



Enhancing Microgrid Flexibility and Dynamic Performance with Inverter-based Resources (IBRs)

Presenter: Fangxing (Fran) Li, Buxin She

November 2, 2023

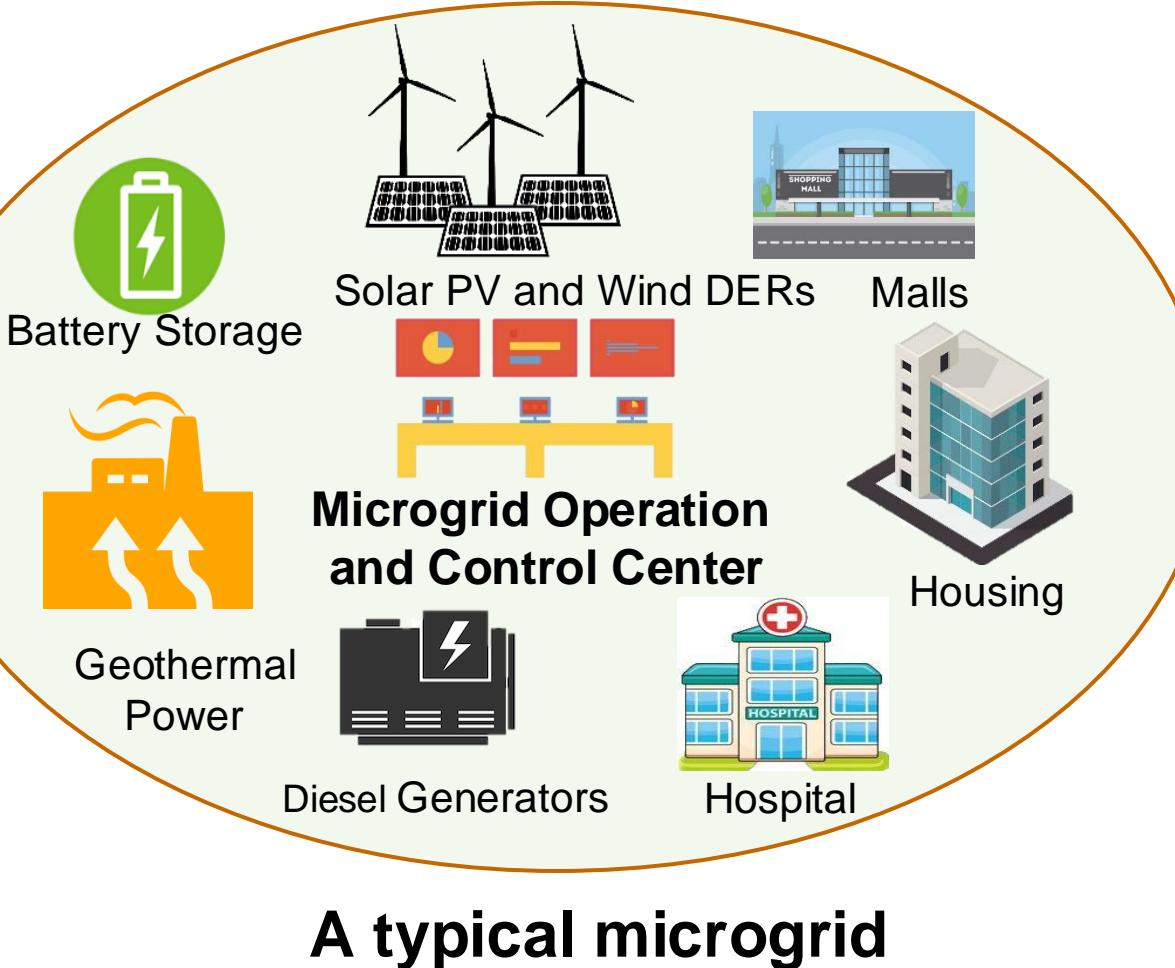
The University of Tennessee Knoxville



Contents

- **Introduction**
- **Inverter P-Q Control with Trajectory Tracking Capability Based on Physics-informed Reinforcement Learning**
- **Decentralized and Coordinated V-f Control Considering DER Inadequacy and Demand Control**
- **Virtual Inertia Scheduling for low inertia IBR-based Power Grids**
- **Take Aways**

Microgrid definition



Definition

- An integrated energy system composed of multiple **distributed energy resources (DERs)**, **energy storage systems**, and **local loads**, which can operate in either grid-connected mode or islanded mode.

Characteristic

- Small system size
- High penetration of **inverter-based resources (IBRs)**
- Low system inertia
- High R/X ratio of the feeders
- Strong voltage and frequency (V-f) coupling

Challenges and Opportunities

➤ Challenges

- Higher uncertainty
- Elements that are difficult to model
 - Customer behavior
 - Extreme weather
- Model and parameter accessibility/Privacy
- Faster dynamics of IBRs
- Requirement for improved resilience

➤ Opportunities

- Renewable Energy
- Flexibility and Controllability of IBRs
 - Address uncertainty
 - Provide grid dynamic support
 - Supply critical load
- Cutting-edge techniques
 - Deep learning
 - Reinforcement learning

Challenges and opportunities coexist in microgrids, and the key point is how we effectively manage the challenges and utilizing the existing resources.

High-level research map of microgrid control

★ Marks the presentation focus

① Operation mode

② Function grouping

③ Timescale

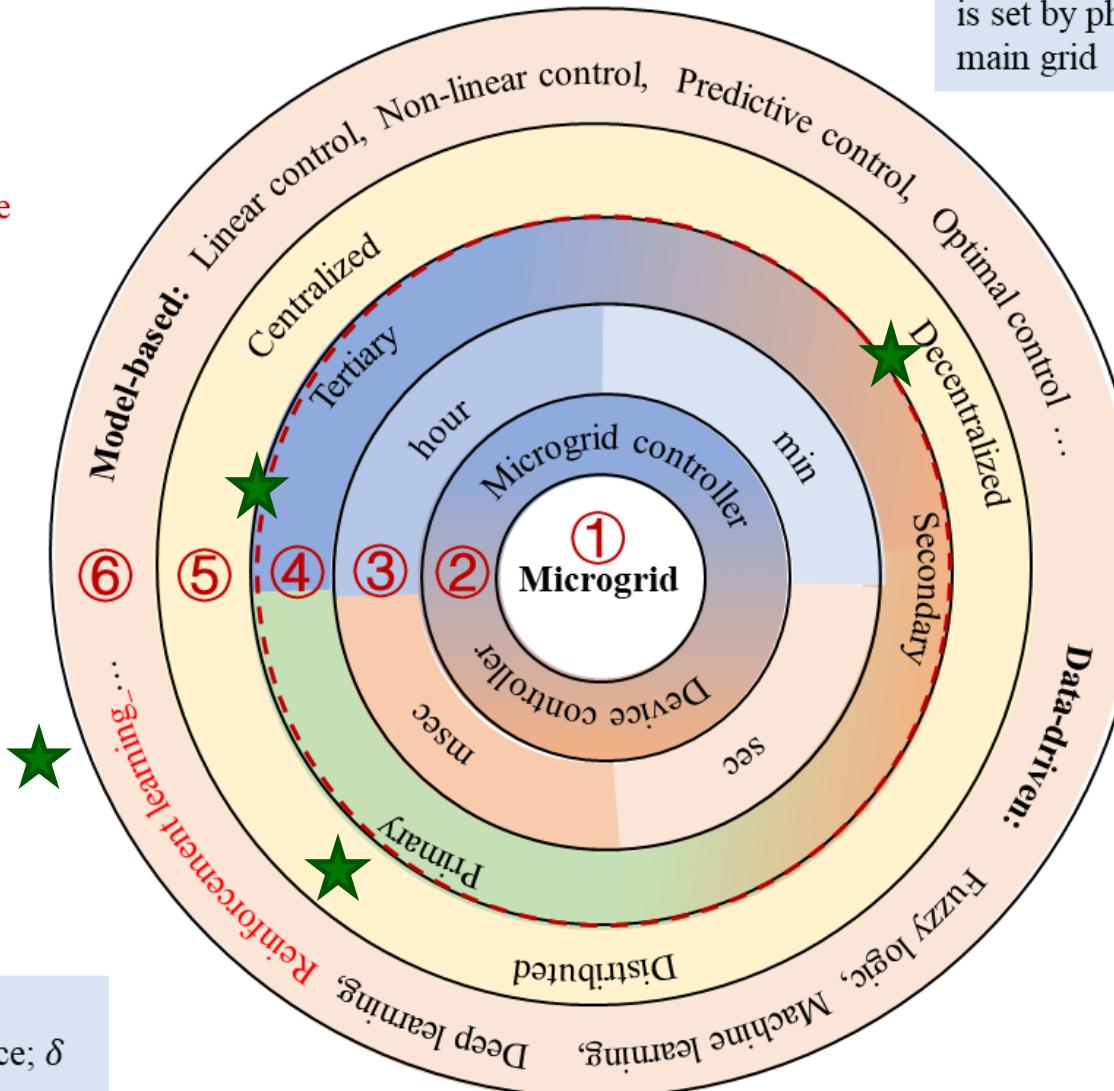
④ Hierarchical structure

⑤ Communication interface

⑥ Control techniques

- **Grid-connected mode**

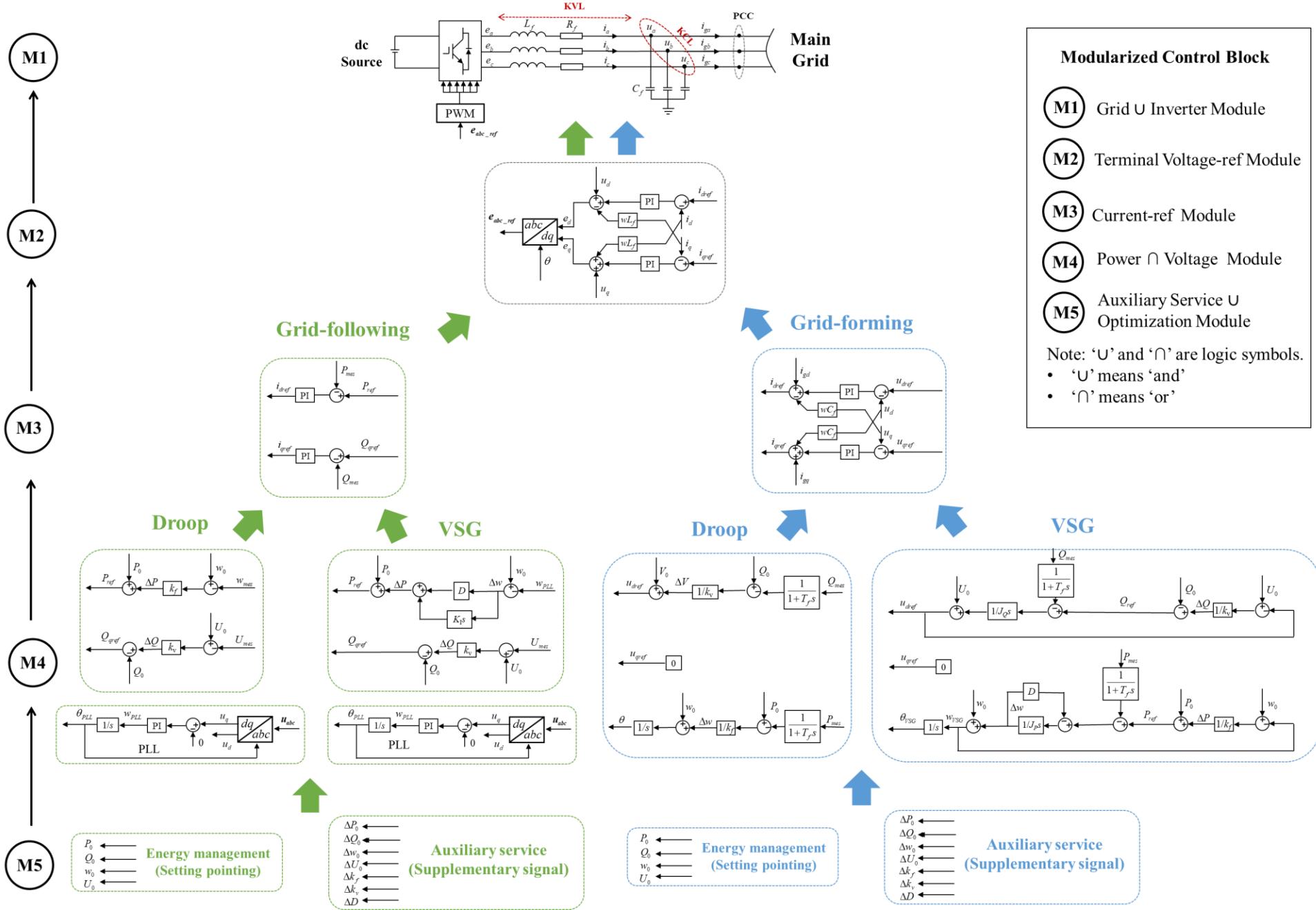
Controlled as current source; δ is set by phase-locking to the main grid



- **Islanded mode**

Controlled as a voltage source; δ is self-generated

Modularized control blocks for IBRs



Presentation Outline

	Topic	Physical Model	Technique	Toolbox
Inverter-based Microgrids	Device-level control → Inverter P-Q control with trajectory tracking capability	IBR transfer function	Model-free reinforcement learning	Simulink, TensorFlow
	Grid-level control → V-f control considering DER inadequacy and demand control	IBR transfer function, IBR-integrated power flow	Control theory	Simulink, Script power flow
	Combined device- and grid-level economic operation → Virtual inertia scheduling (VIS) with guaranteed dynamic performance	Grid transfer function, Economic dispatch model	Deep learning, Mixed integer linear optimization	Andes, AMS Gurobi, Pytorch

Contents

- Introduction
- Inverter P-Q Control with Trajectory Tracking Capability Based on Physics-informed Reinforcement Learning
- Decentralized and Coordinated V-f Control Considering DER Inadequacy and Demand Control
- Virtual Inertia Scheduling for low inertia IBR-based Power Grids
- Take Aways

Objective: Guaranteed Trajectory

➤ Objective

Assume a step input, the PQ output of **grid-following** IBRs can be controlled smoothly and accurately

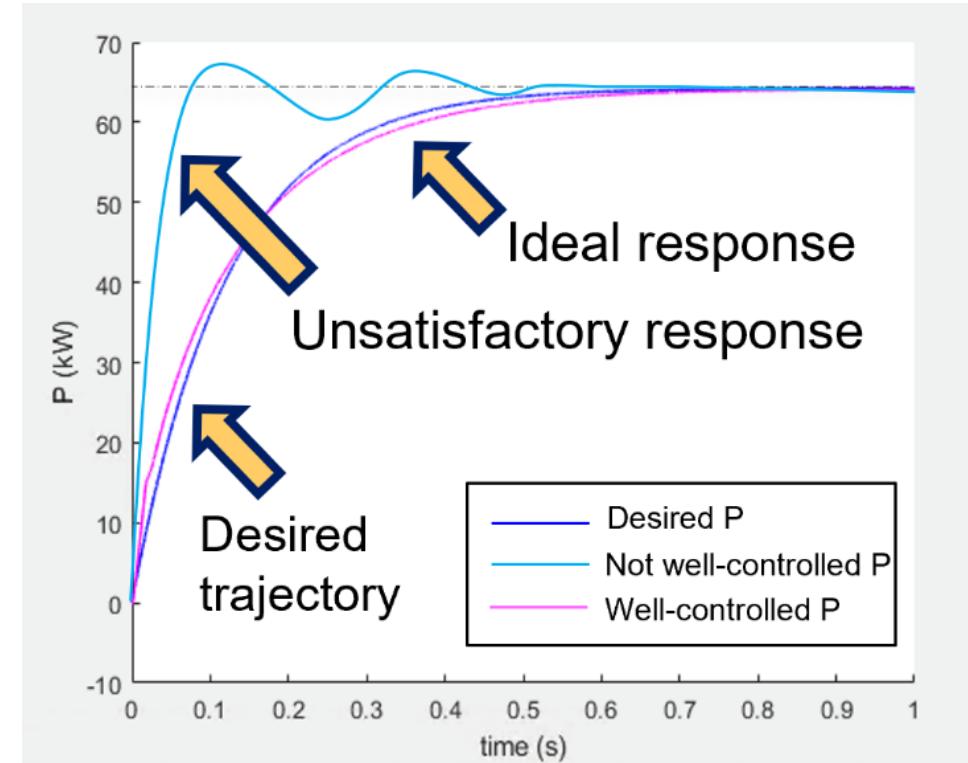
$$y(t) = 1 - e^{-t/\tau}$$

Where τ is response time constant that can be freely assigned.

