$p_4$	$p_5$	$p_6$
$p_1$						
$p_2$	0.2421					
$p_3$	0.2159	0.1523				
$p_4$	0.3677	0.1965	0.1581			
$p_5$	0.3418	0.1334	0.2846	0.2842		
$p_6$	0.2354	0.2530	<b>0.1020</b>	0.2195	0.3860	

Cluster 1: {3, 6}

- $d(\{1\}, \{3, 6\}) = \max(d(\{1\}, \{3\}), d(\{1\}, \{6\})) = d(\{1\}, \{6\})$
- $d(\{2\}, \{3, 6\}) = \max(d(\{2\}, \{3\}), d(\{2\}, \{6\})) = d(\{2\}, \{6\})$
- $d(\{4\}, \{3, 6\}) = \max(d(\{4\}, \{3\}), d(\{4\}, \{6\})) = d(\{4\}, \{6\})$
- $d(\{5\}, \{3, 6\}) = \max(d(\{5\}, \{3\}), d(\{5\}, \{6\})) = d(\{5\}, \{6\})$

### Iteration 2:

	$p_1$	$p_2$	$p_3$	$p_4$	$p_5$	$p_6$
$p_1$						
$p_2$	0.2421					
$p_3$	<del>0.2159</del>	<del>0.1523</del>				
$p_4$	0.3677	0.1965	<del>0.1581</del>			
$p_5$	0.3418	<b>0.1334</b>	<del>0.2846</del>	0.2842		
$p_6$	0.2354	0.2530	<del>0.1020</del>	0.2195	0.3860	

Cluster 1: {3, 6}

Cluster 2: {2, 5}

- $d(\{1\}, \{2, 5\}) = \max(d(\{1\}, \{2\}), d(\{1\}, \{5\})) = d(\{1\}, \{5\})$
- $d(\{4\}, \{2, 5\}) = \max(d(\{4\}, \{2\}), d(\{4\}, \{5\})) = d(\{4\}, \{5\})$
- $d(\{3, 6\}, \{2, 5\}) = \max(d(\{3\}, \{2\}), d(\{3\}, \{5\}), d(\{6\}, \{2\}), d(\{6\}, \{5\})) = d(\{6\}, \{5\})$

### Iteration 3:

	$p_1$	$p_2$	$p_3$	$p_4$	$p_5$	$p_6$
$p_1$						
$p_2$	<del>0.2421</del>					
$p_3$	<del>0.2159</del>	<del>0.1523</del>				
$p_4$	0.3677	<del>0.1965</del>	<del>0.1581</del>			
$p_5$	0.3418	<del>0.1334</del>	<del>0.2846</del>	0.2842		
$p_6$	0.2354	<del>0.2530</del>	<del>0.1020</del>	<b>0.2195</b>	0.3860	

Cluster 1: {3, 6}

Cluster 2: {2, 5}

Cluster 3: {{3, 6}, {4}}

- $d(\{1\}, \{3, 4, 6\}) = \max(d(\{1\}, \{3\}), d(\{1\}, \{4\}), d(\{1\}, \{6\})) = d(\{1\}, \{4\})$
- $d(\{2, 5\}, \{3, 4, 6\}) = \max(d(\{2\}, \{3\}), d(\{2\}, \{4\}), d(\{2\}, \{6\}), d(\{5\}, \{3\}), d(\{5\}, \{4\}), d(\{5\}, \{6\})) = d(\{5\}, \{6\})$

**Iteration 4:**

	$p_1$	$p_2$	$p_3$	$p_4$	$p_5$	$p_6$
$p_1$						
$p_2$	<del>0.2421</del>					
$p_3$	<del>0.2159</del>	<del>0.1523</del>				
$p_4$	0.3677	<del>0.1965</del>	<del>0.1581</del>			
$p_5$	<b>0.3418</b>	<del>0.1334</del>	<del>0.2846</del>	<del>0.2842</del>		
$p_6$	<del>0.2354</del>	<del>0.2530</del>	<del>0.1020</del>	<del>0.2195</del>	0.3860	

