



# Privacy in the genomic era

# The issue



# Current approaches

- the do nothing / “share the love” (aka the cowboy approach)
- full public access (1000G)
- dbGAP (full access after application, transit encryption)
- “walled garden” (100K Genomes, UK)

# A solution?

*[1984] radical new idea in cryptography: prove something was true **without disclosing anything about it** - Prof. Shafi Goldwasser (MIT)*

*Part of the idea behind **homomorphic encryption**, is to bring encryption to the places where it's increasingly needed most.*

source: [Encryption pioneer aims to end our data dilemma with cryptography's holy grail](#)

# Terminology

**homomorphism** - Greek: “same”; “form” or “shape”

**homomorphic encryption** - the encryption method

**ciphertext** - the encrypted data

**plaintext** - the original data

**orthogonal rotation** - transformation in which underlying/latent variables remain unrelated to each other, aid in identification of simple structure/pattern/solution

# Homomorphic encryption (HE)

- allows computation on encrypted data
  - **without access to the secret key**
- data remains confidential (encrypted) while being processed
  - enabling useful tasks to be accomplished with data residing in “*untrusted*” environments

# [Paper] *Private Genomes and Public SNPs: Homomorphic Encryption of Genotypes and Phenotypes for Shared Quantitative Genetics*

Richard Mott, Christian Fischer, Pjotr Prins and Robert William Davies  
Genetics (Early online April 23, 2020)

<https://doi.org/10.1534/genetics.120.303153>

**Software:** <https://github.com/encryption4genetics>

**Data (mouse):** [https://rdr.ucl.ac.uk/articles/Mouse\\_Platelet\\_Dataset/11907687](https://rdr.ucl.ac.uk/articles/Mouse_Platelet_Dataset/11907687)

# [Paper] Public Genomes and Private SNPs

- not the first time this has been proposed ([Further reading](#))
- limitations with prior HE approaches
  - loss of information, making only “simple” analyses possible
    - i.e. no retention of population structure
  - most are slower than analysis on unencrypted data



# [Paper] Public Genomes and Private SNPs

- retention of layers of information that can be analysed
  1. unchanged likelihood of quantitative trait data
  2. LD
  3. association between variants and phenotypes
  4.  $h^2$  (heritability)
- if data encrypted with HE is on a cloud that becomes compromised, stolen ciphertext **should** be valueless
- possible to share and analyse federated independently-transformed ciphertexts
  - with a few caveats...

# [Paper] Public Genomes and Private SNPs - limitations

- **orthogonal rotation**
  - logistic regression is a no go on HE data (**not homomorphic**)
  - method is not **properly** secure
    - private variants not securely encrypted
  - cannot handle missing data -> imputation required prior
  - can only analyse subsets if encoded separately
    - i.e. can't analyse sex if not split prior
    - though can include sex as covar and account in modelling

# [Paper] Public Genomes and Private SNPs - limitations

- **mixed-model transformation**
  - more secure BUT
  - lose the ability to perform more complex analyses on encrypted data
    - no variance components or  $h^2$ , loss of LD info

Challenge: break the encryption!

*Such a move - towards the idea that an allele's effects are public property **whilst an individual's genotypes are private** - is more important than the encryption mechanism used to attain it.*

## Further reading

- Armknecht, F., Boyd, C., Carr, C., *et al.* (2015) **A Guide to Fully Homomorphic Encryption** ([link](#))
- Kim, M., & Lauter, K. (2015). **Private genome analysis through homomorphic encryption**. BMC medical informatics and decision making, 15 Suppl 5(Suppl 5), S3. <https://doi.org/10.1186/1472-6947-15-S5-S3>
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- Bonte, C., Makri, E., Ardeshirdavani, A., *et al.* (2018). **Towards practical privacy-preserving genome-wide association study**. BMC bioinformatics, 19(1), 537. <https://doi.org/10.1186/s12859-018-2541-3>
- Park, S., Kim, M., Seo, S., *et al.* (2019). **A secure SNP panel scheme using homomorphically encrypted K-mers without SNP calling on the user side**. BMC genomics, 20(Suppl 2), 188. <https://doi.org/10.1186/s12864-019-5473-z>
- Mamo, N., Martin, G.M., Desira, M. *et al.* **Dwarna: a blockchain solution for dynamic consent in biobanking**. Eur J Hum Genet 28, 609–626 (2020). <https://doi.org/10.1038/s41431-019-0560-9>