➤ Benefits

Improve the **controllability** and **flexibility** of IBRs

- Intentional power injection → large time constant
- Emergency support → small time constant



Key Idea: the actual response following the desired trajectory

Methodology: Adaptive gains

➤ Methodology

- Use **adaptive** PI controller with time-varying gains to ensure the actual response following the desired trajectory
$$\begin{cases} k_p = f(t) \\ k_i = g(t) \end{cases}$$
- Implement the adaptive controller in the outer **PQ regulation loop**, because it has lower bandwidth and its output determines the inverter PQ response
- Do model-based analysis to **inform** the reinforcement learning based implementation

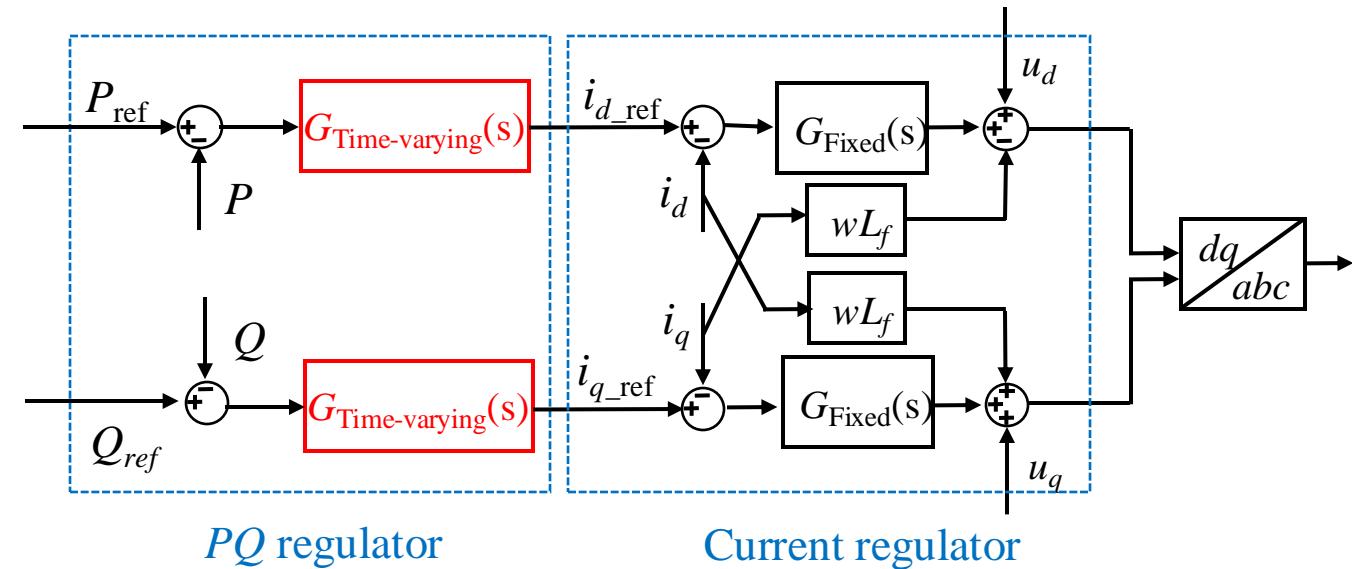
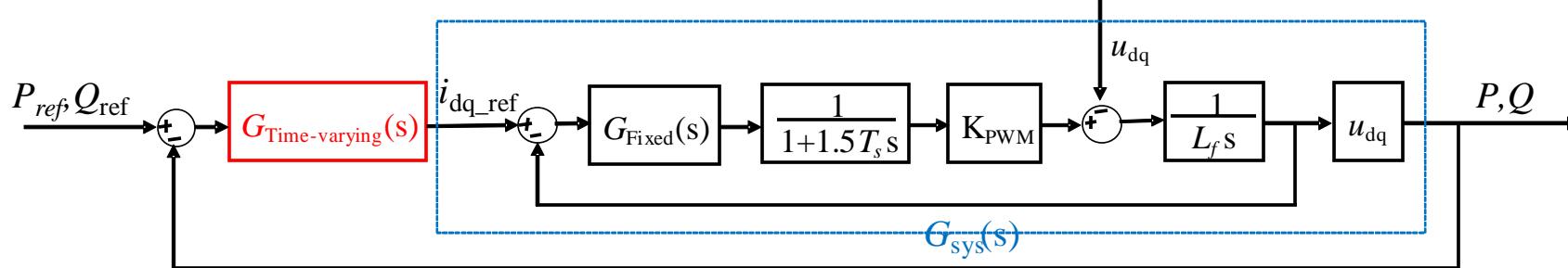


Diagram of the Proposed Adaptive Inverter PQ Controller

Model-based Analysis



Inverter-based P-Q control diagram

$$G(s) = \frac{K_{\text{PWM}}(k_{p2}s + k_{i2})}{s(R + wL_f s)(1 + 1.5T_s s) + K_{\text{PWM}}(k_{p2}s + k_{i2})} = \frac{n(s)}{m(s)}$$

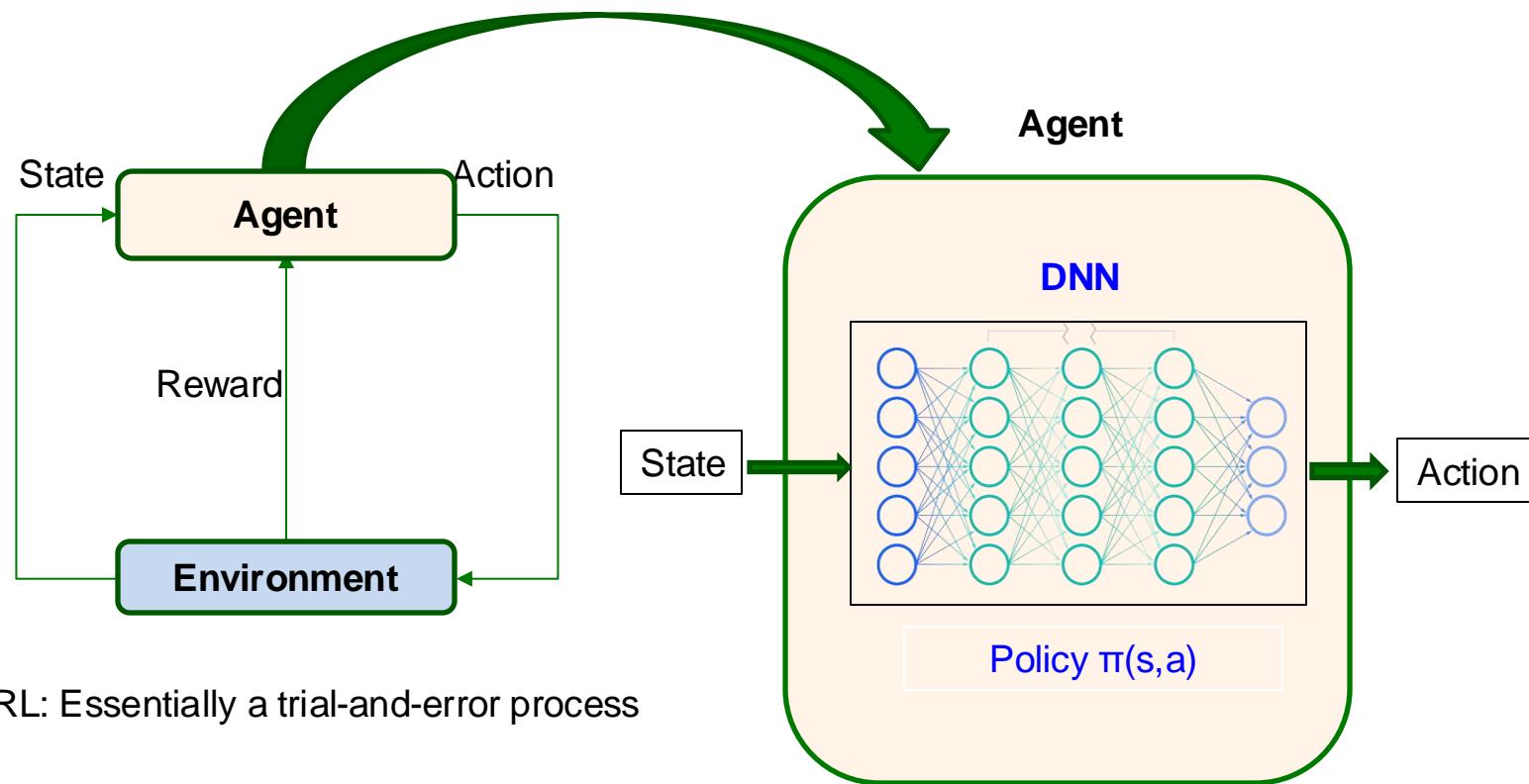
$$\begin{cases} k_p(t) = k_{p0} + k_{p1} e^{-t/\tau'} \\ k_i(t) = k_{i0} + k_{i1} e^{-t/\tau'} \end{cases}$$

where

$$\begin{cases} k_{p0} = \frac{L_f (1 - 1.5T_s / \tau)}{\tau K_{\text{PWM}} (k_{i2} / k_{p2} - 1 / \tau)} \\ k_{p1} = \frac{L_f}{\tau K_{\text{PWM}}} (1.5T_s + \frac{1.5T_s / \tau - 1}{k_{i2} / k_{p2} - 1 / \tau}) \\ k_{i0} = 0, k_{i1} = k_{p1} / \tau \\ \tau' = k_{p2} / k_{i2} \end{cases}$$

Question: What if $G_{\text{sys}}(s)$ is unavailable or inaccurate ?

Data-driven Implementation: DRL



Reinforcement learning :

- ❑ RL is a basic machine paradigm formulated as a Markov Decision Processes.

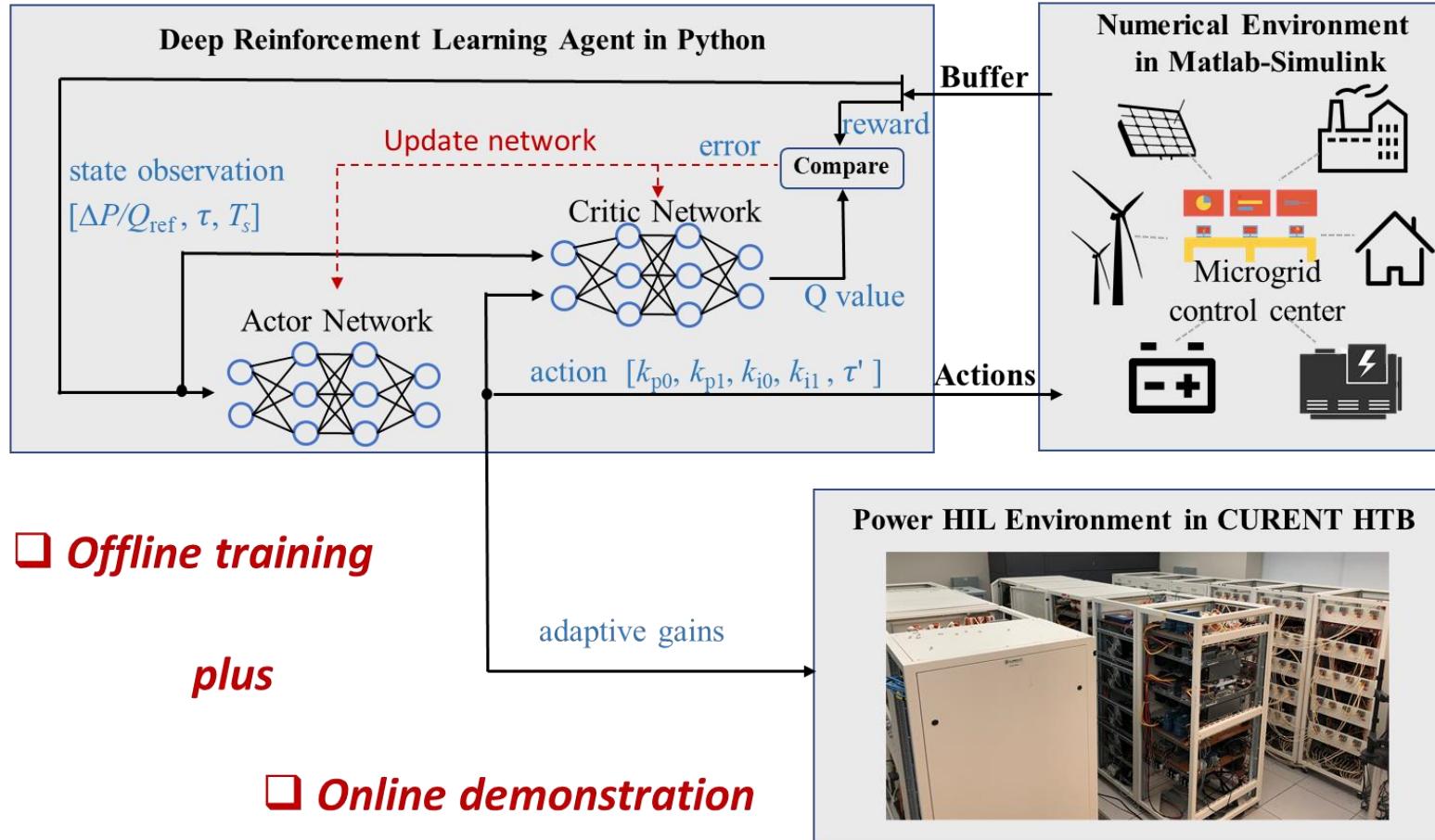
Deep reinforcement learning:

- ❑ Use **deep neural network** to map:
State, action \rightarrow value (Q-value);
State \rightarrow action

Training Target:

- ❑ a well-trained RL agent chooses **optimal actions** for maximum accumulated reward (best performance)

Physics-informed DRL and HIL Test



- Model-based analysis reduce learning space from **function space** to **real space**

$$\begin{cases} k_p(t) = k_{p0} + k_{p1} e^{-t/\tau'} \\ k_i(t) = k_{i0} + k_{i1} e^{-t/\tau'} \end{cases}$$



$$k_p(t), k_i(t) \in f(t)$$

$$k_{p0}, k_{p1}, k_{i0}, k_{i1} \in R$$

Diagram of Physics-informed Reinforcement Learning (RL) in the Numerical Simulator and Power HIL demonstration in HTB

Test Microgrid and Training Results

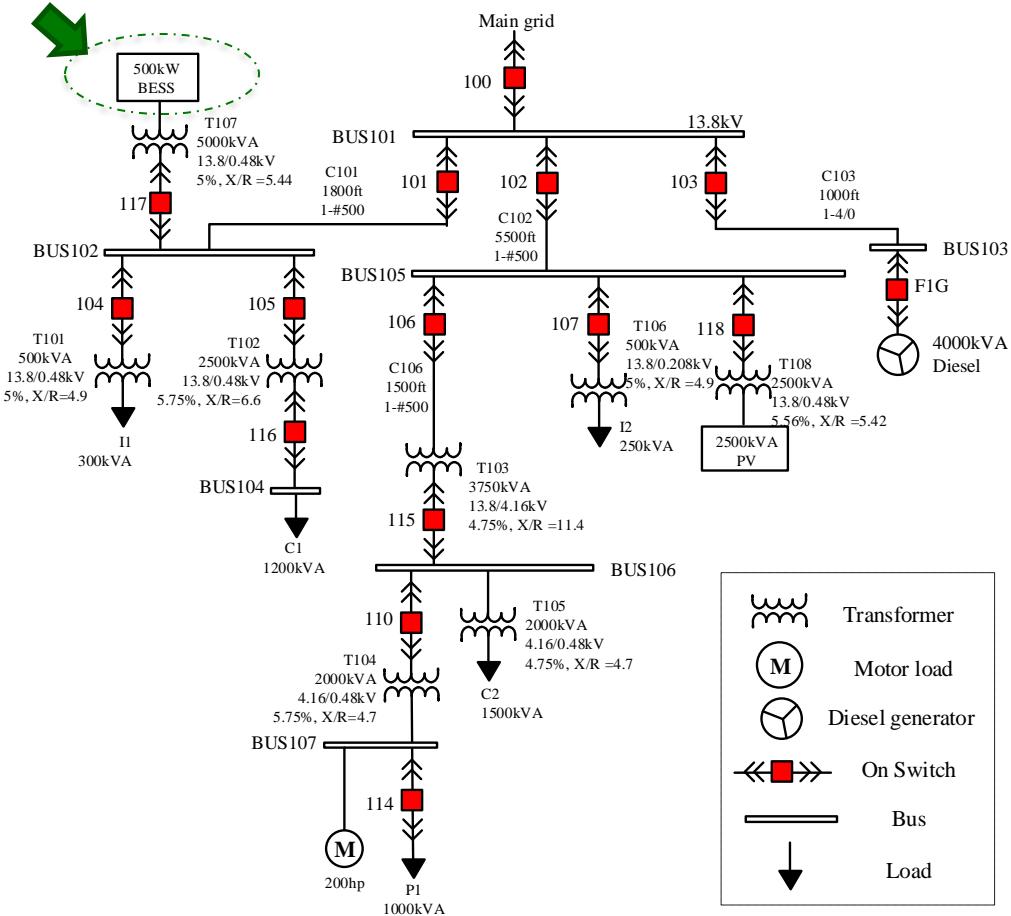
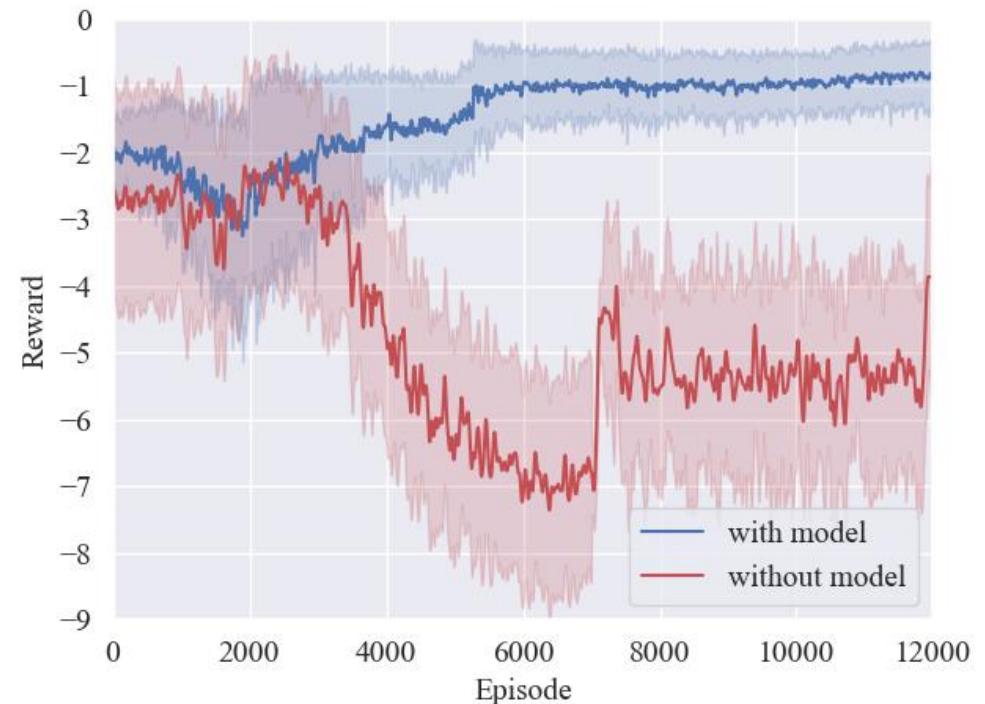