Cluster 1: {3, 6}

Cluster 2: {2, 5}

Cluster 3: {{3, 6}, {4}}

Cluster 4: {{2, 5}, {1}}

- $d(\{1\}, \{3, 4, 6\}) = \max(d(\{1\}, \{3\}), d(\{1\}, \{4\}), d(\{1\}, \{6\})) = d(\{1\}, \{4\})$
- $d(\{2, 5\}, \{3, 4, 6\}) = \max(d(\{2\}, \{3\}), d(\{2\}, \{4\}), d(\{2\}, \{6\}), d(\{5\}, \{3\}), d(\{5\}, \{4\}), d(\{5\}, \{6\})) = d(\{5\}, \{6\})$

**1. Using AVG as an inter-cluster measure**

**Iteration 1:**



	$p_1$	$p_2$	$p_3$	$p_4$	$p_5$	$p_6$
$p_1$						
$p_2$	0.2421					
$p_3$	0.2159	0.1523				
$p_4$	0.3677	0.1965	0.1581			
$p_5$	0.3418	0.1334	0.2846	0.2842		
$p_6$	0.2354	0.2530	<b>0.1020</b>	0.2195	0.3860	

Cluster 1:  $\{3, 6\}$

- $d(\{1\}, \{3, 6\}) = \text{avg}[d(\{1\}, \{3\}) + d(\{1\}, \{6\})] = 0.2256$
- $d(\{4\}, \{3, 6\}) = \text{avg}[d(\{4\}, \{3\}) + d(\{4\}, \{6\})] = 0.1888$
- $d(\{2\}, \{3, 6\}) = \text{avg}[d(\{2\}, \{3\}) + d(\{2\}, \{6\})] = 0.2026$
- $d(\{5\}, \{3, 6\}) = \text{avg}[d(\{5\}, \{3\}) + d(\{5\}, \{6\})] = 0.3353$

**Iteration 2:**

	$p_1$	$p_2$	$p_3, p_6$	$p_4$	$p_5$
$p_1$					
$p_2$	0.2421				
$p_3, p_6$	0.2256	0.2026			
$p_4$	0.3677	0.1965	0.1888		
$p_5$	0.3418	<b>0.1334</b>	0.3353	0.2842	

Cluster 1:  $\{3, 6\}$

Cluster 1:  $\{2, 5\}$

- $d(\{1\}, \{2, 5\}) = \text{avg}[d(\{1\}, \{2\}) + d(\{1\}, \{5\})] = 0.2919$
- $d(\{3, 6\}, \{2, 5\}) = \text{avg}[d(\{3, 6\}, \{2\}) + d(\{3, 6\}, \{5\})] = 0.2837$
- $d(\{4\}, \{2, 5\}) = \text{avg}[d(\{4\}, \{2\}) + d(\{4\}, \{5\})] = 0.2404$

**Iteration 3:**

	$p_1$	$p_2, p_5$	$p_3, p_6$	$p_4$
$p_1$				
$p_2, p_5$	0.2919			
$p_3, p_6$	0.2256	0.2185		
$p_4$	0.3677	0.2404	<b>0.1888</b>	

Cluster 1: {3, 6}

Cluster 2: {2, 5}

Cluster 3: {{3, 6}, {4}}

- $d(\{1\}, \{\{3, 6\}, \{4\}\}) = \text{avg}[d(\{1\}, \{3, 6\}) + d(\{1\}, \{4\})] = 0.2967$
- $d(\{2, 5\}, \{\{3, 6\}, \{4\}\}) = \text{avg}[d(\{2, 5\}, \{3, 6\}) + d(\{2, 5\}, \{4\})] = 0.2295$

**Iteration 4:**

	$p_1$	$p_2, p_5$	$p_3, p_6$
$p_1$			
$p_2, p_5$	0.2919		
$p_3, p_4, p_6$	0.2256	<b>0.2185</b>	

Cluster 1: {3, 6}

Cluster 2: {2, 5}

Cluster 3: {{3, 6}, {4}}

Cluster 4: {{3, 4, 6}, {2, 5}}

**Iteration 5:**

	$p_1$	$p_2, p_3, p_4, p_5, p_6$
$p_1$		
$p_2, p_3, p_4, p_5, p_6$	<b>0.2919</b>	

Cluster 1: {3, 6}

Cluster 2: {2, 5}

Cluster 3: {{3, 6}, {4}}

Cluster 4: {{3, 4, 6}, {2, 5}}

Cluster 5: {1, {{3, 4, 6}, {2, 5}}}

### 3. DBSCAN

**Solution:**