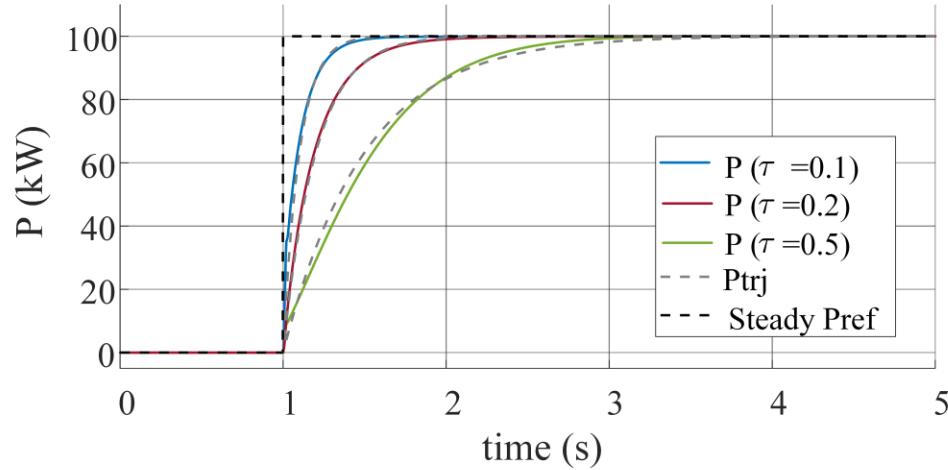
Diagram of modified Banshee microgrid



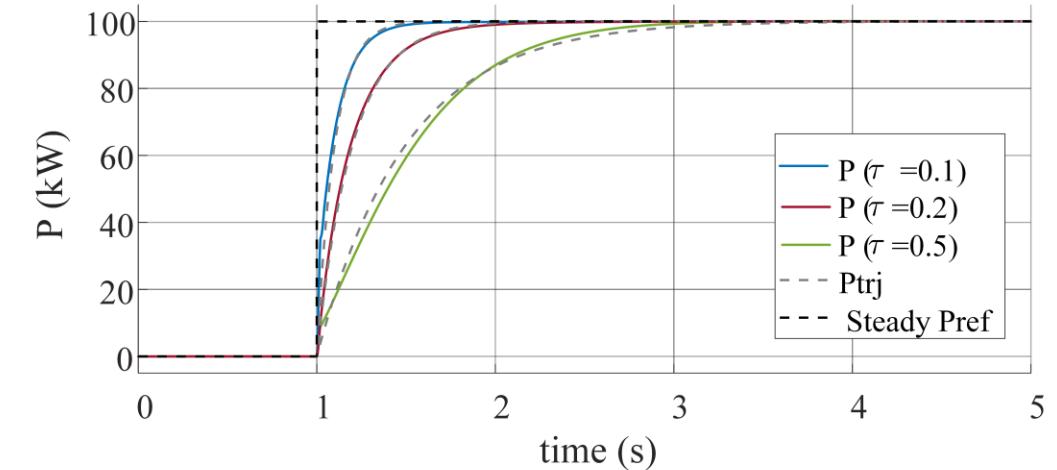
Reward curve with and without model-based analysis

Validation in MATLAB-Simulink

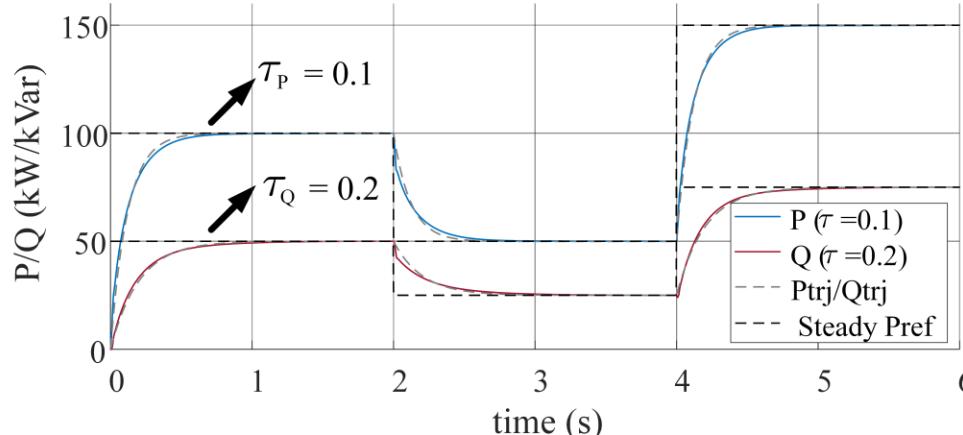
➤ **Scenario 1-1: Scheduling P_{ref} change**



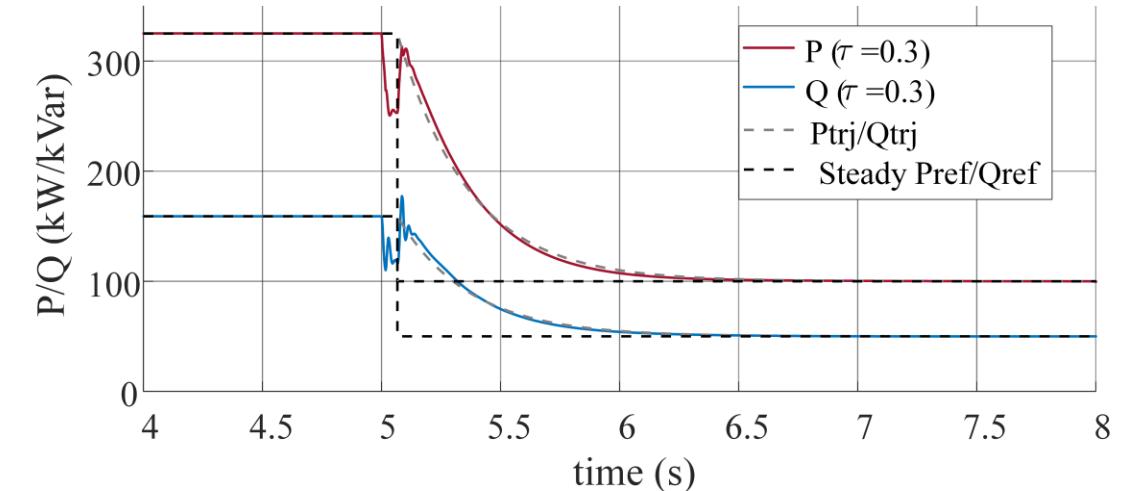
➤ **Scenario 2: Generation loss and Power Support**



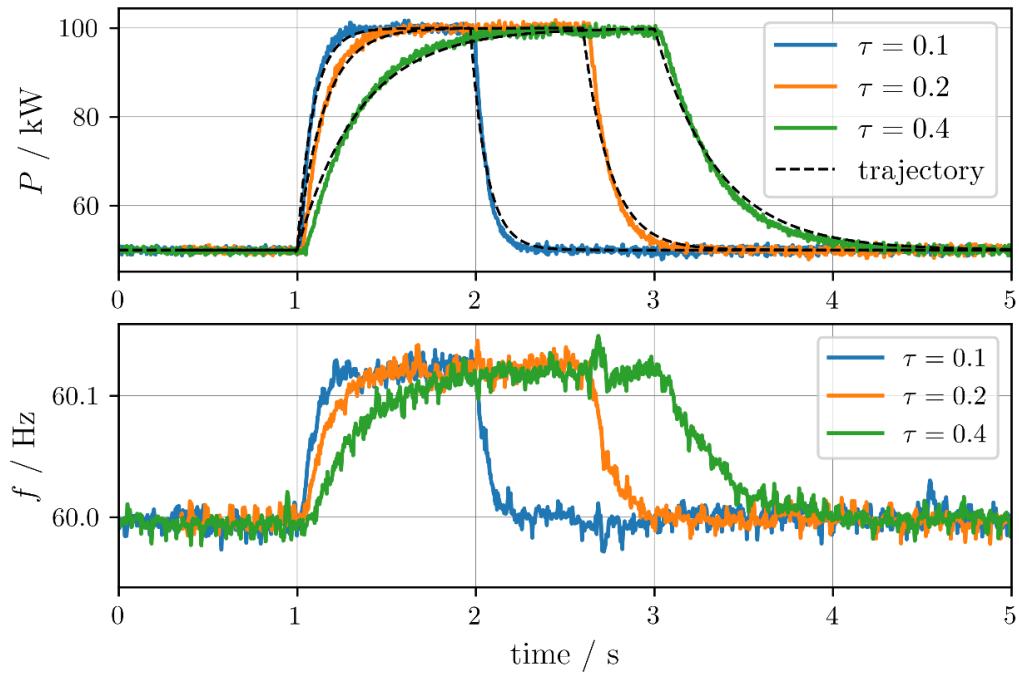
➤ **Scenario 1-2: Scheduling P_{ref} and Q_{ref} change**



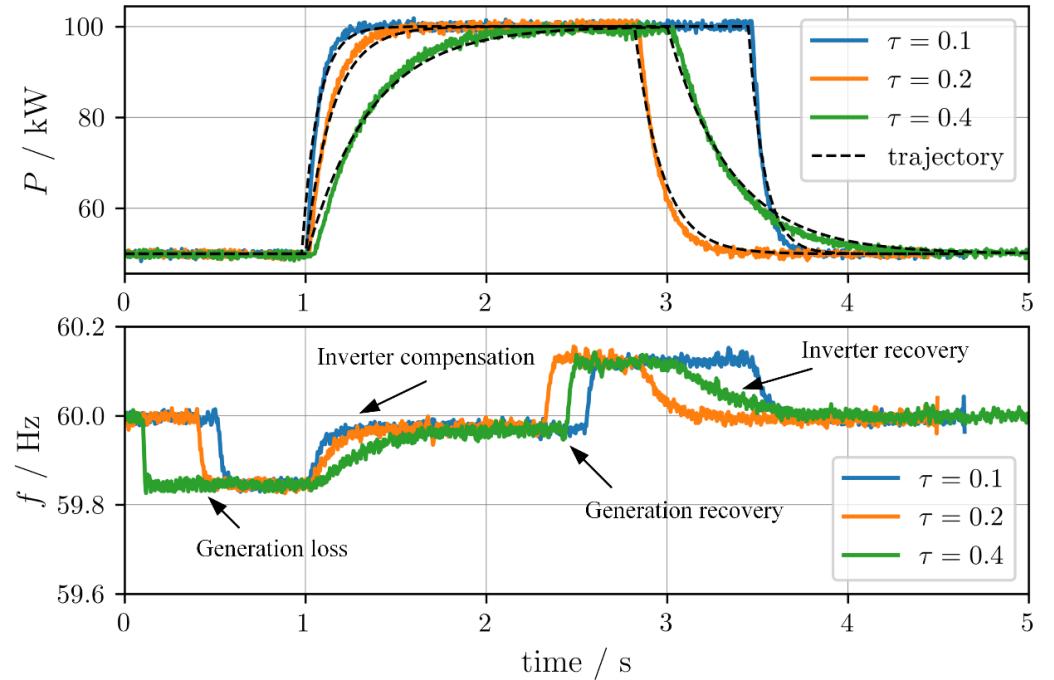
➤ **Scenario 3: Grounded fault**



Validation in CURENT HTB



Scheduling reference change



Generation reduction & recovery

- Inverters can be freely assigned **any time constant** and respond either slow or fast to changing commands.
- The proposed control algorithm is valid under the **power hardware-in-the-loop demonstration**.

Summary

- ❑ There exists a time-varying-gain adaptive PI controller that can track a **predefined exponential trajectory** for microgrid inverter-based PQ control.
- ❑ The proposed controller outperforms the conventional fixed-gain and adaptive PI controllers. **Without manual re-tuning**, it can accurately track the predefined trajectory with any assigned time constant.
- ❑ The **model-based analysis** provides guidelines for deep RL training, which relieves the training pressure and saves training time. In turn, the implementation of **physics-informed deep RL** solves the problem of unavailability and uncertainty in the model-based method.

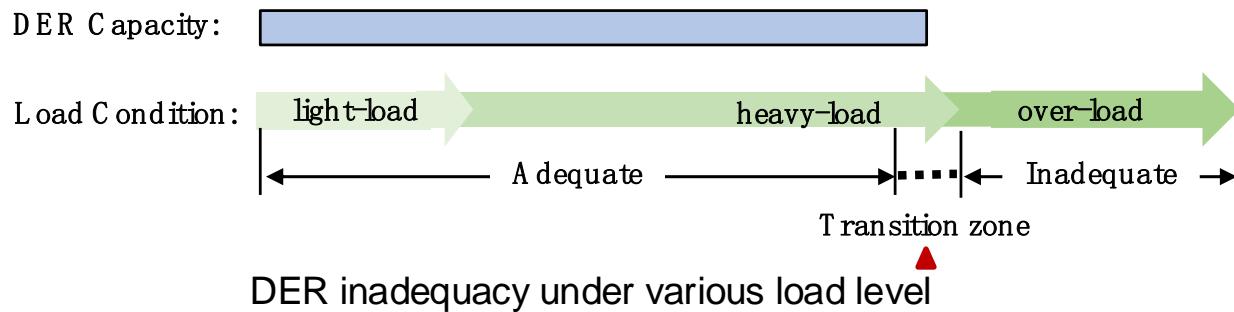
Contents

- Introduction
- Inverter P-Q Control with Trajectory Tracking Capability Based on Physics-informed Reinforcement Learning
- **Decentralized and Coordinated V-f Control Considering DER Inadequacy and Demand Control**
- Virtual Inertia Scheduling for low inertia IBR-based Power Grids
- Take Aways

Background and Motivation

➤ Background

An islanded microgrid forms a self-sufficient system with **grid-forming IBRs** supplied by distributed energy resources (DERs).



➤ Challenges

Conflict between fluctuating DC side DERs capacity and automatic load sharing based on fixed droop gains.

- **IBR saturation** caused by overloads
- Large frequency and voltage **deviation**
- Unexpected DC voltage dip and **IBR trip**

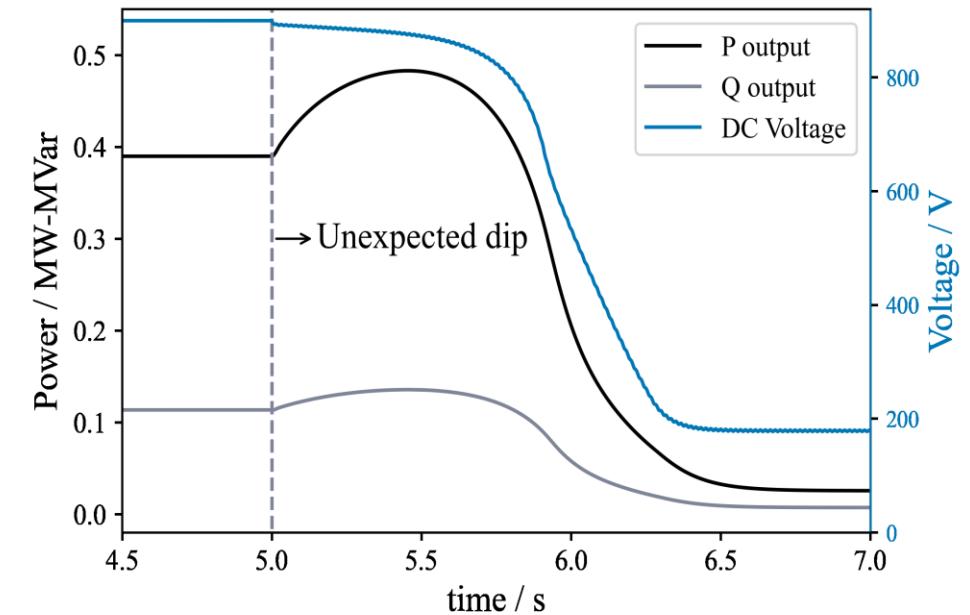


Diagram of DC voltage dip and IBR trip caused by DER inadequacy

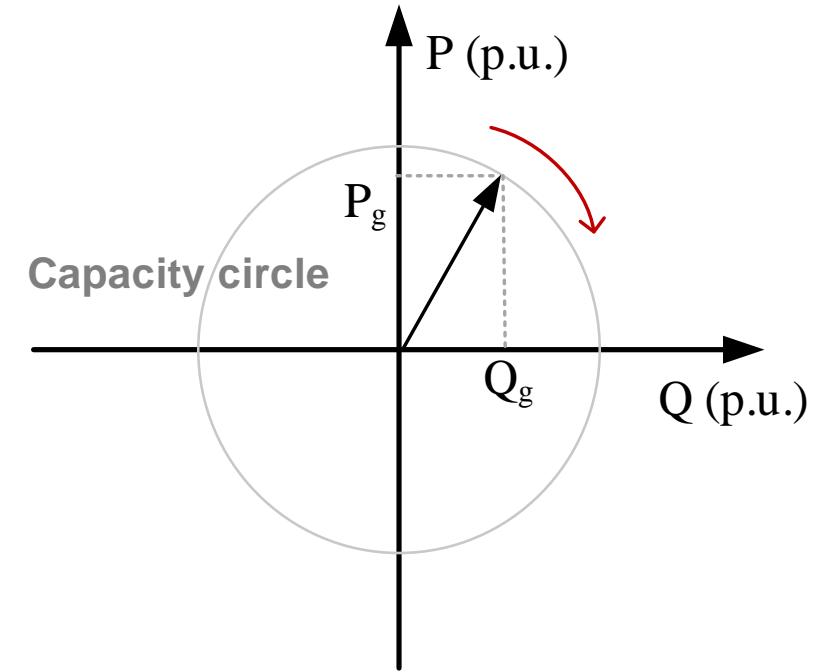
Objective

➤ Objective

- Accurately control the **output of GFM inverters** when DER is insufficient;
- **Improve load sharing** results based on real-time DER capacity;
- **Coordinate** voltage and frequency (V-f) regulation under the condition of constrained DER capacity;

➤ Benefits

- Improve the **controllability** and **stability** of IBRs
- Make the best use of limited DER capacity
- Reduce V-f deviation
- Reduce involuntary load shedding



Constrained operation of IBRs

Methodology (1)

➤ Key idea

- Generate **supplementary signal** based on real-time DER capacity and feed it to **primary regulator**
- Consider the impact of load sensitivity to voltage and frequency

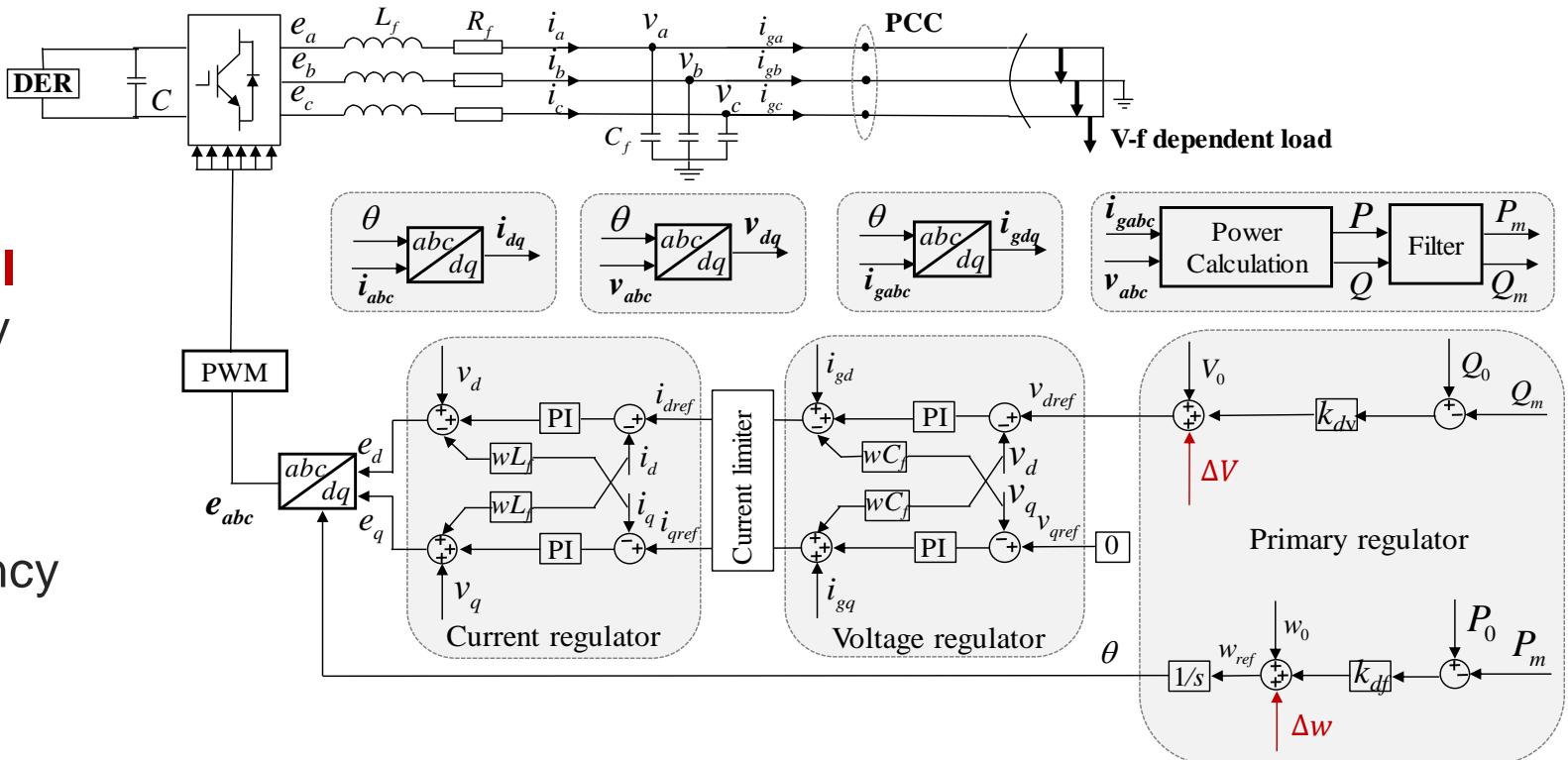


Diagram of a droop-controlled GFM inverter
supplying V-f dependent load

Methodology (2)

➤ Proposed Control framework

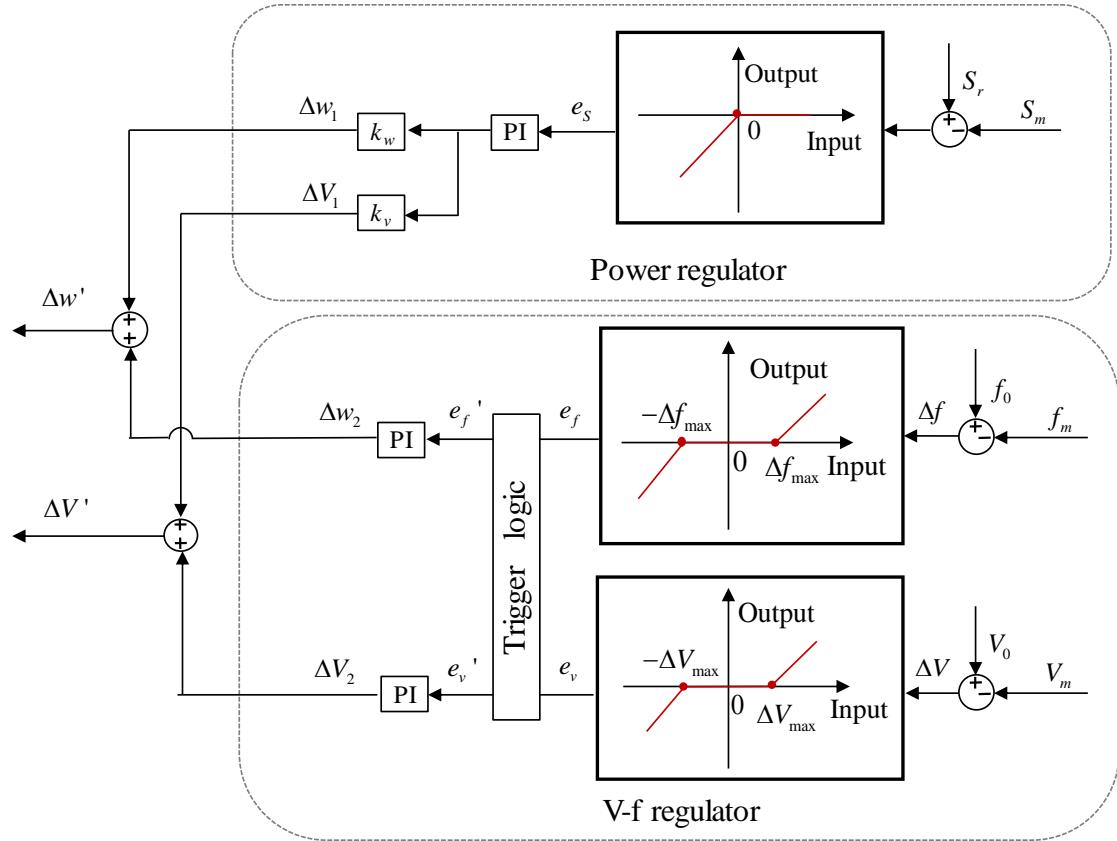


Diagram of the proposed decentralized and coordinated control framework

- Power regulator and V-f regulator generate **supplementary signals** for the primary regulator
- **Power regulator** generates control signals based on the error between inverter output and DER capacity, which help limit the output of grid-forming inverters
- **V-f regulator** generates control signals based on voltage and frequency deviations, which reallocate limited generation for acceptable V-f deviations

Proposed Approach (1)

➤ IBR integrated power flow

A general islanded microgrid formed by **N inverters**,
each inverter is connected to an independent bus
with a local V-f dependent load

$$\begin{cases} f = f_{0,i} + k_{df}(P_{inv,i} - P_{inv0,i}) \\ V_i = V_{0,i} + k_{dv}(Q_{inv,i} - Q_{inv0,i}) \end{cases} \quad \forall i = 1, 2, \dots, N$$

2N
→ Droop equation

$$\begin{cases} P_{l,i} = P_{l0,i}(p_1V_i^2 + p_2V_i + p_3)[1 + K_{pf}(f - f_0)] \\ Q_{l,i} = Q_{l0,i}(q_1V_i^2 + q_2V_i + q_3)[1 + K_{pf}(f - f_0)] \end{cases} \quad \forall i = 1, 2, \dots, N$$

2N
→ V-f dependent load

$$\begin{cases} P_i = P_{inv,i} + P_{l,ii} = G_{ij}V_i^2 - G_{ij}\sum_{i \neq j} V_i V_j \cos \theta_{ij} - B_{ij}\sum_{i \neq j} V_i V_j \cos \theta_{ij} \\ Q_i = Q_{inv,i} + Q_{l,i} = G_{ij}V_i^2 - G_{ij}\sum_{i \neq j} V_i V_j \cos \theta_{ij} - B_{ij}\sum_{i \neq j} V_i V_j \cos \theta_{ij} \end{cases} \quad \forall i, j, i \neq j$$

2N
→ Network power flow

6N decision variables:

1 global frequency, N voltage, $N-1$ power angle, N active inverter output, N active load,
 N active inverter output, and N reactive inverter output.

Proposed Approach (2)

➤ IBR integrated power flow considering the proposed framework

- Primary regulator become invalid due to DER inadequacy
- $2N$ Droop equations are changed to N capacity constraints

$$\left\{ \begin{array}{l} \text{Load: } \begin{cases} P_{l0,i}' = P_0 + \Delta P \\ Q_{l0,i}' = Q_0 + \Delta Q \end{cases} \quad \forall i = 1, 2, \dots, N \\ \text{Generation: } P_{inv,i}'^2 + Q_{inv,i}'^2 = S_i'^2 \end{array} \right.$$

➡

$$\left\{ \begin{array}{l} P_i' = P_{inv,i}' + P_{l,i}' \\ = G_{ij} V_i'^2 - G_{ij} \sum_{i \neq j} V_i' V_j' \cos \theta_{ij}' - B_{ij} \sum_{i \neq j} V_i' V_j' \cos \theta_{ij}' \quad \forall i, j, i \neq j \\ Q_i' = Q_{inv,i}' + Q_{l,i}' \\ = G_{ij} V_i'^2 - G_{ij} \sum_{i \neq j} V_i' V_j' \cos \theta_{ij}' - B_{ij} \sum_{i \neq j} V_i' V_j' \cos \theta_{ij}' \quad \forall i, j, i \neq j \end{array} \right.$$

➤ New equilibrium

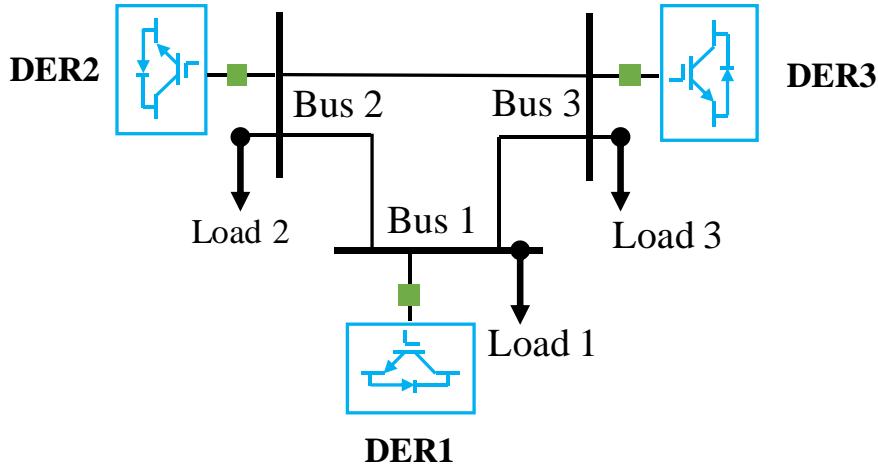
- Given $(P_{inv,i}', Q_{inv,i}')$ on the capacity circle, there are **4N** state variables and **4N** equations left.
- Then for each $(P_{inv,i}', Q_{inv,i}')$, the corresponding new equilibrium V-f is solvable.



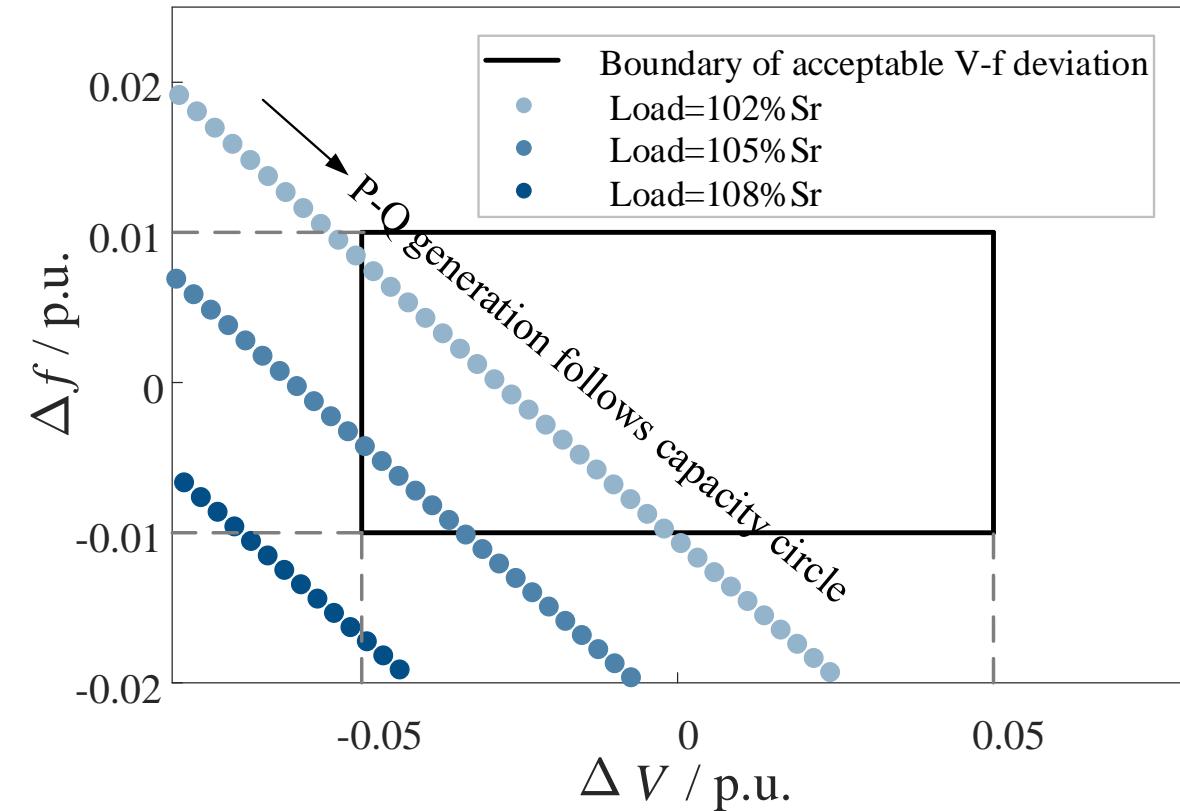
Show the existence of new **equilibrium** when integrating the proposed control framework

Case Study in An Ideal System

➤ IBR-based 3-bus system



- Assume the total load is close to but small than the total DER capacity
- An intentional load increase at the initial operating point (P_0, Q_0) and the total load **exceed** the DER capacity.
- Predict the **new equilibrium**



V-f deviation under bounded generation constraints

Case study in a Real Microgrid (1)

➤ Modified Banshee Microgrid

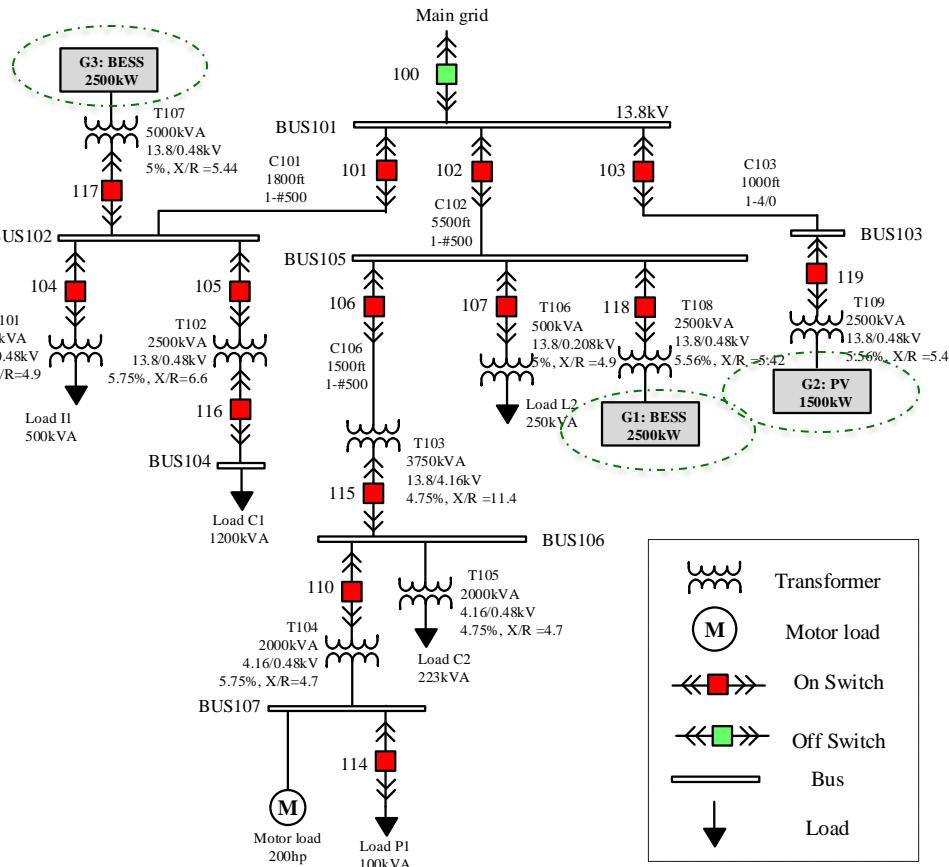


Table. 1 Control parameters of grid-forming inverters

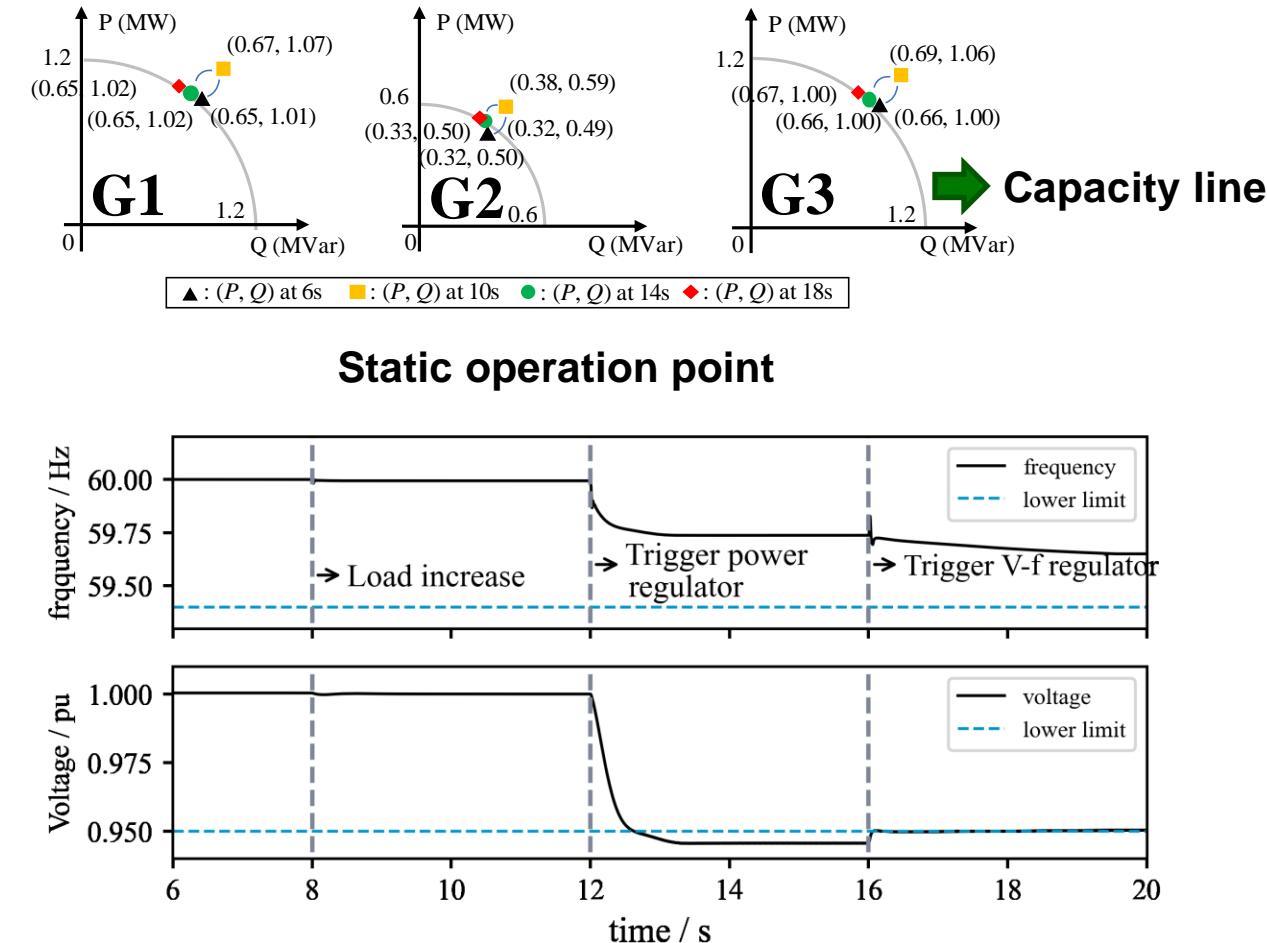
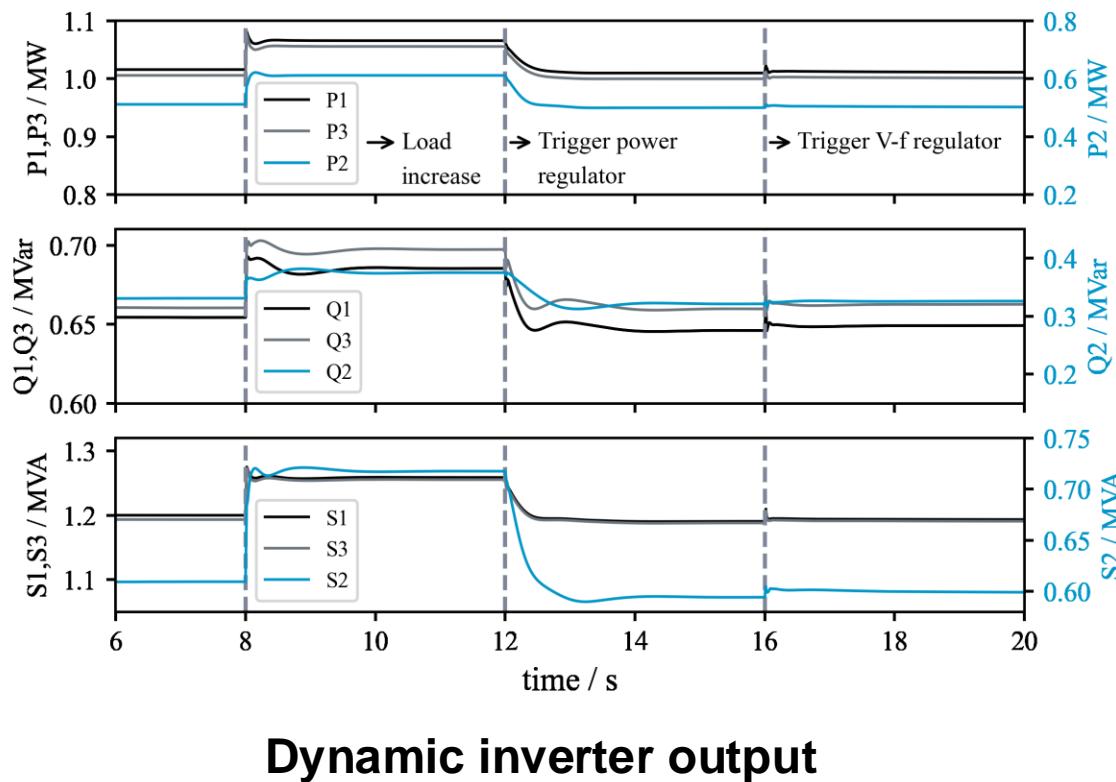
Parameter	G1	G2	G3
Filter	L_F/H	5×10^{-5}	2.5×10^{-5}
	C_F/F	1×10^{-5}	1×10^{-5}
Current regulator gains / $[k_p, k_i]$	[0.5, 2]	[0.5, 2]	[0.5, 2]
Voltage regulator gains / $[k_p, k_i]$	[0.1, 1]	[0.1, 1]	[0.1, 1]
Droop gains / $[k_{dF}, k_{dV}]$	[0.01, 0.05]	[0.005, 0.025]	[0.01, 0.05]
Power regulator gains / $[k_{ps}, k_{is}, k_w, k_v]$	[0.5, 10, 0.04, 0.5]	[0.25, 5, 0.02, 0.25]	[0.5, 10, 0.04, 0.5]
V-f regulator gains / $[k_{pf}, k_{if}, k_{pv}, k_{iv}]$	[0.5, 10, 0.5, 10]	[0.5, 10, 0.5, 10]	[0.5, 10, 0.5, 10]

Single-line diagram of modified Banshee microgrid

Case study in a Real Microgrid (3)

Scenario 1: P-Q regulator + V-f regulator

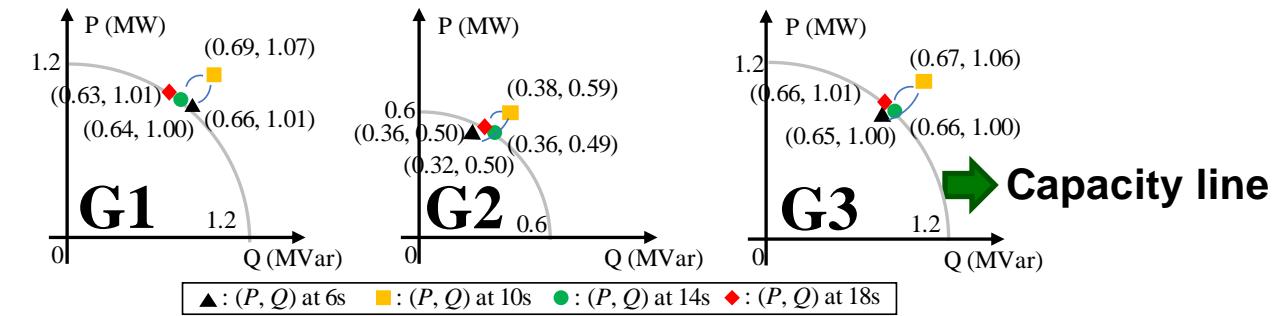
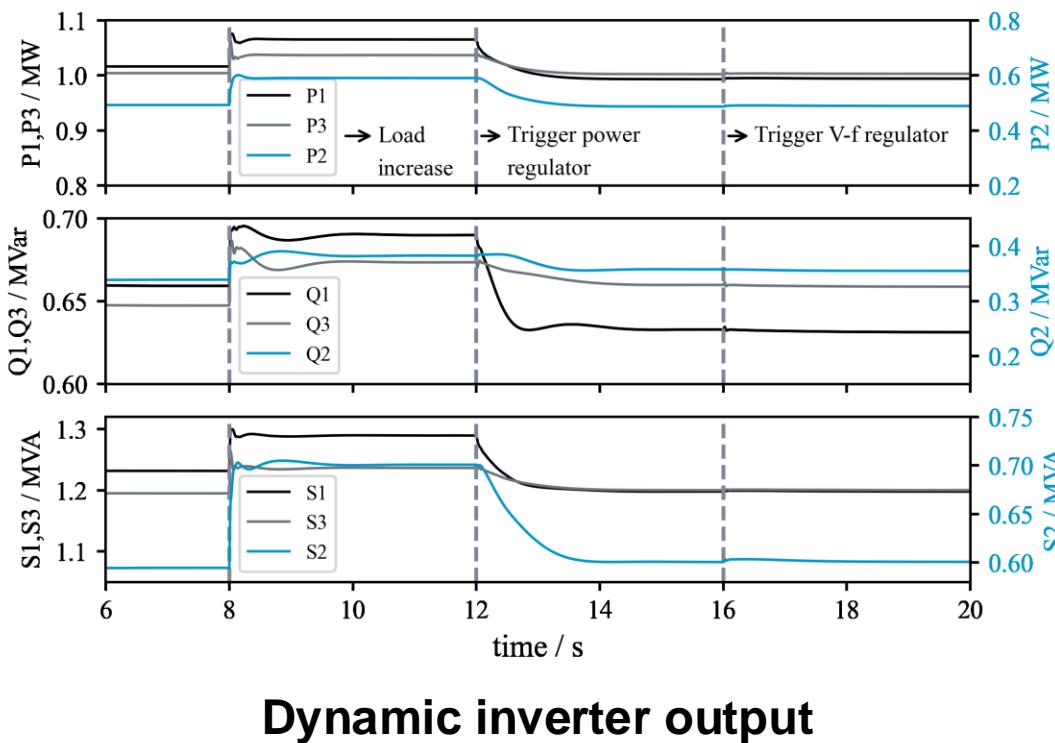
➤ Voltage over dip and recovery



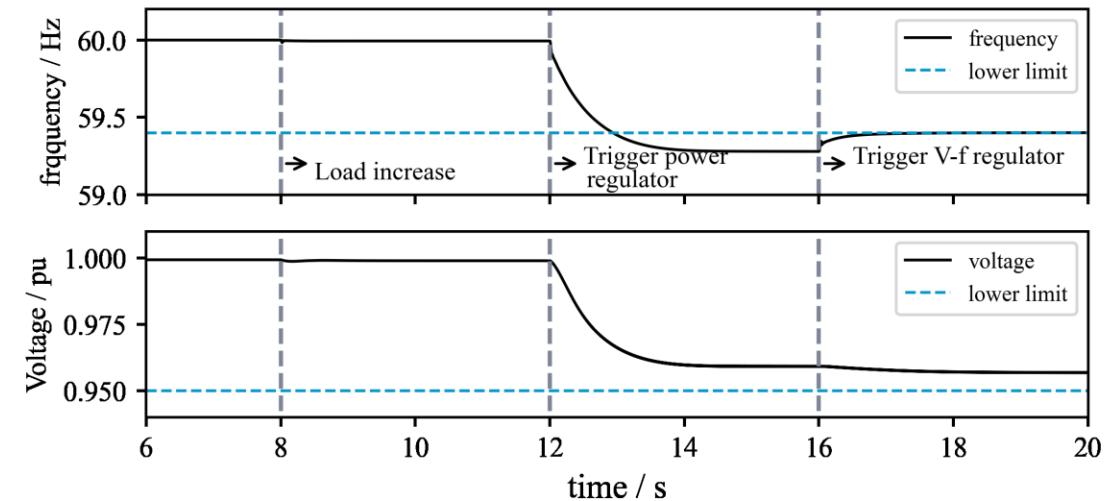
Case study in a Real Microgrid (4)

Scenario 2: P-Q regulator + V-f regulator

➤ Frequency over dip and recovery



Static operation point



V-f response: **increase P, decrease Q**

Summary

- ❑ **DER inadequacy** poses challenges to the operation of grid-forming inverters in islanded microgrids.
- ❑ **Power regulator** limits the output of grid-forming inverters by generating supplementary control signals based on the error between inverter output and DER capacity.
- ❑ **V-f regulator** generates control signals based on voltage and frequency deviations, which reallocates limited generation for acceptable V-f deviations.

Contents

- Introduction
- Inverter P-Q Control with Trajectory Tracking Capability Based on Physics-informed Reinforcement Learning
- Decentralized and Coordinated V-f Control Considering DER Inadequacy and Demand Control
- **Virtual Inertia Scheduling for low inertia IBR-based Power Grids**
- Take Aways

Motivation and Objective

➤ Background

The penetration of **IBRs** decrease the **inertia** of microgrids. Existing research address low inertia problems by

- **Device-level Control:** Design new control algorithm to improve the inertia support capability of IBRs
- **Grid-level Dispatch:** integrate dynamic frequency constraints into the economic operation framework



Decoupled in the conventional synchronous generator (SG) dominant system because

- Distinct time scales
- Physical inertia of SGs is fixed



IBRs make a difference !

➤ Objective

Develop a **unified inertia management framework** that combines the device-level control and grid-level economic operation and leverages the inertia support capability of grid component.

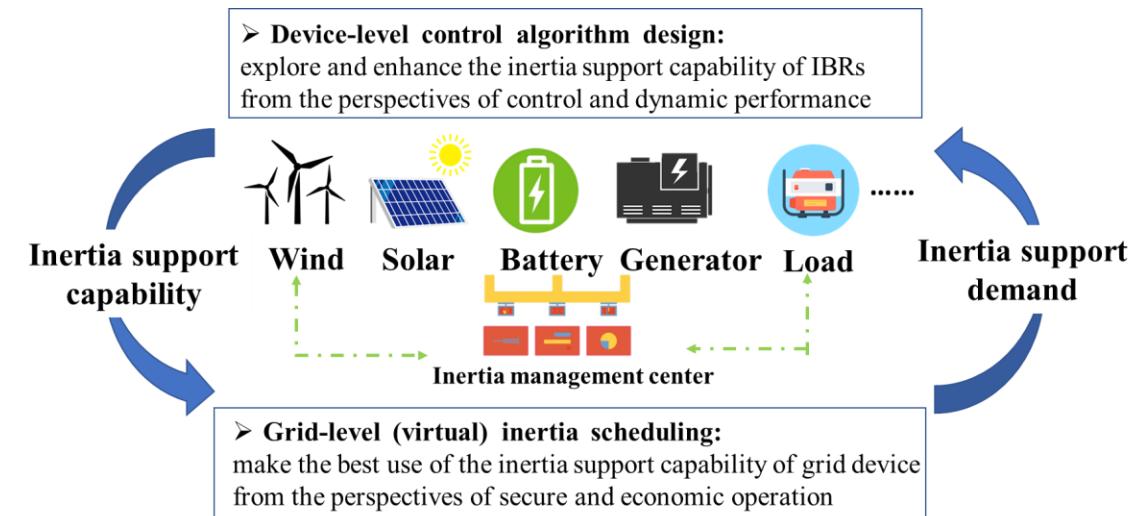


Diagram of virtual inertia scheduling for future low inertia microgrids

Virtual Inertia Scheduling (VIS)

➤ Concept of VIS

- **VIS**: an inertia management framework that targets **security-constrained** and **economy-oriented** inertia scheduling and generation dispatch of microgrids with a large scale of IBRs.
- **VIS** schedules the power setting points, as well as the **control modes** and **control parameters** of IBRs to provide secure and cost-effective inertia support.



VIS can be integrated into the existing economic operation framework, i.e., UC, RTED, and AGC.

➤ General Formulation of VIS

Inertia support cost

$$\min_{P,M,D} C_{gen}(P) + C_{aux}(P, M, D)$$

Generation cost

s.t. 1) Standard dispatch constraints

$$2) \begin{cases} M_i^{\min,ibr} \leq M_i^{ibr} \leq M_i^{\max,ibr}, \forall i \in \{1, \dots, N_{ibr}\} \\ D_i^{\min,ibr} \leq D_i^{ibr} \leq D_i^{\max,ibr}, \forall i \in \{1, \dots, N_{ibr}\} \end{cases}$$

$$3) \begin{cases} -RoCof_{\lim} \leq f_0 \frac{\Delta P_{e,t}}{M_t} \leq RoCof_{\lim}, \forall t \in \{1, L, T\} \\ f_{\min} \leq f_0 + \Delta f_{nadir,t} \leq f_{\max}, \forall t \in \{1, L, T\} \end{cases}$$

4) Stability constraints

- Hourly dispatch or minutes dispatch
- Single stage or multiple stage
- Normal load change or given contingency set

VIS for Real-time Economic Dispatch

➤ VIS for Real-time Economic Dispatch (VIS-RTED)

- RTED: a multi-interval optimization problem with the objective of minimizing the total generation cost
- Specified VIS-RTED
 - 1) One-hour dispatch with 12 intervals
 - 2) Quadratic generation cost
 - 3) **Opportunity cost** caused by inertia support
 - 4) Additional decision variables of **virtual inertia and damping**
 - 5) Additional dynamic constraints of **frequency nadir and RoCof**

Question ↓

“How to **quantify** and then **linearize** dynamic power of IBR (ΔP_{peak}^{ibr}) and frequency nadir (Δf_{nadir})?”

objective: Minimize quadratic generation cost

$$\min_{P,M,D} \sum_{t \in T} \left[\sum_{i=1}^{N_{sg}} (a_{i,t}^{sg} P_{i,t}^{sg 2} + b_{i,t}^{sg} P_{i,t}^{sg} + c_{i,t}^{sg} + b_{r,i,t}^{sg} P_{i,r,t}^{sg}) \right]$$

$$+ \sum_{i=1}^{N_{ibr}} (a_{i,t}^{ibr} P_{i,t}^{ibr 2} + b_{i,t}^{ibr} P_{i,t}^{ibr} + c_{i,t}^{ibr} + b_{r,i,t}^{ibr} \Delta P_{i,r,t}^{ibr})$$

Opportunity cost caused by inertia support

s.t. 1) Power balance + line limit constraints

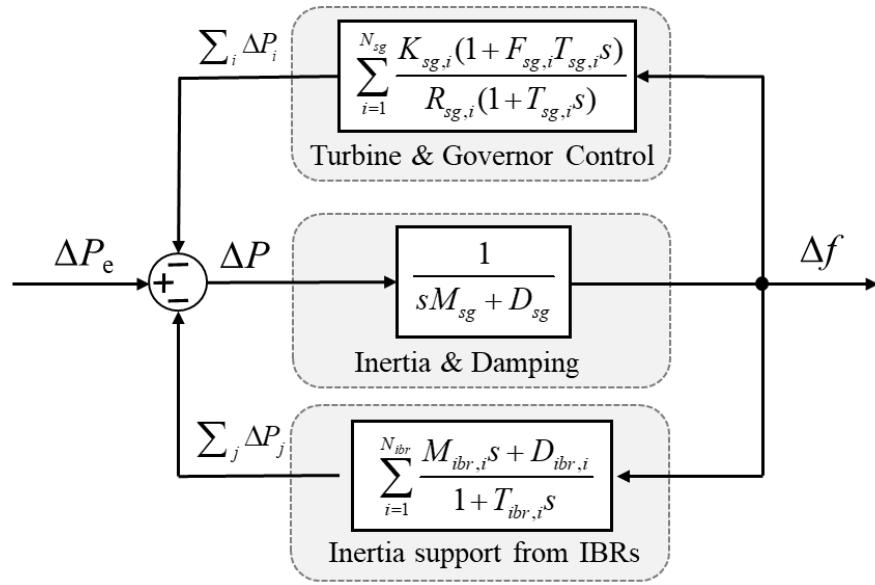
$$2) \begin{cases} P_{s,i,t}^{ibr} + P_{i,ru,t}^{ibr} + \boxed{\Delta P_{i,peak,t}^{ibr}} \leq P_{i,t}^{\max,ibr} & \forall t \in \{1, \dots, T\} \\ P_{s,i,t}^{ibr} - P_{i,rd,t}^{ibr} - \boxed{\Delta P_{i,peak,t}^{ibr}} \geq P_{i,t}^{\min,ibr} & \forall t \in \{1, \dots, T\} \end{cases}$$

$$3) \begin{cases} M_i^{\min,ibr} \leq M_i^{ibr} \leq M_i^{\max,ibr}, & \forall i \in \{1, \dots, N_{ibr}\} \\ D_i^{\min,ibr} \leq D_i^{ibr} \leq D_i^{\max,ibr}, & \forall i \in \{1, \dots, N_{ibr}\} \end{cases}$$

$$4) \begin{cases} -RoCof_{lim} \leq f_0 \frac{\Delta P_{e,t}}{M_t} \leq RoCof_{lim}, & \forall t \in \{1, \dots, T\} \\ f_{\min} \leq f_0 + \boxed{\Delta f_{nadir,t}} \leq f_{\max}, & \forall t \in \{1, \dots, T\} \end{cases}$$

VIS for Real-time Economic Dispatch

➤ Dynamic estimation



Uniform frequency dynamics model of IBR-penetrated grids

Dynamic index:

$$\begin{cases} \Delta f_{nadir} = \frac{\Delta P_e}{MTw_n^2} \left[1 - \sqrt{1 - \zeta^2} \eta e^{-\zeta w_n t_m} \right] \\ \Delta P_{max}^{ibr} = \frac{\Delta P_e D_{ibr}}{MTw_n^2} \left[-1 + \alpha \eta' e^{-\zeta w_n t_m} \sin(w_d t + \phi') \right] \end{cases}$$

➤ Deep learning assisted linearization

$$\begin{cases} \Delta f_{nadir} = NN_1(\Delta P_e, M, D, R, F, T) \\ \Delta P_{max}^{ibr} = NN_2(\Delta P_e, M, D, R, F, T) \end{cases}$$

- m^{th} hidden layer of neural network (NN) with ReLU activation function:

$$\begin{cases} \hat{z}_m = W_m z_{m-1} + b_m \\ z_m = \max(\hat{z}_m, 0) \end{cases}$$

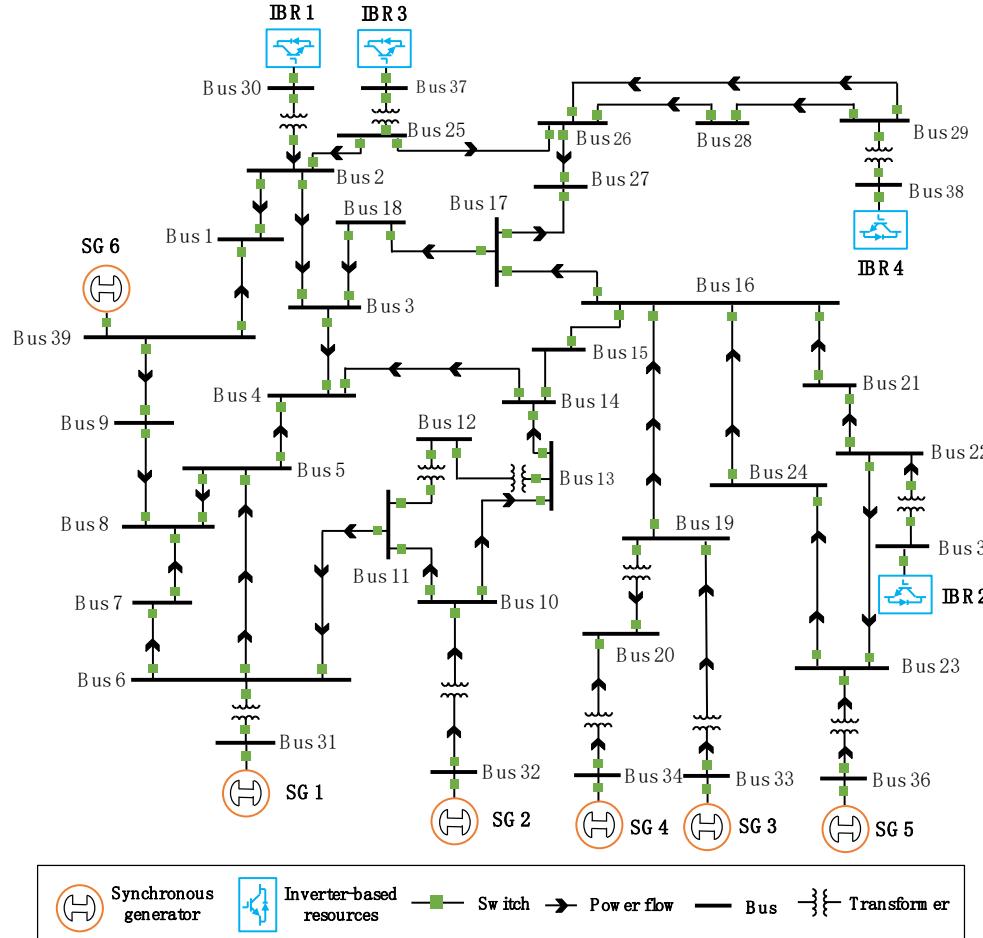
- **Linearization** by introduction binary variables a_m [1]:

$$\begin{cases} z_m \leq \hat{z}_m - \underline{h} \quad (1 - a_m) \\ z_m \geq \hat{z}_m \\ z_m \leq \bar{h} \quad a_m \\ z_m \geq 0 \end{cases}$$

[1] Y. Zhang et al., "Encoding Frequency Constraints in Preventive Unit Commitment Using Deep Learning With Region-of-Interest Active Sampling," *IEEE Trans. Power Syst.*, vol. 37, no. 3, pp. 1942–1955, 2022, doi: 10.1109/TPWRS.2021.3110881.

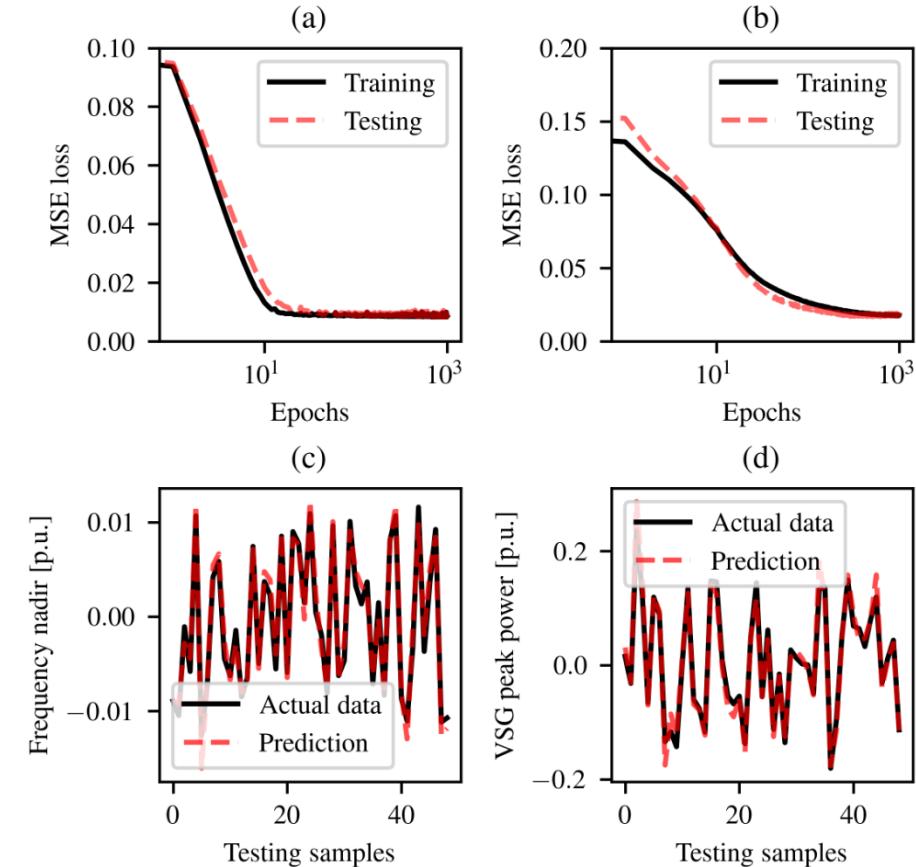
VIS for Real-time Economic Dispatch

➤ Test System



Single-line diagram of modified IEEE-39bus system

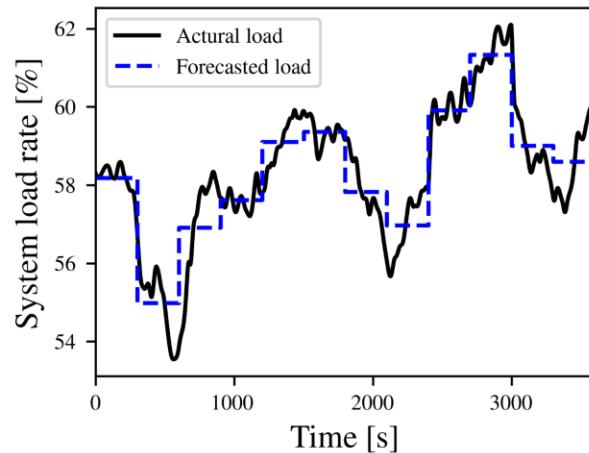
➤ Deep learning training results



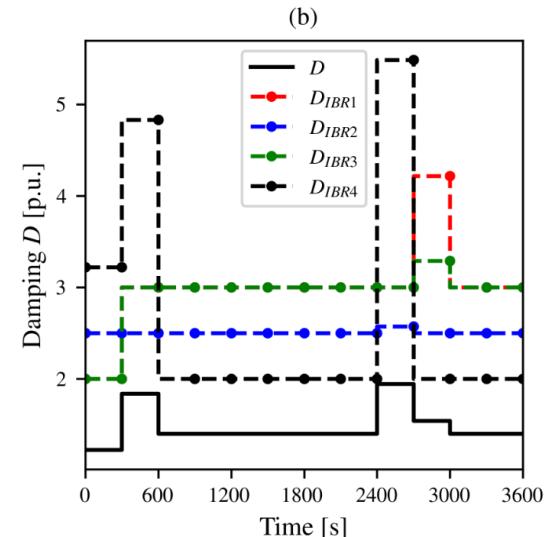
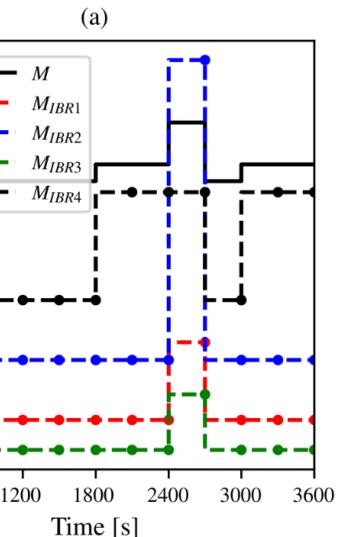
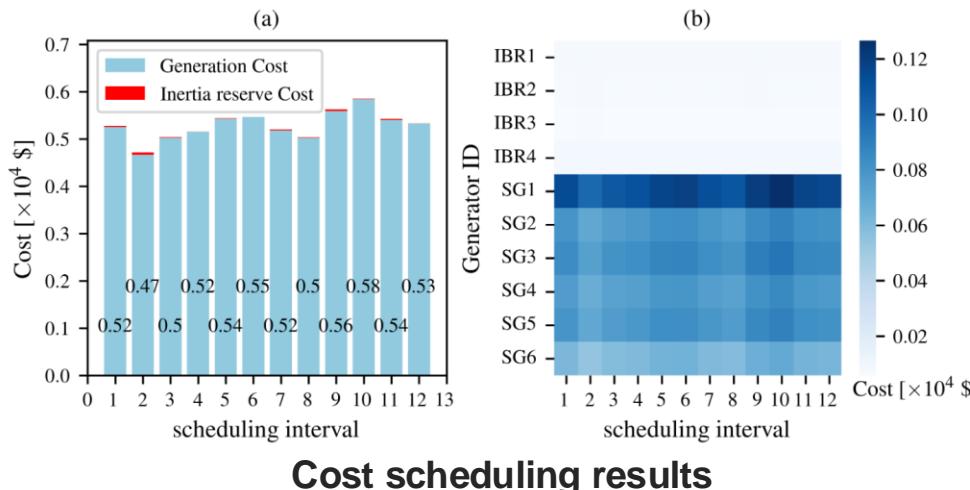
- (a) **Training loss** of frequency nadir prediction;
- (b) **Training loss** of IBR peak power prediction;
- (c) **Testing** of frequency nadir prediction;
- (d) **Testing** of IBR peak power prediction.

VIS for Real-time Economic Dispatch

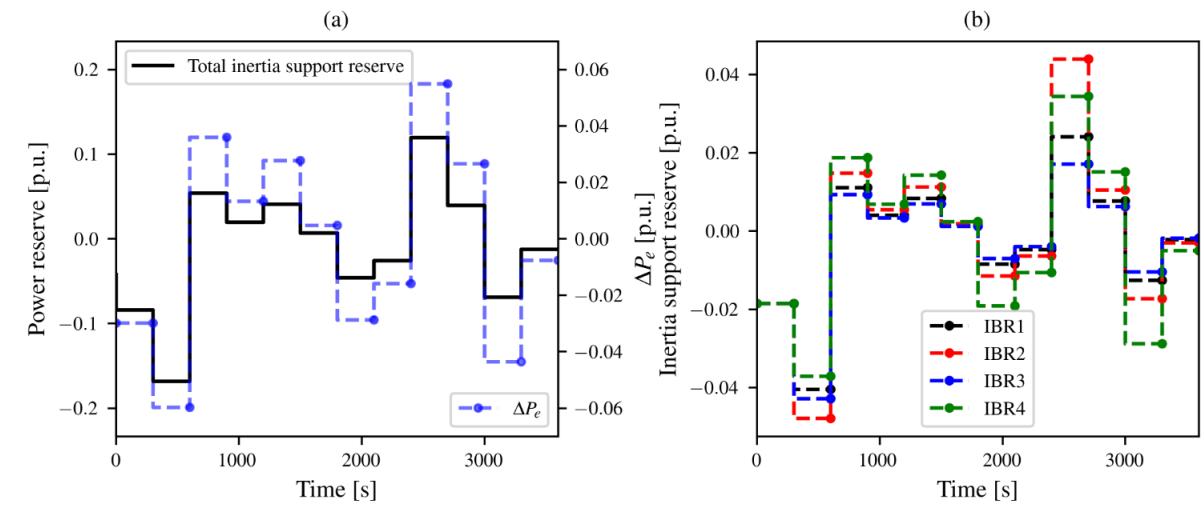
➤ One-hour load profile



➤ Scheduling results



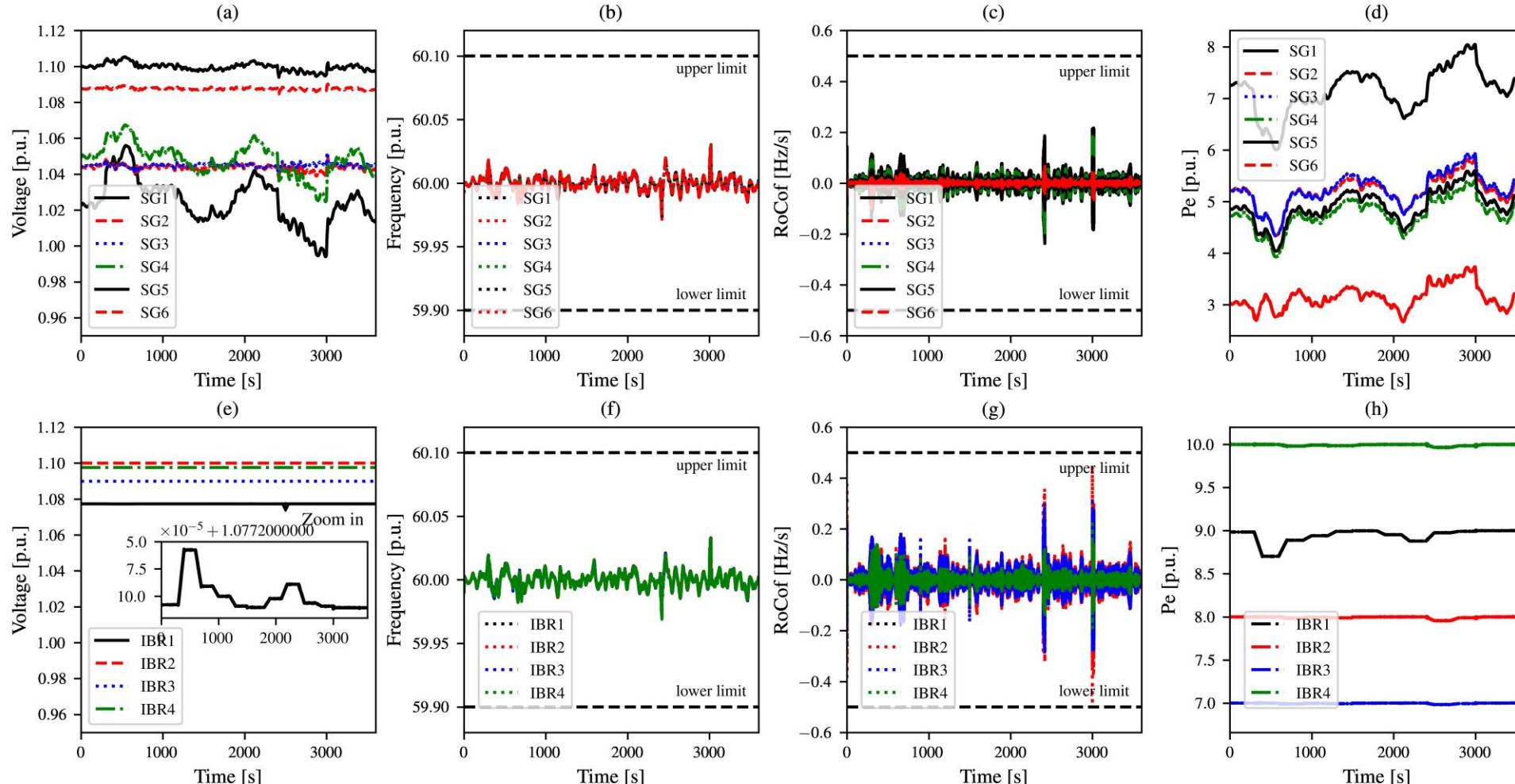
Virtual inertia and damping scheduling results



Reservation scheduling results

VIS for Real-time Economic Dispatch

➤ Dynamic Validation Through One-hour Time-domain Simulation



Microgrid Virtual inertia Scheduling

➤ Microgrid VIS

- **Challenge 1: Stability guarantee**

As device-level control parameters, virtual inertia and damping play a critical role in microgrid stability.

- **Challenge 2: Resilient operation**

Addressing security constraints, both static and dynamic, during extreme events remains a significant and challenging task.

- ✓ ***Model-based? -> Scalability***

- ✓ ***Data-driven? -> Reliable Data;
Performance Guarantee***

- ✓ ***Hybrid Method?***

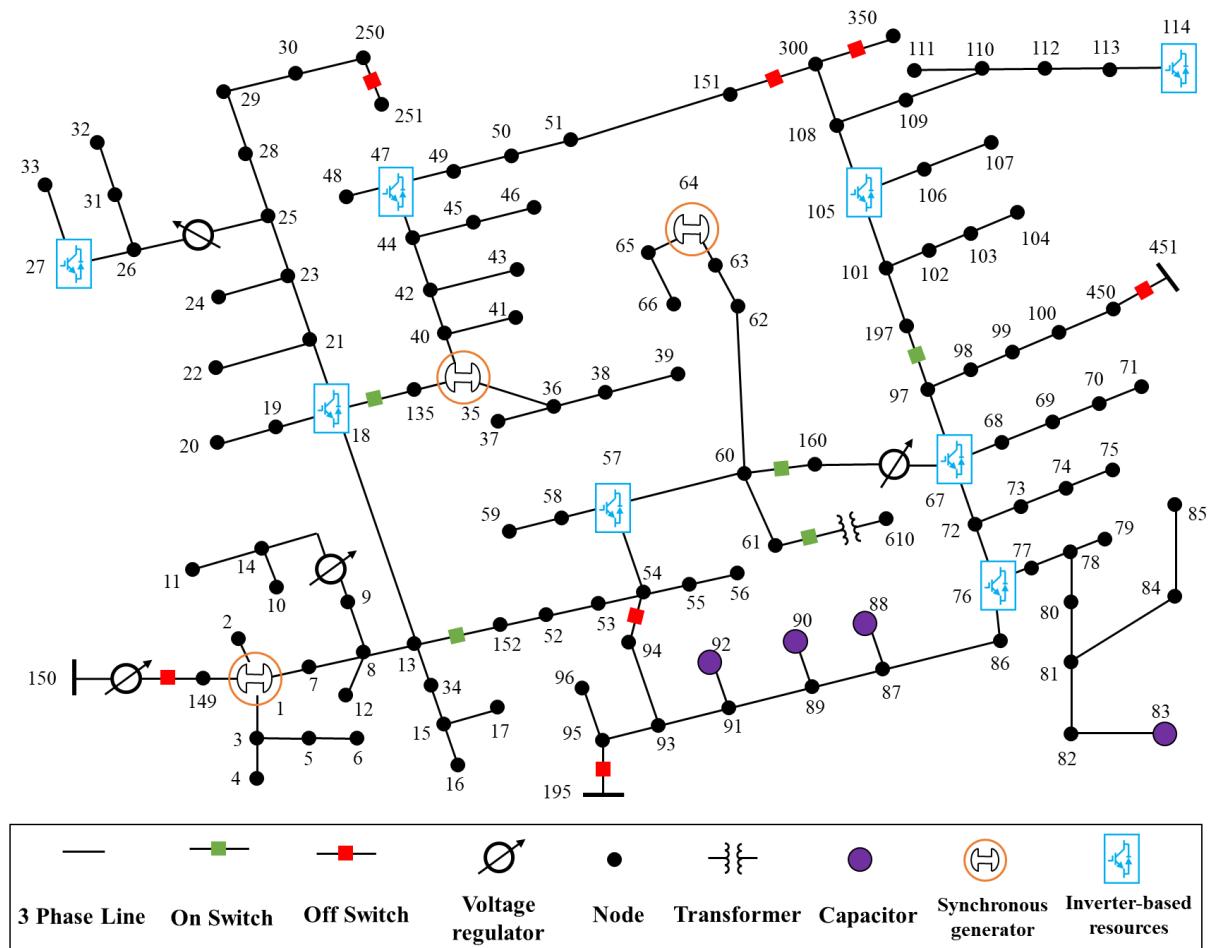


Diagram of islanded microgrid modified from IEEE 123-Bus system

Summary

- Although IBRs present **low inertia** characteristics, their **controllability** and **flexibility** allow for the design of an advanced inertia management framework for future low-inertia power grids.
- **Virtual inertia scheduling (VIS)** is an inertia management concept that targets **security-constrained** and **economy-oriented** inertia scheduling and generation dispatch of microgrids with a large scale of IBRs.
- The formulation of VIS is quite **flexible** and can be integrated into the conventional economic dispatch framework, but with **customized** decision variables and objective functions, operational conditions, and critical dynamic constraints.

Take-aways

➤ Core contribution: improve microgrid flexibility and dynamic performance with IBRs

- The proposed **P-Q controller** can track the predefined power trajectory with any time constant. It enables the customized response speed of IBRs and thus improved microgrids **flexibility**.
- The proposed **V-f control framework** can accurately regulation the output of droop-controlled GMF inverters and improve V-f deviation with limited DER capacities. It enables the coordination of P-Q generation, V-f regulation, and demands control, and thus improved microgrids **flexibility** and **stability**.
- The proposed **virtual inertia scheduling (VIS)** can effectively management the inertia of IBR-penetrated microgrids, and thus improves microgrid **security**, **stability**, and **economy**.
- Relevant publications:
 - Buxin She, Fangxing Li, Hantao Cui, Jingqiu Zhang, and Rui Bo, "Fusion of Microgrid Control with Model-Free Reinforcement Learning: Review and Vision," *IEEE Transactions on Smart Grid*, vol. 14, no. 4, pp. 3232-3245, July 2023.
 - Buxin She, Fangxing Li, Hantao Cui, Hang, Shuai, Orogene Oboreh-Snaps, Rui Bo, Nattapat Praisuwanna, Jingxin Wang, and Leon M. Tolbert, "Inverter PQ Control with Trajectory Tracking Capability for Microgrids Based on Physics-informed Reinforcement Learning," *IEEE Transactions on Smart Grid*, In-Press, 2023.
 - Buxin She, Fangxing Li, Hantao Cui, Jinning Wang, Liang Min, Orogene Oboreh-Snaps, and Rui Bo, "Decentralized and Coordinated V-f Control for Islanded Microgrids Considering DER Inadequacy and Demand Control," *IEEE Transactions on Energy Conversion*, vol. 38, no. 3, pp. 1868-1880, Sept. 2023.
 - Buxin She, Fangxing Li, Hantao Cui, Jinning Wang, Qiwei Zhang, and Rui Bo, "Virtual Inertia Scheduling for Real-time Economic Dispatch of IBR-penetrated Power Systems," *IEEE Transactions on Sustainable Energy*, In-Press, 2023.

Acknowledgements

This work was supported by
US DOD ESTCP program under the grant number *EW20-5331*



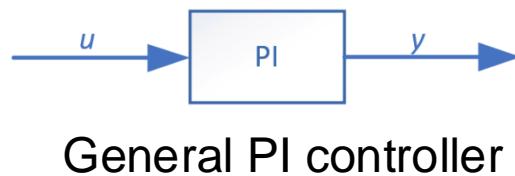
***Other Contributors: Hantao Cui, Jinning Wang, Hang Shuai,
Oroghene Oboreh-Snapps, Rui Bo,
Nattapat Praisuwanna, Jingxin Wang, Leon M. Tolbert***

Backup Slides

Model-based Analysis (1)

- Derive $k_p(t)$ and $k_i(t)$ that can ensure the exponential PQ trajectory with specific time constant

➤ Step 1:



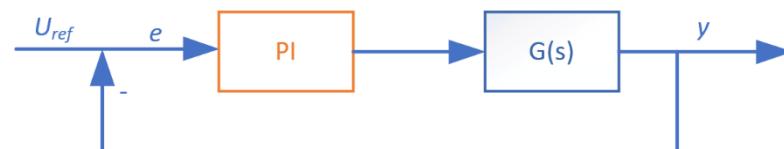
- Fixed gains:

$$Y(s) = k_p g U(s) + k_i g \frac{U(s)}{s} \xrightarrow{\text{multiply}} \frac{Y(s)}{U(s)} = k_p + \frac{k_i}{s}$$

- Adaptive gains:

$$Y(s) = k_p * U(s) + k_i * \frac{U(s)}{s} \xrightarrow{\text{convolution}} \frac{Y(s)}{U(s)} = \frac{1}{U(s)} [K_p(s) * U(s) + K_i(s) * \frac{U(s)}{s}]$$

➤ Step 2:



Adaptive gain PI controller
in a general system

- Step input signal: $u_{ref} = \begin{cases} 1 & t \geq 0 \\ 0 & t < 0 \end{cases} \Rightarrow U_{ref} = \frac{k_i}{s}$

- Exponential error: $e(t) = e^{-t/\tau} \Rightarrow E(s) = \frac{1}{s + 1/\tau}$

- Ideal response: $y(t) = 1 - e^{-t/\tau} \Rightarrow Y(s) = \frac{1}{s} - \frac{1}{s + 1/\tau}$

Plug in

→ $Y(s) = E(s)G_{PI}(s)G(s)$

Model-based Analysis (2)

- Derive $k_p(t)$ and $k_i(t)$ that can ensure the exponential PQ trajectory with specific time constant

➤ Step 3:

$$\frac{Y(s)}{G(s)} = K_p(s) * E(s) + K_i(s) * \frac{E(s)}{s}$$

- For the left side:

$$\mathcal{L}^{-1}\left[\frac{Y(s)}{G(s)}\right] = \mathcal{L}^{-1}\left[\frac{1}{\tau s(s+1/\tau)} \cdot G(s)\right]$$

- For the right side:

$$\begin{aligned}\mathcal{L}^{-1}\left[K_p(s) * \frac{1}{s+1/\tau} + K_i(s) * \frac{1}{s(s+1/\tau)}\right] \\ = [k_p(t) - \tau k_i(t)] e^{-\frac{t}{\tau}} + \tau k_i(t)\end{aligned}$$

System transfer function $G(s)$
determines whether '**left side = right
side**' has a solution in time domain.

Conclusion:

$$\text{Assume } G(s) = \frac{n(s)}{m(s)}$$

✓ Condition 1: $D[n(s)] = 0$ (D means degree)

$$\begin{cases} k_p(t) = l_1 + l_2 \\ k_i(t) = \frac{l_2}{\tau} \end{cases}$$

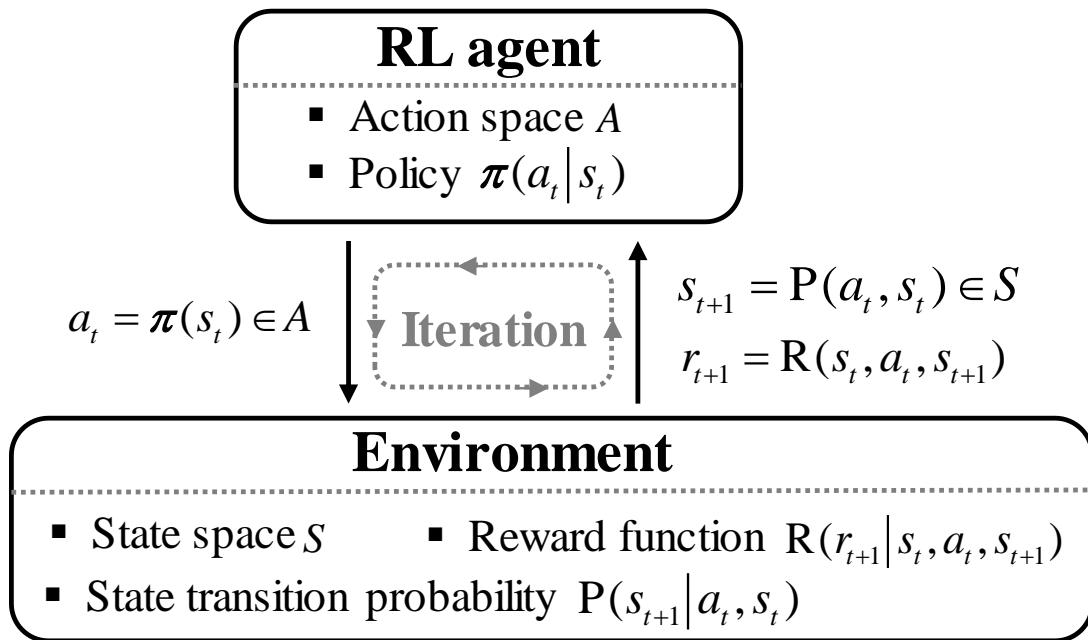
✓ Condition 2: $D[n(s)] \neq 0, D[n(s)] - D[m(s)] \leq 2$

$$\begin{cases} k_p(t) = l_1 + \mathcal{L}^{-1}\left[\frac{l_2(s)}{s \cdot n(s)}\right] \\ k_i(t) = \frac{\mathcal{L}^{-1}\left[\frac{l_2(s)}{s \cdot n(s)}\right]}{\tau} \end{cases}$$

✓ Condition 3: $D[n(s)] - D[m(s)] > 2$

$k_p(t)$ and $k_i(t)$ don't exist

Data-driven Implementation: DRL



Reinforcement learning :

- RL is a basic machine paradigm formulated as a Markov Decision Processes.

Deep reinforcement learning:

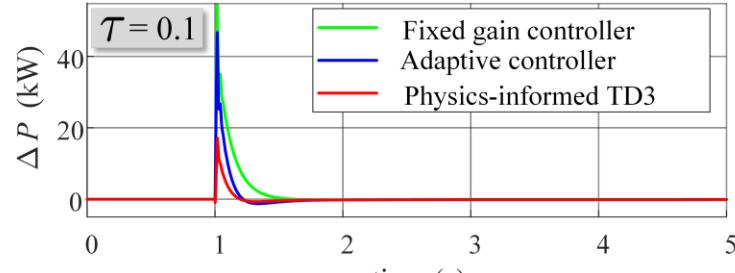
- Use **deep neural network** to map:
State, action \rightarrow value (Q-value);
State \rightarrow action

Training Target:

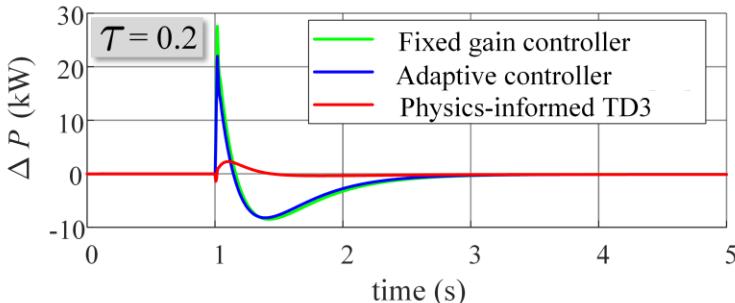
- *a well-trained RL agent chooses **optimal actions** for maximum **accumulated reward (best performance)***

Comparison(1)

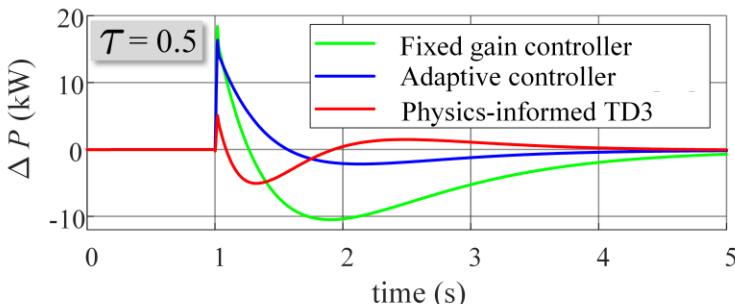
➤ Scenario 1-1: Scheduling P_{ref} change



(a)

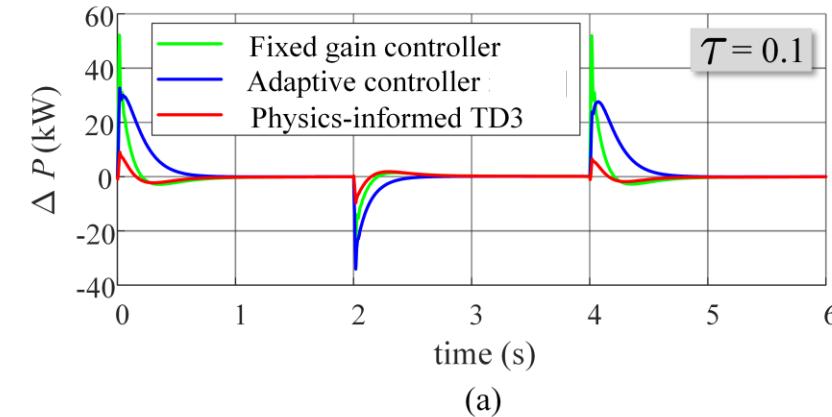


(b)

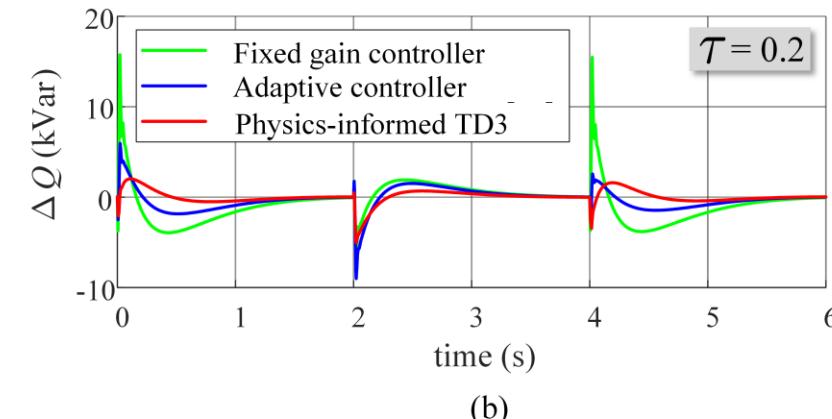


(c)

➤ Scenario 1-2: Scheduling P_{ref} and Q_{ref} change



(a)

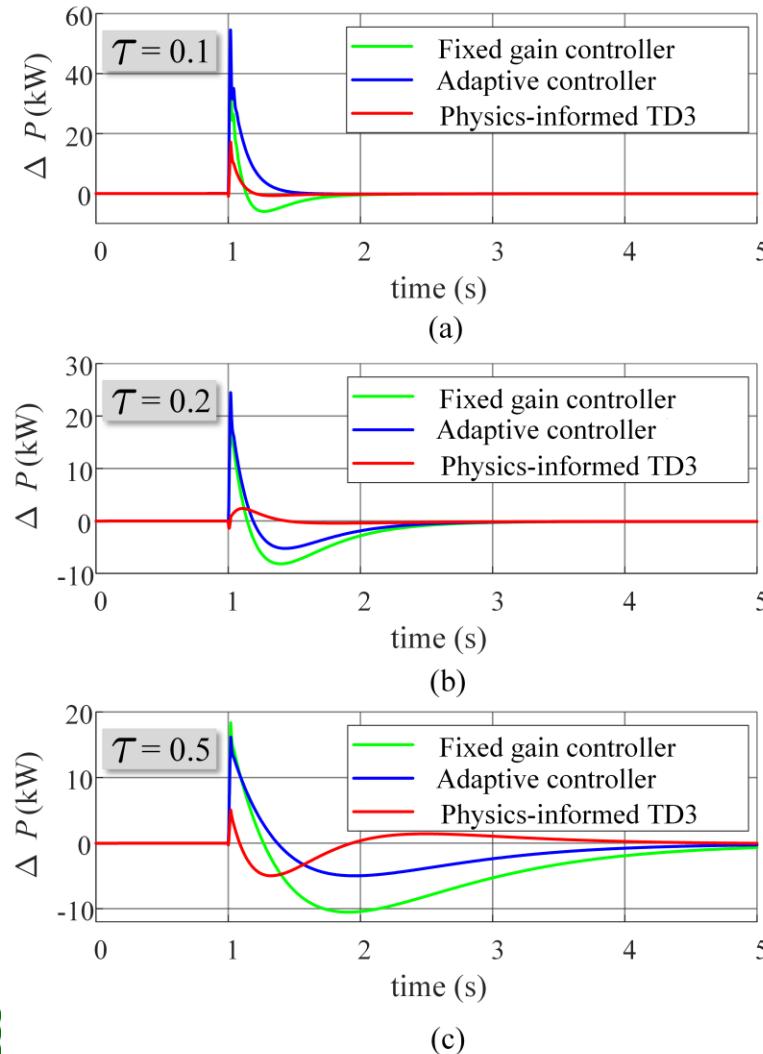


(b)

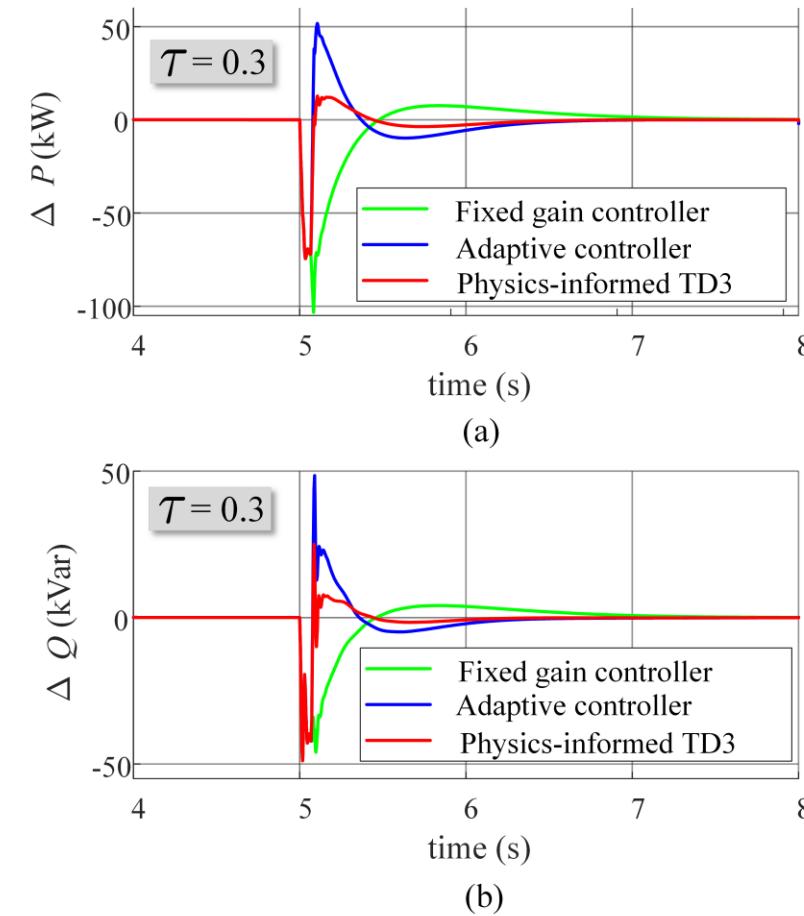
Where $\Delta P = P_{inv} - P_{trj}$ is real-time trajectory tracking error.

Comparison(2)

➤ Scenario 2: Generation loss and Power Support



➤ Scenario 3: Grounded fault



Where $\Delta P = P_{inv} - P_{trj}$ is real-time trajectory tracking error.

Summary

- The **system transfer functions** are categorized into three conditions, determining whether there exists a time-varying-gain adaptive PI controller that can track an exponentially traceable curve.
 - In *Condition 1*, fixed-gains work;
 - in *Condition 2*, time-varying gains are required;
 - in *Condition 3*, no adaptive PI controller works.
- The microgrid inverter-based PQ control system meets *Condition 2*. After implementing the proposed adaptive PI controller, the active and reactive power output of inverters can **track a predefined exponential trajectory**.
- The proposed controller outperforms the conventional fixed-gain and adaptive PI controllers. **Without manual re-tuning**, it can accurately track the predefined trajectory with any assigned time constant.
- The **model-based analysis** provides guidelines for deep RL training, which relieves the training pressure and saves training time. In turn, the implementation of **physics-informed deep RL** solves the problem of unavailability and uncertainty in the model-based method.